# Optimizing the Region Algebra is PSPACE-complete

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### Abstract

The Region Algebra is a set-at-a-time algebra for querying text regions. We show that satisfiability, inclusion, and equivalence testing of region algebra expressions are PSPACE-complete. This improves upon the previously known NP lower bounds and EXPTIME upper bounds.

Key words: Computational complexity, Region Algebra, Databases

# 1. Introduction

The region algebra was introduced by Consens and Milo [1], and is a set-at-a-time algebra for manipulating text regions based on the PAT-algebra [2, 3]. The expressiveness and complexity of the region algebra were investigated by Consens and Milo [1]. Among other things, they show that the satisfiability problem is decidable through a reduction to satisfiability of firstorder logic formulas over trees. Testing the satisfiability of such logical formulas has non-elementary complexity [4]. Furthermore, they provide an NP lower bound. Neven [5] obtained an EXPTIME upper bound for the satisfiability, inclusion and equivalence problems through a reduction to the corresponding problems for extended attribute grammars.

In this paper, we provide a matching PSPACE upper and lower bound for the basic decision problems regarding region algebra expressions.

#### 2. The Region Algebra

For the remainder of the paper we fix a finite alphabet  $\Sigma$ . A  $\Sigma$ -string *w* is a finite string over  $\Sigma$ . We denote its

length by |w|. For a finite set of region names  $\mathcal{R}$ , an  $\mathcal{R}$ -*text I* is a pair  $(w, \lambda)$ , where w is a  $\Sigma$ -string and  $\lambda$  is a function mapping each region name in  $\mathcal{R}$  to a set of w-regions. Here, a *w*-region is a pair (i, j) where  $1 \le i \le j \le |w|$ . When  $\mathcal{R}$  is clear from the context, we usually write text instead of  $\mathcal{R}$ -text.

For instance, let  $\mathcal{R} = \{ \mathbf{Proc}, \mathbf{Func}, \mathbf{Var} \}$  and  $\Sigma = \{a, b, c, ..., z\}$ . Figure 1 shows a graphical illustration of the text  $I = (w, \lambda)$  over  $\mathcal{R}$  where w = abcdefghijklmnop,  $\lambda(\mathbf{Proc}) = \{(1, 16), (6, 10)\}, \lambda(\mathbf{Func}) = \{(12, 16)\}, \text{ and } \lambda(\mathbf{Var}) = \{(2, 3), (6, 7), (12, 13)\}.$ 

We say that *r* is a *region in I* when  $r \in \lambda(R)$ , for some  $R \in \mathcal{R}$ . For a region r = (i, j), we denote the beginning *i* and finish *j* of *r* by b(r) and f(r), respectively. Furthermore, w(r) denotes the string  $a_{b(r)} \dots a_{f(r)}$ , for  $w = a_1 \dots a_n$ .

For two regions *r* and *s* in *I*, define:

- r < s if f(r) < b(s) (*r* precedes *s*); and
- $r \subset s$  if  $b(s) \leq b(r)$ ,  $f(r) \leq f(s)$ , and  $s \neq r$  (r is *included in s*).

We also allow the dual predicates r > s and  $r \supset s$  which have the obvious meaning. A text *I* is *hierarchical* if

- $\lambda(R) \cap \lambda(R') = \emptyset$  for all region names R, R' in  $\mathcal{R}$ , with  $R \neq R'$ ; and
- for all regions r, s in I, with r ≠ s, one of the following holds: r < s, s < r, r ⊂ s, or s ⊂ r.</li>

The last condition simply says that if two regions overlap then one is strictly contained in the other.

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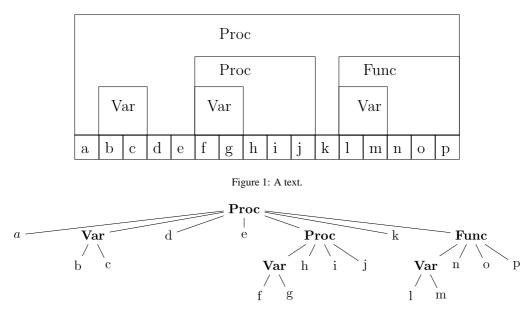


Figure 2: The text tree corresponding to the text in Figure 1.

The text in Figure 1 is hierarchical. Like in [1], we only consider hierarchical texts. The Region Algebra allows to query such hierarchical texts and thereto makes additional use of a *pattern language*  $\mathcal{P}$ . The latter consists of a set of *patterns*  $p \in \mathcal{P}$ , each of which defines a set of  $\Sigma$ -strings, denoted L(p). An example of a pattern language is the set of all regular expressions, denoted RE.

We can now define the Region Algebra.

**Definition 2.1.** Region Algebra expressions over a pattern language  $\mathcal{P}$  and a set of region names  $\mathcal{R}$  are inductively defined as follows:

- every region name *R* in *R* is a Region Algebra expression;
- if  $e_1$  and  $e_2$  are Region Algebra expressions then so are  $e_1 \cup e_2$ ,  $e_1 - e_2$ ,  $e_1 \subset e_2$ ,  $e_1 < e_2$ ,  $e_1 \supset e_2$ , and  $e_1 > e_2$ ; and
- if e is a Region Algebra expression and p in P is a pattern then σ<sub>p</sub>(e) is a Region Algebra expression.

The semantics of a Region Algebra expression e on a

text  $I = (w, \lambda)$ , denoted  $\llbracket e \rrbracket^I$ , is defined as follows:

$$\begin{split} \llbracket R \rrbracket^{I} & := & \lambda(R); \\ \llbracket \sigma_{p}(e) \rrbracket^{I} & := & \{r \mid r \in \llbracket e \rrbracket^{I} \text{ and } w(r) \in L(p)\}; \\ \llbracket e_{1} \cup e_{2} \rrbracket^{I} & := & \llbracket e_{1} \rrbracket^{I} \cup \llbracket e_{2} \rrbracket^{I}; \\ \llbracket e_{1} - e_{2} \rrbracket^{I} & := & \llbracket e_{1} \rrbracket^{I} - \llbracket e_{2} \rrbracket^{I}; \\ and \text{ for } \star \in \{<, >, \subset, \supset\}: \end{split}$$

$$\llbracket e_1 \star e_2 \rrbracket^I \qquad := \qquad \{r \mid r \in \llbracket e_1 \rrbracket^I \text{ and } \exists s \in \llbracket e_2 \rrbracket^I$$
  
such that  $r \star s\}.$ 

We denote by  $RA(\mathcal{P})$  the set of Region Algebra expressions using only patterns of  $\mathcal{P}$ . A variety of pattern languages can be used. We focus on the classes  $RA(\emptyset)$ , RA(RE), and RA(NFA), where NFA denotes the class of non-deterministic finite automata.

For instance, the RA(RE) expression **Proc**  $\supset \sigma_{\Sigma^* \text{start}\Sigma^*}(\text{Proc})$ , defines all the **Proc** regions which contain a **Proc** region that contains the string start.

As all texts are required to be hierarchical, they can be modeled naturally as forests. But as we can always add a unique root, when no unique root is present, we will consider them to be trees. For a text *I*, we denote its *text tree* by t(I). Figure 2 shows an example of the text tree corresponding to the text illustrated in Figure 1. Note that all leaf nodes are  $\Sigma$ -symbols, while the interior nodes are elements from  $\mathcal{R}$ . Moreover, as  $\lambda(R) \cap \lambda(R')$ must be empty for all distinct region names, every interior node of t(I) which has only non-leaf nodes as children, must have at least two children. Considering only text trees which satisfy this property, there is a one-toone correspondence between hierarchical texts and text trees. Therefore, we can restrict attention to text trees instead of hierarchical texts in the subsequent proofs. We usually call them just trees. Furthermore, for a tree t,  $[\![e]\!]^t$  equals  $[\![e]\!]^I$ , where I is the unique text such that t = t(I). We say that an expression e selects a tree t when  $[\![e]\!]^t \neq \emptyset$ , and outputs a node u of t when  $u \in [\![e]\!]^t$ . The set of nodes of t is denoted by Nodes(t).

# 3. Complexity

We study the complexity of the Region Algebra for the following decision problems.

#### **Definition 3.1.** Let $\mathcal{P}$ be a pattern language.

- SATISFIABILITY for RA(P): Given an expression e in RA(P), does there exist a text I such that [[e]]<sup>I</sup> ≠ Ø?
- EQUIVALENCE for RA(P): Given two expressions e, e' in RA(P), is [[e]]<sup>I</sup> = [[e']]<sup>I</sup>, for every text I?
- INCLUSION for  $RA(\mathcal{P})$ : Given two expressions e, e' in  $RA(\mathcal{P})$ , is  $[[e]]^I \subseteq [[e']]^I$ , for every text I?

The following results are already known.

- **Theorem 3.2.** 1. SATISFIABILITY for  $RA(\emptyset)$  is NP-hard [1].
  - 2. SATISFIABILITY, EQUIVALENCE, and INCLUSION for RA(DFA) are in EXPTIME [5].

We show that all these problems are in fact PSPACEcomplete.

**Theorem 3.3.** 1. SATISFIABILITY, EQUIVALENCE, and IN-CLUSION for  $RA(\emptyset)$  are PSPACE-hard.

2. SATISFIABILITY, EQUIVALENCE, and INCLUSION for RA(NFA) are in PSPACE.

It immediately follows from this theorem that sat-ISFIABILITY, EQUIVALENCE, and INCLUSION for RA( $\emptyset$ ), RA(DFA), RA(RE), and RA(NFA) are all PSPACEcomplete. The remainder of this article is devoted to proving Theorem 3.3.

#### 3.1. Lower Bound

For the lower bound it suffices to show that SATISFI-ABILITY for RA( $\emptyset$ ) is PSPACE-hard. Indeed, as an expression *e* is not satisfiable whenever it is equivalent to (respectively, included in) the expression R - R for some *R*, the PSPACE-hardness then immediately carries over to EQUIVALENCE (respectively, INCLUSION) for RA( $\emptyset$ ). We show that SATISFIABILITY for RA( $\emptyset$ ) is PSPACE-hard

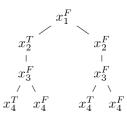


Figure 3: The assignment tree witnessing the satisfiability of the formula  $\exists x_1 \forall x_2 \exists x_3 \forall x_4 (\neg x_1 \lor x_2 \lor x_4) \land (x_1 \lor \neg x_3 \lor x_4).$ 

by a reduction from quantified boolean formula (QBF), which is PSPACE-complete [6].

In short, QBF is the problem, given a boolean expression  $\phi$  of the form  $\exists x_1 \forall x_2 \cdots \exists x_{n-1} \forall x_n \bigwedge_{i=1}^m C_i$ , where each  $C_i$  is a disjunction of three literals; does there exist a truth value for  $x_1$ , such that for all truth values for  $x_2$ , ..., and so up to  $x_n$ , such that  $\phi$  is satisfied by the overall truth assignment. We then say that  $\phi$  is *satisfiable*.

Note that each satisfiable formula can be witnessed by a tree of the form as shown in Figure 3. Here, every path from the root to a leaf encodes a satisfying truth assignment for  $\bigwedge_{i=1}^{m} C_i$ , where  $x_i^T$  (respectively,  $x_i^F$ ) assigns true (respectively, false) to variable  $x_i$ . Furthermore, the branching structure of the tree conforms to the quantifier nesting in  $\phi$ . That is, the set of root to leaf paths is precisely the set of variable assignments which need to be checked on  $\bigwedge_{i=1}^{m} C_i$ . The tree in Figure 3 witnesses the satisfiability of the formula  $\exists x_1 \forall x_2 \exists x_3 \forall x_4 (\neg x_1 \lor x_2 \lor x_4) \land (x_1 \lor \neg x_3 \lor x_4)$ . Given a formula  $\phi$ , we construct  $\mathcal{R}$  and  $e_{\phi}$  such that  $e_{\phi}$  is satisfiable if and only if  $\phi$  is satisfiable. In particular,  $e_{\phi}$  will select all assignment trees witnessing the satisfiability of  $\phi$ .

Define  $\mathcal{R} = \{x_j^T, x_j^F \mid j \in [1, n]\}$ . We first define the expression  $e_{\text{tree}}$  selecting any tree which is *not* of the form depicted in Figure 3. That is,  $e_{\text{tree}}$  does not consider the actual truth values in the tree but checks its form. Thereto, we write a sequence of expressions each of which selects a tree when it violates one particular property. The formula  $e_{\text{tree}}$  is simply the union of the given formulas. Here, we abbreviate  $\bigcup_{R \in \mathcal{R}} R$ , selecting all nodes, by  $\mathcal{R}$ ;  $\mathcal{R} - ((\mathcal{R} - e_1) \cup (\mathcal{R} - e_2))$  by  $e_1 \cap e_2$ ; and, for every  $i \in [1, n]$ , we abbreviate  $x_i^T \cup x_i^F$  by  $x_i$ .

1. The root is not labeled  $x_1^T$  or  $x_1^F$ . The expression  $\psi_1 = \mathcal{R} \subset \mathcal{R}$  outputs all non-root nodes. Then,

$$(\mathcal{R} - \psi_1) - x_1$$

outputs the root when it is not labeled  $x_1^T$  or  $x_1^F$ .

2. For all *i*, *j*, with  $i \le j$ , a node labeled  $x_i^T$  or  $x_i^F$  is a

descendant of a node labeled  $x_i^T$  or  $x_i^F$ :

 $x_i \subset x_j$ .

3. For every  $i \in [1, \lfloor n/2 \rfloor]$ , and  $\alpha \in \{T, F\}$ , a node labeled  $x_{2i-1}^{\alpha}$  does not have both nodes labeled  $x_{2i}^{T}$  and  $x_{2i}^{F}$  as descendants:

$$x_{2i-1}^{\alpha} - [(x_{2i-1}^{\alpha} \supset x_{2i}^{T}) \cap (x_{2i-1}^{\alpha} \supset x_{2i}^{F})].$$

For every i ∈ [1, [n/2] − 1], and α ∈ {T, F}, a node labeled x<sup>α</sup><sub>2i</sub> does not have a node labeled x<sup>T</sup><sub>2i+1</sub> or one labeled x<sup>F</sup><sub>2i+1</sub> as descendant:

$$x_{2i}^{\alpha} - [(x_{2i}^{\alpha} \supset x_{2i+1}^{T}) \cup (x_{2i}^{\alpha} \supset x_{2i+1}^{F})].$$

Now, why is any tree not satisfying any of the above formula of the form as depicted in Figure 3? Because of the first formula, the root of such a tree must be either  $x_1^T$ or  $x_1^F$ . The third family of formulas guarantees that this root node has both  $x_2^T$  and  $x_2^F$  as descendants. Because of the second family of expressions,  $x_2^T$  and  $x_2^F$  must, in fact, be direct children of the root. These, in turn, must by the fourth family of expressions have a child labeled either  $x_3^T$  or  $x_3^F$ , and so on. Note, however, that this tree might well contain additional side branches not of the form of a proper assignment tree; for instance, there might be several identical copies of one subtree under a node. We are therefore only guaranteed that a subset of the nodes, including the root, forms a proper assignment tree, but the tree might contain additional redundant nodes. More specifically, any tree not selected by  $e_{\text{tree}}$  contains a proper assignment tree, and any tree exactly of the form of a proper assignment tree, is not selected by  $e_{\text{tree}}$ . This is sufficient for our purposes.

We next consider the actual truth values occurring in the tree. Thereto, we construct an expression  $e_{\text{formula}}$ which selects a tree whenever it contains a path from the root to a leaf encoding a truth assignment not satisfying  $\bigwedge_i C_i$ . If a tree contains such a path it is not an assignment tree witnessing the satisfiability of  $\phi$ .

To construct  $e_{\text{formula}}$ , let *C* be any clause of the form  $y_{i_1} \lor y_{i_2} \lor y_{i_3}$ , with  $i_1 < i_2 < i_3$  and where each  $y_{i_j}$  either equals  $x_{i_j}$  or  $\neg x_{i_j}$ . Let  $e_C$  be the expression  $z_3 \subset z_2 \subset z_1$ , where  $z_j = x_{i_j}^F$  when  $y_{i_j} = x_{i_j}$  and  $z_j = x_{i_j}^T$  when  $y_{i_j} = \neg x_{i_i}$ , for  $j \in [1, 3]$ . Then,  $e_{\text{formula}} = \bigcup_{i=1}^n e_{C_i}$ .

Hence, the expression  $e_{\text{wrong}} = e_{\text{formula}} \cup e_{\text{tree}}$  selects all trees which are or contain an assignment tree which does not witness the satisfiability of  $\phi$ . Consequently,  $e_{\text{all}} = e_{\text{wrong}} \cup \bigcup_{\star \in \{<,>,\subset, \}} \mathcal{R} \star e_{\text{wrong}}$  selects the same trees as  $e_{\text{wrong}}$  and additionally outputs all nodes of these trees. Then,  $e_{\phi} = \mathcal{R} - e_{\text{all}}$  selects those trees not selected by  $e_{\text{all}}$  which are all trees which contain an assignment tree witnessing the satisfiability of  $\phi$ , and for which every subtree which forms an assignment tree also witnesses the satisfiability of  $\phi$ . Obviously, this includes a normal assignment tree witnessing the satisfiability of  $\phi$  and, hence,  $e_{\phi}$  is satisfiable if and only if there exists an assignment tree witnessing the satisfiability of  $\phi$  if and only if  $\phi$  is satisfiable.

This concludes the proof for the PSPACE-hardness of satisfiability for  $RA(\emptyset)$ .

#### 3.2. Upper Bound

We show that SATISFIABILITY for RA(NFA) is in PSPACE, as this also implies that INCLUSION and EQUIV-ALENCE for RA(NFA) are in PSPACE. Indeed, for two Region Algebra expressions  $e_1$  and  $e_2$  it holds that  $e_1$  is included in  $e_2$  (respectively, equivalent to  $e_2$ ) whenever  $e_1 \cap (\mathcal{R} - e_2)$  (respectively,  $(e_1 \cap (\mathcal{R} - e_2)) \cup (e_2 \cap (\mathcal{R} - e_1)))$ is not satisfiable.

To prove that SATISFIABILITY for RA(NFA) is in PSPACE, we use a crucial property of the Region Algebra proved by Consens and Milo [1].

**Theorem 3.4 ([1]).** Let e be a Region Algebra expression. If e is satisfiable, then there exists a text I with  $[[e]]^I \neq \emptyset$  such that t(I) has depth at most 2|e|.

Here, |e| denotes the size of the expression. This theorem hence allows to restrict our attention to text trees of depth bounded by the size of the expression. Using this property, we solve SATISFIABILITY for RA(NFA) by reducing the problem to emptiness testing of 2-way alternating tree automata (2ATA), defined as follows.

For a set of propositions *P*, let *B*(*P*) be the set of Boolean formulas over *S*, including true and false. A 2ATA *A* is a tuple  $(Q, \delta, q_0)$  where *Q* is the set of states,  $q_0$  is the initial state, and  $\delta : Q \times \Sigma \to B(Q \times \{-, \rightarrow, \uparrow, \downarrow\})$ is the transition function. Elements in  $\{-, \rightarrow, \uparrow, \downarrow\}$  denote directions in the tree. For a node *u* in the tree, *u* - equals *u*; *u*  $\to$  denotes its right sibling; *u*  $\uparrow$  its parent; and *u*  $\downarrow$ its left most child, when these exist and are undefined otherwise.

Given a tree t and a node v of t, a run of A on t starting from v is a pair (s, f) where s is a finite tree in which each node is labeled by an element of Nodes $(t) \times Q$ , and f: Nodes $(s) \rightarrow \{$ true, false $\}$  is a function assigning truth values to nodes of s such that

- The label of the root of s is  $(v, q_0)$ ; and
- For every node x of s labeled (u, q), where the label of u in t is a, let  $S = \{(d, p) \mid (d, p) \in \delta(q, a) \text{ such that } ud \text{ is defined}\}$ . Then,

- The set of labels of the children of x in s is exactly  $\{(ud, p) \mid (d, p) \in S\}$ , and no two children carry the same label; and
- Let  $S_{\text{true}}$  be the set of those  $(d, p) \in S$  for which there exists a child y of x, such that y is labeled (ud, p) and f(y) = true. Then, f(x) = true if and only if, in  $\delta(q, a)$ , setting all elements of  $S_{\text{true}}$  to true, and all others to false, satisfies  $\delta(q, a)$ .

A run (s, f) is *accepting* when f(x) = true, where x is the root of s. A tree t is *accepted* by A if there exists an accepting run of A on t starting from the root of t.

As mentioned before, we will translate Region Algebra expressions into 2ATA, thus reducing the sATIS-FIABILITY problem for RA(NFA) to the emptiness problem for 2ATA. In general, however, the emptiness problem for 2ATAs is EXPTIME-complete [7], but when restricted to bounded depth trees the problem becomes easier. Although it is not stated explicitly by Benedikt, Fan, and Geerts, the following theorem is implicit in the proof of Lemma 7.5 [8].

**Theorem 3.5 ([8]).** Given a 2ATA A and a number n in unary, the problem of deciding whether A accepts a tree of depth at most n is in PSPACE.

We next prove the lemma below. Combining this with Theorems 3.4 and 3.5 shows that SATISFIABILITY for RA(NFA) is in PSPACE.

**Lemma 3.6.** Let e be a RA(NFA) expression. A 2ATA  $A_e$  accepting all trees of depth at most 2|e| selected by e, can be constructed in time polynomial in |e|.

*Proof.* Let *e* be a RA(NFA) expression. The automaton  $A_e$  will be a combination of several automata. In particular, we first construct a 2ATA  $A_{\text{tree}}$  accepting proper text trees, i.e., trees which (1) are of depth at most 2|e|, (2) have  $\Sigma$ -symbols as leaf nodes, and  $\mathcal{R}$ -symbols as interior nodes, and (3) do not have interior nodes which have only one child labeled with a  $\mathcal{R}$ -symbol. This can easily be done by constructing a 2ATA for each of these three properties and taking the intersection of these automata.

We next construct the 2ATA  $A_{\text{formula}}$  which, given a proper text tree *t* of depth at most 2|e|, checks whether *e* selects *t*. Recall that a 2ATA accepts a tree if it has an accepting run starting from the root of the tree. To obtain  $A_{\text{formula}}$ , we construct, by induction on the structure of *e*, a 2ATA *A* which has an accepting run starting from a node *u* of *t*, if and only if *e* outputs this node of *t*. The automaton  $A_{\text{formula}}$  visits all nodes of *t* and runs *A* from each of these nodes; if A accepts at least one of them,  $A_{\text{formula}}$  accepts, otherwise, it does not.

Hence, it only remains to construct  $A = (Q, \delta, q_0)$  by induction on the structure of e. We only consider the base case e = R, and the induction cases  $e = e_1 \supset e_2$ ,  $e = e_1 < e_2$ , and  $e = \sigma_B(e_1)$  and omit the others as they are either very similar or straightforward.

- e = R, for some  $R \in \mathcal{R}$ . Then,  $Q = \{q_0\}, \delta(q_0, R) =$ true, and  $\delta(q_0, a) =$  false, for all  $a \in \Sigma \cup \mathcal{R} \setminus \{R\}$ .
- e = e<sub>1</sub> ⊃ e<sub>2</sub>, where A<sub>i</sub> = (Q<sub>i</sub>, δ<sub>i</sub>, q<sub>i</sub>) is the inductively obtained 2ATA for e<sub>i</sub>, with i = 1, 2. In a node u, A needs to check whether e<sub>1</sub> outputs u, by running A<sub>1</sub>, and whether there is a descendant of u which e<sub>2</sub> outputs. Thereto, let Q = Q<sub>1</sub> ⊎ Q<sub>2</sub> ⊎ {q<sub>0</sub>, p}. Then, for every a ∈ Σ ∪ R, δ(q<sub>0</sub>, a) = δ<sub>1</sub>(q<sub>1</sub>, a) ∧ (p, ↓), and δ(p, a) = δ(q<sub>2</sub>, a) ∨ (p, →) ∨ (p, ↓). Here, p is the state which visits all descendants of u to check whether one of them is output by e<sub>2</sub>.
- e = e<sub>1</sub> < e<sub>2</sub>, where A<sub>i</sub> = (Q<sub>i</sub>, δ<sub>i</sub>, q<sub>i</sub>) is the inductively obtained 2ATA for e<sub>i</sub>, with i = 1, 2. In a node u, A needs to check whether e<sub>1</sub> outputs u, by running A<sub>1</sub>, and whether there is a node v output by e<sub>2</sub> such that v follows u in the depth first traversal of t, but is not a descendant of u. Let Q = Q<sub>1</sub> ⊎ Q<sub>2</sub> ⊎ {q<sub>0</sub>, p<sub>↑</sub>, p<sub>↓</sub>}. Then, for every a ∈ Σ ∪ R, δ(q<sub>0</sub>, a) = δ<sub>1</sub>(q<sub>1</sub>, a) ∧ [(p<sub>↓</sub>, →) ∨ (p<sub>↑</sub>, ↑)], δ(p<sub>↓</sub>, a) = δ<sub>2</sub>(q<sub>2</sub>, a) ∨ (p<sub>↓</sub>, →) ∨ (p<sub>↓</sub>, ↓), and δ(p<sub>↑</sub>, a) = (p<sub>↓</sub>, →) ∨ (p<sub>↑</sub>, ↑).
- $e = \sigma_B(e_1)$ , where  $A_1 = (Q_1, \delta_1, q_1)$  is the 2ATA recursively obtained for  $e_1$  and  $B = (Q_2, \delta_2, q_I, F_2)$ is an NFA. In a node u we now have to check whether it is output by  $e_1$  (by running  $A_1$ ) and whether the  $\Sigma$ -string below it is accepted by B. To check whether the latter holds we do a depth first traversal, starting from u, and run B over the string encountered at the leafs in this traversal (this is exactly the desired string). Here it is crucial to recognize the moment at which the depth first traversal is finished, i.e., when we arrive back at *u*. Thereto, when visiting a node *v*, we also keep track of the current depth with respect *u*, or, equivalently, the length of the path from *u* to *v*. Hence, once the depth is 0, we have finished the traversal and can check whether B accepts the string it has read. Here, we use the fact that we are only considering trees of depth at most n = 2|e|, which allows to encode the current depth in the states.

Formally,  $Q = Q_1 \uplus \{q_{\downarrow}^i, q_{\uparrow}^i \mid i \in [0, n], q \in Q_2\} \uplus \{q_0, q_{\text{true}}\}$ . Then:

- For every  $a \in \Sigma \cup \mathcal{R}$ ,
  - \*  $\delta(q_{\text{true}}, a) = \text{true}, \text{ and } \delta(q_0, a) = \delta_1(q_1, a) \land (q_{I\perp}^1, \downarrow);$
  - \* for all  $q \in Q_1$ ,  $\delta(q, a) = \delta_1(q, a)$ ;
  - \* for all  $q \in F_2$ ,  $\delta(q_{\downarrow}^0, a) = \delta(q_{\uparrow}^0, a) =$ true; and
  - \* for all  $q \in Q_2 \setminus F_2$ ,  $\delta(q_{\downarrow}^0, a) = \delta(q_{\uparrow}^0, a) =$  false.
- For every  $q \in Q_2$ ,  $i \in [1, n]$  and  $R \in \mathcal{R}$ ,
  - \*  $\delta(q_{\perp}^{i}, R) = (q_{\perp}^{i+1}, \downarrow)$  when i < n; and
  - \* δ(q<sup>i</sup><sub>↑</sub>, R) = (q<sup>i</sup><sub>↓</sub>, →)∨[¬(q<sub>true</sub>, →)∧(q<sup>i-1</sup><sub>↑</sub>, ↑)], where ¬(q<sub>true</sub>, →) is true in a node which does not have a right sibling.
- For every  $q \in Q_2$ ,  $i \in [1, n]$  and  $b \in \Sigma$ ,
  - \*  $\delta(q_{\downarrow}^{i}, b) = [\neg(q_{\text{true}}, \rightarrow) \land (p_{\uparrow}^{i-1}, \uparrow)] \lor \bigvee_{p \in \delta(q, b)} \delta(p_{\downarrow}^{i}, \rightarrow); \text{ and }$
  - \*  $\delta(q^i_{\uparrow}, b) = \text{false.}$

## 4. Conclusion

The present paper pins down the theoretical complexity of optimization problems related to the region algebra. It remains open whether there are interesting fragments for which these problems become tractable. Of course, equivalence testing is just one of the possibilities for optimization. Other optimization procedures are known which avoid equivalence testing (e.g., [9, 10]).

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