

Identification and Analysis of a Novel Group of Bacteriophages Infecting the Lactic Acid Bacterium *Streptococcus thermophilus*

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1 **Identification and analysis of a novel group of bacteriophages infecting the lactic acid**
2 **bacterium *Streptococcus thermophilus***

3

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13 Running title: Novel phage group infecting *Streptococcus thermophilus*.

14

15 Key words: *Lactococcus lactis*, dairy, phage, recombination, antireceptor, receptor binding
16 protein, adsorption, proteome.

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18 **Abstract**

19 We present the complete genome sequences of four members of a novel group of phages
20 infecting *Streptococcus thermophilus*, designated here as the 987 group. Members of this
21 phage group appear to have resulted from genetic exchange events, as evidenced by their
22 ‘hybrid’ genomic architecture, exhibiting DNA sequence relatedness to the morphogenesis
23 module of certain P335 group *Lactococcus lactis* phages and to the replication modules of *S.*
24 *thermophilus* phages. All four identified members of the 987 phage group were shown to
25 elicit adsorption affinity to both their cognate *S. thermophilus* hosts as well as to a particular
26 *L. lactis* starter strain. The receptor binding protein of one of these phages (as a representative
27 of this novel group) was defined using an adsorption inhibition assay. The emergence of a
28 novel phage group infecting *S. thermophilus* phage highlights the continuous need for phage
29 monitoring and development of new phage control measures.

30

31 **Importance:**

32 Phage predation of *S. thermophilus* is an important issue for the dairy industry, where viral
33 contamination can lead to fermentation inefficiency or complete fermentation failure.
34 Genome information (and phage-host interaction studies) of *S. thermophilus* phages,
35 particularly those emerging in the marketplace, are an important part of limiting the
36 detrimental impact of these viruses in the dairy environment.

37

38 **Introduction**

39 *Streptococcus thermophilus* is a globally employed dairy bacterium used in the production of
40 a variety of cheeses and yoghurt. Having been safely consumed by humans for millennia, this
41 bacterium is now a mainstay of the dairy industry due its favourable acidification and
42 texturing properties (1, 2). Despite advances in the available knowledge regarding dairy
43 phage containment (3, 4), and *S. thermophilus* phage genetics and biology (5, 6),
44 contamination of dairy production lines by *S. thermophilus*-infecting (bacterio)phages
45 remains a persistent problem (for a review, see 7).

46 Classification of phages of *S. thermophilus* (reviewed by J. Mahony & D. van
47 Sinderen [8]) has long been based on (i) morphology, i.e. as *Siphoviridae*, corresponding to
48 group B as defined by D. E. Bradley (9), and (ii) a combination of the mode of DNA
49 packaging (i.e. *cos*- or *pac*-site containing) and major structural protein content (10). A
50 variable genomic region thought to be (at least in part) responsible for host determination
51 (VR2 region; 11) can also be used to categorize the majority of isolated *S. thermophilus*
52 phages (12). More recently, however, a morphologically distinct and genetically divergent *S.*
53 *thermophilus* phage named 5093, containing neither *cos/pac*-defining structural elements, nor
54 a confirmed antireceptor-encoding gene, was described (13), prompting the creation of a third
55 *S. thermophilus* phage group (henceforth termed the ‘5093 group’). The genomic content of
56 phage 5093 (containing several genes of non-dairy streptococcal phage origin) highlights the
57 genetic plasticity of *S. thermophilus* phages, thus explaining the appearance of such diverse
58 phage lineages.

59 A total of thirteen complete genome sequences of *S. thermophilus*-infecting phages
60 have been published to date; with a large degree of conservation observed within the defined
61 groupings. Phage groups have been defined as follows: (i) *cos* site-containing, with members

62 Sfi19 and Sfi21 (lytic and temperate; 14), DT1 (lytic; 15), 7201 (lytic; 16), and Abc2 (lytic;
63 6); (ii) *pac* site-containing phages O1205 (temperate; 17), Sfi11 (lytic; 18), 2972 (lytic; 19),
64 858 (lytic; 20), ALQ13.2 (lytic; 6), and TP-J34 & TP-778L (temperate; 5); and (iii) the 5093
65 group archetype 5093 (lytic; 13).

66 Whole genome sequencing of *S. thermophilus*-infecting phages has enabled their
67 genome-wide, nucleotide-level comparison and elucidation of their putative mechanisms of
68 evolution. It was postulated (18) that the main modes of *S. thermophilus* phage evolution are
69 represented by the rearrangement (or recombination) of discrete genomic modules, as well as
70 by insertions, deletions and point mutations – of which the latter is likely to function as a
71 means to evade active CRISPR systems of their hosts (20). Consistent monitoring of phage
72 populations in dairy plants in this manner is necessary to ensure that adequate knowledge-
73 based rotational schemes are in place so as to avoid fermentation inconsistencies, or even
74 complete failure. This must initially include host sensitivity profiling and phage typing
75 studies, yet may be extended to whole phage genome sequencing in the case of newly
76 emerging groups and/or persistent or highly virulent phages.

77 Here, we present the complete genome sequences of four novel phages capable of
78 infecting *S. thermophilus* ST64987, an industrial dairy starter strain. The 987 group phages
79 were categorized as novel based on their recalcitrance to typing using a previously designed
80 multiplex PCR protocol, their distinct morphology, and finally their genetic content which
81 differed from previously described groups of *S. thermophilus* phages. Comparative genomic
82 analysis was performed on all four phages. The structural protein complement of one
83 representative phage of this group was confirmed by mass spectrometry. The phages were
84 further characterized by microscopic analysis and adsorption analyses, and the antireceptor of
85 one phage was defined (as a representative) using an adsorption inhibition assay.

86 **Materials & methods**

87

88 *Bacteriophage isolation, propagation, enumeration & storage*

89 Bacterial strains were routinely grown from single colonies or reconstituted skimmed milk
90 (RSM) stocks overnight at 30 °C (*L. lactis*) or 42 °C (*S. thermophilus*) in M17 Broth
91 (Oxoid, Hampshire, U.K.) containing 0.5 % glucose (Sigma-Aldrich, St. Louis, MO, U.S.A;
92 GM17; *L. lactis*) or lactose (Sigma-Aldrich; LM17; *S. thermophilus*). Phage enumeration was
93 performed based on standard spot or plaque assay methods (21) in which LM17 broth was
94 supplemented with 0.25 % glycine (Oxoid), 10 mM CaCl₂ (Oxoid) and either 10 g/L (solid
95 agar base) or 4 g/L (semi-solid overlay) technical agar (Merck, Darmstadt, Germany).
96 Industrially derived cheese whey samples from dairy plants producing fermented milk
97 products (such as cheeses and yoghurts) were obtained (stored at -20 °C) and analysed for the
98 presence of phages against *S. thermophilus* using the spot and plaque assay methods
99 mentioned above. These samples now form part of the DSM phage collection (Delft, The
100 Netherlands). Single plaque isolates were then propagated following the method of S.
101 Moineau, *et al.* (22) in LM17 at 42 °C. The lysed culture was filtered (0.45 µm; Sarstedt,
102 Nümbrecht, Germany) and stored at 4 °C for use in subsequent assays. Single plaque
103 isolation and propagation was performed at least twice to ensure the purity of phage
104 preparations.

105

106 *Bacteriophage purification & DNA preparation*

107 Individual phages were propagated in a 2 L volume before concentration by PEG₈₀₀₀ (Sigma-
108 Aldrich) precipitation and purification using a discontinuous cesium chloride (Sigma-

109 Aldrich) block gradient as described by Sambrook & Fritsch (23), using a Beckman 50 Ti
110 rotor (Beckman Coulter, Brea, CA, U.S.A). Phage DNA was prepared using a method
111 adapted from S. Moineau, *et al.* (22) and Sambrook & Fritsch (23). Briefly, 20 µl proteinase
112 K (20 mg/ml; Fisher Scientific, Waltham, MA, U.S.A.) was added to 500 µl of CsCl purified
113 phage and the mixture heated at 56 °C for 20 minutes. Sodium dodecyl sulphate solution
114 (SDS; Sigma Aldrich) was then added to a final concentration of 1.5 % before heating at 65
115 °C for 30 min. Potassium acetate was added to a final concentration of 1 M and the mixture
116 placed on ice for 30 min. Centrifugation at 13,200 x g for 10 min was followed by two
117 phenol:chloroform:isoamyl alcohol (25:24:1; Sigma Aldrich) extractions and the addition of
118 0.1 volume of 3 M sodium acetate (pH 4.8; Lancaster Synthesis, Ward Hill, MA, U.S.A.) and
119 2.5 volumes of ice cold 96 % ethanol. Precipitated phage DNA was pelleted at 21,000 x g for
120 15 min and resuspended in 50 µl TE buffer (10 mM Tris-HCl, 1 mM EDTA [Sigma-Aldrich];
121 pH 7.5). Phage DNA was visualised on 1 % agarose (Sigma-aldrich) gels stained with Midori
122 Green Advance DNA stain (Nippon Genetics Europe GmbH, Dueren, Germany) using the
123 method of Sambrook & Fritsch (23).

124

125 *DNA sequencing & in silico analysis*

126 Approximately 20 µg phage DNA was extracted and verified by nanodrop (Nanodrop 2000,
127 Thermo Scientific) quantification. Confirmatory molecular ID tests were also conducted on
128 the DNA extract prior to shipment to the contract sequencing facility (Macrogen Inc.,
129 Geumcheon-gu, Seoul, South Korea). At least 100-fold sequencing coverage was obtained
130 using pyrosequencing technology on a 454 FLX instrument. The individual sequence files
131 generated by the 454 FLX instrument were assembled with GSAssembler (454 Lifesciences,
132 Branford, CT, U.S.A.) to generate a consensus sequence. Quality improvement of the genome

133 sequence involved Sanger sequencing (Eurofins MWG, Ebersberg, Germany) of at least three
134 PCR products across each entire genome to ensure correct assembly, double stranding and the
135 resolution of any remaining base-conflicts occurring within homopolymer tracts. Genomes
136 were annotated using a Heuristic approach (Genemark; [24]) and manually using the Basic
137 Local Alignment Search Tool (NCBI; [25]). Conserved protein domains (where relevant)
138 were detected using Pfam (26), HHpred (27) and/or CDD (28). Complete genomes were
139 visualised using Artemis (29). Phylogenetic trees were generated using the FigTree tool
140 (<http://tree.bio.ed.ac.uk/software/figtree/>).

141

142 *Electron microscopic analysis*

143 Cesium chloride phage samples were dialysed (as above) and subjected to further purification
144 by ultracentrifugation (and dialysis) according to the method of M. Briggiler Marco, *et al.*
145 (30), using a Beckman VTi 65.2 rotor (Beckman Coulter). Dialysis was performed twice for
146 24 hr and 45 min, respectively, against 2 L phage buffer (0.05 M Tris-Hcl [pH 7.5], 0.1 M
147 NaCl, 8 mM MgSO₄; 30). Electron microscopy was performed as previously described by E.
148 Casey and colleagues (31).

149

150 *Structural protein identification*

151 Phage protein extraction (including methanol-chloroform extraction), SDS-PAGE
152 visualisation and preparation of phage structural protein samples were performed as
153 described by E. Casey, *et al.* (31). Electrospray ionization-tandem mass spectrometry (ESI-
154 MS/MS) was performed as previously described (32, 33). Coverage levels of at least two

155 unique peptides for each structural protein, or 5 % of the total protein length, were used as
156 cut-off values when identifying gene products as components of the viral particle (31).

157

158 *Adsorption assays*

159 Quantification of phage adsorption to bacterial strains was determined using a method
160 adapted from P. Garvey, *et al.* (34). 10 ml LM17 or GM17 broth was inoculated (2-4 %,
161 strain dependent) with the appropriate *S. thermophilus* (either ST64987 as sample strain or
162 ST67368 as adsorption control) or *L. lactis* (LL64981 as sample strain) strain from a fresh
163 overnight culture and grown at 42 °C or 30 °C, respectively, until the OD_{600nm} reached a
164 value between 0.5 and 0.54. 700 µl of the growing culture was transferred to a
165 microcentrifuge tube and centrifuged at 5000 x g for 10 min to pellet the cells. The
166 supernatant was removed and the cells were resuspended in 700 µl of ¼ strength Ringers
167 solution (Merck). An equal volume of the appropriate phage lysate (diluted to an approximate
168 titer of 10⁵⁻⁶ pfu/ml) was added to the tube or to 700 µl 1/4 strength Ringer's solution
169 (Merck), which served as a negative control. The mixture was incubated at 30 °C or 42 °C for
170 12 min, centrifuged at 15,000 x g for 3 min to remove bacterial cells before 200 µl of the
171 residual phage-containing supernatant was removed for enumeration as described above.
172 Calculation of adsorption levels (as a percentage of total number of phages present) was
173 performed as follows: $([\text{Control phage titre} - \text{Free phage titre in supernatant}] / \text{Control phage}$
174 $\text{titre}) \times 100$.

175

176 *Antireceptor purification & adsorption inhibition assays*

177 The protein product of *ORF19*₉₈₇₁ (predicted to encode the phage antireceptor, termed here
178 the receptor binding protein or RBP₉₈₇₁) was purified using a previously described method
179 (35). Briefly, the *ORF19*₉₈₇₁ gene was amplified using Phusion polymerase (New England
180 Biolabs, Ipswich, MA, U.S.A.) and employing primers that incorporate a sequence encoding
181 an N-terminal His₆-purification tag and appropriate restriction enzyme sites (namely
182 RBP₉₈₇₁F, 5'-
183 AGCAGCCCATGGCACACCATCACCATCACCATTCTTCTGGTGAACATAAGATAAT
184 TTTAAGT-3' and RBP₉₈₇₁R, 5'-AGCAGCTCTAGATTAATATATACTTGGATATGA-3'),
185 and cloned behind the Nisin-inducible promoter of plasmid pNZ8048 (36). The ligation
186 mixture was dialysed against sterile distilled (sd) H₂O for 10 min and introduced into
187 electrocompetent *L. lactis* NZ9000 cells (36). Plasmid DNA was then extracted using a
188 GeneJet Plasmid Miniprep Kit (Thermo Scientific) and subjected to Sanger sequencing (as
189 above) to verify the integrity of the DNA sequence. For target protein induction, NZ9000
190 strains containing the required plasmid were grown to an OD_{600nm} of 0.2 prior to the addition
191 of nisin (10 ng/ml) using Nisaplin (Danisco, Copenhagen, Denmark). Growth was continued
192 for 3.5 hr prior to cell lysis and sonication as per B. Collins, *et al.* (35), with the following
193 modifications: the concentration of CaCl₂ (Sigma-Aldrich) in the lysis buffer (10 mM Tris,
194 300 mM NaCl, 10 mM CaCl₂, 25 mg/ml lysozyme [Sigma-Aldrich]; pH8) was increased to
195 50 mM, and a further 200 µl 1 M CaCl₂ was added to the lysed cells prior to sonication
196 (Soniprep 150; MSE, London, U.K.) cycles. Sonicated cells were then centrifuged and target
197 protein purification was performed using a Ni-nitrilotriacetic acid agarose (Qiagen, Hilden,
198 Germany) column (Bio-Rad, Hercules, CA, U.S.A.), using varying concentrations of
199 imidazole buffer (10 mM Tris-HCl, 50 mM CaCl₂, 300 mM NaCl, 50-200 mM imidazole; pH
200 7.5) according to the manufacturer's instructions. Protein fractions were visualised by
201 separation on a 12.5 % SDS-PAGE gel at 160 V for 90 minutes. Fractions containing bands

202 of the correct size with minimal contamination were dialysed against 100 ml protein buffer
203 (as above) three times for 40 minutes each to remove remaining imidazole. Dialysed fractions
204 were stored at 4 °C for use in subsequent adsorption inhibition assays.

205 Adsorption inhibition assays were performed as described by B. Collins, *et al.* (35),
206 with the following modification: both the antireceptor incubation and phage adsorption
207 temperatures were increased to 42 °C. Adsorption to wild-type and antireceptor-incubated
208 cells was calculated as described above. Adsorption inhibition, expressed as a percentage of
209 phage adsorption to wild type (WT) cells, was calculated as follows: $([\% \text{ adsorption on WT} -$
210 $\% \text{ adsorption on preincubated cells}] / \% \text{ adsorption on WT}) \times 100$.

211

212 *Nucleotide sequence accession numbers*

213 Whole genome sequence data for phages 9871, 9872, 9873 and 9874 are available in the
214 GenBank database under the following accession numbers: KU678389 (9871), KU678390
215 (9872), KU678391 (9873) and KU678392 (9874).

216 **Results & Discussion**

217

218 *Isolation of phages*

219 The bacterial strains and phage isolates (Table 1, an exception being NZ9000), which formed
220 part of a larger industrial starter strain and phage sample collection, were initially subjected
221 to a phage-host survey to determine the host ranges of isolated phages. This was followed (in
222 the case of the phages) by further characterization and genome sequencing of a representative
223 selection, the results of which will be published elsewhere. The phage isolates characterized
224 as part of the current study, named 9871, 9872, 9873 and 9874 (together referred to here as
225 9871-4 or the 987 phage group), originated from distinct dairy fermentation samples from a
226 range of geographical locations and time points, specifically: Portugal in 2008 (isolate 9871);
227 Slovakia in 2008 (isolate 9872); U.K. in 2009 (isolate 9873); and Australia in 2010 (isolate
228 9874). Of 90 industrial strains tested, phages 9871-4 were found to infect just a single strain
229 (named ST64987) with subsequent experiments revealing the ability of low level infection of
230 a second strain (ST47795) by 9872, 9873 and 9874 only (data not shown). This observed
231 narrow host range is typical of *S. thermophilus* phages (12, 37). The four phages were shown
232 to reach a high titer during standard propagations (approximately 10^9 pfu/ml), and DNA
233 could readily be extracted from both crude lysate and CsCl-purified preparations. However, a
234 standard *cos/pac* phage typing PCR (38) on either lysate or DNA preparations repeatedly
235 failed to yield a product (data not shown). For this reason, these phages were identified as
236 phage isolates that potentially belong to a novel group, and they were therefore subjected to
237 genome sequencing.

238

239 *Genome analysis*

240

241 *General characteristics*

242 The salient genome characteristics of phages 9871-4 are outlined in Table 2, with a detailed
243 list of top BLAST identities provided for phage 9871 (as a representative of the group, due to
244 overall conservation of the four genome structures) in Supplementary Table S1. Genome
245 sizes ranged from 32.6 – 33.1 kilo base pairs (kbp) - making these genomes the shortest thus
246 far described for *S. thermophilus* phages. Initial analysis of the DNA sequences revealed a
247 high level of nucleotide identity (greater than 90 % across approximately a third of the length
248 of their genomes) with phage ul36 (39), and also to Tuc2009, TP901-1 and the archetype
249 P335, which are related phages, all belonging to P335 subgroup II (40, 41). In contrast, the
250 rightward end of each of the 9871-4 phage genomes appears to bear more similarity to *S.*
251 *thermophilus* phage replication modules (42; Fig. 1). Each module of this apparent ‘hybrid’
252 987 phage group is discussed below.

253

254 *Structural modules & structural protein determination*

255 The structural gene module in the four 987 group members in each phage, spanning the
256 region starting at the putative portal protein-encoding gene to the predicted serine
257 acetyltransferase-encoding gene, are remarkably conserved at the deduced amino acid level
258 (Fig. 1). For this reason, structural proteins present in purified phage particles prepared from
259 a cell lysate were determined by mass spectrometry for phage 9871 as a representative of the
260 group (Fig. 2), and this phage alone will be discussed further (unless otherwise indicated).
261 The deduced products of *ORFs 4-8*₉₈₇₁ were all confirmed as structural proteins, and are

262 presumed to be involved in phage head morphogenesis (based on their positions in the
263 genome, as well as amino acid identities to known phage head proteins; Fig. 2). The proteins
264 encoded by *ORF9*₉₈₇₁, *ORF10*₉₈₇₁ and *ORF11*₉₈₇₁ were not detected during mass
265 spectrometric analysis, possibly due to their low abundance in the 9871 particle. *ORF9*₉₈₇₁
266 and *ORF10*₉₈₇₁ appear to encode a so-called head-tail connector or adapter (43, 44), based on
267 conserved phage head-tail connector domains (specifically, those present in proteins GP15
268 and GP16 of the well characterised *Bacillus subtilis* phage SPP1) being detected using CDD
269 (*ORF9*₉₈₇₁) and HHPred (*ORF10*₉₈₇₁), respectively (Fig. 1).

270 The defined tail morphogenesis gene cluster in phage 9871 commences with
271 *ORF11*₉₈₇₁, which is predicted to encode a putative tail component (Fig. 1). *ORF12*₉₈₇₁ was
272 not confirmed as a structural protein-encoding gene, however, a homologue of this gene
273 product present in lactococcal phage TP901-1 has recently been annotated as the tail
274 terminator protein (45), with apparently unchecked tail extension observed in mutant phages
275 containing a stop codon in this gene. *ORF13*₉₈₇₁ specifies the presumed major tail protein,
276 with *ORF14*₉₈₇₁ and *ORF15*₉₈₇₁ encoding putative tail assembly chaperone proteins (46, 47)
277 of *ORF16*₉₈₇₁ (predicted to encode the tail tape measure protein). Indeed, *ORF14*₉₈₇₁ shows
278 evidence of a ‘slippery sequence’ (5’-AAAAAAA-3’), a feature present in some genes
279 involved in tail assembly which leads to an alternative frame translation (46) and production
280 of an essential tail chaperone in bacteriophage λ (48). The product of *ORF16*₉₈₇₁ (TMP₉₈₇₁)
281 was not confirmed as a structural protein, suggesting that it is present in low amounts in the
282 phage particle.

283 *ORF17*₉₈₇₁, encoding the putative distal tail protein (the product of which was
284 confirmed as a structural protein, Fig. 2), which is homologous to its functional equivalent in
285 the lactococcal phage TP901-1, the latter forming the core of the phage tail tip (49). The
286 putative tail-associated lysin (TAL) is encoded by *ORF18*₉₈₇₁ (confirmed as a structural

287 protein, Fig. 2) and shares significant amino acid similarity (particularly at the N-terminus)
288 with the corresponding genes in phages Tuc2009, TP901-1 (in which it was defined as the tail
289 fiber), ul36 and P335 (39, 50-53). The location of the endopeptidase-encompassing domain
290 (M23 family), including the catalytic His residue (residing within amino acid sequence
291 ATGVHLHF, being the equivalent of VTGPHLHF in Tuc2009 and TP901-1; [54, 55]), in
292 this protein appears to be conserved in phage 9871, based on CDD (28) search results.
293 Previously, it has been reported that the TAL of *L. lactis* phage Tuc2009 undergoes
294 autocleavage at a specific GGSSG*GG amino acid sequence, where * indicates the cleavage
295 site (54, 55). In TAL₉₈₇₁, this site appears to be replaced by AASGGGG, with underlined
296 residues indicating amino acid substitutions relative to the site in TAL_{Tuc2009}.

297 The final structural protein of phage 9871 as determined by mass spectrometry is the
298 product of *ORF19*₉₈₇₁, which encodes the putative receptor binding protein, henceforth
299 referred to as RBP₉₈₇₁. While the ‘tripods’ (as defined by D. Veesler, *et al.* (49)) of *L. lactis*
300 phages that are closely related to the 987 group phages are encoded by at least two genes
301 (Tuc2009 (35, 56) and TP901-1 (57)), the baseplate in phage 9871 appears to be encoded by
302 a single gene (for reasons outlined below), perhaps akin to the arrangement in several P335
303 phages of *Lactococcus lactis* including BK5-T, LC3, BM13 and Q33, which belong to the
304 P335 subgroups I, III and IV, respectively (41). Several *S. thermophilus* phages (including
305 DT1) apparently share this arrangement – with a single antireceptor gene containing at least
306 one variable region, (one of) which (termed ‘VR2’) was shown to be correlated to host
307 specificity (11). Here, the N-terminal end of RBP₉₈₇₁ shares a high level of amino acid
308 identity (approximately 85 %) with the N-terminal portion of the upper baseplate protein
309 (BppU) of TP901-1, Tuc2009, P335 and ORF322 of ul36, and then appears to be extended
310 (relative to BppU) at the C-terminal end. This composite arrangement is visualized in Figure
311 3. A parallel beta helix domain at the C-terminal end of RBP₉₈₇₁ (identified using Pfam) is a

312 member of clan CL0268, members of which include glycosyl hydrolases, pectate lyases,
313 pectin esterases and *Salmonella* phage P22-like tail-spikes. Similarly, using a CDD search,
314 pectate lyase domains were found to be present toward the C-terminal end of the protein
315 which bear similarity to glycosyl hydrolase family 28 - members of which hydrolyse
316 glycosidic bonds in the heteropolysaccharide pectin (58). Taken together, these findings
317 suggest that RBP₉₈₇₁ has a carbohydrate binding function - leading us to hypothesize that this
318 protein incorporates the receptor binding activities of the BppU and BppL proteins of TP901-
319 1, where BppL is known to be responsible for host interaction and specificity (59).
320 Importantly, the other three members of the 987 group each encompass an *ORF19*₉₈₇₁
321 homologue, which exhibit near complete nucleotide identity to each other, being consistent
322 with the extremely narrow host range of these phages.

323 ORF20₉₈₇₁ (highly conserved in the 987 group phages; Fig. 1) was not detected during
324 mass spectrometry and its function is currently unknown, though it appears (using a BLAST
325 search) to be related to a family of serine acetyltransferases. A search using the CDD
326 database confirms the presence of the serine acetyltransferase domain as well as a sugar O-
327 acetyltransferase domain of the NeuD family, identified as a sialic acid O-acetyltransferase in
328 group B streptococci (60). O-acetylation has been shown to be present at precise locations of
329 the sialic acid component of the capsular polysaccharide of group B *Streptococcus* (61). The
330 presumed sugar interaction of the predicted O-acetyltransferase enzyme may thus be
331 significant in the context of the outer cell layer encountered by the phage during host
332 adsorption, where the product of *ORF20*₉₈₇₁ may perhaps play an accessory role in host
333 recognition, similar to that exhibited by BppA in Tuc2009 (35, 62) - particularly considering
334 its proposed position in the tail morphogenesis module of the 987 group phage genomes.

335

336 *Lysis & lysogeny modules*

337 Approximately half of the currently sequenced *S. thermophilus* phages possess two distinct
338 holin-encoding genes, being largely conserved, with the exception of phage 2972 (19). The
339 987 group phages also appear to possess two distinct holin-encoding genes, one gene product
340 being closely related to holins found in *L. lactis* phages, and one to those found in *S.*
341 *thermophilus* phages (Fig. 1; Table S1). The lysin-encoding gene of 9871 (*ORF23*₉₈₇₁) is
342 located immediately downstream of the holin-encoding genes, and appears to be interrupted
343 by a putative group I intron, a feature previously described in other phages of *S. thermophilus*
344 (63). This is indicated by the presence of a predicted endonuclease-encoding open reading
345 frame, known to be a feature of certain group I introns (64), as well as the presence of a 14 bp
346 consensus sequence (surrounding the predicted intron splice site) correlated with intron
347 possession (63) in all four phages, with varying nucleotide identity.

348 The predicted lysogeny modules present in the 9871-4 phages appear in each case to
349 have been subjected to genetic decay and therefore redundant, as based on the smaller size of
350 the region relative to proven lysogenic phages (5) and the absence of certain genes (most
351 notably, in this case, an integrase-encoding gene) typically associated with these modules in
352 genuine temperate phages (65). These regions are commonly known as lysogeny
353 ‘replacement’ modules, which are a feature of lytic *S. thermophilus* phages (14, 17).

354

355 *Replication modules*

356 The gene products encoded by the individual replication modules present in phages 9871-4
357 (downstream of the lysogeny replacement modules) are largely conserved at the amino acid
358 level (Fig. 1) and appear to belong to the ‘7201-like’ grouping (66), which has previously

359 been identified in phages 7201 (42), Abc2 (6) and, more recently, 5093 (13). Despite this
360 general conservation, however, the replication module of phage 9874 (more so than those of
361 phages 9871-3) is characterized by deletions, insertions and point mutations – a common
362 feature of this region in *S. thermophilus* phage genomes (18). Various genes encoding
363 proteins of apparent non-streptococcal phage origin are positioned downstream of the
364 replication module (detailed in the legend of Fig. 1 and in Table S1), including a RecT
365 recombinase-encoding gene. Interestingly, these genes are often associated with exonuclease-
366 encoding genes which together form so-called ‘recombination modules’ (67). Indeed, this is
367 the case for the 987 group phages, with the exonuclease-encoding gene being located
368 immediately downstream of the recombinase-encoding genes (Fig. 1). Phages 9871, 9872 and
369 9873 are also predicted to encode a cytosine-5 methyltransferase (*ORF46*₉₈₇₁). In general,
370 phage-encoded methyltransferases are thought to be an anti-defensive response to the DNA-
371 targeting activity of restriction-modification systems in bacterial hosts, but potentially also
372 function in other viral and cellular processes (for a review, see 68).

373 The proposed ‘terminal’ ORFs are defined here as those ORFs preceding the small
374 subunit of the terminase in the genomes of the 987 group phages (*ORF50*_{9871/9872}, *ORF49*₉₈₇₃
375 or *ORF48*₉₈₇₄; Fig. 1). These ORFs are defined as ‘terminal’ based on homologues being
376 present upstream of the defined *cos*-site in several *cos*-containing phages of *S. thermophilus*
377 such as DT1 (15), Sfi19 (14), 7201 (16), Sfi21 (14) and Abc2 (6); the *cos*-site, in turn, being
378 located upstream of the small subunit of the terminase. The protein products of the terminal
379 ORFs in the 987 group phages appear to be conserved in 9871-3, with that of 9874 being
380 divergent. A Pfam search using these proteins in phages 9871-3 indicate that they belong to
381 the DUF1492 family, which was recently found to be one of several major groups of ‘late
382 transcriptional regulators’ (ltr) in phages of Gram-positive bacteria (69). Similarly,
383 transcriptional regulation appears to be the primary function of the product of *ORF48*₉₈₇₄,

384 which shows approximately 50 % amino acid identity with ArpU family transcriptional
385 regulators of various streptococcal species (69).

386

387 *Morphological characteristics*

388 The morphology of the *Siphoviridae* family has been well documented (group B as defined
389 by D. E. Bradley [9]), and siphophages infecting *L. lactis* and *S. thermophilus* exhibit the
390 expected morphology, with icosahedral heads and non-contractile tails. Phages infecting *S.*
391 *thermophilus* in general possess longer tails than their lactococcal counterparts (with an
392 exception being the 949 group of lactococcal phages [40, 70]), their long tails being
393 consistent with their long TMP-encoding genes (71). Upon electron microscopic analysis, it
394 was found that phages 9871-4 exhibit icosahedral heads and relatively short tails (see Fig. 4E
395 for exact dimensions). The distal tail-associated baseplate, which generally functions in the
396 attachment of the phage to the bacterial cell (discussed above), is clearly visible (Fig. 4A -
397 D). The presence and observed features of a base plate are consistent with those previously
398 observed in P335 species *L. lactis* phages such as Tuc2009 and TP901-1 (57, 71, 72), and
399 indeed with the observed similarity between the tail structural gene products and those
400 encoded by the 987 group phages. The measured head diameter is similar among each of the
401 987 group phages, yet slightly larger than those previously reported for Tuc2009 and TP901-
402 1 - similarly, the tail lengths of the phages in the 987 group are within the same range relative
403 to each other, but slightly shorter than previously reported for those P335 phages (72-74). In
404 keeping with this distinction between phages infecting *S. thermophilus* and *L. lactis* P335
405 group phages, the observed tail lengths of the 987 group phages are lower than previously
406 reported for *S. thermophilus* phages (71).

407

408 *Adsorption & adsorption inhibition assays*

409 Consistent with the genetic composition of the structural module (and, in particular, the
410 antireceptor-encoding genes) of the four 987 group phages, it appears that these phages are
411 able to adsorb to certain *L. lactis* strains as well as the primary *S. thermophilus* host. Ten *L.*
412 *lactis* strains, which are routinely combined with ST64987 in industrial fermentations, were
413 initially tested for phage adsorption (as described above), of which one (LL64981) appeared
414 to adsorb all four 987 group phages at a level of approximately 50 % (Fig. 5). For this assay,
415 a negative control phage (3681, a *cos*-containing phage infecting industrial *S. thermophilus*
416 strain ST67368) was used to illustrate the specific adsorption affinity of strains ST64987 &
417 LL64981 for the 987 group phages. Following the adsorption assay, a DNA transduction
418 experiment was performed using this phage/strain combination, as well as a positive control
419 combination, according to a previously reported method (75) - however, no confirmed *L.*
420 *lactis* transductants were obtained (data not shown). Adsorption to both strains of *L. lactis*
421 and *S. thermophilus* suggests that a common cell-surface molecule is recognized by these
422 hybrid phages (discussed further below), complemented by the observed genetic similarity of
423 the tail tip regions of the 987 group phages to those regions in phages infecting *L. lactis*.
424 Indeed, a phage infecting *L. lactis* LL64981 (termed 98103) was also shown to exhibit
425 adsorption affinity to both its host and to *S. thermophilus* ST64987 (Fig. 5).

426 In order to further investigate the interaction between the 987 group phages and their
427 host(s), we performed a competitive phage adsorption inhibition assay using the presumed
428 host-recognition protein RBP₉₈₇₁. This protein product is proposed to represent the
429 antireceptor of this phage group based on the position of the encoding gene in all four phage
430 genomes (Fig. 1), its confirmed presence as a structural protein in the viral particle (Fig. 2A
431 and C), and for reasons discussed in detail above. RBP₉₈₇₁ was overexpressed and purified
432 (Fig. 6A, Lane 2), and then used in adsorption inhibition assays (as described in Materials

433 and Methods). Figure 6 clearly shows that RBP₉₈₇₁, when incubated with wild-type *S.*
434 *thermophilus* and *L. lactis* cells, inhibits adsorption of phage 9871 to both strains in a dose-
435 dependent manner (Fig. 6B and C). Maximal (an average of approximately 80 %) adsorption
436 inhibition was achieved using a concentration of 69.7 pM in both cases, a concentration
437 comparable to that observed by B. Collins, *et al.* (35) using a lactococcal phage RBP and host
438 combination. Any observed difference in the potency of the respective RBPs may possibly be
439 accounted for by an increased (or decreased) amount of available binding sites present on the
440 cell surface, particularly considering the differences in host genera (for *S. thermophilus*
441 ST64987) and subspecies (for *L. lactis* subsp. *lactis* LL64981).

442 These adsorption inhibition data have a number of implications. Firstly, it may be
443 postulated that the cell surface target used by the 987 group phages is carbohydrate in nature,
444 considering the putative carbohydrate-binding function of the antireceptor protein (discussed
445 above), as well as the homology of the tail tip regions to phages of *L. lactis*, which target cell
446 surface carbohydrate moieties (76). These data also suggest that the phage target expressed
447 on the cell surface of *S. thermophilus* ST64987 and on *L. lactis* LL64981 is at least similar in
448 nature. Considering the observed similarity between some *S. thermophilus* and *L. lactis* genes
449 encoding exopolysaccharide (EPS) biosynthetic elements (1, 77), combined with the
450 observed heterogeneity of the EPS clusters of *S. thermophilus* (1, 77, 78), this is conceivable.
451 Furthermore, considering the genetic divergence between the antireceptors of the 987 group
452 phages and those of previously sequenced phages such as DT1 (11), and phage 5093 (13), it
453 is possible that alternative cell surface targets are recognized by these phages during the
454 initial phage-host interaction.

455

456 *Evolutionary aspects*

457 Phages 9871-4 represent a group of *S. thermophilus*-infecting phages which is distinct from
458 documented *cos*-containing and *pac*-containing phage groups, as well as the more recently
459 discovered 5093 group. While phage 5093 appears to have acquired several genes from non-
460 dairy streptococcal phages such as those infecting *Streptococcus pneumoniae*, *Streptococcus*
461 *gordonii* and *Streptococcus pyogenes* (13), a genetic crossover previously observed in
462 prophages of *S. pyogenes* (79), the 987 group phages appear to have been the result of a
463 genomic recombination event between a (temperate) P335 phage of *L. lactis*, and an
464 unknown *S. thermophilus* phage. Figure 7 shows the genetic distance between the currently
465 known (thirteen) fully sequenced *S. thermophilus* phages, ten sequenced *L. lactis* phages of
466 the P335 group and the four 987 group phages. Using this (unrooted) visualization, it appears
467 that the 987 group phages are derivatives of the P335 subgroup of which Tuc2009, TP901-1,
468 P335 and ul36 are members, and are more closely related to this group than to the other
469 known phages that infect *S. thermophilus*. Considering the high level of nucleotide identity to
470 these phages across the (generally) more conserved structural regions, this is not surprising.
471 Alignments of the structural modules (Fig. 7B; comprising the TerS-encoding gene to the
472 holin-encoding gene) and the replication modules (Fig. 7C; comprising the lysin-encoding
473 gene to the terminal ORF-encoding gene) of the 987 group with the relevant comparators, i.e.
474 phages which also harbor group II/7201-like replication modules (42, 66), show a clear
475 difference in clustering, indicating the diverse lineage of these respective modules in the 987
476 group phages.

477 The genetic/structural similarity of these phages to those of *L. lactis* - with the
478 retention of the ability to infect *S. thermophilus* - may be considered a form of adaptive
479 mosaicism, a known evolutionary strategy common to phages infecting a wide range of
480 bacteria (80, 81). Due to the close association of *L. lactis* and *S. thermophilus* both in raw
481 milk and in the dairy processing environment, gene transfer between (the phages of) these

482 species has been the subject of speculation – with perhaps the most striking phage example of
483 this phenomenon being observed in the case of phage BK5-T, a temperate phage of *L. lactis*
484 H2L (82), which shares significant sequence similarity with *S. thermophilus* phage Sfi21
485 (83). Further examples of this phenomenon include the genomes of phage 1358 (infecting *L.*
486 *lactis* SMQ-388), with homology to phages infecting *Listeria monocytogenes* (84); phage
487 Q54 (infecting *L. lactis* SMQ-562) which appears to be a hybrid of the 936 and c2
488 lactococcal phage species (85), and phage 1706 (infecting *L. lactis* SMQ-450), proposed to be
489 derived from a number of prophages of other *Firmicutes* (86). The mechanisms by which
490 such horizontal gene transfer events between phages occur have also been proposed. S.
491 Moineau, *et al.* (22) and E. Durmaz & T. R. Klaenhammer (87) have shown that lytic phages
492 can evolve by acquiring segments of DNA from the host chromosome (including, potentially,
493 remnant prophage) sometimes in response to pressure from abortive infection (Abi) phage
494 resistance systems (88). More recently it has been shown that transduction in *L. lactis* is
495 possible using *S. thermophilus* phages (75), clearly demonstrating that phage-mediated
496 horizontal DNA transfer between these two species is possible. Furthermore, considering the
497 rapid nature of phage infection (reviewed by A. Quiberoni, *et al.* (7)) and, in turn (by
498 necessity), the acquisition of phage resistance, a common genetic lineage in phages of *S.*
499 *thermophilus* and *L. lactis* phages may be reflected in the numerous phage resistance
500 mechanisms of their hosts. Indeed, this has been shown by X. Sun and colleagues (89), who
501 demonstrated that the superinfection exclusion (sie) phage resistance protein Ltp confers
502 phage resistance to both *S. thermophilus* and *L. lactis* hosts, against their respective attacking
503 phages (89). Such multi-genus protection is indicative of co-evolution of both phage and
504 host, possibly accelerated by their continuous mutual exposure in the dairy environment.

505 The impact of genetic mosaicism in phages on the marketplace is illustrated by the
506 987 group phages above, which retain infective ability in *S. thermophilus*, despite having

507 many genetic and morphological characteristics of phages of *L. lactis*. This is an important
508 consideration in the dairy industry, an environment in which lactic acid bacteria are in close
509 proximity on a regular basis, and which may well present further examples of genetic
510 mosaicism as an evolutionary strategy in dairy phages.

511

512 *Conclusions*

513 Here we report the complete genome sequences of four novel phages infecting the dairy
514 bacterium *S. thermophilus*. Comparative genomic analysis revealed high level of nucleotide
515 homology to the replication modules of *S. thermophilus* phages and the structural modules of
516 *L. lactis* phages, suggesting a relatively recent horizontal gene transfer or recombination
517 event. These genome sequences represent a significant divergence compared to the
518 previously published thirteen *S. thermophilus* phage genomes, being highly mosaic in nature,
519 and are the first members of this phage group to be sequenced. The structural protein
520 complement of one of these phages (as a representative of the group) was determined and
521 found to be similar to previously characterised phages of *L. lactis*. Morphological similarity
522 to phages of *L. lactis* was also observed using electron microscopic analysis, in which short
523 tails and claw-like baseplates were observed in all four members of the group.

524 Adsorption studies revealed the ability of members of this group of phages to adsorb
525 to both their native *S. thermophilus* hosts as well as an *L. lactis* strain with which it is
526 routinely combined in dairy fermentations, suggesting that certain cell surface molecules are
527 shared between the genera. This finding also hints at the event by which the hybrid genomes
528 of these phages may have begun to be replicated, possibly being facilitated by mutually
529 expressed cell surface proteins in combination with a favourable *S. thermophilus* phage co-
530 infection or prophage-mediated evolutionary event. The phage gene product responsible for

531 this adsorption was defined by the use of purified protein to inhibit phage adsorption to both
532 strains, providing a more detailed analysis of the initial phage-host interaction.

533 Genetic mosaicism is a common trait of bacteriophages and, in the context of dairy
534 fermentations, may represent a new challenge to phage control methods, which usually
535 consist of traditional bacteriophage insensitive mutant (BIM) generation and rotational
536 schemes. In light of the rapidly increasing genetic diversity being observed in phages of *S.*
537 *thermophilus*, continual monitoring of phage populations in dairy productions will be
538 necessary to ensure that BIM generation methods and knowledge-based rotational systems
539 can be used effectively to ameliorate phage spoilage of industrial fermentations.

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549

550

551

552 **Figure legends**

553 **Figure 1.**

554 Comparative analysis of the genetic organization and content of phages 9871-4 with
555 archetypes TP901-1 (P335 *L. lactis* phage species) and 7201 (*cos*-containing *S. thermophilus*
556 phage). Predicted ORFs (indicated by arrows) and gene products (putative function indicated
557 by colour coding) are aligned with adjacent genomes according to % amino acid identity
558 (indicated by shaded boxes). Gene products considered to be notable are marked in black,
559 with accompanying legend.

560 **Figure 2.**

561 Structural proteome analysis of phage 9871. (A) Deduced structural proteins (and
562 corresponding ORF number) as identified by ESI-MS/MS (threshold: two unique peptides or
563 5 % ORF coverage). No. of peptides = number of distinct polypeptide strings identified
564 during the analysis. Amino acids = the total number of amino acids identified in each protein.
565 Coverage = the number of amino acids identified expressed as a percentage of the number of
566 amino acids in the entire protein. (B) SDS-PAGE gel (12 %) showing the structural protein
567 profile of phage 9871. Lane 1: Broad range protein ladder (New England Biolabs); Lane 2:
568 phage 9871 protein extraction. (C) ORF schematic of phage 9871 highlighting confirmed
569 structural protein-encoding genes (bold outline).

570 **Figure 3.**

571 Schematic representation of ORFs predicted to encode the tail proteins of *S. thermophilus*
572 phage 7201 (*cos*-containing), 2972 (*pac*-containing) 9871 (987 group), Tuc2009 and phiLC3
573 (*L. lactis* phage P335 group). Predicted ORFs (indicated by arrows) and gene products

574 (putative function indicated by colour coding) are aligned with adjacent genomes according
575 to % amino acid identity (indicated by shaded boxes).

576 **Figure 4.**

577 Uranyl acetate stained transmission electron micrograph of phages 9871 (A) 9872 (B), 9873
578 (C) and 9874 (D), and discerned head and tail measurements (E).

579 **Figure 5.**

580 Adsorption analysis of phages 9871-4 on primary host *S. thermophilus* ST64987 and *L. lactis*
581 LL64981 at adsorption temperature (T_A) = 42 °C. Phage 3681 (a *cos*-containing lytic phage
582 of *S. thermophilus*) is included as an adsorption-negative control for ST64987 and LL64981,
583 and was found separately to adsorb optimally (>90%) to its primary host (*S. thermophilus*
584 ST67368) at T_A = 42 °C. Phage 98103 (P335 species *L. lactis* phage infecting LL64981) was
585 also shown to exhibit adsorption affinity to both strains. Comparable adsorption data for all
586 strains were generated at T_A = 30 °C.

587 **Figure 6.**

588 Phage 9871 adsorption inhibition analysis using varying concentrations of purified RBP₉₈₇₁
589 on strains *S. thermophilus* ST64987 and *L. lactis* LL64981 by blocking assay. (A) SDS-
590 PAGE gel (12 %) showing purified antireceptor of phage 9871. Lane 1: Blue prestained
591 protein standard, Broad range (New England Biolabs), Lane 2: purified 9871 antireceptor.
592 (B) inhibition (%) of 9871 adsorption on ST64987. (C) inhibition (%) of 9871 adsorption on
593 LL64981.

594 **Figure 7.**

595 Unrooted phylogenetic tree showing the genetic relatedness between the 987 group phages,
596 *cos*- and *pac*-containing *S. thermophilus*-infecting phages, as well as *L. lactis* infecting
597 phages of the P335 group (colour coding indicating the respective groupings is indicated in
598 the accompanying legend). (A) whole genome nucleotide comparison; (B) structural module
599 comparison, with those *S. thermophilus* phages also harbouring a group II/7201-like
600 replication module; (C) replication module comparison, with those *S. thermophilus* phages
601 also harbouring a group II/7201-like replication module.

602

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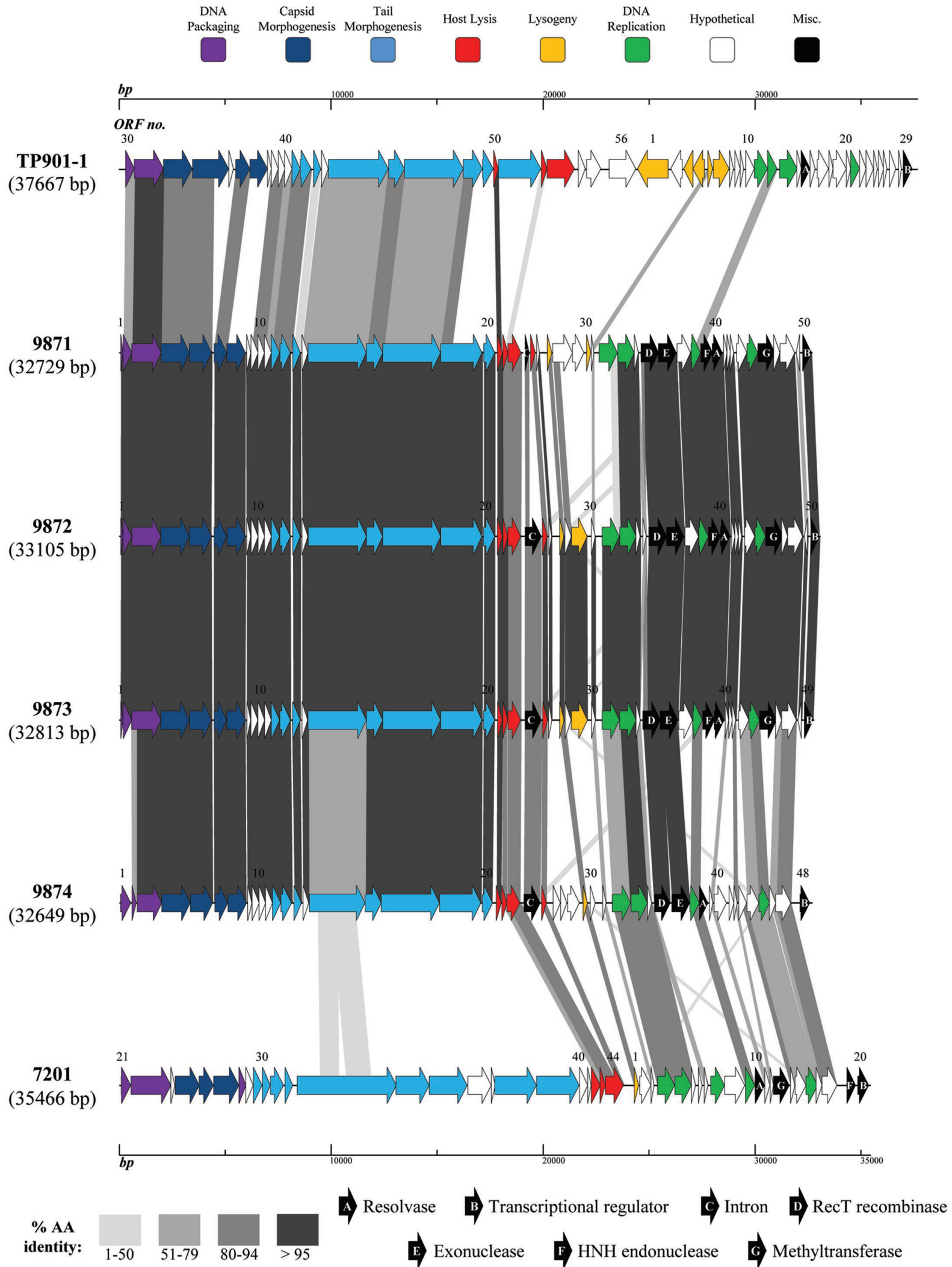
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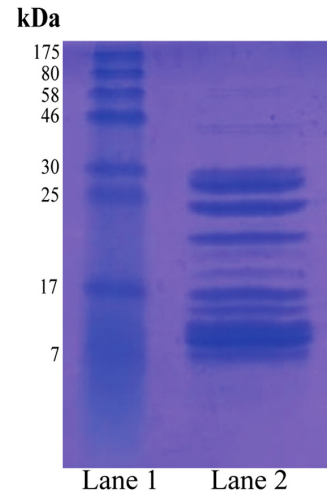
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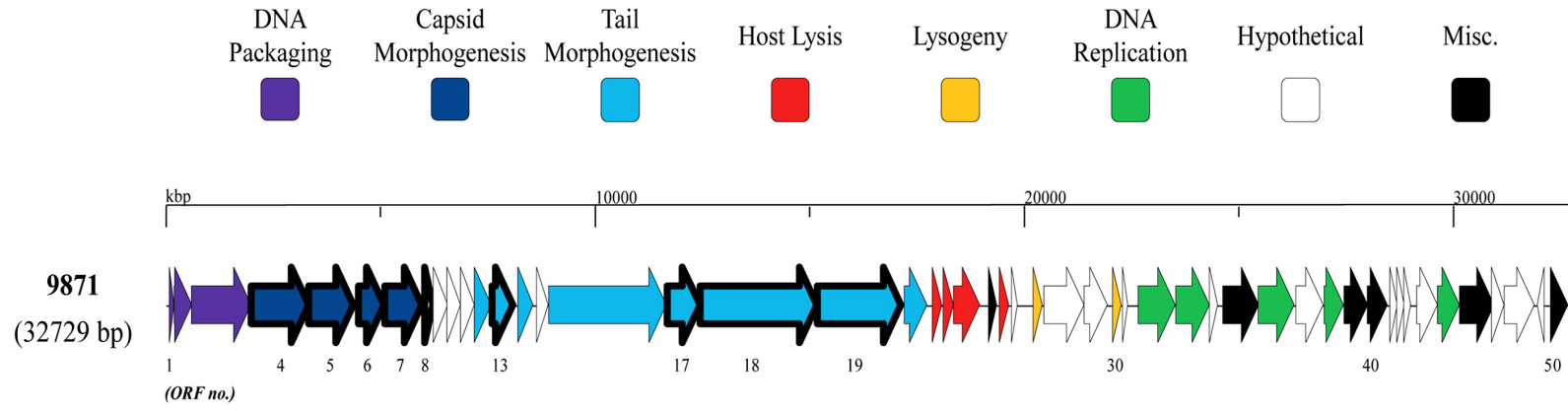


Phage	ORF no.	Putative function	No. of peptides	Amino acids	Coverage (%)
9871	4	Portal protein	4	53	11.9
	5	Minor capsid protein	4	41	11.8
	6	Scaffolding protein	3	42	21.1
	7	Major capsid protein	8	94	32.8
	8	Hypothetical protein	2	28	44.4
	13	Major tail protein	2	19	11.5
	17	Dit	2	27	10.7
	18	Tal	6	73	8.0
	19	Antireceptor	5	71	10.9



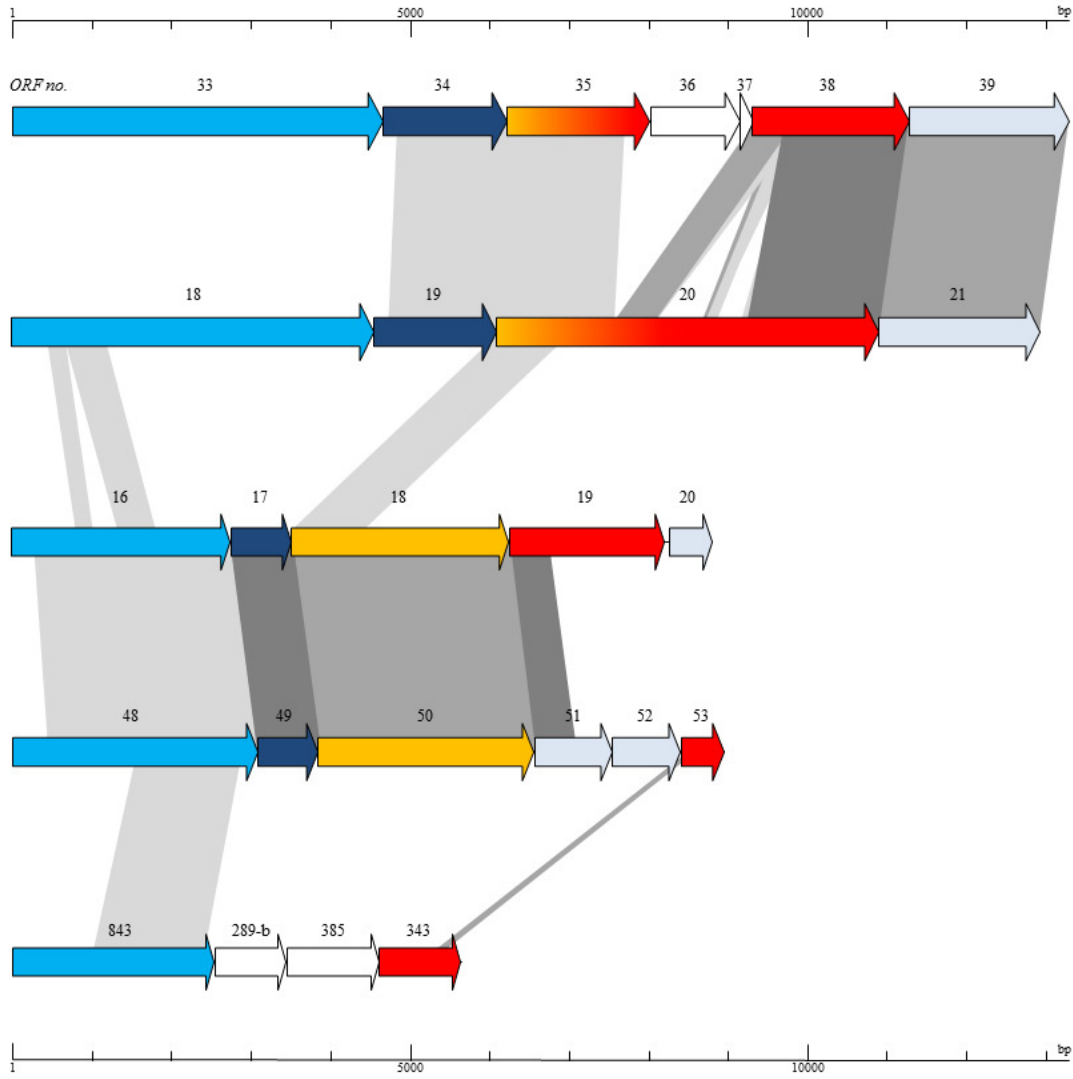
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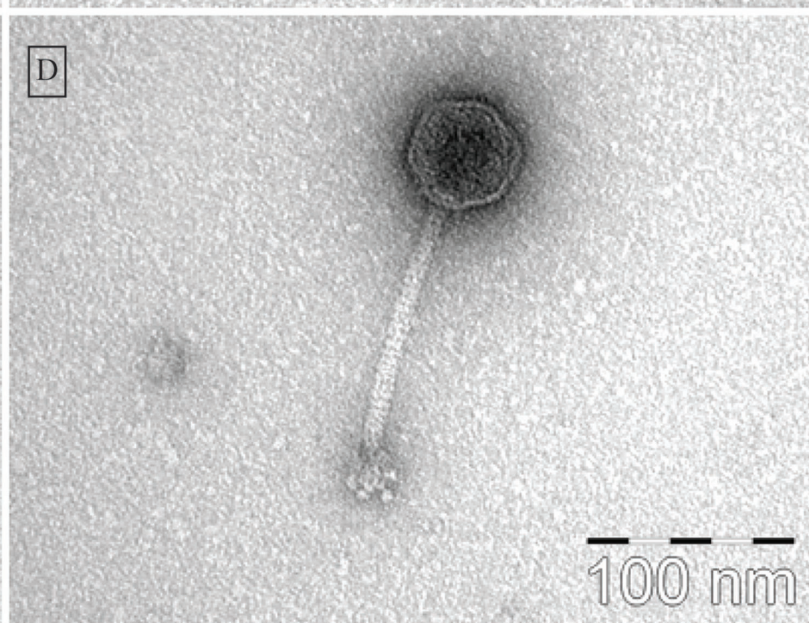
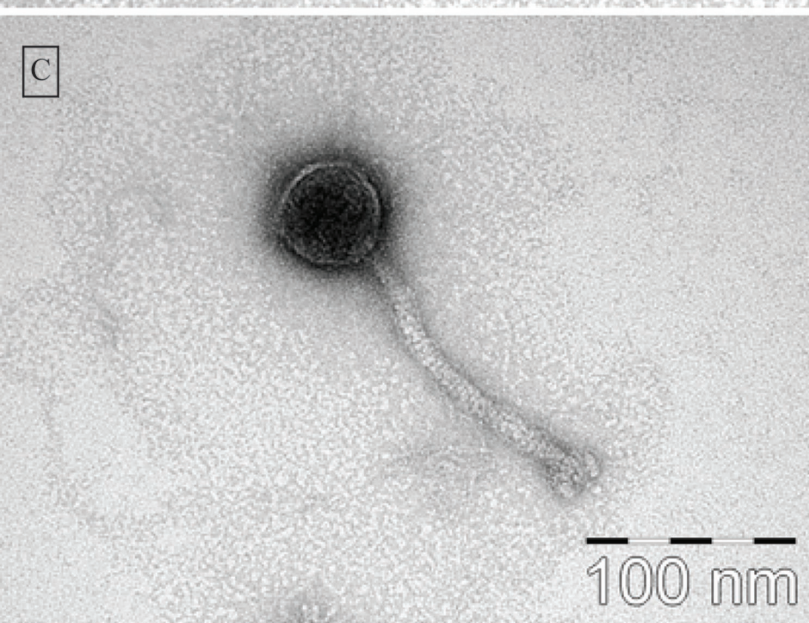
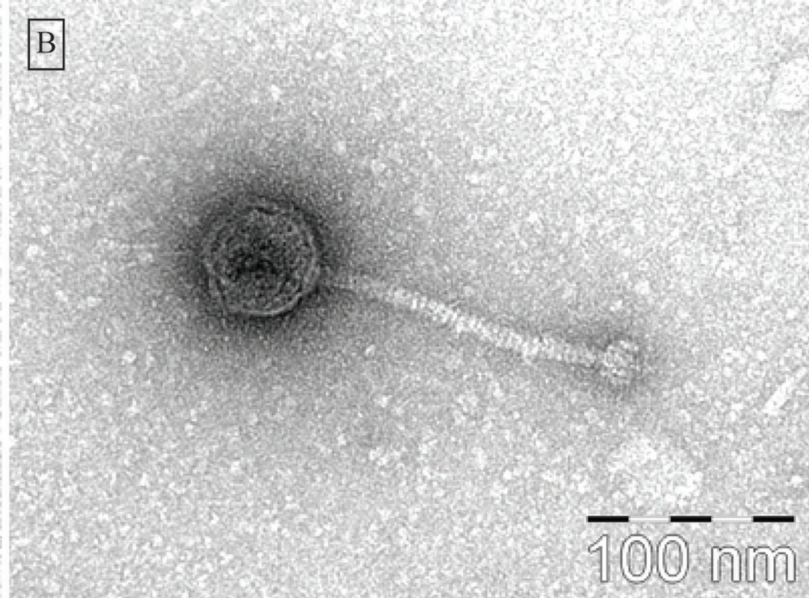
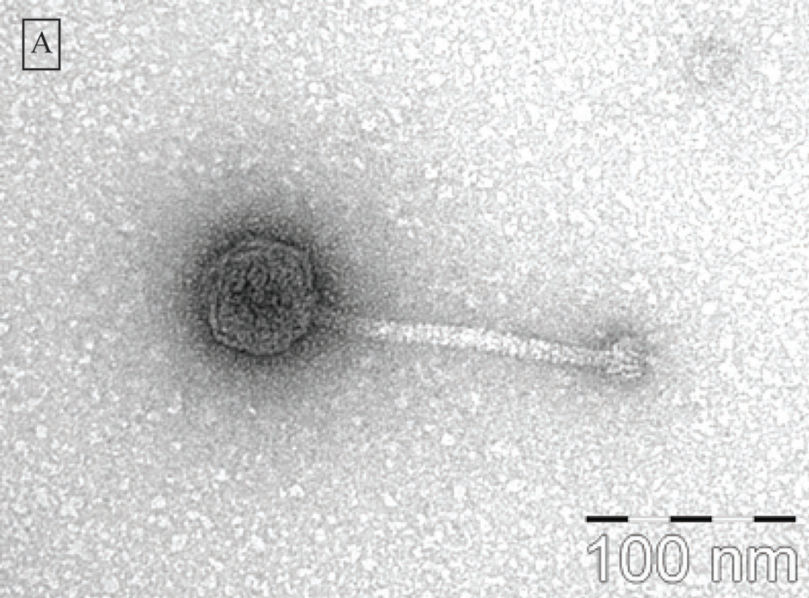
B



Product confirmed as structural.

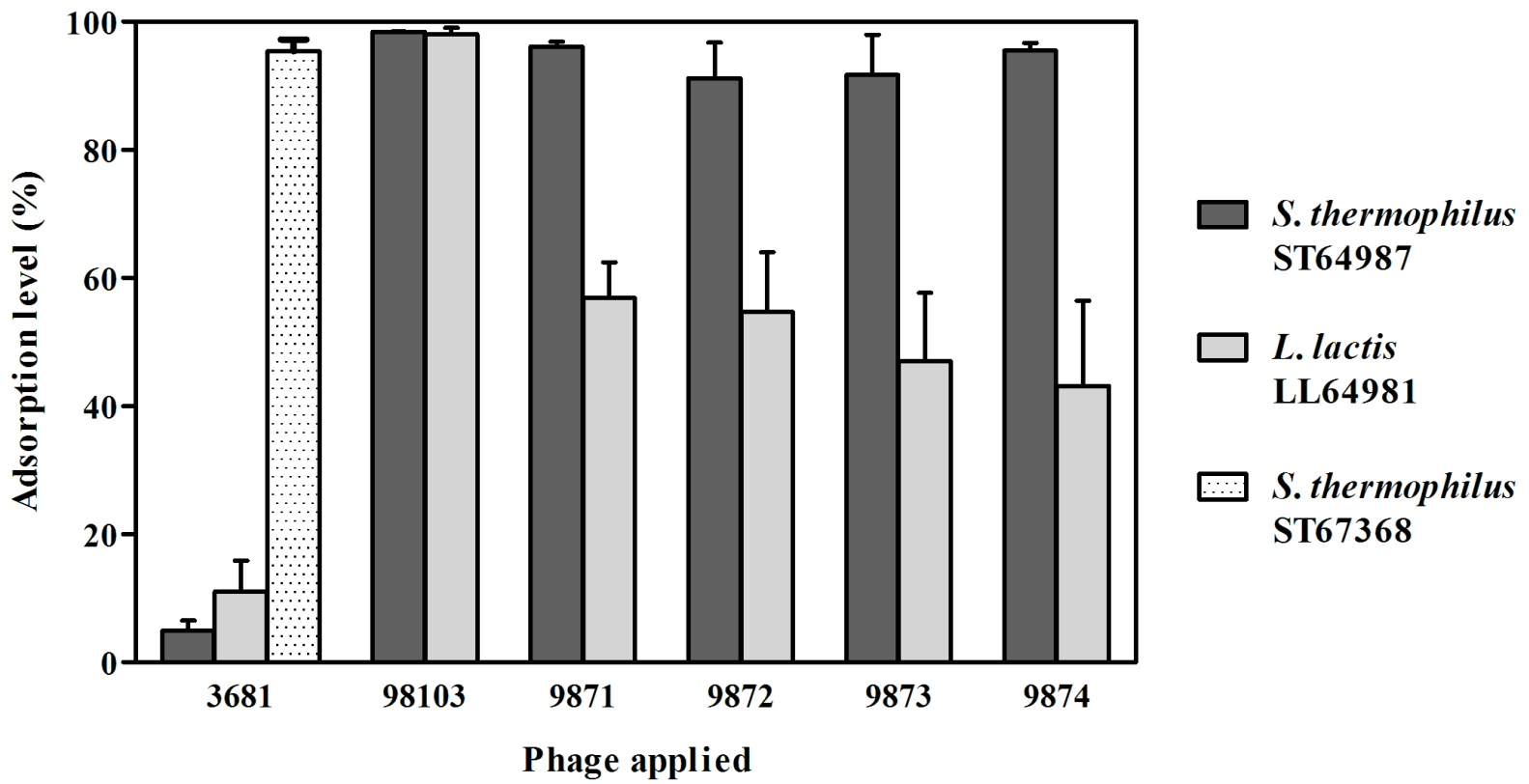
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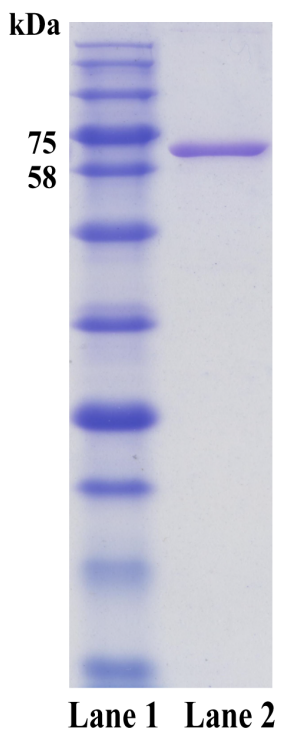




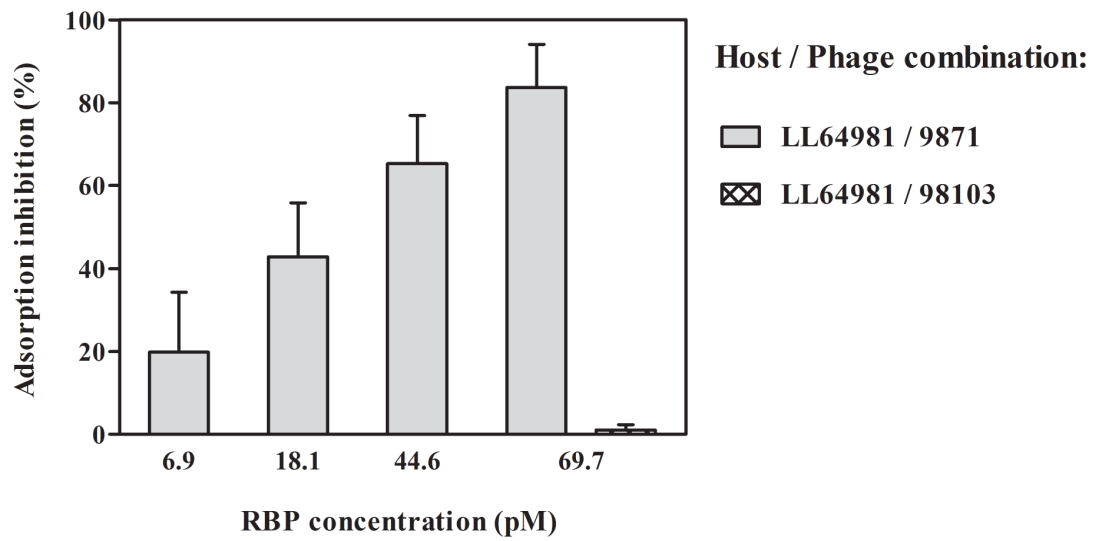
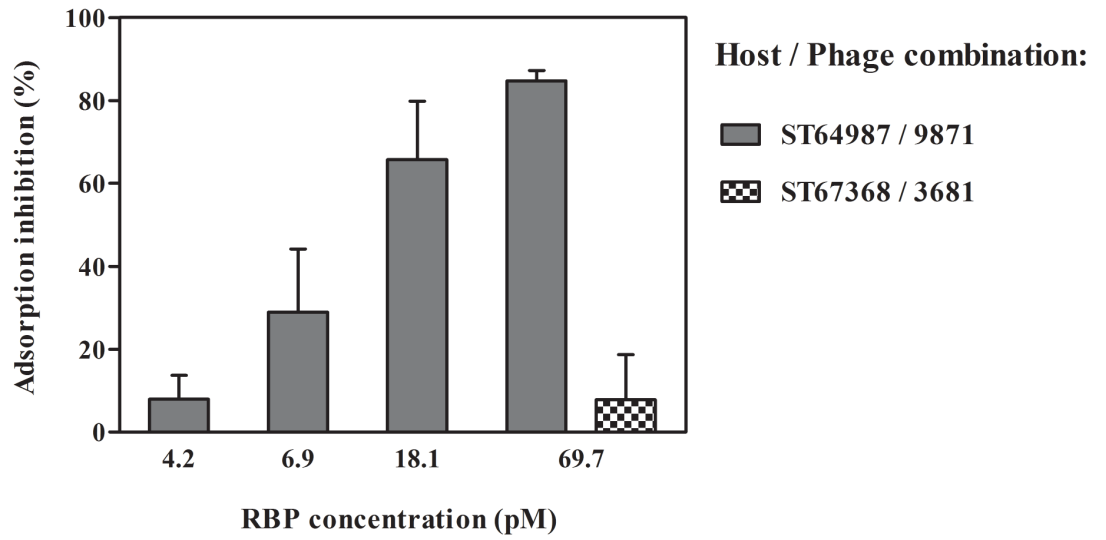
E

Characteristic	9871	9872	9873	9874
Head diameter (nm)	58.6 ± 1.7 (n=16)	58.4 ± 1.1 (n=11)	56.8 ± 1.8 (n=13)	58.3 ± 1.5 (n=14)
Tail length (nm)	139.9 ± 1.2 (n=13)	137.8 ± 2.3 (n=13)	135.1 ± 2.9 (n=12)	132.2 ± 2.7 (n=14)

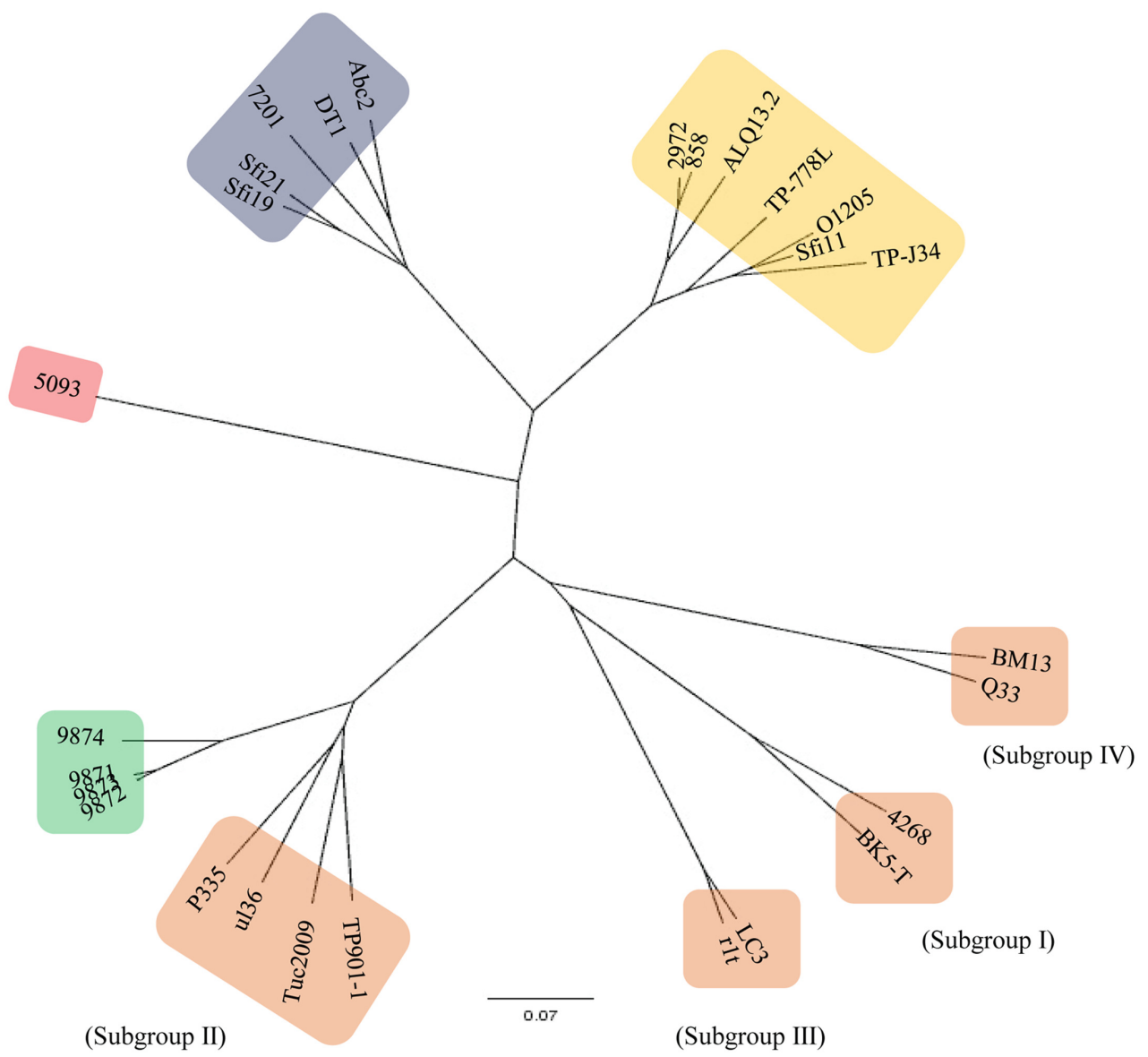




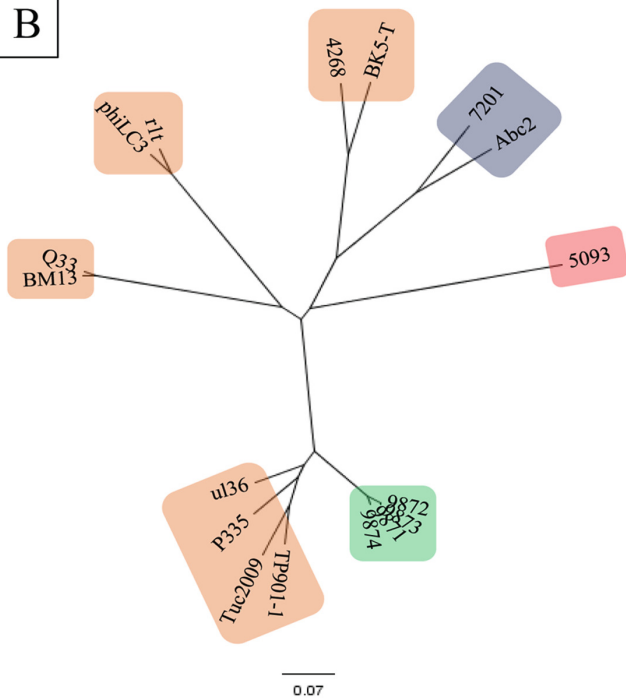
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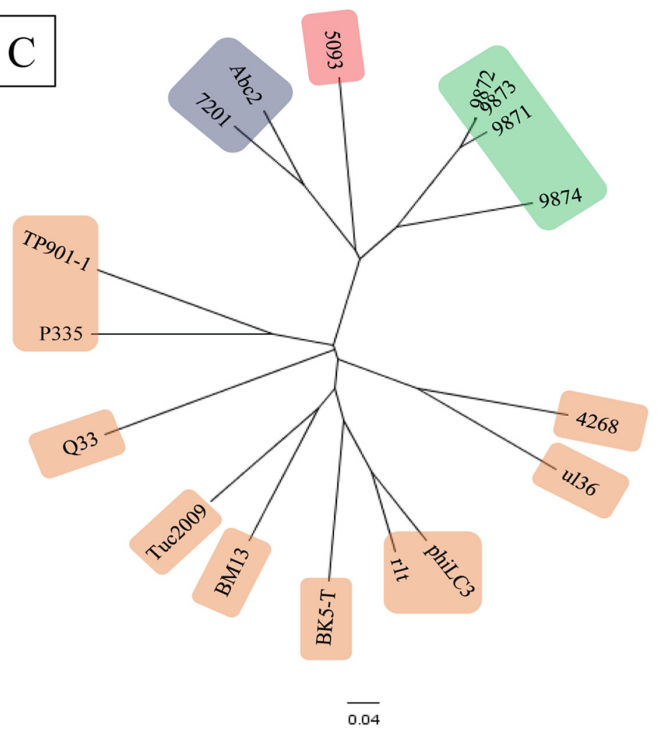
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B



C



■ *cos*-containing group
 ■ *pac*-containing group
 ■ 5093 group
 ■ P335 group
 ■ 987 group

Table 1: Bacterial strains and bacteriophages used in this study.

Bacteria/Phages	Description	Origin
<i>Bacterial strains</i>		
ST64987	<i>Streptococcus thermophilus</i> host for phages 9871-4	DSM, The Netherlands
LL64981	<i>Lactococcus lactis</i> subsp. <i>lactis</i> host for phage 98103	“
ST67368	<i>S. thermophilus</i> host for phage 3681, as adsorption control	“
NZ9000	Transformation host	Kuipers <i>et al.</i> , 1998
<i>Phages</i>		
9871	Lytic phage of <i>S. thermophilus</i> ST64987	DSM, The Netherlands
9872	“	“
9873	“	“
9874	“	“
3681	Lytic phage of <i>S. thermophilus</i> ST67368, as adsorption control	“
98103	Lytic phage of <i>L. lactis</i> LL64981, as adsorption control	“

Table 2: General characteristics of the genomes of phages 9871-4.

Characteristic	9871	9872	9873	9874
Length (bp)	32,729	33,105	32,813	32,649
Predicted ORFs (no.)	50	50	49	48
Coding (%)	92.2	91.4	91.7	89.0
GC content (%)	37.06	36.84	36.9	36.62