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2	Does working memory capacity offer protection to driving performance when working memory
3	load increases?
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1 ABSTRACT

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3 Distracted driving received increasing attention in the literature due to potential adverse safety

4 outcomes. Especially the use of new in-vehicle technologies created situations in which driving is

5 often combined with other tasks. However, operating in-vehicle technology induces working memory

6 load (WM load) and therefore the working memory capacity (WM capacity) of the driver is not only

7 devoted to the primary task of driving. WM capacity consists of different subtypes (visuospatial and

verbal). This study investigated if, and how, these types relate to the influence of WM load on driving
performance, as measured by a lane changing task (LCT). Young novice drivers (n= 51, age= 17-25),

with minimum 20 hours of practice and no more than two years of driving experience, participated in

11 the experiment. Each participant completed two WM capacity tasks, tapping into either visuospatial or

12 verbal WM capacity. The LCT was performed under baseline conditions and in combination with

13 three levels of increasing WM load, induced by an auditory-verbal response N-back. Dependent

14 measures of interest were mean deviation in the lane change path (MDEV), percentage of correct lane

15 changes (PCL), and lane change initiation (LCI). Results showed that with increasing distraction

16 performance on each measure deteriorated. Furthermore, higher WM capacity was related to better

17 LCT performance, but this relation differed per WM capacity measure. More important, for PCL,

18 young novice drivers with high verbal WM capacities were less influenced by distraction. Discarding

19 distraction, in combination with WM capacity training, is proposed as the best solution for

20 minimizing crash risks.

INTRODUCTION

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"Distraction occurs when a driver is delayed in the recognition of information needed to safely 3 4 accomplish the driving task because some event, activity, object, or person within or outside the vehicle compels or induces the driver to shift attention away from the driving task. The presence of a 5 triggering event distinguishes a distracted driver from one who is simply inattentive or lost in 6 thought." (1). Distracted driving has received increasing attention in the literature due to potential 7 adverse safety outcomes. At least 25% of the car crashes in the United States can be related to some 8 form of driver distraction (1,2). Furthermore, distracted driving is a worldwide problem (3). 9 Especially the use of cell-phones and new in-vehicle technologies created a situation in which driving 10 is often combined with other tasks. One often posed solution is hands-free technology (e.g. hands-free 11 12 cell phones). This technology should decrease the impact of secondary tasks on driving since it does not require shifting visual attention away from the roadway, nor does it require manual adjustments of 13 14 settings (4,5). Nonetheless, engaging in activities such as hands-free phoning also disrupts driving performance (6,7). Distraction occurs because people are limited in the capacities they can devote to 15 ongoing activities. They are only able to process a limited amount of information at the same time and 16 are restricted in the amount of actions that they can perform simultaneously (8,9,10,11). An important 17 moderator of dual-tasking is working memory capacity (WM capacity). WM capacity allows one to 18 keep information active in the mind while being able to manipulate it, in order to guide goal-directed 19 20 behavior (12,13). WM capacity therefore supports the ability to resist distraction by irrelevant stimuli (14). Different subtypes of WM capacity can be distinguished, i.e. visuospatial and verbal WM 21 22 capacity. Visuospatial WM capacity (VSWM) is responsible for processing and storing of visual and 23 spatial information, verbal WM capacity (VWM) is responsible for processing and storing of auditory and verbal information (15,16). Both types of processing occupy WM capacity and therefore induce 24 working memory load (WM load), which draws attention away from the primary driving task. This, 25 by WM load induced distraction then leads to degraded driving performance (17,18,19). For instance, 26 27 Just et al. (2008) found, using fMRI, that combining language comprehension and driving decayed 28 driving performance because cognitive resources were occupied. This effect held even when it did not 29 require holding or dialing the phone (7).

Although drivers of all ages will be affected by distraction, young novice drivers are thought 30 31 to be especially susceptible. Many aspects of driving become automated over time with increasing driving experience. Novice drivers, however, have low experience levels and therefore often lack the 32 33 skills needed to operate a vehicle while devoting minimal attention to the driving task (2). Because driving is not automated in the same way as with experienced drivers, novice drivers will probably 34 35 need to devote more WM capacity to the driving task itself. Meanwhile, the WM capacity of young drivers is even more limited in comparison to older drivers. WM capacity only begins to take on adult 36 37 form in late adolescence due to the fact that this development of WM capacity depends on the maturation of the prefrontal cortex (PFC) and parietal lobes which starts at the age of 11 (20). 38 39 Because of low practice levels and their developing WM capacity young novice drivers lack spare WM capacity to devote to secondary tasks. When they do perform simultaneous tasks their 40 41 performance on both tasks is likely to degrade (2,21,22,23). For instance, text messaging during a 42 driving simulation caused a detrimental effect on several critical driving measures (24). An 43 investigation by NHTSA indeed found that the age group below 20 had the largest proportion of distracted drivers, about 16%, on fatal crashes (25). Lastly, despite their limitations, they are more 44 45 willing to accept and use new technologies in comparison with older drivers (21) and also perceive less risk in using such technologies (26). 46

47 While previous studies already established degrading effects of WM load on driving 48 performance (17,18,27), they did not include a link with WM capacity. This study was aimed to 49 investigate, for young novice drivers, the relation between driving performance, WM load and 50 different WM capacity types (VSWM and VWM). Driving performance was measured with the Lane

1 change task (LCT), a simple, efficient and low-cost tool used in distraction studies to investigate the effect of visuospatial and WM load on driving performance (19,26,27). Use of the LCT allowed the 2 3 selection of dependent measures, already postulated to be susceptible for WM load, to be investigated further by including WM capacity. WM load was induced by an auditory-verbal response N-back 4 WM task. This induces load on VWM by requiring one to maintain and manipulate information in 5 memory. It resembles distracting tasks such as cell phone conversations or interacting with auditory 6 7 in-vehicle devices because it draws on many of the same cognitive resources (28). VSWM and VWM were assessed with two separate tasks (15) in order to determine if young novice drivers with a high 8 VSWM and/or VWM capacity show superior LCT performance in comparison to those with low 9 capacity. Driving performance relies heavily on visuospatial abilities. Therefore, VSWM was 10 expected to be highly related to baseline LCT performance. However, when combined with increasing 11 levels of auditory-verbal WM load, VWM would likely become more important in order to handle 12 this distraction. Last, and most important, this study investigated if the expected degrading effects of 13 14 WM load on driving performance differ for participants with low and high WM capacity. Would participants with high WM capacity be less influenced by increasing WM load so that their LCT 15 performance was less degraded? 16

18 METHODS

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20 Participants

A group of 51 young novice drivers (27 females) between 17 and 25 years (mean= 19.42; SD= 1.77)
with either a learners permit and minimum 20 hours driving experience (mean months license= 8.38,
SD= 5.06), or a permanent license and maximum two years of license possession (mean months license= 11.16, SD= 7.68).

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27 Lane Change Task (LCT)

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The LCT Sim v1.2, developed by Daimler AG, consisted of three-km road tracks with 18 lane change 29 30 signs. Participants were instructed to perform lane-change maneuvers in the direction indicated by the 31 sign (Fig. 1), while maintaining a constant speed of 60 km/hour. Mean distance between signs was 150m, resulting in a mean duration of nine seconds between lane changes. One track can be 32 completed in approximately 180 seconds (29). Participants used a force-feedback steering wheel to 33 control the simulation. Meanwhile, simulated vehicle engine sounds made the driving situation more 34 35 realistic. The LCT consisted of six tracks. The first two were training tracks to enable the subject to familiarize with the task and did not include WM load. The third served as a baseline (i.e. without 36 37 WM load) measurement. Tracks four to six were combined with the auditory-verbal N-back increasing complexity. The three LCT-N-back combinations were counterbalanced among 38 39 participants.

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42 FIGURE 1 Simulated three-lane road

2 Auditory-Verbal Response N-back

3 4 This task was adapted from Mehler et al. (2011) (30). Numeric values ranging from zero to nine were presented to the subject. The time interval between stimuli was 2.25s. The task included three 5 6 complexity levels which were counterbalanced among participants. The 0-back was low-level; the participant, whilst carrying out the LCT, had to repeat out loud each number immediately after it was 7 presented. For the 1-back, the subject was required to recall and repeat out loud the number that was 8 9 presented just before the last number they heard, (i.e. one stimulus back). For the 2-back, participants 10 were required to recall and repeat out loud the number that was presented two numbers before the last number they heard. The auditory-verbal nature of the task allowed WM load to be systematically 11 12 varied without conflicting with manual control or visual processing of the LCT (31).

14 Working Memory Capacity Tasks

16 Visuospatial WM capacity: Visuospatial Span (VS)

In the VS, a 4-by-4 grid was presented on screen and a certain number of squares in the grid would sequentially and randomly turn blue. Participants were instructed to reproduce the sequence in the correct order by clicking on the squares that had changed color by use of a computer mouse. Initially, the task involved a sequence of three items. When participants correctly reproduced the sequences on two consecutive trials, one item was added to the sequence on the next trial. When participants were not able to correctly reproduce sequences on two consecutive trials, the task stopped (32).

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Verbal WM capacity: Letter Span (LS)

In the LS, a series of letters was sequentially presented on screen in a circular manner. Together with each letter an arm lighted up that was connected with a central circle. After presentation of the complete letter set, one of the arms from this circle was presented in red and participants had to use the keyboard to indicate which letter was previously connected to this arm. Task sequence was the same as for the previous VS (32).

- 33 **Procedure**
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Upon arrival, participant signed an informed consent. The WM load was trained starting from simple to complex. The subject then performed six LCT tracks. Participants were instructed to change lanes as soon as the information on the sign was visible. This change should be deliberate, abrupt and efficient, with the change executed before the sign. Participants were reminded of the importance of both tasks and were asked to balance efforts between both. Participants then completed two WM capacity tasks starting with the visuospatial span and following with the letter span.

42 DATA COLLECTION43

44 Lane Change Task (LCT)

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46 Dependent measures, known to be influenced by WM load, were derived from existing literature 47 (19,33).

Mean deviation in lane change path (MDEV): deviation between the position of the normative model and the actual driven course (Fig. 2). This measure covers several aspect of LCT

performance which can result in an increased deviation: perception (i.e. late perception of the sign or missing a sign), maneuvering quality (i.e. slow lane changes) and lane keeping quality. The normative model was based on baseline level of the participant.

- Lane change initiation (LCI): the start of the initiation was defined as the first instant that the steering wheel angle was greater than, or equal to, 3 degrees when required to move by one lane position; or 6 degrees when required to move by two lane positions. A steering event was only recorded if the driver steered in the proper direction. The distance travelled from the beginning of the segment, when the road sign appears, to the initiation was computed for each of the 18 segments and averaged. This measure was used to assess late detection of command signs.
- Percentage of correct lane changes (PCL): the number of correct lane changes that occurred until 40m after the sign. This measure was used to identify cases where signs are missed or incorrectly responded to.



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FIGURE 2 Comparison of normative model and driving data

17 Auditory-Verbal Response N-back

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Performance on the WM load task was calculated as the error rate per LCT track and was used as a check for the increasing complexity of WM load levels.

22 Working Memory Capacity Tasks

24 Visuospatial & Letter Span

For the VS and LS, the number of items in the sequence that could be correctly reproduced (i.e. the level that was reached) was used as the outcome measure, with a higher level indicating a better WM capacity (32).

30 DATA ANALYSES

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Exploratory analyses were conducted to identify outliers per WM load level, and per dependent measure, in order to be removed from the analyses. Repeated measures ANOVA on the secondary task was conducted to test if the distraction was effective (i.e. more N-back errors with increasing complexity). Repeated measures ANCOVA were conducted in order to asses: 1) if the WM load was effective in causing distraction, 2) if WM capacity was related to better LCT performance, 3) to shed more light on the specific relational patterns for different WM capacity types. More specifically, was VSWM most related to baseline and VWM most to higher levels of WM load, 4) to determine if there was an interaction between WM load and WM to investigate if participants with high WM capacity were less negatively influenced by WM load. Separate models were analyzed for every dependent measure and included both VSWM and VWM. When VSWM and/or VWM were not significant, it was removed from the model. Greenhouse-Geisser was used to correct for sphericity violations, Bonferroni correction was used for pairwise comparisons of mean differences (MDs) between performance levels in order to correct for familywise error.

9 **RESULTS**

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11 Secondary Task Data

As expected, the increasing complexity of WM load was effective, with more errors being made in the
more difficult levels (F(2,86)= 147.2, p<.0005; means: 0-back= 0%, 1-back= 11.04%, 2-back=
45.11%).

17 Repeated Measures ANCOVA

MDEV degraded with increasing N-back levels since the main effect of WM load on MDEV was 19 20 significant (F(2.1.99.3) = 51.3, p < .0005). MDs between levels showed there was no significant difference between baseline and 0-back (mean difference (MD)= -.02, p= .841) performance, the 0-21 22 vs. 1-back (MD= -.14) and 1- vs. 2-back (MD= -.13) did show significant differences (p<.0005). 23 There was a main effect of VSWM (F(1.47)= 4.4, p= .041, r= -.454) and VWM (F(1.47)= 5.5, p= .041, r= 24 .024, r= .472) indicating that participants with higher verbal and visual WM capacity showed less deviation from the normative path. Parameter estimates showed VSWM to be a significant predictor 25 of baseline and 0-back level (p< .05). VWM was a significant predictor for 0- and 1-back 26 27 performance (p<.05). The interaction effect with WM load was not significant for VSWM, or VWM. 28 The MDEV of participants with higher VSWM and VWM was thus not significantly less affected by increasing WM load complexity. In sum, MDEV degraded between 0-, 1- and 2-back levels. 29 Participants with higher WM capacity showed less overall deviation from the normative path. VSWM 30 31 predicted LCT performance, as assessed by MDEV, under baseline and lower levels of WM load while VWM predicted performance at lower and intermediate levels. Nonetheless, participants with 32 33 high WM capacity were not significantly less influenced by increasing WM load.

The initial modal of LCI, including VSWM and VWM, did not reveal a significant main 34 35 effect of VSWM on LCI (F(1,48)= .1, p= .94, r= -.201). A new model, only including VWM, was analysed. Participants initiated lane changes more slowly with increasing N-back complexity level, 36 WM load main effect on LCI was significant (F(2.29,112.32)= 80.1, p<.0005). MDs were significant 37 across for baseline vs. 0-back (MD= -.9, p<.0005) and 0- vs. 1-back (MD= -1.52, p<.0005), and 1- vs. 38 39 2-back levels (MD= -.78, p= .009). VWM main effect was significant (F(1,49) = 7.2, p= .01, r= -.358) thus participants with higher VWM displayed lower LCI values. Parameter estimates showed that 40 41 VWM predicted baseline LCI marginally (p=.51). VWM did significantly predict 0-back (p<.05) and 42 1-back LCI (p < .01), but did not significantly predict 2-back (p = .1) LCI performance. The interaction 43 effect was not significant and thus LCI of participants with higher VWM was not significantly less affected by increasing WM load complexity. In sum, LCI degraded between baseline, 0-, 1-and 2-44 45 back WM load. Participants with higher VWM capacity had smaller overall LCI values. VWM predicted LCT performance, as assessed by LCI, under lower and intermediate levels of WM load. 46 Again, high WM capacity participants were not significantly less influenced by increasing WM load 47

Participants made more erroneous lane changes with increasing N-back complexity since the WM load main effect on PCL was significant (F(1.7,64.58)= 22.5, p<.0005). MDs showed there was no significant difference between baseline and 0-back (MD= .41%, p= .646) performance. The 0- vs.

1-back (MD= 1.9%, p= .009) and 1- vs. 2-back (MD= 2.58%, p= .010) did show significant 1 differences. VSWM had a significant main effect (F(1,38) = 5.3, p = .027, r = .464), participants with a 2 higher VSWM capacity overall made less erroneous lane changes. VSWM was a significant predictor 3 for baseline (p < .01) and 0-back WM load level (p < .0005). The interaction effect was not significant 4 so participants with high VSWM were not significantly less affected by increasing WM load. VWM 5 did not show a significant main effect (F(1,38)=1.5, p=.235). VWM also was no significant predictor 6 for any of the WM load levels; although with increasing complexity of WM load the significance 7 level did show a trend towards .05. The interaction between WM load and VWM was significant 8 (F(1.7,64.58) = 4.7, p = 0.017). This suggests that, for PCL, participants with higher VWM were 9 differently influenced by increasing WM load in comparison to those with lower VWM. Separate 10 analyses per low and high VWM groups indicated that both were affected by WM load. F-values 11 12 however, were larger for the low VWM group (low (F(2.11,48.52) = 11.2, p<.0005)) in comparison to high VWM (F(2.33,58.19)= 8.1, p<.0005)). MDs indicated that the MD between 0- and 1-back 13 14 differed significantly for both groups, but it was larger for low VWM (MD low= 3.71%, p= .049; MD high= 1.50%, p= .033). Even though not significant, remaining MDs for the low VWM capacity 15 group were also larger in comparison with the high VWM group (baseline vs. 0-back (MD low= -16 .46%, p= 1; MD high= 0%, p= 1); 1-back vs. 2-back (MD low= 2.78%, p= .375; MD high= 1.28%, p= 17 .499)). These MDs indicate that the amount of correct lane changes were less reduced for participants 18 with high VWM. In sum, PCL degraded between 0-, 1- and 2-back WM load. VSWM was related to 19 20 PCL performance and mainly predicted baseline and lower WM load levels. Participants with high VSWM, however, were not less influenced by increasing WM load. Separate analyses for low and 21 22 high VWM participants showed that the PCL of participants in the higher VWM group was less 23 affected by increasing WM load. 24

25 DISCUSSION

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27 The goal of this research was to investigate the relation between by WM load induced distraction, 28 driving performance and WM capacity. While previous studies already established degrading effects of WM load on driving performance (17,18,27), they did not include a link with WM capacity. This 29 study was aimed to investigate if, for young novice drivers, the expected degrading effects of WM 30 31 load differed for participants with low and high WM capacity. Thus for investigating if high WM, in comparison with low WM capacity, capacity related to better LCT performance. Furthermore, since 32 the LCT relies heavily on visuospatial processing, VSWM was expected to relate most to LCT 33 baseline performance. When combined with increasing levels of auditory-verbal load, VWM would 34 35 likely be deployed to cope with the distraction and for those levels VWM would relate more strongly with LCT performance. Last but most important, this study examined whether young novice drivers 36 37 with high WM capacity were influenced by increasing WM load, in that their performance degraded 38 less as for those with low WM capacity.

39 As expected, WM load degraded LCT performance for the selected dependent measures (i.e. MDEV, LCI and PCL) (19,33). This replicates previous findings that distraction, as induced by WM 40 41 load, affects the normative model (27), as well as detection and response selection (19). Since 42 distracting tasks such as cell phone conversations or interacting with auditory in-vehicle devices, like 43 the current WM load, imply storage and manipulation of information (28), these tasks will cause similar effects on driving performance. This indeed has been found, for instance, younger and older 44 45 drivers doubled their engagement in rear-end collisions when using hands-free cell phones during simulated driving (34). 46

WM capacity had a significant influence on baseline driving performance, as well as driving
performance when distracted by WM load. This replicates and extends previous research which
related WM capacity to LCT performance (i.e. measured by MDEV and lateral position) while
counting backwards (35). This study, however, did not include detection (i.e. LCI) and response

selection (i.e. PCL) measures nor did it include varying WM load levels. Results from this study indicated that participants with higher WM capacity showed less overall deviation from the normative path, and results also indicated that they initiated lane changes faster and made more correct lane changes. In more detail, those with high VSWM displayed better LCT performance, as measured by MDEV and PCL. Participants with high VWM displayed better LCT performance, as measured by MDEV, LCI and PCL. The exclusive relation between VWM and LCI was probably caused by the fact that the relation is averaged among levels and the WM load itself is an auditory-verbal task.

In addition to the relation between WM capacity and LCT performance, this study 8 investigated specific relational patterns for VSWM and VWM with driving performance under 9 different levels of WM load. Unlike VSWM, VWM was related to driving performance under 10 increasing complexity WM load. This effect was expected due to the verbal-auditory nature of the 11 12 secondary task. It adds to existing literature describing WM load effects on driving performance (4,14,18) by showing the involvement of WM capacity, more specifically VWM, in dealing with 13 14 distraction induced by verbal-auditory WM load. Even though the task did not require extra VSWM capacity, VWM was increasingly loaded and performance degraded. 15

Most important, young novice drivers with higher WM capacity were better able to devote attention to one aspect of the primary task of driving. This study was the first to find that for one driving parameter (i.e. PCL, response selection) participants with higher VWM were less influenced by increasing WM load complexity in comparison with lower VWM. Thus, even though they also made more erroneous lane changes with increasing complexity levels, their performance degrade in a lesser manner. This finding coincides with theories and findings that the availability of more WM capacity leaves room for greater abilities to use attention for avoiding distraction (14,36).

24 LIMITATIONS

Questions could be raised concerning the transfer of LCT task driving performance to real-life 26 27 driving. LCT only requires lane changes over a constant time period; no other driving conditions are 28 included. Furthermore, the instruction to change lanes in a deliberate manner may not resemble daily driving conditions. However, the LCT has been proven a valid way for measuring distraction effects 29 30 (19,27). Furthermore, the lane keeping and detection measures do resemble necessary functions for 31 real-life driving. Nonetheless, further research should be able to address additional driving parameters in order to gain a more complete image of the above described relations between WM capacity and 32 driving performance. Driving simulator, or on-road driving, studies could allow investigation of other 33 driving parameters which cannot be investigated with the LCT. For instance, it would be interesting to 34 35 investigate if other driving parameters that withhold response selection, such as reacting to slowing vehicles, would show the same interaction with VWM when loaded with auditory-verbal WM 36 37 capacity tasks. A possible question could be if people with a high VWM capacity collide less with 38 slow vehicles in a in a driving simulation?

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41 **RECOMMENDATIONS**

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The found relationship between WM and driving performance allows for some practical inferences. First of all, training WM capacity could lead to overall better driving performance. More important, it might even, at least for some driving parameters, lead to superior coping with distraction. Training of cognitive control functions, such as WM, to transfer to daily life activities has become popular in the last years. For instance, training WM with a visuospatial N-back task improved simulated driving performance in older adults (37). The additional WM capacity developed during training could be devoted to focusing attention at the primary task of driving and diverting if from distraction activities. Training therefore might compensate some of the before mentioned causes for the susceptibility of
 young novice drivers for distraction (i.e. low driving experience and less developed WM capacity).

A practical application could be to use WM capacity (e.g. VS and LS) to screen young novice drivers for the necessity of training. This WM capacity training could be included in drivers learning programs. For instance, a graduated driver licensing (GDL) system already withholds several restrictions for adolescents who are learning how to drive (e.g. limiting nighttime driving and transport of passengers) (38). A possible addition could be a mandatory screening in order to assess whether WM capacity training is necessary. Another option is including such training for every adolescent who wants to obtain his/her license.

Nonetheless, the degrading effect of distraction by WM load in this study, for both high and low WM capacity participants, clearly indicates the need to try to eliminate distraction as much as possible. Especially when dealing with young novice drivers since they are more susceptible to distraction related crashes and are more willing to accept risks accompanying hand-free technology (21). From this point of view, encouragement of new technologies as the MyKey® system from Ford, which for instance allows parents to limit audio volume (39), is necessary in order to minimize crash risks due to distraction among young novice drivers.

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