

Correcting for the Absence of a Gold Standard Improves Diagnostic Accuracy of Biomarkers in Alzheimer's Disease

Peer-reviewed author version

Coart, Els; GARCIA BARRADO, Leandro; Duits, Flora H.; Scheltens, Philip; van der Flier, Wiesje M.; Teunissen, Charlotte E.; van der Vies, Saskia M. & BURZYKOWSKI, Tomasz (2015) Correcting for the Absence of a Gold Standard Improves Diagnostic Accuracy of Biomarkers in Alzheimer's Disease. In: JOURNAL OF ALZHEIMERS DISEASE, 46 (4), p. 889-899.

DOI: 10.3233/JAD-142886

Handle: <http://hdl.handle.net/1942/19148>

Correcting for the Absence of a Gold Standard Improves Diagnostic Accuracy of Biomarkers in Alzheimer's Disease

Els Coart^{a,*}, Leandro García Barrado^b, Flora H. Duits^c, Philip Scheltens^c, Wiesje M. van der Flier^{c,d}, Charlotte E. Teunissen^e, Saskia M. van der Vies^f, Tomasz Burzykowski^{a,b} and for the Alzheimer's Disease Neuroimaging Initiative¹

^a*International Drug Development Institute (IDDI), Louvain-la-Neuve, Belgium*

^b*Interuniversity Institute for Biostatistics and statistical Bioinformatics (I-BioStat), Hasselt University, Diepenbeek, Belgium*

^c*Alzheimer Center & Department of Neurology, Neuroscience Campus Amsterdam, VU University Medical Center, Amsterdam, The Netherlands*

^d*Department of Epidemiology and Biostatistics, VU University Medical Center, Amsterdam, The Netherlands*

^e*Neurochemistry Laboratory and Biobank, Department of Clinical Chemistry, Neuroscience Campus Amsterdam, VU University Medical Center, Amsterdam, The Netherlands*

^f*Department of Pathology, Neuroscience Campus Amsterdam, VU University Medical Center, Amsterdam, The Netherlands*

Handling Associate Editor: Henrik Zetterberg

Accepted 26 March 2015

Abstract.

Background: Studies investigating the diagnostic accuracy of biomarkers for Alzheimer's disease (AD) are typically performed using the clinical diagnosis or amyloid- β positron emission tomography as the reference test. However, neither can be considered a gold standard or a perfect reference test for AD. Not accounting for errors in the reference test is known to cause bias in the diagnostic accuracy of biomarkers.

Objective: To determine the diagnostic accuracy of AD biomarkers while taking the imperfectness of the reference test into account.

Methods: To determine the diagnostic accuracy of AD biomarkers and taking the imperfectness of the reference test into account, we have developed a Bayesian method. This method establishes the biomarkers' true value in predicting the AD-pathology status by combining the reference test and the biomarker data with available information on the reliability of the reference test. The new methodology was applied to two clinical datasets to establish the joint accuracy of three cerebrospinal fluid biomarkers (amyloid- β_{1-42} , Total tau, and P-tau₁₈₁) by including the clinical diagnosis as imperfect reference test into the analysis.

¹Part of the data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (<http://adni.loni.usc.edu>). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of

ADNI investigators can be found at: https://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNIAcknowledgement_List.pdf

*Correspondence to: Els Coart, International Drug Development Institute (IDDI), Avenue Provinciale 30, 1340 Louvain-la-Neuve, Belgium. Tel.: +32 10 61 44 44; Fax: +32 10 61 88 88; E-mail: Elisabeth.Coart@iddi.com.

Results: The area under the receiver-operating-characteristics curve to discriminate between AD and controls, increases from 0.949 (with 95% credible interval [0.935,0.960]) to 0.990 ([0.985,0.995]) and from 0.870 ([0.817,0.912]) to 0.975 ([0.943,0.990]) for the cohorts, respectively.

Conclusions: Use of the Bayesian methodology enables an improved estimate of the exact diagnostic value of AD biomarkers and overcomes the lack of a gold standard for AD. Using the new method will increase the diagnostic confidence for early stages of AD.

Keywords: Alzheimer's disease, Bayesian method, biomarkers, diagnostic test, reference standard

INTRODUCTION

Biomarkers for Alzheimer's disease (AD) that are linked to the pathological process are of paramount importance for early diagnosis of AD and selection of appropriate patients for clinical trials [1, 2]. Before biomarkers can be used clinically, their diagnostic accuracy needs to be thoroughly ascertained. To this end, a reference test against which the biomarker is verified needs to be selected.

The first choice would be the definite AD diagnosis, provided by postmortem neuropathological analysis. However, autopsy confirmation suffers from considerable between-laboratory differences [3], is by definition *post hoc*, and is only rarely available. In general, the accuracy of early AD biomarkers, or any diagnostic test for AD for that matter, is typically assessed using the clinical diagnosis as the reference test. The latter is imperfect because the clinical diagnosis suffers from classification errors (misdiagnosis) [4] and the onset of the pathogenic process as reflected in biomarker changes can precede the manifestation of clinical symptoms by at least a decade [5]. Hence, a clinical non-AD diagnosis does not exclude underlying AD-pathology and the clinical diagnosis of AD does not predict underlying pathology, as was recently shown in the phase III study with Bapineuzimab [6].

The imperfectness of the clinical diagnosis is usually ignored, resulting in a biased assessment of the diagnostic accuracy of biomarkers and suboptimal biomarker thresholds for clinical applications [7]. If the biomarker and reference test do not tend to misclassify the same patients, the diagnostic accuracy of the biomarker will be underestimated. When the biomarker and the reference test are dependent, the diagnostic accuracy of the biomarker can be either underestimated or overestimated, depending on the strength of the association [8, 9]. Recently, Toledo et al. [10] demonstrated that using the clinical diagnosis as a perfect reference leads to an underestimation of cerebrospinal fluid (CSF) AD biomarker sensitivity and specificity values and shifts the cut-offs compared to using the autopsy confirmed diagnosis as reference test.

Different statistical methods have been developed to correctly estimate diagnostic accuracy when an imperfect reference test is used. Reitsma et al. [7] systematically reviewed the different solutions and provided methodological guidelines depending on the medical test under evaluation and the availability and nature of the data.

To date, these methods have not systematically been applied to estimate the diagnostic accuracy of AD biomarkers. An interesting attempt was undertaken by De Meyer et al. [11], who proposed a method to evaluate the CSF AD biomarkers while completely ignoring the clinical diagnosis.

More recently, positron emission tomography (PET) amyloid imaging was used as reference test for evaluation of the diagnostic accuracy of (mainly CSF) AD biomarkers for brain amyloid- β ($A\beta$) deposition [12]. Although this correctly reduces the time-lag in expected onset of changes between biomarkers and reference test, amyloid PET imaging cannot (yet) be considered a gold standard or a perfect reference test for early AD. There is no true *in vivo* gold standard for amyloid burden and there is substantial overlap between the distribution of PET measurements for presumed AD and non-AD groups [13, 14]. In addition, as for all tests, PET analysis is not free from measurement errors, and standardization of different measurement procedures is still ongoing [14].

As an alternative to search for a surrogate gold standard, it has been suggested that the complexity of dementia diagnosis would be best served by integrating multiple sources of information [3]. A Bayesian framework integrates different data sources in a natural way and is most suited for this purpose.

Bayesian methods have become increasingly popular, notably in medical research [15]. A Bayesian approach can include prior information, accommodate adaptive clinical trials (e.g., interim analyses, change to sample size, or change to randomization scheme) and can be useful for analysis of a complex model when a frequentist analysis is difficult to implement or does not exist [16].

115 Recent breakthroughs in computational algorithms
116 and computing speed have made it possible to carry
117 out calculations of the often computationally intense
118 Bayesian analysis. Also the fact that regulatory authori-
119 ties embrace the use of Bayesian statistics has boosted
120 its application in medical research. Already in 2003,
121 the US Food and Drug Administration (FDA) approved
122 a drug combination (pravastatin and aspirin) based
123 on a Bayesian analysis [17]. Likewise, the Center for
124 Devices and Radiological Health of the FDA, that is
125 among others responsible for clearance of diagnostic
126 test kits, issued a guideline for the use of Bayesian
127 statistics and now routinely accepts applications based
128 on Bayesian trials [18].

129 Bayesian statistics is currently a widespread
130 approach in oncology. Many leading medical jour-
131 nals have published original oncology studies using
132 Bayesian analysis and prominent cancer centers
133 have implemented several clinical trials, which were
134 designed using Bayesian methods [19]. In pediatric
135 science, care providers are accustomed with and often
136 obliged to rely on evidence from adult studies; bor-
137 rowing information from adult trials using a Bayesian
138 approach is common practice [20]. Also in diagnostic
139 medicine, Bayesian approaches are well-established
140 and often help to validate diagnostics test with smaller-
141 sized and shorter-duration pivotal trial [18, 21].

142 In this paper, we present a Bayesian framework
143 which establishes the diagnostic accuracy of AD
144 biomarkers by integrating different data sources, with-
145 out the need for a gold standard or perfect reference
146 test. We applied the new Bayesian analysis method
147 to establish the performance of the three CSF AD
148 biomarkers, $A\beta_{1-42}$, Total tau, and P-tau_{181p} present
149 in two datasets, with the clinical diagnosis considered
150 as an imperfect reference test. We hypothesized that
151 the diagnostic performance of the CSF AD biomark-
152 ers would be higher when analyzed with the Bayesian
153 analysis method that accounts for the imperfectness of
154 the clinical diagnosis.

155 MATERIALS AND METHODS

156 *Data sets*

157 We used two independent cohorts. The VUmc (VU
158 University Medical Center) data set that consists of
159 patients from the memory-clinic-based Amsterdam
160 Dementia Cohort who received a diagnosis of either
161 subjective memory complaints (SMC) or probable
162 AD. Baseline CSF was collected between October
163 1999 and November 2011. All patients underwent

164 standard dementia screening at baseline, including
165 physical and neurological examination, EEG, MRI,
166 and laboratory tests. Cognitive screening included a
167 Mini-Mental State Examination (MMSE) and a com-
168 prehensive neuropsychological test battery. Diagnoses
169 were made by consensus in a multidisciplinary team
170 without knowledge of CSF results. The label of SMC
171 was given when results of all clinical examinations
172 were normal, and there was no psychiatric diagnosis.
173 Patients with subjective complaints were considered
174 as controls, but were only included when the diagno-
175 sis was confirmed at follow-up visits. This resulted
176 in 251 SMC subjects. Probable AD ($n=631$) was
177 diagnosed according to the criteria of the National
178 Institute of Neurological and Communicative Dis-
179 orders and Stroke-Alzheimer's Disease and Related
180 Disorders association (NINCDS-ADRDA), and all
181 patients met the core clinical NIA-AA criteria [22].
182 More details about this cohort have been provided
183 elsewhere [23]. All subjects gave written informed
184 consent for the use of their clinical data for research
185 purposes. The current study was approved by the local
186 ethical review board. CSF levels of $A\beta_{1-42}$, Total tau,
187 and P-tau_{181p} were determined using commercially
188 available single-parameter ELISA kits (respectively,
189 INNOTEST[®] AMYLOID(1-42), INNOTEST[®] hTAU
190 Ag, INNOTEST[®] PHOSPHOTAU(181P)) and were
191 not used for diagnosis.

192 The second data set consisted of Alzheimer's Dis-
193 ease Neuroimaging Initiative (ADNI)-I patients. ADNI
194 was launched in 2003 by the National Institute on
195 Aging (NIA), the National Institute of Biomed-
196 ical Imaging and Bioengineering (NIBIB), the FDA,
197 private pharmaceutical companies, and non-profit
198 organizations. ADNI-I subjects who (i) agreed to
199 undergo a lumbar puncture, (ii) had results for all three
200 CSF biomarkers at baseline, and (iii) belonged to either
201 the control or AD group at baseline, were selected for
202 the current study. This selection resulted in a dataset
203 including 96 AD and 109 control subjects. The CSF
204 biomarker data were obtained using the xMAP plat-
205 form (Luminex Corp, Austin, Texas) and INNO-BIA
206 AlzBio3 research-use-only reagents.

207 Table 1 provides baseline characteristics for the two
208 study populations.

209 *Statistical methodology*

210 *Measure of diagnostic accuracy*

211 To establish the joint diagnostic accuracy of the
212 AD biomarkers, the biomarkers were combined into
213 a diagnostic score (see below). As a measure of the

Table 1
Baseline characteristics of the study populations (mean \pm SD)

Dataset	Group	n	Age (y)	Female (%)	MMSE	A β 42* (pg/mL)	Tau* (pg/mL)	Ptau-181* (pg/mL)
VUmc	SMC	251	64 \pm 6.6	104 (41)	28 \pm 1.5	874 \pm 251.0	302 \pm 197.7	52 \pm 24.0
	AD	631	68 \pm 7.5	326 (52)	21 \pm 5.0	465 \pm 161.6	690 \pm 415.4	89 \pm 39.2
ADNI	Control	109	76 \pm 5.3	55 (50)	29 \pm 1.0	206 \pm 54.4	69 \pm 30.2	25 \pm 14.8
	AD	96	75 \pm 8.0	40 (42)	24 \pm 1.9	142 \pm 4.0	122 \pm 57.0	42 \pm 19.8

*CSF levels of A β 1-42, Total tau, and P-tau181p were determined using commercially available single-parameter ELISA kits (INNOTEST[®] AMYLOID(1-42), INNOTEST[®] hTAU Ag, INNOTEST[®] PHOSPHOTAU(181P)) and using the xMAP platform (Luminex Corp, Austin, Texas) and INNO-BIA AlzBio3 reagents at VUmc and ADNI, respectively.

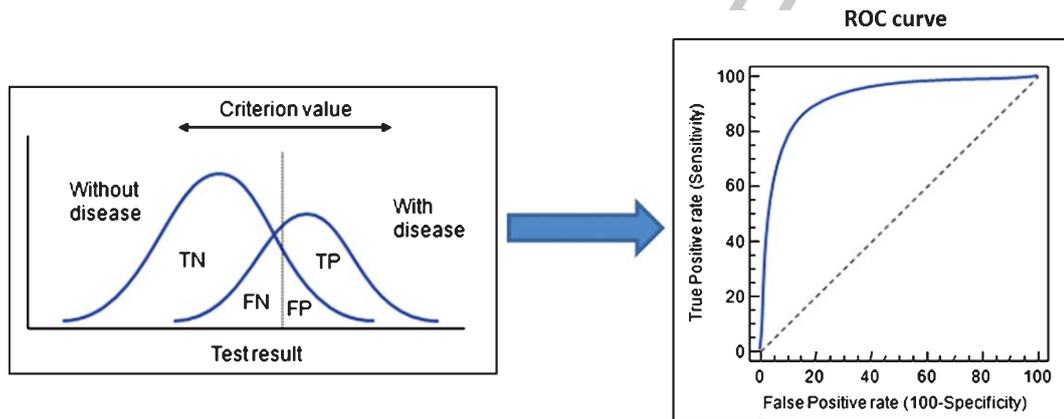


Fig. 1. Schematic summary on the construction of a receiver operating characteristic (ROC) curve and interpretation of the area under the ROC curve (AUC). The ROC curve is a plot of the sensitivity and (1-specificity) for each value of a continuous diagnostic marker. AUC can be interpreted as the probability that, for a randomly selected pair of non-AD and AD subjects, the value of the score for the AD subject will be larger than the value of the non-AD subject. For a score that perfectly separates non-AD and AD populations, the value of AUC is equal to 1, corresponding to the ROC curve passing through the (0,1) point, i.e., the point corresponding to a diagnostic test with 100% sensitivity and 100% specificity. For a score that has no discriminative ability, the value of AUC is equal to 0.5, corresponding to a ROC curve along the diagonal line. TP, true positive; FP, false positive; TN, true negative; FN, false negative.

214 diagnostic performance of this score, the area under the
215 receiver-operating-characteristics (ROC) curve (AUC)
216 was used (Fig. 1).

217 *AD biomarker performance using a Bayesian* 218 *framework that accounts for an imperfect clinical* 219 *diagnosis*

220 To account for possible errors in the clinical diagno-
221 sis, both the AD biomarkers AND the clinical diagnosis
222 were considered as data sources carrying information
223 about the (unknown) disease status of the subjects.
224 Note that, in a classical analysis, the clinical diagno-
225 sis would be taken as the correct disease status, which
226 does not reflect reality.

227 A Bayesian framework integrates different data
228 sources in a natural way and is hence most suited for our
229 purpose. At the core of the Bayesian approach lays the
230 use of prior information [15]. The information (here-
231 after also termed 'prior opinion' or 'prior information')
232 is provided in the form of probability distributions for
233 the parameters of a model. The distribution indicates

234 which (sets of) values of the parameters are considered
235 to be (relatively) more likely than others. In particular,
236 uninformative distributions (e.g., a normal distribution
237 with a huge variance) can be used in the data analysis
238 to imply the absence of any information, i.e., the fact
239 that all values of a particular parameter are equally
240 likely. If some information is available, informative
241 prior distributions are used.

242 By combining the prior distribution with the data,
243 a posterior distribution for the parameter of interest is
244 obtained. The posterior distribution reflects the change
245 of the opinion induced by the data, as compared to
246 the prior opinion (see Fig. 2). When uninformative
247 prior distributions are used, the data is used as the only
248 source of information. In Bayesian analysis, it is best
249 practice to perform a 'sensitivity analysis' using differ-
250 ent priors to disentangle the effect of prior information
251 and the analysis dataset on the reported results.

252 In our analyses, we made the 'conditional inde-
253 pendence assumption', i.e., we assumed that AD
254 biomarkers and clinical diagnosis do not misclassify

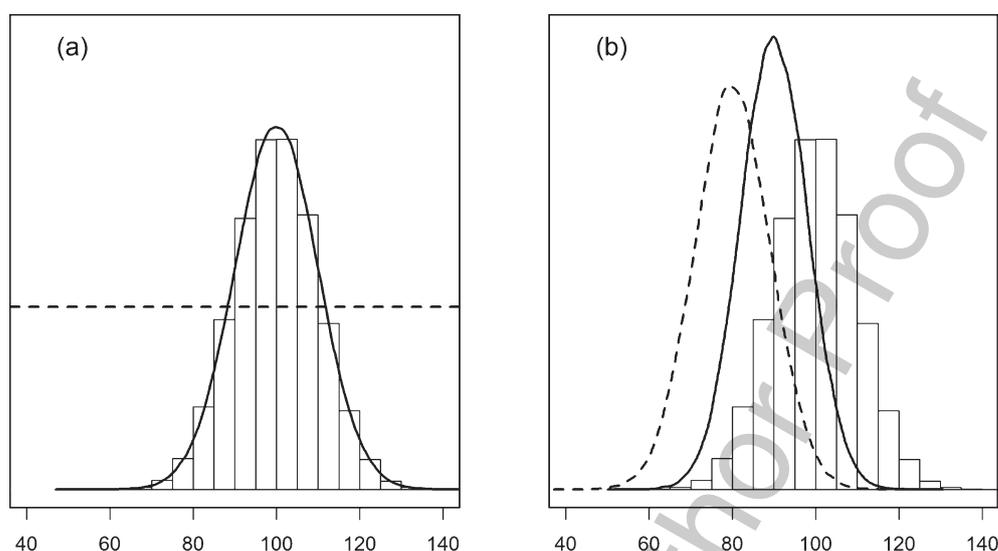


Fig. 2. Schematic illustration of the Bayesian ‘Change of Opinion’ approach. X-axis: Parameter of interest (e.g., average biomarker concentration in $\text{pg}/\mu\text{l}$). Y-axis: Probability of occurrence. Histogram: observed data. Dashed lines: prior opinion (‘prior distribution’). Solid lines: opinion after obtaining data (‘posterior distribution’). Panel (a): Application of an uninformative prior amounts to forming an opinion based solely on the observed data. The horizontal (uninformative) prior distribution indicates that, before data collection, each value is considered equally likely to occur. As a result, the posterior distribution coincides with the observed-data histogram. Panel (b): Application of an informative prior amounts to forming an opinion based on combining the prior information and the observed data. The bell-shaped (informative) prior distribution indicates that, before data collection, the parameter of interest lies, with 95% probability, within the range of 62 to 98. The posterior distribution combines the prior information with the observed data. As a result, the obtained posterior distribution is different from the prior distribution and from the histogram, and it indicates that the value of the parameter lies, with 95% probability, within the range of 75 to 105.

the same individuals. The diagnostic score was constructed by using a linear combination of the biomarkers that maximizes AUC for normally-distributed biomarkers [24].

We used prior distributions for the following parameters: the AUC of a combination of biomarkers, the mean value for each biomarker in the non-AD population, the variances and correlations between all biomarkers in both populations, the prevalence of diseased cases, the sensitivity of the clinical diagnosis, and the specificity of clinical diagnosis. We used uninformative prior distributions for the biomarkers’ means, variances and correlations, and for the disease prevalence.

For the AUC of the linear combination of AD biomarkers, we used more informative priors based on a paper containing data from 12 publications that reported a joint AUC for CSF biomarkers [25]. The lowest reported joint AUC was equal to 0.90 (no standard error provided) [26] and the highest value was equal to 0.997 (95% CI 0.926–1) [27]. Based on those data, we formulated two prior distributions for the joint AUC (Fig. 3a). The first prior distribution implied that the probability that the AUC was larger than 0.7 and 0.9 was equal to 90% and 30%, respectively. This

prior was labeled as ‘optimistic’ in the sense that it pointed toward a high diagnostic accuracy. The second prior distribution choice was labeled as ‘skeptical’ as it suggested that the AUC was around 0.75, with only 5% probability that it exceeded 0.90, the lowest value reported [25].

Also for the specificity and sensitivity of the clinical diagnosis, we used informative priors. Three studies [4, 28, 29] reported high sensitivity of the clinical AD diagnosis (ranging from 81.8% to 100%) in a mixed dementia setting; another study [30] reported much worse sensitivities ranging from 39% to 95% and specificities ranging from 33% to 100%. Based on those data, we formulated two prior distributions (Fig. 3b). The first, ‘optimistic’ prior in accordance with [4, 28, 29], suggested a sensitivity and specificity of about 90%, with 5% probability that sensitivity and specificity were below 80%. The second, more ‘skeptical’ prior, in accordance with [30], was centered at 59%, with a 95% probability that sensitivity and specificity were larger than 25%. The ‘skeptical’ prior assumed less information about the performance of the clinical diagnosis and allowed more flexibility for the biomarkers to ‘override’ the clinical diagnosis, as compared to the ‘optimistic’ prior distribution.

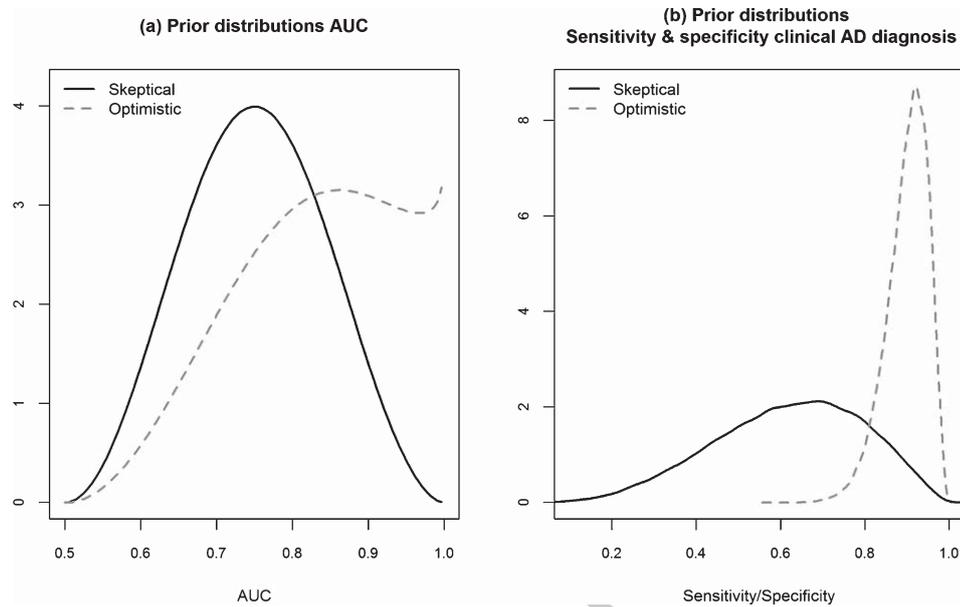


Fig. 3. Prior distributions for the AUC (a) and sensitivity/specificity of the clinical diagnosis (b).

305 If we treat the clinical diagnosis as an imperfect ref-
 306 erence test, the true disease status of the subjects is
 307 unknown. It is hence not possible to use a binary clas-
 308 sifier to establish a ROC curve. Informally speaking,
 309 the model we use predicts the disease status of the indi-
 310 viduals that best fits the biomarker and clinical data.
 311 At the same time, the parameters of a multivariate nor-
 312 mal distribution for the biomarkers are estimated for
 313 each group, defined by the predicted disease status of
 314 the individuals. Based on the estimated distributional
 315 parameters, a 'bi-normal ROC-curve' [9] is obtained,
 316 providing estimates of sensitivity and specificity. More
 317 details on the Bayesian methodology can be found in
 318 the Supplementary Material.

319 *AD biomarker performance assuming that the* 320 *clinical diagnosis is a perfect reference test*

321 To evaluate the impact of allowing for errors in the
 322 clinical diagnosis, we also performed two analyses that
 323 assumed that the clinical diagnosis indicates the correct
 324 disease status.

325 First, the data were analyzed using logistic regres-
 326 sion, a methodology that is often applied to evaluate
 327 AD biomarkers' performance [31, 32]. A diagnostic
 328 score was calculated with the regression parameters
 329 and the diagnostic performance of this score was eval-
 330 uated against the clinical diagnosis.

331 Second, we analyzed the AD biomarkers' perfor-
 332 mance with the new Bayesian method (see above),
 333 assuming that the clinical diagnosis is a perfect ref-

334 erence test. Toward this end, sensitivity and specificity
 335 of clinical diagnosis in the Bayesian model were set to
 336 1 (i.e., 'extremely' informative priors were used) and
 337 the prevalence of AD was estimated by the proportion
 338 of clinical AD subjects in the datasets.

339 By comparison of the results obtained for the latter
 340 two analyses the effect of the methodology (Bayesian
 341 method versus classical logistic regression) could be
 342 evaluated. In addition, the comparison of the results of
 343 the two Bayesian analyses allowed the evaluation of the
 344 effect of handling the clinical diagnosis data (perfect
 345 versus imperfect reference test) on the assessment of
 346 the diagnostic performance of the AD biomarkers.

347 *Model fitting*

348 The proposed Bayesian method assumed that all
 349 biomarkers display a normal distribution. To conform
 350 to this assumption, Total tau and Ptau-181p values were
 351 log transformed for all analyses. The analyses were
 352 performed using R [33], version 3.0.1 and OpenBUGS
 353 [34]. More information on model fitting is provided in
 354 the Supplementary Material.

355 After fitting the models, the median AUC was
 356 obtained from the posterior distribution, together with
 357 a 95% credible interval (CrI), the Bayesian counterpart
 358 of the 'classical' confidence interval (CI). CrI provides
 359 the range of values that are expected with 95% proba-
 bility according to the (posterior) distribution.

RESULTS

Figure 4 shows the ROC curves for different analyses of the VUmc data (grey) and ADNI data (black). In particular, it shows the curves for the analysis using the logistic regression (dotted), for the Bayesian model obtained by assuming a perfect reference test (dashed), and by assuming an imperfect reference test (solid). Note that the latter were obtained by using the ‘skeptical’ AUC prior and ‘optimistic’ priors for sensitivity and specificity of the clinical diagnosis.

The ROC curves for the logistic regression are close to the curves corresponding to the Bayesian model that also assumed that the clinical diagnosis is a perfect reference test. These results show that the Bayesian method in principle yields the same results as the ‘classical’ logistic regression, proving confidence in our approach. Consequently, we have further focused on the Bayesian methodology.

When assuming that the clinical diagnosis is an imperfect reference test, the ROC curves are higher compared to the corresponding curves obtained when assuming that the reference test is perfect. This shows that, by assuming that the clinical diagnosis flawlessly indicates the pathophysiological AD status, one underestimates the joint diagnostic performance of the biomarkers.

In particular, for the VUmc dataset, the median AUC was equal to 0.949 with 95% CrI [0.935,0.960] when the diagnosis was treated as a perfect reference test

and 0.990 with 95% CrI [0.985,0.995] when treated as an imperfect reference test. For the ADNI data, the corresponding values were equal to 0.870 (95% CrI: [0.817,0.912]) and 0.975 (95% CrI: [0.943,0.990]), respectively.

Figure 5 shows the results of analyses with different prior distributions. The difference between the ROC curves (and hence, AUC) obtained with different combinations of the ‘optimistic’ and ‘skeptical’ prior distributions for the AUC and sensitivity and specificity of the clinical diagnosis was minimal (Fig. 5).

DISCUSSION

By applying the newly developed Bayesian method to the two datasets, we were able to show that the AUC to discriminate between subjects with AD pathology and controls, increases from 0.949 (with 95% credible interval [0.935,0.960]) to 0.990 ([0.985,0.995]) and from 0.870 ([0.817,0.912]) to 0.975 ([0.943,0.990]) for the VUmc and ADNI cohorts, respectively.

This effect can be intuitively explained as follows. With an imperfect clinical diagnosis, some individuals will be diagnosed as non-AD, while their AD biomarkers may be indicative of existing AD pathophysiology, as biomarker abnormalities can occur decades before clinical symptoms become apparent [35]. For these individuals, the AD biomarkers will be considered as ‘incorrect’ if the clinical diagnosis is regarded as the perfect reference test. Consequently, the performance of the biomarkers will be underestimated. It is in this complex situation that our proposed approach is most useful [7, 36], enabling an estimation of the biomarkers’ performance by objectively examining the strength of statistical relationships among variables.

We applied a Bayesian approach because this allowed integrating different sources of information, while taking into account the absence of a perfect reference test. In Bayesian inference, the specification of prior distributions for the model parameters is needed. It is good practice to perform a sensitivity analysis to check the influence of the choice of the prior distributions on the results and to disentangle the effect of the prior distributions and of the data on the reported results. Toward this end, ‘skeptical’ and ‘optimistic priors’ for the biomarkers’ AUC and sensitivity and specificity of the clinical diagnosis were used in our analysis. The ‘skeptical’ priors were only weakly informative (containing little prior information) while the ‘optimistic’ priors contained more information that pointed to a better diagnostic

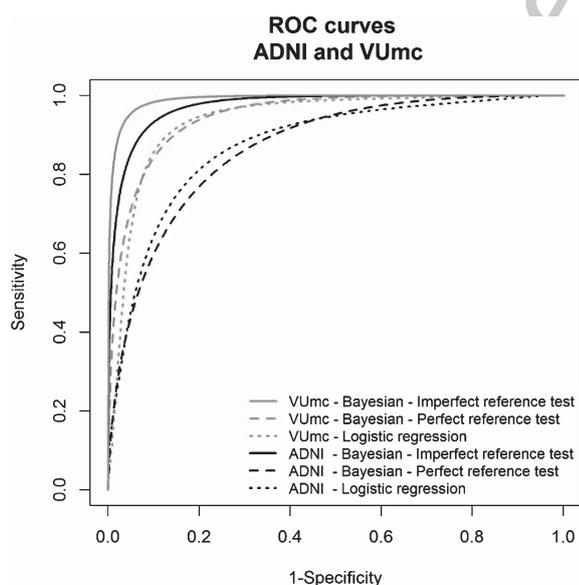


Fig. 4. ROC curves for different analyses for VUmc (grey) and ADNI (black) dataset.

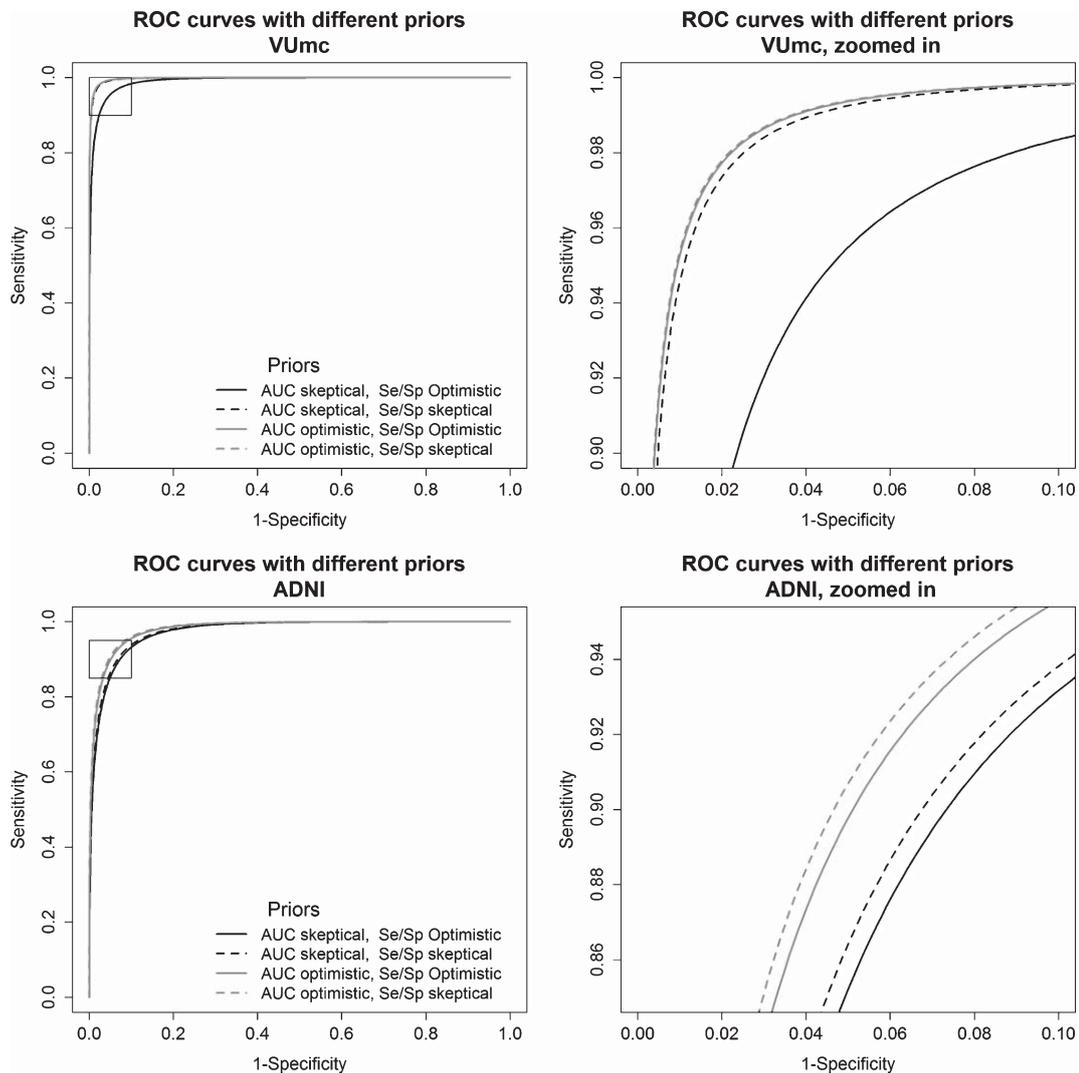


Fig. 5. Sensitivity analysis: ROC curves when using different priors for VUmc (top row) and ADNI dataset (bottom row). The graphs on the right represent the same ROC curves as the graphs on the left, but are zoomed in to the rectangle in the upper left corner. Note that, for VUmc, the solid and dashed grey line overlap.

438 performance of the biomarkers or clinical diagnosis
 439 as found in literature. Figure 5 shows that the differ-
 440 ent combinations of these prior distributions resulted
 441 in minimally different ROC-curves. This implies that
 442 our conclusions are robust to reasonable changes in
 443 prior distributions for the diagnostic performance of
 444 the biomarkers and clinical diagnosis. Put differently,
 445 the results presented in Fig. 4 are mainly driven by the
 446 data and not by the prior information.

447 All statistical analyses rely on assumptions.
 448 Bayesian statistics has the advantage to encour-
 449 age a thorough consideration and presentation of
 450 the assumptions underlying the performed analysis.
 451 We have avoided the assumption that the refer-

452 ence test is perfect, because this has been reported
 453 to cause biased diagnostic accuracy results [7, 36,
 454 37]. The validity of the presented approach relies
 455 on the assumption that the clinical diagnosis and
 456 AD biomarkers do not misclassify the same sub-
 457 jects (the ‘conditional independence assumption’).
 458 At this point, mainly heuristic arguments can be
 459 offered for the plausibility of this assumption. As
 460 long as the clinical diagnosis is not based on the
 461 CSF biomarkers, we can assume that the biomarkers
 462 and clinical diagnosis do not tend to misclassify the
 463 same subjects. Furthermore, our findings are in line
 464 with the reports on lower diagnostic performance of
 465 CSF biomarkers when evaluated against the clinical

466 diagnosis instead of the pathology confirmed diagno- 518
467 sis [10]. 519

468 There is no gold standard for a complex disease 520
469 like AD [3]. We show that this is no longer an 521
470 issue as the developed Bayesian methodology can 522
471 deal with the absence of a perfect reference test. The 523
472 new approach is constructed by assembling compo- 524
473 nents of methods that have been proposed for the 525
474 evaluation of the diagnostic performance of a combi- 526
475 nation of markers [39] when no perfect reference 527
476 test is available [36]. To our knowledge, this is the 528
477 first report of the use of a Bayesian approach to 529
478 define the diagnostic performance of AD biomarkers 530
479 that acknowledges the absence of a perfect reference 531
480 test. 532

481 The new methodology is based on well-established 533
482 statistical concepts, but is more complicated than 534
483 a simple comparison with the clinical diagnosis or 535
484 dichotomized PET data as outcome. It is, however, 536
485 the complexity of a dementia diagnosis that calls for 537
486 appropriate, more advanced analysis methods. 538

487 The reported diagnostic accuracy results are relevant 539
488 only for discrimination between the two well-defined 540
489 groups in this study namely AD versus SMC/control. 541
490 These estimates of diagnostic accuracy are often 542
491 higher than expected in the target patient popula- 543
492 tion which contains difficult-to-diagnose subjects (e.g., 544
493 MCI patients) [7]. This is not an issue for the pur- 545
494 pose of our manuscript, as our goals were to develop 546
495 a new method that allows for an imperfect reference 547
496 test and to compare the resulting estimates of diagnos- 548
497 tic accuracy with those obtained by currently applied 549
498 methodologies. In practice, these extremely high accu- 550
499 racy estimates will not be achieved because the target 551
500 patient population will contain difficult-to-diagnose 552
501 subjects (such as MCI patients) and patients with dif- 553
502 ferent types of dementia. 554

503 However, the estimates of diagnostic accuracy are 555
504 expected to be higher in the target patient population 556
505 when estimated with the Bayesian analysis as com- 557
506 pared to a classical analysis with the clinical diagnosis 558
507 as perfect reference test. Although the patterns of dif- 559
508 ferences between the results for the different models 560
509 (Fig. 4) were identical for VUmc and ADNI datasets, 561
510 the numerical values of the AUC estimates were not. 562
511 For each of the three models, the combined biomark- 563
512 ers' AUC was higher for the VUmc data than for the 564
513 ADNI data. This difference is most likely due to the 565
514 higher age of the ADNI subjects (on average about 10 566
515 years older than VUmc subjects), as it is well-known 567
516 that the diagnostic accuracy of CSF AD biomarkers 568
517 decreases with age [38]. 569

518 The new methodology can now be used for re- 519
520 investigation of the clinical value of existing AD 521
522 biomarkers to determine which CSF biomarkers are 523
524 needed for maximum discriminate between stable and 525
526 progressing MCI patients or for a differential demen- 527
528 tia diagnosis. The cut-offs that would be derived from 529
530 the ROC-curve of the new method will be differ- 531
532 ent from the current cut-offs values that are set with 533
534 the clinical diagnosis as perfect reference test. Also 535
536 the comparison of the clinical value between CSF 537
538 biomarkers measured using different platforms or A β 539
540 PET deposition measured with different tracers could 541
542 be addressed. Importantly, the new analysis method 543
544 also supports the direct comparison of the diagnostic 545
546 value of CSF and imaging biomarkers for A β depo- 547
548 sition. In this way, the interchangeability (assumed in 548
549 the (preclinical) AD criteria [1, 5]) or complementarity 549
550 (as suggested by the reported proportion of discordant 550
551 cases [12–14]) of the two *in vivo* biomarkers could 551
552 be determined. We anticipate that the use of the new 552
553 Bayesian framework will lead to a more accurate diag- 553
554 nosis based on biomarkers and hence more diagnostic 554
555 confidence in early stages of AD. 555
556 557
558 559
560 561
562 563
564 565
566 567
568 569
570 571

541 ACKNOWLEDGMENTS 542

542 This research was conducted within the framework 543
544 of the European EUROTRANS-BIO – ERA-NET 545
546 project 'B4AD', a collaborative project of Interna- 547
548 tional Drug Development Institute (Louvain-la-Neuve, 548
549 Belgium), PamGene International (Den Bosch, The 549
550 Netherlands) and the VU University medical center 550
551 and the Alzheimer center (Amsterdam, The Nether- 551
552 lands). We thank Riet Hilhorst, Faris Naji, Rik de Wijn, 552
553 and Rinie van Beuningen (PamGene) for helpful dis- 553
554 cussions. 554

555 Research of the VUmc Alzheimer center and the 556
557 Department of Pathology is part of the Neurodegen- 557
558 eration research program of the Neuroscience Campus 558
559 Amsterdam. The VUmc Alzheimer center is supported 559
560 by Alzheimer Nederland and Stichting VUmc fonds. 560
561 The VUmc clinical database structure was developed 561
562 with funding from Stichting Dioraphte. 562

563 Authors' disclosures available online ([http://j- 563
564 alz.com/manuscript-disclosures/14-2886r2](http://j-alz.com/manuscript-disclosures/14-2886r2)). 564

565 Data collection and sharing for this project was 565
566 funded by the Alzheimer's Disease Neuroimaging Ini- 566
567 tiative (ADNI) (National Institutes of Health Grant 567
568 U01 AG024904) and DOD ADNI (Department of 568
569 Defense award number W81XWH-12-2-0012). ADNI 569
570 is funded by the National Institute on Aging, the 570
571 572

567 National Institute of Biomedical Imaging and Bio-
 568 engineering, and through generous contributions from
 569 the following: Alzheimer's Association; Alzheimer's
 570 Drug Discovery Foundation; BioClinica, Inc.; Biogen
 571 Idec Inc.; Bristol-Myers Squibb Company; Eisai Inc.;
 572 Elan Pharmaceuticals, Inc.; Eli Lilly and Company;
 573 F. Hoffmann-La Roche Ltd and its affiliated com-
 574 pany Genentech, Inc.; GE Healthcare; Innogenetics,
 575 N.V.; IXICO Ltd.; Janssen Alzheimer Immunother-
 576 apy Research & Development, LLC.; Johnson &
 577 Johnson Pharmaceutical Research & Development
 578 LLC.; Medpace, Inc.; Merck & Co., Inc.; Meso
 579 Scale Diagnostics, LLC.; NeuroRx Research; Novar-
 580 tis Pharmaceuticals Corporation; Pfizer Inc.; Piramal
 581 Imaging; Servier; Synarc Inc.; and Takeda Pharma-
 582 ceutical Company. The Canadian Institutes of Health
 583 Research is providing funds to support ADNI clinical
 584 sites in Canada. Private sector contributions are
 585 facilitated by the Foundation for the National Institutes
 586 of Health (<http://www.fnih.org>). The grantee organiza-
 587 tion is the Northern California Institute for Research
 588 and Education, and the study is Rev December 5, 2013
 589 coordinated by the Alzheimer's Disease Cooperative
 590 Study at the University of California, San Diego. ADNI
 591 data are disseminated by the Laboratory for Neuro
 592 Imaging at the University of Southern California.

593 SUPPLEMENTARY MATERIAL

594 The supplementary material is available in the
 595 electronic version of this article: [http://dx.doi.org/](http://dx.doi.org/10.3233/JAD-142886)
 596 [10.3233/JAD-142886](http://dx.doi.org/10.3233/JAD-142886).

597 REFERENCES

- 598 [1] McKhann GM, Knopman DS, Chertkow H, Hyman BT,
 599 Jack CR Jr, Kawas CH, Klunk WE, Koroshetz WJ, Manly
 600 JJ, Mayeux R, Mohs RC, Morris JC, Rossor MN, Schel-
 601 tens P, Carrillo MC, Thies B, Weintraub S, Phelps CH
 602 (2011) The diagnosis of dementia due to Alzheimer's dis-
 603 ease: Recommendations from the National Institute on
 604 Aging-Alzheimer's Association workgroups on diagnostic
 605 guidelines for Alzheimer's disease. *Alzheimers Dement* **7**,
 606 263-269.
- 607 [2] Vos SJB, Xiong C, Visser PJ, Jasielc MS, Hassenstab J,
 608 Grant EA, Cairns NJ, Morris JC, Holtzman DM, Fagan AM
 609 (2013) Preclinical Alzheimer's disease and its outcome: A
 610 longitudinal cohort study. *Lancet Neurol* **12**, 957-965.
- 611 [3] Scheltens P, Rockwood K (2011) How golden is the gold
 612 standard of neuropathology in dementia? *Alzheimers Dement*
 613 **7**, 486-489.
- 614 [4] Beach TG, Monsell SE, Phillips LE, Kukull W (2012) Accu-
 615 racy of the clinical diagnosis of Alzheimer disease at National
 616 Institute on Aging Alzheimer Disease Centers, 2005-2010.
 617 *J Neuropathol Exp Neurol* **71**, 266-273.
- [5] Sperling RA, Aisen PS, Beckett LA, Bennett DA, Craft S,
 618 Fagan AM, Iwatsubo T, Jack CR Jr, Kaye J, Montine TJ, Park
 619 DC, Reiman EM, Rowe CC, Siemers E, Stern Y, Yaffe K,
 620 Carrillo MC, Thies B, Morrison-Bogorad M, Wagster MV,
 621 Phelps CH (2011) Toward defining the preclinical stages of
 622 Alzheimer's disease: Recommendations from the National
 623 Institute on Aging and the Alzheimer's Association work-
 624 group. *Alzheimers Dement* **7**, 280-292.
- [6] Salloway S, Sperling R, Fox NC, Blennow K, Klunk W,
 625 Raskind M, Sabbagh M, Honig LS, Porsteinsson AP, Ferris S,
 626 Reichert M, Ketter N, Nejadnik B, Guenzler V, Miloslavsky
 627 M, Wang D, Lu Y, Lull J, Tudor IC, Liu E, Grundman M,
 628 Yuen E, Black R, Brashear HR; Bapineuzumab 301 and 302
 629 Clinical Trial Investigators (2014) Two phase 3 trials of bap-
 630 ineuzumab in mild-to-moderate Alzheimer's disease. *N Engl*
 631 *J Med* **370**, 322-333.
- [7] Reitsma JB, Rutjes AWS, Khan KS, Bossuyt PM (2009) A
 632 review of solutions for diagnostic accuracy studies with an
 633 imperfect or missing reference standard. *J Clin Epidemiol*
 634 **62**, 797-806.
- [8] Valenstein PN (1990) Evaluating diagnostic tests with imper-
 635 fect standards. *Am. J Clin Pathol* **93**, 252-258.
- [9] Zhou X-H, Obuchowski NA, McClish DK (2002) *Statistical*
 636 *Methods in Diagnostic Medicine*, Wiley Inc., New York.
- [10] Toledo JB, Brettschneider J, Grossman M, Arnold SE,
 637 Hu WT, Xie SX, Lee VM, Shaw LM, Trojanowski JQ
 638 (2012) CSF biomarkers cutoffs: The importance of coin-
 639 cident neuropathological diseases. *Acta Neuropathol* **124**,
 640 23-35.
- [11] De Meyer G, Shapiro F, Vanderstichele H, Vanmechelen
 641 E, Engelborghs S, De Deyn PP, Coart E, Hansson O,
 642 Minthon L, Zetterberg H, Blennow K, Shaw L, Trojanowski
 643 JQ, Alzheimer's Disease Neuroimaging Initiative (2010)
 644 Diagnosis-independent Alzheimer disease biomarker signa-
 645 ture in cognitively normal elderly people. *Arch Neurol* **67**,
 646 949-956.
- [12] Fagan AM, Shaw LM, Xiong C, Vanderstichele H, Mintun
 647 MA, Trojanowski JQ, Coart E, Morris JC, Holtzman DM
 648 (2011) Comparison of analytical platforms for cerebrospinal
 649 fluid measures of β -amyloid 1-42, Total tau, and P-tau181
 650 for identifying Alzheimer disease amyloid plaque pathology.
 651 *Arch Neurol* **68**, 1137-1144.
- [13] Palmqvist S, Zetterberg H, Blennow K, Vestberg S, Andreasson
 652 U, Brooks DJ, Owenius R, Hägerström D, Wollmer P,
 653 Minthon L, Hansson O (2014) Accuracy of brain amyloid
 654 detection in clinical practice using cerebrospinal fluid β -
 655 amyloid 42. A cross-validation study against amyloid positron
 656 emission tomography. *JAMA Neurol* **71**, 1282-1289.
- [14] Landau SM, Lu M, Joshi AD, Pontecorvo M, Mintun MA,
 657 Trojanowski JQ, Shaw LM, Jagust WJ, Alzheimer's Disease
 658 Neuroimaging Initiative (2013) Comparing positron emission
 659 tomography imaging and cerebrospinal fluid measurements of
 660 β -amyloid. *Ann Neurol* **74**, 826-836.
- [15] Spiegelhalter DJ, Abrams KR, Myles JP (2004) *Bayesian*
 661 *Approaches to Clinical Trials and Health-Care Evaluations*.
 662 Wiley Inc., New York.
- [16] Gelman A, Carlin JB, Stern HS, Rubin DB (2003) *Bayesian*
 663 *Data Analysis, second edition*. Chapman & Hall/CRC,
 664 New York.
- [17] Berry SM, Berry DA, Natarajana K, Lina C-S, Hennekens
 665 CH, Belder R (2004) Bayesian survival analysis with nonpro-
 666 portional hazards. *J Am Stat Assoc* **99**, 36-44.
- [18] Anonymous (2010) Guidance for Industry and FDA Staff;
 667 Guidance for the Use of Bayesian Statistics in Medical
 668 Device Clinical Trials. <http://www.fda.gov/downloads/>
 669
 670
 671
 672
 673
 674
 675
 676
 677
 678
 679
 680
 681
 682

- 683 MedicalDevices/DeviceRegulationandGuidance/Guidance
684 Documents/ucm071121.pdf
- 685 [19] Adamina M, Tomlinson G, Guller U (2009) Bayesian statistics
686 in oncology. *Cancer* **115**, 5371-5381. 732
- 687 [20] Schoenfeld DA, Zheng H, Finkelstein DM (2009) Bayesian
688 design using adult data to augment pediatric trials. *Clin Trials*
689 **6**, 297-304. 733
- 690 [21] Broemeling LD (2007) *Bayesian Biostatistics and Diagnostic*
691 *Medicine*. Chapman & Hall/CRC, New York. 734
- 692 [22] Dubois B, Feldman HH, Jacova C, Dekosky ST, Barberger-
693 Gateau P, Cummings J, Delacourte A, Galasko D, Gauthier
694 S, Jicha G, Meguro K, O'brien J, Pasquier F, Robert P,
695 Rossor M, Salloway S, Stern Y, Visser PJ, Scheltens P (2007)
696 Research criteria for the diagnosis of Alzheimer's disease:
697 Revising the NINCDS-ADRDA criteria. *Lancet Neurol* **6**,
698 734-746. 735
- 699 [23] Duits FH, Teunissen CE, Bouwman FH, Visser PJ, Matts-
700 son N, Zetterberg H, Blennow K, Hansson O, Minthon L,
701 Andreasen N, Marcusson J, Wallin A, Rikkert MO, Tso-
702 laki M, Parnetti L, Herukka SK, Hampel H, De Leon MJ,
703 Schröder J, Aarsland D, Blankenstein MA, Scheltens P, van
704 der Flier WM (2014) The cerebrospinal fluid 'Alzheimer pro-
705 file': Easily said, but what does it mean? *Alzheimers Dement*
706 **10**, 713-723. 736
- 707 [24] Su JQ, Liu JS (1993) Linear combinations of multiple diag-
708 nostic markers. *J Am Stat Assoc* **88**, 1350-1355. 737
- 709 [25] Bloudek LM, Spackman DE, Blankenburg M, Sullivan SD
710 (2011) Review and meta-analysis of biomarkers and diag-
711 nostic imaging in Alzheimer's disease. *J Alzheimers Dis* **26**,
712 627-645. 738
- 713 [26] Ibach B, Binder H, Dragon M, Poljansky S, Haen E, Schmitz
714 E, Koch H, Putzhammer A, Klauenemann H, Wieland W,
715 Hajak G (2006) Cerebrospinal fluid tau and beta-amyloid
716 in Alzheimer patients, disease controls and an age-matched
717 random sample. *Neurobiol Aging* **27**, 1202-1211. 739
- 718 [27] Kapaki E, Liappas I, Paraskevas GP, Theotoka I, Rabavilas A
719 (2005) The diagnostic value of tau protein, beta-amyloid (1-
720 42) and their ratio for the discrimination of alcohol-related
721 cognitive disorders from Alzheimer's disease in the early
722 stages. *Int J Geriatr Psychiatry* **20**, 722-729. 740
- 723 [28] Schoonenboom NS, Reesink FE, Verwey NA, Kester MI, Teu-
724 nissen CE, van de Ven PM, Pijnenburg YA, Blankenstein MA,
725 Rozemuller AJ, Scheltens P, van der Flier WM (2012) Cere-
726 brospinal fluid markers for differential dementia diagnosis in
727 a large memory clinic cohort. *Neurology* **3**, 47-54. 741
- 728 [29] Toledo JB, Cairns NJ, Da X, Chen K, Carter D, Fleisher A,
729 Householder E, Ayutyanont N, Roontiva A, Bauer RJ, Eisen
730 P, Shaw LM, Davatzikos C, Weiner MW, Reiman EM, Mor-
731 ris JC, Trojanowski JQ; Alzheimer's Disease Neuroimaging
732 Initiative (ADNI) (2013) Clinical and multimodal biomarker
733 correlates of ADNI neuropathological findings. *Acta Neu-
734 ropathol Commun* **1**, 65. 735
- [30] Wollman DE, Prohovnik I (2003) Sensitivity and specificity
736 of neuroimaging. *Dialogues Clin Neurosci* **5**, 89-99. 737
- [31] Hansson O, Zetterberg H, Buchhave P, Londos E, Blennow K,
738 Minthon L (2006) Association between CSF biomarkers and
739 incipient Alzheimer's disease in subjects with mild cognitive
740 impairment: A follow-up study. *Lancet Neurol* **5**, 228-234. 741
- [32] Mattsson N, Zetterberg H, Hansson O, Andreasen N, Parnetti
742 L, Jonsson M, Herukka SK, van der Flier WM, Blankenstein
743 MA, Ewers M, Rich K, Kaiser E, Verbeek M, Tsolaki M,
744 Mulugeta E, Rosén E, Aarsland D, Visser PJ, Schröder J,
745 Marcusson J, de Leon M, Hampel H, Scheltens P, Pirttilä T,
746 Wallin A, Jönköping ME, Minthon L, Winblad B, Blennow
747 K (2009) CSF biomarkers and incipient Alzheimer disease in
748 patients with mild cognitive impairment. *JAMA* **302**, 385-393. 742
- [33] R Core Team (2013) R: A language and environment for stati-
749 stical computing. R Foundation for Statistical Computing,
750 Vienna, Austria. <http://www.R-project.org/>
751 743
- [34] Lunn D, Spiegelhalter D, Thomas A, Best N (2009) The
752 BUGS project: Evolution, critique and future directions (with
753 discussion). *Stat Med* **28**, 3049-3082. 744
- [35] Jack CR Jr, Knopman DS, Jagust WJ, Petersen RC, Weiner
754 MW, Aisen PS, Shaw LM, Vemuri P, Wiste HJ, Weigand SD,
755 Lesnick TG, Pankratz VS, Donohue MC, Trojanowski JQ
756 (2013) Tracking pathophysiological processes in Alzheimer's
757 disease: An updated hypothetical model of dynamic biomark-
758 ers. *Lancet Neurol* **12**, 207-216. 745
- [36] Scott AN, Joseph L, Bélisle P, Behr MA, Schwartzman K
759 (2007) Bayesian modeling of tuberculosis clustering from
760 DNA fingerprint data. *Stat Med* **27**, 140-156. 746
- [37] Lu Y, Dendrukuri N, Schiller I, Joseph L (2010) A Bayesian
761 approach to simultaneously adjusting for verification and refer-
762 ence standard bias in diagnostic test studies. *Stat Med* **29**,
763 2532-2543. 747
- [38] Mattsson N, Rosén E, Hansson O, Andreasen N, Parnetti L,
764 Jonsson M, Herukka SK, van der Flier WM, Blankenstein
765 MA, Ewers M, Rich K, Kaiser E, Verbeek MM, Olde Rikkert
766 M, Tsolaki M, Mulugeta E, Aarsland D, Visser PJ, Schröder
767 J, Marcusson J, de Leon M, Hampel H, Scheltens P, Wallin
768 A, Eriksdotter-Jönköping M, Minthon L, Winblad B, Blennow
769 K, Zetterberg H (2012) Age and diagnostic performance of
770 Alzheimer disease CSF biomarkers. *Neurology* **78**, 468-476. 748
- [39] O'Malley AJ, Zou KH, Fielding JR, Tempany CMC (2001)
771 Bayesian regression methodology for estimating a receiver
772 operating characteristic curve with two radiologic applica-
773 tions: Prostrate biopsy and spiral CT of uterine stones. *Acad*
774 *Radiol* **8**, 713-725. 749