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# Prevalence of Ischemia in Patients with a Chronic Total Occlusion and Preserved Left Ventricular Ejection Fraction

Wijnand J. Stuijtzand, MD<sup>1</sup>, Roel S. Driessen, MD<sup>1</sup>, Pieter G. Raijmakers, MD, PhD<sup>2</sup>, Mischa T. Rijnierse, MD<sup>1</sup>, Joren Maeremans MSc<sup>3</sup>, Maurits R. Hollander, MD<sup>1</sup>, Adriaan A. Lammertsma, PhD<sup>2</sup>, Albert C. van Rossum, MD, PhD<sup>1</sup>, Jo Dens, MD, PhD<sup>3</sup>, Alexander Nap, MD, PhD<sup>1</sup>, Niels van Royen, MD, PhD<sup>1</sup>, and Paul Knaapen, MD, PhD<sup>1</sup>

<sup>1</sup>Departments of Cardiology, <sup>2</sup>Radiology & Nuclear Medicine, VU University Medical Center, Amsterdam, the Netherlands

<sup>3</sup>Faculty of Medicine and Life Sciences, Universiteit Hasselt, Hasselt, Belgium; Department of Cardiology, Ziekenhuis Oost-Limburg, Genk, Belgium.

Short title: Prevalence of Ischemia in CTO patients

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**Address for correspondence:**

P. Knaapen, MD, PhD  
Department of Cardiology  
VU University Medical Center  
De Boelelaan 1117, 1081 HV Amsterdam, The Netherlands  
Phone: + 31 20 4442441 / Fax: + 31 20 4442446  
Email: [p.knaapen@vumc.nl](mailto:p.knaapen@vumc.nl)

1 **ABSTRACT**

2 **Aims:** Previous studies on invasive assessment of collateral function in CTO patients have displayed  
3 only a limited increase in collateral flow and high occurrence of coronary steal during  
4 pharmacological stress. This could question the necessity for ischemia testing prior to  
5 revascularization of CTOs in the presence of myocardial viability. The purpose of the present study  
6 was to determine the prevalence of perfusion impairments in patients with a CTO as assessed by  
7 [<sup>15</sup>O]H<sub>2</sub>O positron emission tomography (PET).

8 **Methods and Results:** Seventy-six consecutive patients (60 men, 62±10 years) with a documented  
9 CTO and preserved left ventricular ejection fraction (LVEF) were included. All patients underwent PET  
10 to assess (hyperemic) myocardial blood flow (MBF) and coronary flow reserve (CFR). Collateral  
11 connection score was zero in 7 (9%), 1 in 13 (17%), and 2 in 56 (74%) of the cases, with  
12 predominantly a high Rentrop grade (96%≥2). MBF of the target area during hyperemia was  
13 significantly lower as compared to the remote area (1.37±0.37 vs. 2.63±0.71 mL·min<sup>-1</sup>·g<sup>-1</sup>, p<0.001).  
14 Target to remote ratio during hyperemia was on average 0.54±0.13, and 73 (96%) patients  
15 demonstrated a significantly impaired target to remote ratio (≤0.75). Only 7 (9%) patients displayed a  
16 preserved CFR of ≥2.50, whereas coronary steal (CFR<1.0) was observed in 10 (13%) patients.

17 **Conclusions:** Even in the presence of angiographically well-developed collateral arteries, the vast  
18 majority of CTO patients with a preserved LVEF showed significantly impaired perfusion. These  
19 results suggest that collateral function during increased blood flow demand in viable myocardium is  
20 predominantly insufficient.

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22

1 **ABBREVIATIONS LIST**

2 CTO, chronic coronary total occlusions

3 CAD, coronary artery disease

4 PET, positron emission tomography

5 LVEF, left ventricular ejection fraction

6 MBF, myocardial blood flow

7 ICA, invasive coronary angiograms

8 CC, collateral connection score

9 RFR, relative flow reserve

10 CFR, coronary flow reserve

11 J-CTO, Japanese CTO score

12 SPECT, single photon emission computed tomography

13

## 1 INTRODUCTION

2 Chronic coronary total occlusions (CTO) are encountered in up to one third of patients with known or  
3 suspected coronary artery disease (CAD).(1-3) A CTO is defined as a native coronary artery with  
4 complete discontinuity of contrast opacification and TIMI flow grade zero or 1 for  $\geq 3$  months.(4-6)  
5 Successful percutaneous coronary intervention (PCI) of a CTO is associated with symptom relief,  
6 recovery of left ventricular function, and improved survival as opposed to patients in whom the  
7 procedure was unsuccessful(3;5;7;8). Still, patients with a CTO are less likely to be treated with  
8 percutaneous revascularization.(1) The reluctance of physicians to refer patients for PCI of a CTO is  
9 based on lower procedural success, traditionally higher complication rates, contrast and radiation  
10 burden, and potentially the assumption that angiographically well-developed collateral arteries  
11 (Rentrop grade 2-3) prevent myocardial ischemia.(5;9) Consequently, non-invasive evaluation of  
12 myocardial ischemia and viability has been proposed to justify percutaneous revascularization of a  
13 documented CTO.(10;11) An ischemic burden of  $\geq 10\%$  has been considered of prognostic value and  
14 has been incorporated in those decision schemes.(12) However, these decision schemes are mainly  
15 based on the uncertainty of the hemodynamic significance of non-occlusive CAD, whereas CTOs are  
16 purely dependent on collateral flow.(13;14) Previous invasive flow investigations have demonstrated  
17 the inability of collateral arteries to comply with the demand during exercise.(15;16) This could  
18 question the necessity for ischemia testing prior to revascularization of CTOs in the presence of  
19 myocardial viability. The present study was designed to evaluate the prevalence and extent of  
20 absolute myocardial perfusion impairment using cardiac positron emission tomography (PET) in  
21 patients with a documented CTO and a preserved left ventricular ejection fraction (LVEF).

22

# 1 **METHODS**

## 2 **Study design and participants**

3 All CTO-patients considered for percutaneous revascularization at the VU University Medical Center  
4 are analyzed in a dedicated program with two experienced CTO operators. Invasive coronary  
5 angiograms, myocardial viability, ischemia, and cardiac symptoms of all patients were analyzed to  
6 determine indication for revascularization and a prospective database of all patients is maintained.  
7 Symptomatic as well as asymptomatic patients are included in this database. Patients with a  
8 documented CTO referred for [<sup>15</sup>O]H<sub>2</sub>O PET to assess myocardial blood flow (MBF) were included in  
9 the present study. Inclusion criteria were a documented CTO of a native coronary artery and a  
10 preserved LVEF (≥ 50%) on echocardiography or magnetic resonance imaging to guarantee viable  
11 myocardium of the downstream myocardial territory of the CTO. Exclusion criteria were symptomatic  
12 asthma, pregnancy, high degree AV-block, and three-vessel disease. The study was approved by the  
13 institutional ethics committee.

## 14 **Angiographic CTO characteristics**

15 Pre-interventional invasive coronary angiograms (ICA) were evaluated by two experienced CTO  
16 operators (PK & AN) during a consensus meeting to determine angiographic CTO characteristics. A  
17 CTO was defined as an occlusion on ICA with no or minimal antegrade filling of the distal vessel (TIMI  
18 0-I).(5) Collateral connection score was graded as no visible connection (CC0), thread-like connection  
19 (CC1), or small branch like connection (CC2).(15) Collateral flow was scored based on contra-lateral  
20 filling of the occluded artery consistent with the Rentrop and Cohen classification.(17) Angiographic  
21 CTO morphology was assessed according to the J-CTO score.(18)

## 22 **Positron emission tomography**

1 PET studies using [<sup>15</sup>O]H<sub>2</sub>O were performed as described previously.(19) Briefly, patients were  
2 scanned on an Ingenuity TF 128 PET/CT scanner (Philips Healthcare, Best, The Netherlands). All  
3 patients were asked to refrain from any caffeine or xanthine containing products for 24 hours prior  
4 to scanning. After a scout-CT for patient positioning, a dynamic emission scan was performed at rest  
5 followed by an identical scan during intravenous adenosine (140 μ·kg<sup>-1</sup>·min<sup>-1</sup>) induced hyperemia.  
6 Parametric MBF images were generated and analyzed quantitatively by an experienced analyst using  
7 Cardiac VUer.(20) MBF during baseline and hyperemia was calculated according to standard  
8 segmentation procedures for each of the following three vascular territories, left anterior descending  
9 (LAD), circumflex (Cx), and right coronary artery (RCA).(21) CFR was calculated as the ratio of  
10 hyperemic MBF to baseline MBF and relative flow reserve (RFR) was calculated as the ratio of  
11 hyperemic MBF of the downstream myocardial area of a CTO (target) to hyperemic MBF of a normal  
12 reference vascular territory (remote). Remote area was defined as four adjacent segments supplied  
13 by a non-obstructive vessel with the highest hyperemic perfusion values. Parametric hyperemic MBF  
14 polar maps were visually assessed to delineate defect areas. Quantitative MBF values of CTO vessels  
15 were obtained for the perfusion defect only as opposed to entire vascular territories.

## 16 **Statistical analyses**

17 Continuous variables are presented as mean values ± standard deviation (SD), whereas categorical  
18 variables are expressed as actual numbers. Continuous variables of paired data were compared with  
19 the paired sample t-test. Intergroup differences were determined with ANOVA and a posthoc  
20 Bonferroni for localizing the source of the difference. Differences in MBF and CFR predicted by given  
21 variables were estimated by linear regression analysis. Each variable was first modeled separately. All  
22 variables that were significant with univariate analyses were entered simultaneously in a multivariate

1 regression analyses model using backward elimination. A level of  $p < 0.05$  was considered significant.

2 Statistical analyses were performed using SPSS software (IBM SPSS Statistics 20.0, Chicago, IL).

3



## 1 **RESULTS**

### 2 **Clinical characteristics**

3 Seventy-six consecutive patients with a documented CTO and preserved LVEF who underwent  
4 [<sup>15</sup>O]H<sub>2</sub>O cardiac PET to determine indication for revascularization were included. Baseline patient  
5 characteristics are shown in table 1. Seventeen patients (22%) were asymptomatic at time of cardiac  
6 PET, whilst the majority of patients were analyzed for stable angina (n=43, 57%), dyspnea (n=13,  
7 17%), or unstable angina (n=3, 4%). Asymptomatic patients were analyzed to determine indication  
8 for PCI CTO after PCI for (Non-)ST-elevated myocardial infarction (n=12), staged PCI for stable angina  
9 (n=3), cardiovascular screening (n=1), or an episode of ventricular arrhythmia (n=1). CTOs were  
10 predominantly located in the RCA (n=52, 68%) and less frequently in the LAD (n=15, 20%), or Cx (n=9,  
11 12%). The majority of the included patients had single vessel disease (CTO), whilst seventeen patients  
12 (22%) had an additional obstructive lesion in a non-CTO vessel. Medical history exposed 34 (45%)  
13 patients with prior myocardial infarction, 53 (70%) patients with previous PCI, and in 5 (7%) patients  
14 coronary artery bypass grafting was performed previously. In 6 (8%) patients, with prior myocardial  
15 infarction, pathologic Q-waves of the downstream CTO-territory were observed on  
16 electrocardiogram. In all these six patients myocardial viability of the CTO territory was confirmed  
17 with late gadolinium enhanced magnetic resonance imaging (<50% transmural). CTO  
18 characteristics are listed in table 2.

### 19 **Hemodynamic conditions during PET**

20 The hemodynamic conditions during baseline and hyperemia PET are summarized in table 3. Heart  
21 rate and rate pressure product increased from baseline to hyperemia (both  $p < 0.001$ ). Systolic blood  
22 pressure and mean arterial pressure were significantly lower during hyperemia as compared to  
23 baseline PET ( $p < 0.01$  and  $p = 0.04$ , respectively).

## 1 **Baseline myocardial blood flow**

2 Global MBF was  $0.95 \pm 0.22 \text{ mL}\cdot\text{min}^{-1}\cdot\text{g}^{-1}$ , whereas MBF of the target area during baseline was  
3 significantly lower as compared to the remote area ( $0.89 \pm 0.25$  vs.  $0.98 \pm 0.23 \text{ mL}\cdot\text{min}^{-1}\cdot\text{g}^{-1}$ ,  $p <$   
4  $0.001$ ). A high J-CTO score ( $\geq 3$ ) resulted in a more reduced baseline perfusion ( $p < 0.01$ ), whilst  
5 baseline perfusion was comparable for different CC scores and Rentrop grades (table 4). An example  
6 of severely impaired baseline perfusion in the presence of angiographically well-developed collateral  
7 arteries is illustrated in figure 1.

## 8 **Hyperemic myocardial blood flow**

9 During hyperemia, mean MBF was significantly lower for target than remote myocardial area ( $1.37 \pm$   
10  $0.37$  vs.  $2.63 \pm 0.71 \text{ mL}\cdot\text{min}^{-1}\cdot\text{g}^{-1}$ ,  $p < 0.001$ , respectively). Relative flow reserve during hyperemia was  
11 on average  $0.54 \pm 0.13$ , and 73 (96%) patients demonstrated a significantly impaired RFR ( $\leq 0.75$ ).  
12 Four (5%) patients did not show any or only limited ischemic burden (0-1 myocardial segments),  
13 whilst 72 (95%) patients displayed moderate (2-4 myocardial segments,  $n=38$  (50%) to severe ( $> 4$   
14 myocardial segments,  $n=34$  (45%)) ischemic burden. Patients with only 0-1 segments of perfusion  
15 defect were all in the J-CTO group 0-1, showed a CC score ranging from 0-2 and a Rentrop grade 2 or  
16 3. A J-CTO score of 0-1 resulted in a less pronounced target perfusion impairment as compared to J-  
17 CTO  $\geq 2$  (figure 2). Hyperemic perfusion was not related to higher CC score and Rentrop grade (Table  
18 4). Figure 3 illustrates the lack of association between collateral arteries and myocardial perfusion of  
19 the downstream CTO area. Hyperemic perfusion of the target area of asymptomatic patients did not  
20 differ from symptomatic patients ( $1.36 \pm 0.37$  vs.  $1.37 \pm 0.37 \text{ mL}\cdot\text{min}^{-1}\cdot\text{g}^{-1}$ ,  $p = 0.96$ , respectively).  
21 Univariate analysis showed that age, J-CTO score, CTO calcification, and the presence of a  
22 microchannel had a significant impact on hyperemic MBF of the downstream CTO territory, whilst

1 multivariate analysis specified that only CTO calcification and the presence of a microchannel were  
2 independently related to hyperemic MBF of the CTO territory (table 5).

### 3 **Coronary flow reserve**

4 Mean CFR of target area was significantly lower compared to the mean CFR of remote area ( $1.67 \pm$   
5  $0.80$  vs.  $2.79 \pm 0.84$ ,  $p < 0.001$ ). Only 7 (9%) patients displayed a preserved CFR of  $\geq 2.50$  in the target  
6 area. Coronary steal (CFR  $< 1.0$ ) of the target area was observed in 10 (13%) patients. Univariate  
7 analysis did not identify any predictors for differences in CFR of the CTO territory (table 5).

8

## 1 **DISCUSSION**

2 The present study was conducted to evaluate the extent of PET perfusion deficits in presence of a  
3 documented CTO. Results indicate that the vast majority of patients demonstrate severe perfusion  
4 impairment on cardiac PET independent of CC score and Rentrop grade in the co-existence of viable  
5 myocardium.

### 6 **CTO characteristics**

7 Well-developed collateral arteries preserve left ventricular function in the manifestation of a  
8 coronary occlusion.(22) In the present cohort of CTO patients with a preserved LVEF, predominantly  
9 well-developed collateral arteries were observed. Almost all patients displayed a CC score  $\geq 1$  and  
10 Rentrop grade  $\geq 2$ , which is in line with previous studies in patients without prior Q-wave myocardial  
11 infarction.(15;16) However, intracoronary flow and pressure measurements allow for a more  
12 accurate estimation of the functional capacity of the collateral circulation than coronary  
13 angiography.(23) These invasive measurements have demonstrated the inability of the collateral  
14 arteries to comply with increased oxygen demand, which is confirmed by the present data.(16;24)  
15 Also, van der Hoeven et al. showed that when using invasive pressure measurements, the mean  
16 collateral flow index (CFI) in CTO patients is only 0.39, pointing at limited functional collateral  
17 capacity in the majority of patients, albeit with a large heterogeneity.(25) Interestingly, baseline and  
18 hyperemic perfusion were significantly lower in patients with unfavorable occlusion characteristics as  
19 expressed by higher J-CTO. It could be hypothesized that a high J-CTO represents more pronounced  
20 CAD, which is correlated with increased myocardial perfusion impairment. In addition, collateral  
21 supply to the CTO territory could be hampered by obstructive or diffuse disease of the donor vessels.  
22 Two-vessel disease was observed in seventeen patients (22%) in the present study, possibly altering  
23 the hyperemic perfusion of the downstream CTO territory. However, there was no significant

1 difference in hyperemic perfusion of the downstream CTO as well as remote area between patients  
2 with single-vessel CTO and two-vessel disease (data not shown,  $p=0.42$  and  $p=0.20$ , respectively).

### 3 **Baseline perfusion**

4 Baseline PET of the present study showed that myocardial perfusion was reduced in the downstream  
5 CTO area during resting conditions. This finding was not completely unexpected since multiple  
6 studies have observed, with invasive pressure interrogation, that invasive CFI was  $< 0.8$  in the  
7 majority of CTO patients.(24;25) Given the wide variation of perfusion demand during resting  
8 conditions, these results do not imply that those patients are in a continuous ischemic state.  
9 However, it may emphasize the limited functional reserve of the microvasculature in collateral-  
10 depending myocardium or represent downregulation of flow as an early sign of hibernation.(26)

### 11 **Hyperemic myocardial perfusion**

12 Multiple studies have shown that hyperemic invasive fractional flow reserve of CTOs is severely  
13 reduced, and that hyperemic Doppler flow distal to the CTO is impaired in a significant proportion of  
14 patients ( $> 90\%$ ).(15;16;24) Also, studies using SPECT showed extensive relative stress perfusion  
15 deficits.(27;28) However, actual quantitative myocardial flow data of CTO-patients is lacking. The  
16 present study demonstrated a severely compromised hyperemic myocardial perfusion of the  
17 downstream CTO area, and a reduction of almost 50% as compared to remote perfusion. (29;30)  
18 Furthermore, 96% of the patients displayed a perfusion target to remote perfusion difference  $\geq 25\%$   
19 ( $RFR \leq 0.75$ ). This would be comparable with a fractional flow reserve  $\leq 0.75$  in the co-existence of  
20 completely normal remote perfusion(31), although, the presence of a CTO is associated with a lower  
21 FFR of the contralateral vessel due to donor collateral supply.(16;32) This suggest that remote  
22 perfusion in CTO patients is an unsuitable reference standard for RFR calculation as it would  
23 underestimate the relative perfusion impairment.

1 In addition to the prevalence and severity of perfusion impairment, CTO patients with large ischemic  
2 burden have a worse cardiovascular outcome compared to those with small ischemic burden.(33)  
3 Oxygen labeled water ( $[^{15}\text{O}]\text{H}_2\text{O}$ ), used as cardiac PET tracer in the current study, is freely diffusible in  
4 perfusable tissue only and thereby excludes areas of scar. The defect size on ( $[^{15}\text{O}]\text{H}_2\text{O}$  PET should  
5 therefore solely represent the ischemic burden, which is predictive for myocardial infarction(12).  
6 Furthermore, Safley et al. identified an ischemic extent of 12.5% at nuclear imaging as an optimal  
7 cut-off to select patients who will be likely to have significant ischemia reduction after PCI of a  
8 CTO.(28) According to the standardized 17-segment model of the American Heart Association, two  
9 myocardial segments equals 12% of the myocardium.(21) In the present study seventy-two (95%)  
10 patients revealed  $\geq 2$  ischemic myocardial segments, and could be considered for revascularization.  
11 However, Simonsen J.A. et al. showed that the benefit of revascularization is more marked in  
12 patients with a LVEF  $< 50\%$ , suggesting that LVEF should also be included in the decision making  
13 process(34).

#### 14 **Coronary flow reserve**

15 Werner et al. reported coronary steal during hyperemic Doppler flow measurements in one-third of  
16 patients with a CTO.(16) In the present study, myocardial steal (i.e. CFR  $< 1.0$ ) was only observed in a  
17 small subset (13%) of patients.(35) In case of antegrade blood flow, flow of the epicardial vessel will  
18 be distributed to the myocardium distal to the location of the Doppler flow wire. Under these  
19 circumstances, the Doppler flow wire will detect representative flow velocity to the downstream  
20 myocardial territory. However, if flow originates from the distal end of the vessel, as with collateral  
21 flow, a significant proportion of the blood flow could be disseminated to the myocardium before  
22 arriving at the Doppler flow wire. This phenomenon could hamper the accuracy of Doppler flow  
23 measurements distal of a CTO, especially during hyperemia as microvascular resistance is minimized.

1 **Limitations**

2 Results should not be generalized to completely different patients groups (i. e. heart failure),  
3 particularly since some degree of patient selection bias is present when using clinical populations.  
4 Furthermore, patients with small vessel CTOs may not even be considered for PCI CTO and are  
5 missing from this analysis. Also, capacity of the collateral circulation was only graded by angiographic  
6 scoring rather than using invasively measured CFI. Last, the present study did not analyze response to  
7 revascularization, therefore, it remains debatable if  $\geq 2$  ischemic myocardial segments are sufficient  
8 to justify the accompanied risks of PCI CTO.

9 **Conclusions**

10 The vast majority of CTO patients with a preserved LVEF showed significant perfusion impairment,  
11 even in the presence of angiographically well-developed collateral arteries. These results suggest  
12 that collateral function during increased blood flow demand in viable myocardium is predominantly  
13 insufficient and that revascularization should be considered. Given the large perfusion deficits in this  
14 patient population, PET might prove as valuable surrogate endpoint in future clinical trials to  
15 determine the effects of mechanical or pharmacological (re)vascularization.

16 **Funding and Conflict of interest statement**

17 None

18 **Impact on daily practice**

19 The results suggests that additional ischemia testing prior to revascularization of a CTO should be  
20 questioned, since the proportion of patients with PET-defined stress perfusion deficits is  
21 exceptionally high in CTO patients with a preserved left ventricular ejection fraction.

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1 **Table 1. Baseline patient characteristics (n = 76)**

<b>Characteristic</b>	<b>N (%) or mean ± SD</b>
Age (years)	62 ± 10
Male	60 (79%)
Body Mass Index (kg · m <sup>-2</sup> )	28.4 ± 3.9
<b>CAD risk factors</b>	
Hypertension	33 (43%)
Hypercholesterolemia	28 (37%)
Current smoking	21 (28%)
History of smoking	23 (30%)
Family history CAD	36 (47%)
Diabetes	16 (21%)
<b>Medication</b>	
Aspirin	75 (99%)
Calcium channel blockers	22 (29%)
Beta-blockers	62 (82%)
Statins	67 (88%)
Dual anti-platelets	34 (45%)
Long-acting nitrates	17 (22%)
<b>Cardiac symptoms</b>	
Stable angina	43 (57%)
Free of symptoms	17 (22%)
Dyspnea	13 (17%)
Unstable angina	3 (4%)
<b>Culprit arteries</b>	
RCA	52 (68%)
LAD	15 (20%)
CX	9 (12%)

2 SD, standard deviation; CAD, coronary artery disease; RCA, right coronary artery; LAD, left anterior  
3 descending; Cx, circumflex; MI, myocardial infarction; PCI, percutaneous coronary intervention;  
4 CABG, coronary artery bypass graft surgery  
5

1 **Table 2. CTO and collateral characteristics**

<b>Characteristic</b>	<b>N (%)</b>
Stump cap morphology	21 (28%)
Proximal cap bifurcation	32 (42%)
Microchannel	15 (20%)
≥ 20mm length	35 (46%)
Calcification	41 (54%)
Bending (> 45°)	12 (16%)
Previous PCI attempt	10 (13%)
<b>J-CTO score</b>	
0-1	36 (47%)
2	25 (33%)
≥ 3	15 (20%)
<b>CC score</b>	
0	7 (9%)
1	13 (17%)
2	56 (74%)
<b>Rentrop grade</b>	
0-1	3 (4%)
2	19 (25%)
3	54 (71%)

2 CTO, chronic total occlusion; mm, millimeters; PCI, percutaneous coronary intervention  
3



1 **Table 3. Hemodynamic conditions during PET at baseline and hyperemia**

Parameter	Baseline	Hyperemia	p- value
Heart rate (bpm)	63 ± 8	81 ± 10	p < 0.001
Systolic blood pressure (mmHg)	123 ± 23	117 ± 20	p < 0.01
Diastolic blood pressure (mmHg)	64 ± 9	63 ± 11	p = 0.31
Mean arterial pressure (mmHg)	84 ± 12	81 ± 13	p = 0.04
Rate-pressure product	7861 ± 2027	9505 ± 2167	p < 0.001

2 PET, positron emission tomography; bpm, beats per minute

3

4

1 **Table 4. Perfusion in relation to CTO characteristics**

Characteristic	Baseline MBF	Hyperemic MBF	CFR	RFR
<b>CTO arteries</b>				
RCA (n=52)	0.91 ± 0.25	1.37 ± 0.38	1.62 ± 0.59	0.55 ± 0.12
LAD (n=15)	0.85 ± 0.25	1.21 ± 0.33	1.75 ± 1.39	0.47 ± 0.15
CX (n=9)	0.88 ± 0.23	1.57 ± 0.31	1.89 ± 0.55	0.56 ± 0.13
p-value	p = 0.70	p = 0.07	p = 0.59	p = 0.10
<b>J-CTO score</b>				
0-1 (n=36)	0.97 ± 0.24 <sup>μ</sup>	1.50 ± 0.39 <sup>†§</sup>	1.63 ± 0.60	0.55 ± 0.14
2 (n=25)	0.86 ± 0.25	1.28 ± 0.28	1.59 ± 0.56	0.55 ± 0.12
≥ 3 (n=15)	0.75 ± 0.20	1.20 ± 0.35	1.91 ± 1.39	0.47 ± 0.11
p-value	p < 0.01	p < 0.01	p = 0.45	p = 0.10
<b>CC score</b>				
0 (n=7)	0.87 ± 0.23	1.40 ± 0.36	1.67 ± 0.43	0.57 ± 0.13
1 (n=13)	0.94 ± 0.20	1.37 ± 0.44	1.53 ± 0.65	0.50 ± 0.15
2 (n=56)	0.88 ± 0.26	1.36 ± 0.36	1.70 ± 0.87	0.54 ± 0.13
p-value	p = 0.73	p = 0.97	p = 0.79	p = 0.44
<b>Rentrop grade</b>				
0-1 (n=3)	0.87 ± 0.31	1.38 ± 0.45	1.75 ± 0.80	0.46 ± 0.09
2 (n=19)	0.94 ± 0.21	1.38 ± 0.39	1.51 ± 0.47	0.56 ± 0.14
3 (n=54)	0.87 ± 0.26	1.37 ± 0.37	1.67 ± 0.80	0.54 ± 0.13
p-value	p = 0.56	p = 0.98	p = 0.61	p = 0.38

<sup>μ</sup> p < 0.01 vs. J-CTO ≥ 3; <sup>†</sup> p < 0.05 vs. J-CTO 2; <sup>§</sup> p = 0.02 vs. J-CTO ≥ 3

2 CTO, chronic total occlusion; standard deviation; MBF, myocardial blood flow; CFR, coronary flow  
3 reserve; RFR, relative flow reserve; RCA, right coronary artery; LAD, left anterior descending artery;  
4 CX, circumflex artery; J-CTO, Japanese chronic total occlusion; CC, collateral connection; Intergroup  
5 significance was determined with ANOVA and a posthoc Bonferroni for localizing the source of the  
6 difference.

7

8

1 **Table 5. Results of univariate and multivariate linear regression analysis of hyperemic MBF and CFR**

Variable	Hyperemic MBF				CFR			
	Univariate analysis		Multivariate analysis		Univariate analysis		Multivariate analysis	
	$\beta$	p-value	$\beta$	p-value	$\beta$	p-value	$\beta$	p-value
Age (years)	-0.01	0.07	–	–	-0.01	0.49	–	–
Gender (male)	-0.16	0.12	–	–	0.37	0.10	–	–
Body Mass Index	-0.01	0.32	–	–	-0.02	0.33	–	–
Hypertension	0.07	0.41	–	–	-0.02	0.90	–	–
Hypercholesterolemia	0.01	0.68	–	–	0.00	0.97	–	–
Smoking	-0.07	0.39	–	–	-0.13	0.49	–	–
Family history CAD	-0.04	0.67	–	–	-0.22	0.24	–	–
Diabetes	-0.03	0.75	–	–	-0.35	0.12	–	–
J-CTO score	-0.09	0.02	–	–	0.08	0.35	–	–
Calcification	-0.25	< 0.01	-0.25	< 0.01	-0.11	0.55	–	–
Microchannel	0.18	0.09	0.18	0.08	0.05	0.85	–	–
CTO length (>20mm)	-0.02	0.78	–	–	0.03	0.86	–	–
CC core	-0.02	0.80	–	–	0.06	0.68	–	–
Rentrop grade	-0.02	0.83	–	–	0.11	0.51	–	–

2 MBF, myocardial blood flow; CFR, coronary flow reserve; CAD, coronary artery disease; J-CTO,  
3 Japanese chronic total occlusion; CTO, chronic total occlusion; CC, collateral connection

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5

1 **LEGEND TO THE FIGURES**

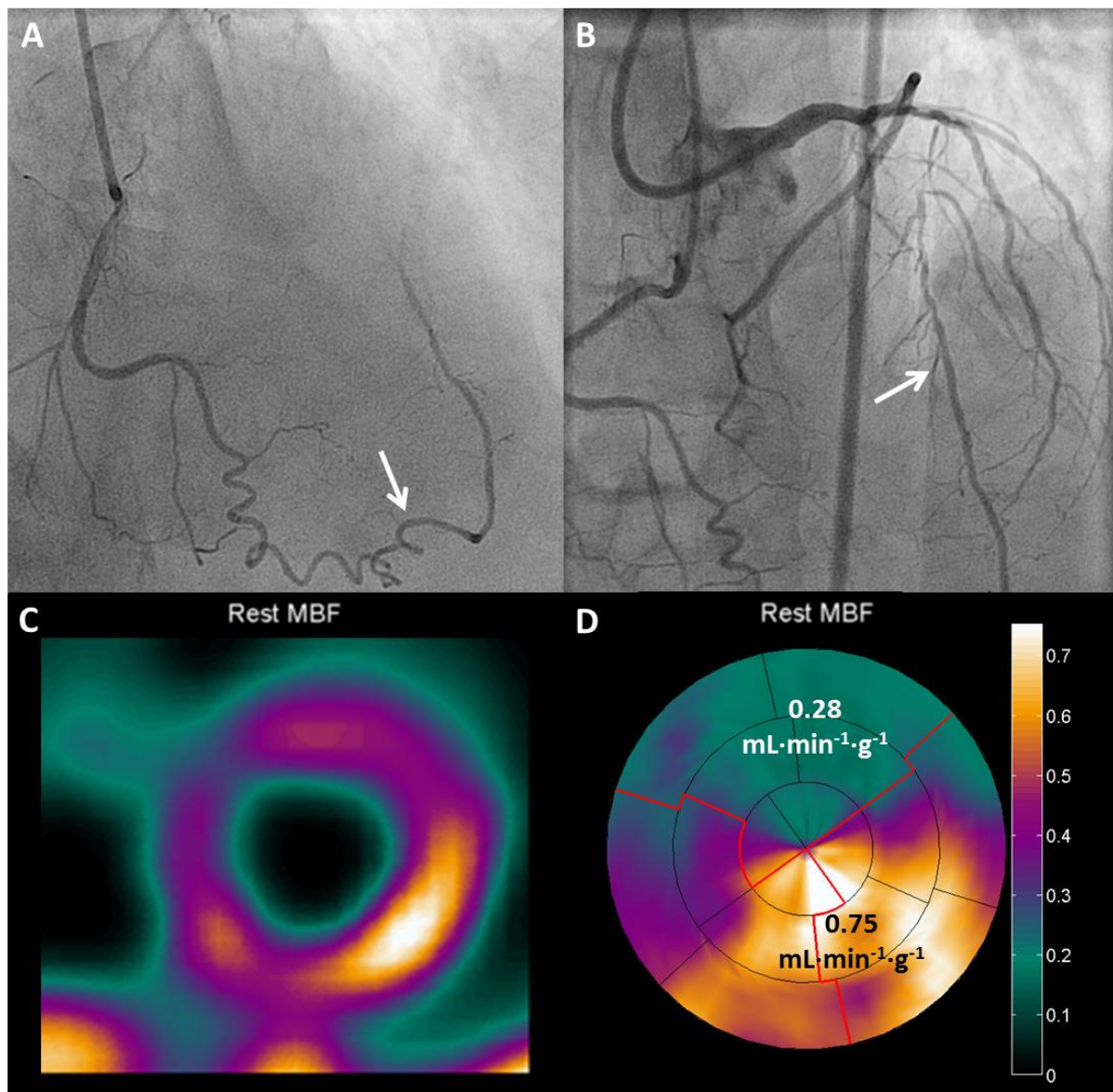
2 **Figure 1. Illustration of a patient with severe reduced perfusion of the anterior wall during baseline**  
3 **PET in the territory of a CTO LAD.** Even the presence of excellent epicardial collaterals (A) and  
4 complete retrograde filling of the CTO vessel (B) does not guarantee sufficient myocardial perfusion  
5 during resting conditions (C and D). PET, positron emission tomography; CTO, chronic total occlusion;  
6 LAD, left descending artery.

7 **Figure 2. Illustration hyperemic MBF in relation to CTO-vessel (A), J-CTO score (B), CC score (C), and**  
8 **Rentrop grade (D).** Hyperemic MBF was comparable among different CTO vessels, CC score, and  
9 Rentrop grade. However, hyperemic MBF was significantly lower for a J-CTO score  $\geq 2$  as compared  
10 to J-CTO 0-1. MBF, myocardial blood flow; J-CTO, Japanese chronic total occlusion; CC, collateral  
11 connection; other abbreviations as in figure 1.

12 **Figure 3. Four scenarios displaying the lacking relationship between the collateral state and stress**  
13 **myocardial perfusion.** Panel A shows well-developed collaterals from LAD to RCA, preventing  
14 significant myocardial ischemia. Panel B illustrates that even without well-developed collaterals the  
15 myocardium during stress is not ischemic per se. An example of good visual collaterals with limited  
16 functional capacity resulting in myocardial ischemia during vasodilatation stress PET is displayed in  
17 panel C. The fourth scenario (D) demonstrates a patient with stress perfusion impairment on PET in  
18 the absence of well-developed collaterals. RCA, right coronary artery; other abbreviations as figure 1.

19

1 **Figure 1.**



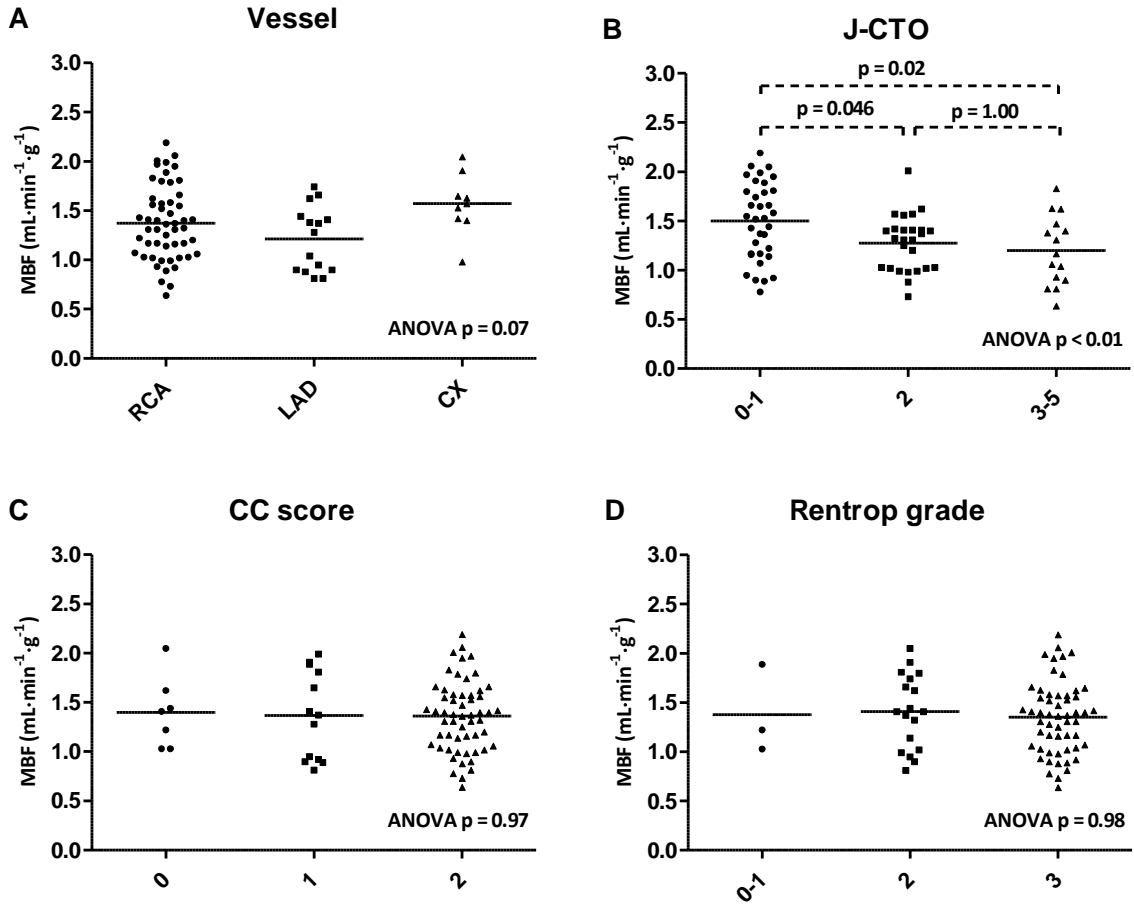
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1 Figure 2.

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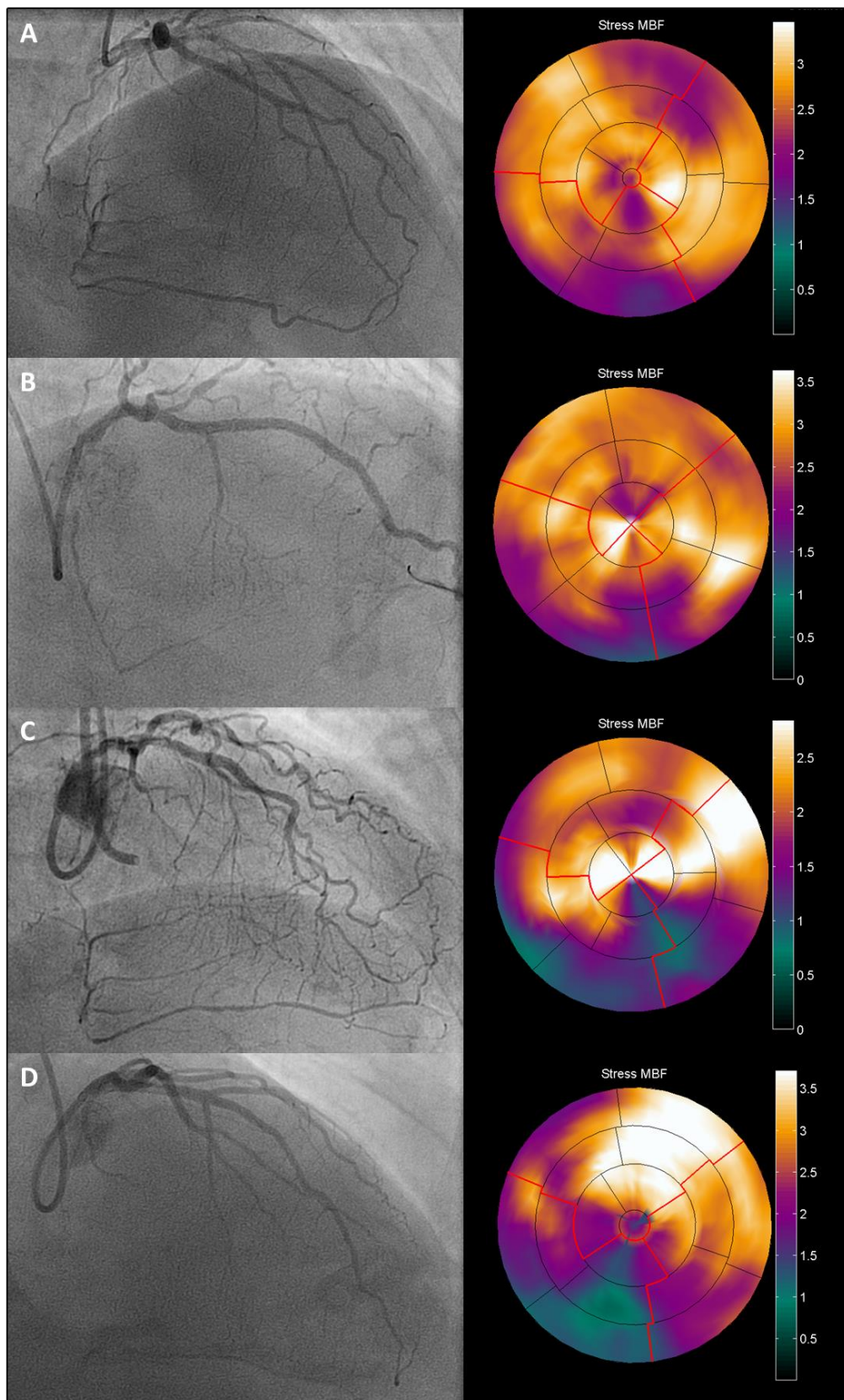


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1 **Figure 3.**



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