

1 **Kinetics of Maternal Antibodies against Rubella and Varicella in Infants**

2 Running title: maternal antibodies against rubella and varicella

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1 **Abstract**

2 Kinetics of maternal rubella and varicella antibodies in 213 mother-infant pairs are
3 described in a longitudinal study in Belgium.

4 Blood samples are taken at 7 time points (week 36 of pregnancy, birth (cord), 1, 3, 6,
5 9, and 12 months), and analyzed for anti-rubella IgG and anti-varicella IgG by
6 enzyme linked immunosorbent assay (ELISA). A generalised exponential model is
7 used to analyse maternal antibody decay in infants.

8 Model based, the mean duration of passive immunity is 2.1 months for rubella and
9 2.4 months for varicella.

10 Infants are susceptible at young age for rubella, a disease with high vaccination
11 coverage, as well as for varicella, an endemic disease in western Europe.

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1 **Introduction**

2 Congenital rubella syndrome (CRS) is a severe complication of rubella infection
3 during pregnancy. Vaccination against rubella has been performed since several
4 decades and the prevalence of CRS has fortunately decreased enormously. In
5 Belgium, rubella vaccination is recommended since 1972 for adolescent girls
6 (monovalent vaccine), and since 1994 for both boys and girls through Measles
7 Mumps Rubella (MMR) vaccine administration at the age of 15 months, with a
8 second dose at 11 years of age. The age of the first dose was lowered in 2002 to 12
9 months [1]. MMR vaccination of susceptible women at fertile age is recommended.

10 Varicella is endemic in Belgium. Vaccines are available on the market but
11 recommended only for risk groups. Seroprevalence data show 96.8% seropositivity at
12 childbearing age for varicella antibodies [2].

13 The amount of antibodies against infectious diseases is subject to many
14 epidemiological factors, e.g. vaccination programmes, spread of the disease and
15 natural boosting, time since infection. Yet, the maternal antibody titer is of critical
16 importance to the neonatal titer at birth [3] . Timing of administration of the first dose
17 of a MMR vaccine should take into account the presence of maternal antibodies,
18 since it is known that they can hamper humoral responses to vaccination, in
19 particular for attenuated vaccines [4, 5].

20 In the present paper the duration of the presence of maternal antibodies against
21 rubella and varicella is studied in a prospective cohort of woman-infant pairs,
22 describing on the one hand a disease with high vaccination coverage and on the
23 other hand an endemic disease in the population. Results on persistence of measles
24 maternal antibodies have been published elsewhere [6] .

25

1 **Methodology**

2 • *Study design*

3 A prospective multi-centre study was conducted in Antwerp, Belgium, in accordance
4 with the Helsinki Declaration, ICH-GCP and procedures established by Belgian law.
5 Healthy pregnant women aged 18 to 40 years and their healthy offspring were
6 included starting April 2006 and follow-up lasted until November 2008. Informed
7 consent was obtained. Exclusion criteria were impaired immunology in mother or
8 child, administration of immunoglobulins or blood products during the study period,
9 preterm delivery (<36 weeks) and low birth weight (<2400 g). A questionnaire was
10 completed on demographics, validated vaccination history and medical history.
11 Growth parameters, breastfeeding, day-care attendance, immunization data, and
12 medical histories for all household members were registered at each visit. Venous
13 whole blood was collected during pregnancy (10 ml at week 36), at birth (10 ml cord
14 blood), in all infants (2 ml) at month 1 (27-34 days), month 3 (84-99 days) and month
15 12 (358-372 days) and randomly at either month 6 (175-189 days) or month 9 (267-
16 282 days). All samples except for cord blood, were collected during home visits.
17 Samples were centrifuged at 2000 rpm within 8 hours after sampling and stored at -
18 20°C.

19 • *Serology*

20 Rubella quantitative measurement of Immunoglobulin G (IgG) was analyzed with
21 ELISA ETI-RUBEK-G PLUS[®] (Diasorin, Germany). The protective cut-off value is 10
22 IU/mL, according to international standards [7].

23 Varicella quantitative measurement was analyzed with ELISA Enzygnost[®] Anti VZV
24 IgG (Siemens, Germany). The assay was calibrated against the WHO International
25 Standard for Varicella Zoster Immunoglobulin (50 IU), and quantitative results are

1 reported in milli-International Units per milliliter. If corrected Optical Density (OD) was
2 > 0.2, the sample was considered positive, if corrected OD was < 0.1, the sample
3 was negative. A sample with a corrected OD between 0.1 and 0.2 was inconclusive.
4 Quantitative results were calculated with the aid of the α -method using kit dependent
5 parameters. If corrected OD < 0.1, quantitative results could not be trusted. The
6 estimated 'protective' cut-off value was set on 100mIU/mL based on the optical
7 density measurements. Values between 50 and 100 mIU/mL were considered
8 inconclusive. An exact protective cut-off value for varicella is not known using the
9 described ELISA.

10 The laboratory analyses were performed at the Programme of Virology, Scientific
11 Institute of Public Health, Belgium.

12 • *Statistical analyses*

13 Statistical methodology consisted of three non-linear decay models: exponential
14 decay, generalized exponential decay and a Gompertz model. All three models were
15 fitted assuming a lognormal distribution for the antibody level. We extended the
16 models with covariates: duration of breastfeeding, parity, childcare and the (log)
17 antibody level of the mother at week 36 of pregnancy, for both the measurements at
18 birth and the decay with time. We used the Akaike Information Criterion (AIC) [8] to
19 select the best model, i.e. the model with minimum AIC. For each model, covariates
20 were eliminated according to a stepwise procedure based on the likelihood ratio test.
21 Non-reproducible results are taken into account by left censoring. The *generalized*
22 *exponential model* fitted best to relate the maternal antibody level of the infant to time
23 and other potentially influential factors (based on AIC) and was selected to analyse
24 the results. Detailed statistical information is provided in appendix. We used SAS®
25 (v9.2, SAS Institute Inc, USA) for the statistical analysis.

26

1 **Results**

2 1. Demographic results

3 Initially, 221 women and 227 children participated in the study. Four woman-child
4 pairs were excluded because neither infant samples nor cord samples were
5 available. Another 4 woman-child pairs were excluded because the infant(s) met
6 exclusion criteria. The analysis was performed on data of 213 women and 216
7 children. During the study period, 16 infants were lost to follow up at different time
8 points, mainly because of the burden of the number of blood drawings. These
9 children were included in the analysis until the moment of dropout (Table 1). At 9 and
10 12 months of age, results from children who had a proven episode of varicella (N=
11 17) were excluded from the analysis as well as results from children who received
12 MMR vaccination (N=3).

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14 2. Disease history and serological results of the women

15 *A. Rubella*

16 166 women had written proof of immunization with a rubella (containing) vaccine; 43
17 women reported a past natural infection of whom 12 women also received a
18 vaccination (documented). Four women did not receive any vaccination nor had the
19 disease. No one received more than one dose of MMR.

20 Three percent (N=6) of all women had antibody levels below the protective cut-off
21 value by childbearing age: 1 woman reporting a natural infection, 4 with documented
22 vaccination history and one woman with unknown status of vaccination. No
23 significant difference was found in GMT between women who experienced natural
24 rubella infection and women who had been vaccinated ($p= 0.8$; unpaired student's t-
25 test). GMT for all women against rubella was 25 IU/mL (Table 2). [7]

1 *B. Varicella*

2 Antibodies against varicella were present in 98% of participating women. 11%
3 reported an episode of zoster in the past. No woman reported zoster without having a
4 history of varicella. GMT for all women against varicella was 625 mIU/mL (Table 2).
5 GMT in women who had a history of zoster did not differ significantly from those who
6 did not report shingles ($p=0.2$; unpaired student's t-test).

7

8 3. Serological results of the infants

9 Antibody levels in cord blood strongly correlated with maternal titers during
10 pregnancy. Table 2 shows GMT at different time points in infants and mothers. At
11 three months of age 66% of the infants had lost maternal antibodies. At the age of 6
12 months, only 4% of the children still had protective antibody titers against rubella and
13 12% had positive antibody titers against varicella. At 9 and 12 months none of the
14 children had detectable maternal antibodies for either disease.

15

16 4. Model based results

17 Figures 1 and 2 depict the individual profiles of the antibody level (AL) decay per
18 child for rubella and varicella respectively, according to the generalised exponential
19 model.

20 For rubella, 50% of the children had lost protection at 2.1 months of age; at 3
21 months, 69% had lost protection (<10 IU/ml)(Figure 1).

22 According to the model, 50% of the children had lost varicella protection through
23 maternal antibodies at 2.4 months of age, when assuming 100mIU/mL as the
24 threshold (Figure 2). At three months of age the model predicts 54% of the infants to
25 lose maternal antibodies to varicella. The discrepancy between the result of the

1 model and the observed proportion positive for varicella antibodies (41%, Table 2) at
2 three months of age, was indicative of the influence of the left censored observations
3 on the overall fit of the model (see appendix).

4 Several factors were investigated in the model: antibody level in the women at week
5 36 of pregnancy, duration of pregnancy, parity, breastfeeding, gender, weight at birth
6 and day-care attendance. From these covariates, one was retained: the (log)
7 antibody level of the mother at week 36 of pregnancy was shown to have a
8 statistically significant positive impact on both the measurement at birth and the
9 antibody decay in the infant for rubella and varicella (all p-values < 0.001).

10 Concerning rubella, the duration of breastfeeding was only a moderately significant
11 variable (p-value 0.0435). However, when removing the factor from the model, AIC
12 increased considerably, which shows the relevance of the covariate.

13 A fixed effects-analysis for each recruitment center was performed and showed no
14 differences between the 5 recruitment centers.

15

1 **Discussion**

2 The present paper shows that the prevalence of antibodies against rubella and
3 varicella at childbearing age is high, reflecting possibly a good coverage of the
4 recommended rubella vaccination programme already in the 70ies and 80ies, and
5 high endemicity of chickenpox in the country. Antibodies in neonates at birth strongly
6 correlate with maternal values for both infectious diseases. However, they decline
7 exponentially over time. The model based mean time to immunity loss was 2.1
8 months for rubella and 2.4 months for varicella. At 9 months of age, none of the
9 infants still had positive antibody titers for either disease.

10 The added value of the present study is the longitudinal data collection in infants
11 during the first year of life and the substantial number of mother-child pairs.

12

13 For *rubella*, the results confirm the trend of shortening protection of infants by
14 passively acquired antibodies. In a study performed in 1979 (USA), 1 in 6 children
15 was still protected at 11.9 ± 0.24 months of age against rubella, even hampering
16 immune response to rubella vaccination [9]. De Azevedo (1994, Brazil) [10] described
17 a more rapid decline in maternal antibodies, leaving only 15% of infants seropositive
18 at the age of 6 months. Nicoara et al (1999, Switzerland) [11] found 32% of children
19 seropositive between 3-6 months and 5.2% at 9-12 months . Klinge et al (2000,
20 Germany) [12] reported no seropositive children at 9 months of age and similar
21 humoral MMR vaccine responses in children at 9 or 12 months of age, as a proof of
22 absence of maternal protection. A more recent study of Leineweber in 2004 in
23 Switzerland [13] showed that only 13% of children still had antibodies at the age of 6-
24 9 months.

25

1 It is known from literature and practise that children over the age of 6 months become
2 susceptible to *varicella*. Studies in the 1960ies already stated that maternal
3 protection against varicella lasts shortly [14]. A Swiss study (2006) depicted a rapid
4 loss of high levels of maternal antibodies in young children, leaving all children
5 unprotected above the age of 6 months [15]. A recent French publication reported
6 similar high titers of antibodies at birth and only 29.5% of children reached the 100
7 mIU/ml threshold between 3 and 6 months of age [16]. In the present study,
8 susceptibility of young infants is well illustrated by the 17 varicella cases (7.8%)
9 occurring from the age of 6 months on.

10

11 The main aim of rubella vaccination is to prevent CRS. Three percent of participating
12 women in the present study appeared to be susceptible to rubella. The data of the
13 present study are comparable to other European countries (Table 3) [17-25]. Any
14 effort should be made to reach high immunisation coverage rate with rubella vaccine,
15 since even with high coverage there still are susceptible women. However, rubella
16 immunisation has an impact on the serological status of women at childbearing age,
17 as described in an Australian study (1976-2000). An increase of lower titers of
18 protective levels in women at childbearing age was found to be related with higher
19 coverage of vaccination over time, which could be explained by the absence of
20 circulation of natural infection. Although susceptibility remained 2-3%, the increase in
21 low titers is of concern for the amount of passively transferred antibodies [17].

22 The duration of the presence of rubella antibodies after vaccination and natural
23 infection was studied extensively [26, 27]. Davidkin et al [28] conducted a follow up
24 study after administration of rubella containing vaccine in Finland. One in three
25 children was low protected against rubella after 17 years; they had been vaccinated

1 with 1 vaccine dose at 12-18 months and 1 dose at 6 years of age. Longer protection
2 was noticed after 2 doses at 6 and 11 years. Johnson et al reported that an early
3 booster might lead to greater susceptibility at childbearing age. Levels of naturally
4 acquired antibodies were more persistent than for vaccine induced antibodies [28].
5 Vaccine induced rubella antibodies decline over time to below the cut off, but there is
6 evidence that such vaccinees do not develop viraemia when challenged by rubella.
7 This could explain why, despite waning of vaccine induced antibodies, outbreaks are
8 only described in unvaccinated populations [30, 31].
9 Levels of naturally acquired antibodies are more persistent than for vaccine induced
10 antibodies. Estimated half life after rubella infection is 114 years according to
11 Amanna et al, [27] and repetitive exposures and infections seem not absolutely
12 required for maintaining long-term antiviral antibody responses.
13 In the present study, GMT does not differ between women who reported a natural
14 infection and those who were vaccinated, but the naturally infected group is rather
15 small.

16

17 *Implications of the study results*

18 In Europe, the first dose of MMR is administered usually at 12-15 months of age.
19 Based on the presented results and literature, a susceptibility gap exists for rubella
20 between the loss of maternal antibodies and the start of protection offered by
21 vaccination. Most children attend day-care facilities starting from the age of 3- 4
22 months in Flanders, Belgium, and susceptible infants will match with infectious ones.
23 Earlier vaccination could be considered to close the gap, however, humoral immune
24 responses to live attenuated vaccines in young infants are known to be immature
25 before 6-9 months of age [29, 30]. For measles , the results of this very cohort

1 showed also a broadening gap of susceptibility [6]. Authors argued to close this gap
2 in case of possible contact with measles (epidemic, travel etc) by immunization with
3 an additional dose at the age of 6 months. The main purpose of rubella vaccination
4 however, is to provide women with rubella immunity lasting over the reproductive age
5 and therefore, an early vaccination against rubella is strictly speaking not a priority.
6 Moreover, rubella cases under the age of 12 months are rarely reported and without
7 major complications [31] . Therefore, we do not recommend an earlier administration
8 of a MMR vaccine for the rubella component, but strongly recommend vaccination of
9 susceptible women, and timely vaccination of all infants, especially with the use of
10 the combination vaccine MMR.

11 Varicella is a serious infection if acquired during pregnancy and in very young and
12 premature children for whom the disease can be more pronounced [3]. Therefore
13 post-partum vaccination of susceptible women (after screening) is a feasible, utile
14 and even cost-effective measure to take [32] [33]. If universal varicella vaccination
15 would be considered to be implemented, timing of the first dose of a varicella
16 containing vaccine should take into account the here presented early loss of
17 maternally derived protection. Few studies have been conducted with varicella
18 vaccines administered at ages below 12 months. They show that vaccination at the
19 age of 9-10 months elicits similar humoral immune responses compared to
20 vaccination at 12 months of age [34, 35].

21

22 *Study related remarks*

23 A possible shortcoming of the study is the used ELISA test for varicella antibody
24 testing. This test does not target specifically the envelop glycoproteins and achieves
25 a 100% specificity with a 83% sensitivity after natural infection versus 78% sensitivity

1 post-vaccination [36]. The gold standard for varicella antibody measurement is FAMA
2 (fluorescent antibody to membrane antigen test). However, since none of the
3 participating women was vaccinated against varicella, the presently used test is
4 supposed to detect sufficiently the naturally derived antibodies.
5 Finally, 11% of participating women appeared to have experienced a varicella zoster
6 episode in the past. This finding is not surprising and in line with previous published
7 data: in the UK, 10.5% of women have suffered from zoster before the age of 45
8 years [37] and in the USA 8-10% of women before the age of 40 [38].

9

10 *Conclusion*

11 Maternal antibodies wane rapidly for both rubella and varicella. One should be aware
12 that children lose passive maternal protection before the age of 6 months in regions
13 with high rubella vaccination coverage. However, immunity of fertile women is most
14 important since CRS is to be prevented in newborns. Therefore, vaccination of
15 susceptible adults and maintenance of high coverage of rubella vaccination
16 programmes should precede. Additionally, the presented results underline the
17 importance of timely administration of MMR vaccines.

18 In case a country starts a universal varicella vaccination program, the early loss of
19 maternal antibodies should be taken into account. Additionally vaccination of
20 susceptible women at childbearing age is to be recommended.

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1 *Table 1: demographic results and properties of women and infants*

2

3 *Table2: serological results of both women and infants according to age *positive*

4 *sample $\geq 10\text{IU/mL}$; **positive sample $\geq 100\text{ mIU/mL}$*

5

6 *Figure1: Individual profiles for Rubella antibody level (AL) decay (Y axis= antibody*

7 *level in IU/mL) in infants over time (x-axis= age in months), red line= cut-off at 10*

8 *IU/mL, black line= predicted mean curve)*

9

10 *Figure 2: Individual profiles Varicella antibody level (AL) decay (Y axis= antibody*

11 *level in mIU/mL) in infants over time (x-axis= age in months), red line= cut-off at 100*

12 *mIU/mL, black line= predicted mean curve*

13

14 *Table 3: seroprevalence data of rubella antibodies in adult populations across*

15 *Europe (literature data)*

16

17