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# **Environmental and Health Impacts of Effluents from Textile Industries in Ethiopia: The Case of Gelan and Dukem, Oromia Regional State**

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1 **Environmental and Health Impacts of Effluents from Textile Industries in**  
2 **Ethiopia: The Case of Gelan and Dukem, Oromia Regional State**

3

4 **Abstract**

5 This study focuses on four textile industries (DH-GEDA, NOYA, ALMAHDI and ALSAR) established  
6 between 2005 and 2008 in the peri-urban areas of Dukem and Gelan. The objectives of the study were  
7 to generate baseline information regarding the concentration levels of selected pollutants and to analyze  
8 their effects on biophysical environments. This study also attempts to explore the level of exposure  
9 humans and livestock have to polluted effluents and the effects thereof. The findings of this study are  
10 based on data empirically collected from two sources: laboratory analysis of sample effluents from the  
11 four selected textile plants and quantitative as well as qualitative socio-economic data collection. As part  
12 of the latter, a household survey and Focus Group Discussions (FGDs) with elderly and other focal  
13 persons were employed in the towns of Dukem and Gelan. The results of the study show that large  
14 concentrations of BOD<sub>5</sub>, COD, TSS and pH were found in in all the observed textile industries, at levels  
15 beyond the permissible discharge limit set by the national EPA. Furthermore, S<sub>2</sub>, R-PO<sub>4</sub><sup>3</sup> and Zn were  
16 found in large concentrations in DH-GEDA and ALMHADI, while high concentrations was also  
17 identified in samples taken from ALSAR and ALMHADI. In spite of the clear-cut legal tools, this study  
18 shows that the local environment, people, and their livestock are exposed to highly contaminated  
19 effluents. We therefore recommend that the respective federal and regional government bodies should  
20 re-examine the compliance to and actual implementation of the existing legal procedures and regulations,  
21 and respond appropriately.

22 **Keywords:** Pollution assessment; Environmental quality; Human health; Water pollution; Textile  
23 effluent.

24

## 25 **Introduction**

26 Industries are often considered as an ‘*engine*’ of economic growth (Azadi et al. 2011; Siyanbola et al.  
27 2011) by which many countries promote their rapid economic growth. The textile industry is one of the  
28 most important sub-sectors of the manufacturing industry that contributes or contributed to the  
29 transformation of economies in countries such as China, Bangladesh, India, Vietnam, Turkey, and  
30 Nigeria (Islam et al. 2011; UNIDO 2012; Tran 2013; Singh et al. 2013). In Bangladesh, e.g., the textile  
31 and garment sector contributes to about 77% of the country’s foreign earnings and employs 50% of the  
32 industrial work force (Islam et al. 2011).

33 Albeit, studies have shown that textile industries also have strong negative environmental impacts, often  
34 associated with water pollution (Sponza 2002; Islam et al. 2011; Siyanbola et al. 2011; Khan and Malik  
35 2014; [www.oecotextile.com](http://www.oecotextile.com) (21/7/2014)). In most textile industries operating in developing or transition  
36 countries, wastewater treatment is nonexistent, nonfunctional, or inefficient, leading to massive  
37 environmental pollution and health problems (Pamo 2004; Islam et al. 2011; Siyanbola et al. 2011; Paul  
38 et al. 2012).

39 Textile industries consume large volumes of water and chemicals at different stages of the wet processing  
40 phases. According to Khan and Malik (2014), one textile plant can use as many as 2000 different  
41 chemicals, from dyes to transfer agents. It can also use close to 2270 liters of water in order to complete  
42 the production of less than 10 meters of fabrics (Islam et al. 2011). Huge amounts of water are needed  
43 for bleaching, dyeing, and for conveying chemicals used in the dyeing process, as well as for cleaning the  
44 machines after each textile production phase. According to Govindarajalu (2003), the water consumption  
45 of an average-sized textile mill (with a production of around 8,000 kg of fabric per day) is about 1.6  
46 million liters per day. This kind of textile plant can also generate up to 200-350 m<sup>3</sup> of effluents per ton  
47 of finished products (Ranganathan et al. 2007; Gozávez-Zafrilla et al. 2008), resulting in an average  
48 pollution of 100 kg chemical oxygen demand (COD) per ton of fabric (Jekel 1997). The same studies  
49 have also revealed the presence of high amounts of pollutants in textile industry wastewater. For instance,  
50 the effluents from the dye bath had contained COD of 5000–6000 mg/l, 52,000mg/l of total dissolved  
51 solids (TDS), 2,000 mg/l of Suspended Solids (SS), and pH 9 (Verma et al. 2012; Khan and Malik  
52 A2014).

53 Many studies have also revealed the negative impacts of such pollution. Mark (2004), Kumer et al.  
54 (2012), and Manunatha (2008), for example, have shown that industrial effluents polluting the soil can  
55 affect plant growth, including agricultural crops and, apparently, affect the livelihood of farmers in the  
56 area. On the other hand, Kovaipunder (2003) studied the effects of water pollution on the health status

57 of the people using polluted water, using the Noyyal River as a case study in three districts in Southern  
58 India. In this context, Kovaipunder proved that health problems such as skin allergy, respiratory  
59 infections, general allergies, gastritis, and ulcers are prevalent in all of the 31 sampled villages.

60 Khan and Malik (2014) also conducted a study on the environmental and health effects of textile effluents  
61 in India. They showed that untreated or insufficiently treated textile effluents contain chemicals that can  
62 pollute the air people breath, causing respiratory health problems. In this study, Khan and Malik also  
63 discovered that effluents with high concentrations of chemical pollutants affect normal functions of  
64 human cells, especially in the case of fetuses, infants, and children. According to the findings of Khan  
65 and Malik, some textile pollutants in higher concentrations can alter the physiology and biochemical  
66 mechanisms of humans, resulting in the impairment of the physiological functions such as  
67 Osmoregulation, reproduction, and can sometimes cause death. For instance, heavy metals present in  
68 textile effluents can easily accumulate in primary organs (i.e. heavy metals are not biodegradable) and  
69 can therefore cause cancer (Khan and Malik 2014), one of the main reasons for shorter life expectancy  
70 in many countries (WHO 2003). Beyond these health effects, effluents from textile industries can directly  
71 affect the income of farmers by reducing production and indirectly through higher medical expenses and  
72 reduced agricultural labor forces.

73 Effluents with concentrations above the legally permissible limits (e.g., table 1) are likely to degrade and  
74 destroy local environments directly and indirectly by affecting the physical and biological environment,  
75 such as land, water, and living organisms and human beings. For instance, high concentrations Biological  
76 Oxygen Demand (BOD<sub>5</sub>) increase the demands of Dissolved Oxygen (DO) by decomposers, leading to  
77 the depletion of the Oxygen (O<sub>2</sub>) required by other aquatic organisms to survive. From the perspective  
78 of human health, some pollutants suppress the immune system, which may have major - even deadly -  
79 health effects. This paper focuses on the negative impacts pollutants have on the natural environment (as  
80 well as their effect on aquatic life and DO concentration) and its implication on human health and  
81 livestock.

82 Ethiopia is an overwhelmingly agrarian economy. The agricultural sector absorbs around 85% of the  
83 labor force and adds more than 40% to the national GDP (MoFED 2014). While the industry sector only  
84 contributed 10% to the GDP in 2008/09 and 12% of the GDP in 2013, it's role remaining very small. In  
85 2011/12, the industry sector employed less than 5% of the labor force (MoFED 2013; GTP 2013) and  
86 since 1990s, the Government of Ethiopia has taken a number of steps in an effort to industrialize the  
87 economy and to promote industrial development. In general, the government has given specially

88 emphasized manufacturing industries and textile industries in particular with the goal of utilizing natural  
89 resources and providing employment opportunities (IDS 2002; MoFED 2013).

90 In the Ethiopian Constitution, Article 44 grants all citizens the right to live in a clean and healthy  
91 environment. Furthermore, *Proclamation No. 300/2002*, article 3 (1), stipulates that, “No person shall  
92 pollute or cause any other person to pollute the environment by violating the relevant environmental  
93 standard”. Article 3 (2) of the same proclamation further states that the relevant authority or the relevant  
94 regional environmental agency may take administrative or legal measures against a person who, in  
95 violation of law, releases any pollutant into the environment.

96 The towns of Dukem and Gelan in central Ethiopia were selected in 2004 in order to establish Industrial  
97 Development Centers (IDCs). So doing was part of the Ethiopian government’s strategy to accelerate  
98 economic growth by establishing industrial development corridors in the selected town’s four regions,  
99 namely Oromia, Amhara, Tigray, and the Southern Nations, Nationalities, Peoples and Regions  
100 (SNNPR), as well as in Addis Ababa and Dire Dawa since 2004. Thus, the selected IDCs, the federal  
101 government, or private companies in collaboration with the federal government, were enabled to establish  
102 Industrial Zones (IZs) that specialize in the manufacture of specific products in factories or industries set  
103 up in a single premise (e.g., IZs for leather and leather products). The towns of Dukem and Gelan are  
104 located close to Addis Ababa, near the country’s single railway line and major highway that connects  
105 Addis Ababa to Djibouti port. Both towns possess “sufficient converted agricultural land” for investment,  
106 cheap labor, and sufficient underground water reserves. The area around Dukem and Gelan have  
107 relatively low slopes - between 5% and 10% (OWWDSE 2011), making land preparation and  
108 construction more cost effective than in other IZs in Ethiopia.

109 In order to attract investments from the domestic and international private sector, the Ethiopian  
110 government offered investment incentives such as income tax exemption, customs duties for machinery,  
111 capital goods, construction materials and vehicles, as well as access to bank credit and loss carryforward  
112 in cases where it is needed (Regulation no. 270/2012). Attracted by these monetary and non-monetary  
113 incentives, large numbers of investors (mostly domestic) were licensed shortly after the establishment of  
114 IDCs in 2005. Our data obtained from the investment offices in Dukem and Gelan shows that between  
115 2005 and 2013 more than 460 projects of all investment types in Dukem and 300 projects in Gelan were  
116 approved. Of these, 257 projects in Dukem and 279 projects in Gelan belong to the manufacturing sector:  
117 23 textile and garment industries were licensed in Gelan town as were between 12 and 20 in Dukem  
118 town.

119 Generally, most of the licensed investment projects in the manufacturing sector were textile and apparel,  
120 agro-processing, food and beverage, still and metal industries, and non-metallic construction material  
121 industries. One of the pharmaceutical industries (Kadila Plc.) was also established in Gelan town. Field  
122 observations showed that, apparently, some of the operating factories have been discharging effluents  
123 directly into the drainage channels and the nearby streams, which likely has an impact on the quality of  
124 surface water, the environment and on the health of both humans and livestock. In addition to this, it was  
125 evident that effluents have significantly eroded the aesthetic value of the landscapes in these areas.  
126 This study focuses on four textile industries (DH-GEDA, NOYA, ALMAHDI and ALSAR) established  
127 between 2005 and 2008 in the peri-urban areas of Dukem and Gelan. Three of them are established and  
128 owned by foreign investors from China and Pakistan; one (DH-GEDA) is owned by an Ethiopian  
129 company. With this as a backdrop, this case study focuses on the following objectives: understanding the  
130 concentration levels of selected pollutants from textile industries and analyzing their effects on  
131 biophysical environments. This study also tries to explore the level of exposure humans and livestock  
132 have to polluted effluents and their effects.

133

## 134 **1 Methods and Materials**

### 135 **1.1 The study sites**

136 The four case study textile industries, DH-GEDA, NOYA, ALMAHDI and ALSAR, are located in the  
137 towns of Gelan and Dukem, part of *Finfine* Special Zone (FSZ), Oromia Regional State, 27 and 35 km  
138 respectively south of Addis Ababa. NOYA and DH-GEDA are located between 8°48'0"N - 8°51'0"N  
139 latitude and 38°49'30"E - 38°52'30"E longitude in Gelan; and ALSAR and ALMAHDI are located in  
140 Dukem town between 8°45'0"N - 8°48'0"N latitude and 38°52'30"E- 38° 55'30"E longitude (see Fig. 1).

141 [insert Fig. 1]

142

143 Except for DH-GEDA, with close to 150 employees, the other three textile industries had a total of  
144 between 450 and 500 employees by the end of 2013. As defined by the Central Statistical Agency of  
145 Ethiopia (CSA, 2016), all four-textile plants are categorized as medium and large-scale industries from  
146 this point (MLSI). All four factories primarily dye and bleach fibers (polyester and acrylic yarn) as a raw  
147 material.

148

### 149 **1.2 Data sources**

150 The findings of this study are based on data empirically collected from two sources: laboratory analysis  
151 of sample effluents from the four selected textile plants and quantitative as well as qualitative socio-  
152 economic data collection. During the 1950s, in the early days of modern water and wastewater quality  
153 monitoring, particular issues were rarely focused on. However, the water and wastewater quality  
154 assessment process has now evolved into a set of sophisticated monitoring activities that include the use  
155 of water chemistry, particulate material, and aquatic biota (e.g. Hirsch et al., 1988). Many manuals on  
156 water and wastewater quality monitoring methods already exist (e.g. Alabaster, 1977; UNESCO/WHO,  
157 1978; Krenkel and Novotny, 1980; Sanders et al., 1983; Barcelona et al., 1985; WMO, 1988; WHO,  
158 1992). Standard Methods for examining water and wastewater represent the best current practices of  
159 water analysis. This comprehensive reference covers all aspects of water and wastewater analysis  
160 techniques. In this study, the laboratory test methods and procedures applied in order to determine the  
161 parameters were based on the standard methods outlined and recommended by APHA (1999) and  
162 WHO/UNEP (1996). As part of the latter, a household survey and Focus Group Discussions (FGDs) with  
163 elderly and other focal individuals were employed in Dukem and Gelan and the results from the different  
164 sources were triangulated. The procedures that were followed during the data collection are presented  
165 below.

166

### 167 ***1.3 Physical sample collection for laboratory test***

#### 168 ***1.3.1 Preparation for field sample collection (Phase I)***

169 In Phase I, the sample sites were identified and all necessary preparations required for the sample  
170 collection were arranged in close consultation with the laboratory of the Environmental Protection  
171 Authority (EPA) in Addis Ababa. In the process of characterizing effluents from industries and on  
172 matters related to determining the quality of water, it was essential to work in close consultation with the  
173 EPA laboratory expertise in order to ensure the quality standards of the sample collection and the use of  
174 the analytical methods (see also APHA 1999). At the beginning of the fieldwork, we identified effluent  
175 discharge points for all industries and recorded their coordinates using Global Positioning System (GPS)  
176 devices. Furthermore, all required tools used to collect, preserve, and transport the samples were sorted,  
177 cleaned, and disinfected at the laboratory station. An icebox was prepared to transport the samples at a  
178 temperature of 4<sup>0</sup>C to the laboratory in Addis Ababa within less than eight hours after the sample  
179 collection. Codes, names and source of the samples were indicated on polyethylene can in order to  
180 guarantee traceability.

181



182 **1.3.2 Field work (Phase II)**

183 In Phase II (in June 2013), the actual samples were collected in the field, using grab and composite  
184 methods. The grab method was utilized in order to take in-situ measurements of some parameters that  
185 otherwise would change their characteristics. A total of 200ml was taken from effluent discharge points  
186 and measurements for pH, EC, TDS and temperature were recorded using HANAN Instrument Model  
187 HI 98129.HI98130. In determining these parameters, appropriate calibrations and adjustments to all  
188 parameters were made at each stage before taking measurements. The Color and Turbidity level of the  
189 samples was determined with a Photometer 8000 (Palintest 8000 models). The next step was the  
190 collection of samples for laboratory tests. This was done using the composite method from which 250ml  
191 samples were collected five times at half an hour intervals. The samples were then mixed and put into  
192 one-liter airtight polyethylene cans (GEMS/WATER Operational Guide-3<sup>rd</sup> edition, 1992) that were  
193 stored in an icebox at a temperature of 4<sup>0</sup>C and transported to the EPA laboratory in Addis Ababa for  
194 physico-chemical and microbial analysis.

195  
196 **1.3.3 Laboratory Analysis of the samples (Phase III)**

197 Phase III included entirely laboratory-based activities for the determination of physical and biological  
198 parameters for all the samples. The laboratory test methods and procedures were applied in order to  
199 determine the parameters based on the standard methods outlined and recommended by APHA (1999)  
200 and WHO/UNEP (1996). Moreover, the Standard Analytical Procedure for water analysis developed  
201 jointly by governments of India and the Netherlands in 1999 was applied. In the laboratory, the samples  
202 were pre-arranged and then sent for physico-chemical and microbiological analysis of Chemical Oxygen  
203 Demand (COD), Biological Oxygen Demand (BOD<sub>5</sub>), Sulfide (S<sub>2</sub>), Sulfate (SO<sub>4</sub><sup>2-</sup>), Total Nitrogen (T-  
204 N), Nitrate (NO<sub>3</sub>), Nitrite (NO<sub>2</sub>), Total Ammonia (T-NH<sub>3</sub>), R-Phosphate (R-PO<sub>4</sub>)<sub>3</sub>, Magnesium (Mg)  
205 and Zinc (Zn) as well as for biological determination (Total Coli form and Fecal Coli form). In the  
206 microbiology lab, the Fecal Coli form (F. Coli) was determined by applying the membrane filter  
207 procedure with Laurel sulfate broth. The F. Coli counts were measured by filtering effluent samples with  
208 a special filter paper with a pore size of 0.45µm and 47mm diameter. This filter paper allowed for the  
209 retention of all F. Coli bacteria on it, which was later placed on an absorbent pad (47mm diameter)  
210 saturated with a F. Coli of medium growth and incubated at 44<sup>0</sup>C for 24 hours. After incubation, the  
211 yellow colonies were counted, recording the number of counts per 100ml.

212  
213 **1.4 Qualitative, quantitative data collection and Focus Group Discussion**

214 Before initiating the household (HH) survey, Kothari's (2004) (Equation 1), simplified formula was used  
 215 to determine the optimum and representative sample sizes required for the survey. Of the total seven  
 216 *kebeles* in Gelan and Dukem, five *kebeles* (all the three of which were in Dukem with two out of four in  
 217 Gelan)<sup>1</sup> were selected based on the presence of a large numbers of investments in the manufacturing  
 218 industry in general and of textile industries that discharge liquid effluents in particular. A Kebeles is the  
 219 smallest administrative unit of local government in Ethiopia, similar to a ward, a neighborhood or a  
 220 localized and delimited group of people. Each Kebele consists of at least five hundred families, or the  
 221 equivalent of 3,500 to 4,000 individuals. Out of 821 HHs living in the five selected *kebeles*, *Gelan K*,  
 222 *Tulu Guracha*, *Gogecha*, *Koticha* and *Xadacha*, 262 HHs were distributed proportionally to each *kebele*,  
 223 which were interviewed using systematic random sampling (SRS) methods. -Kanupriya (2013) suggests  
 224 the use of SRS when the study population is small and homogenous. In order to obtain complementary  
 225 qualitative information, two Focus Group Discussions (FGDs) were conducted with 12 elderly  
 226 participants (6 each in Gelan and Dukem, Fig 2).

$$227 \quad n = \frac{z^2 \cdot \sigma_p^2 \cdot N}{(N-1)e^2 + z^2 \cdot \sigma_p^2} \quad \text{Equation}$$

228 Where:

229  $n$  = size of sample

230  $N$  = size of population

231  $e$  = acceptable error (the precision)

232  $\sigma_p$  = standard deviation of population

233  $z$  = standard variate at a given confidence level.”

234 In this formula, the following assumptions were made: the size of population is 821, standard error  
 235 (acceptable error) is 0.05, standard deviation of population is 0.5 and values of standard variant at 95%  
 236 confidence interval ( $Z$ ) is 1.96. Thus, actual sample size was calculated as follows:

$$237 \quad n = \frac{(1.96)^2 \cdot (0.5)^2 \cdot 821}{(821-1)(0.05)^2 + (1.96)^2 \cdot (0.5)^2} = 261.92 \sim 262$$

238 Accordingly, 262 sample respondents were fixed for household surveys.

---

<sup>1</sup> Cafe Tumaa and Moreno *kebeles* without investment activities were not considered in this study.

239

[insert Fig. 2]

240

241 The FGD participants were selected based on the number of years lived in the *kebele*, and their role  
242 within their respective *kebeles*. Additionally, expert interviews with textile factory managers and  
243 technicians, veterinarians, and experts in the environmental protection units at levels of urban  
244 administration in the study towns were conducted.

245

## 246 **2 Results**

### 247 ***2.1 Physico-Chemical properties of the effluents***

248 Table 1 presents a list of the parameters for the physico-chemical and bacteriological characteristics of  
249 textile effluents in Gelan and Dukem. Those parameters whose values exceed the permissible limits of  
250 discharge into the inland surface water sources as outlined in the EPA guidelines are highlighted in  
251 yellow. Accordingly, of the total 16 observed parameters in the samples from all investigated industries,  
252 three parameters (COD, BOD<sub>5</sub> and TSS) were found to be higher than the permissible discharge limit.  
253 Conversely, a high level of T. coli was recorded in effluents from ALSAR & ALMHADI while S<sub>2</sub> was  
254 observed in effluents from DH-GEDA.

255

[Insert Table 1]

256

### 257 ***2.2 Comparisons of the concentration level of selected pollutants among the industries***

258 This section graphically presents the actual measured values of selected pollutants in all four observed  
259 textile industries. It aims to enhance the (visual) understanding of the concentration levels of pollutants  
260 against the limits<sup>2</sup> allowed by the EPA guideline. Graphs also show the differences between our measured  
261 values (the straight black lines in the figures) and the tolerable concentrations for discharge into inland  
262 water sources, as permitted in the EPA guideline (the broken blue lines).

263

#### 264 ***i. Pollutants observed in high concentration in all selected industries***

265 The Biological Oxygen Demands (BOD<sub>5</sub>), Chemical Oxygen Demands (COD) and Total Suspended  
266 Solids (TSS) were found in high concentration in samples from all four of the selected industries (see  
267 Table 1). Analyzing the concentration level of BOD<sub>5</sub> is vital, as BOD<sub>5</sub> is one of the most important

---

<sup>2</sup> The maximum limit of discharge varies from one pollutant to the other one as it was stated in the EPA guideline (e.g., EPA Standard indicated in the last column of table 1).

268 indicators of water quality (WHO, 2008). Figure 3 shows that the concentration level of BOD<sub>5</sub> in all of  
269 the samples taken from the four textile industries in Gelan and Dukem are above the permitted  
270 concentration limit of this pollutant into the inland water sources (broken horizontal line).

271 [insert Fig. 3]

272  
273 The highest concentration of BOD<sub>5</sub> was observed in effluents from ALMHAD (252mg/l), followed by  
274 DH-GEDA (139mg/l). The values of BOD<sub>5</sub> in effluents from NOYA (91.50 mg/l) and ALSAR (84.00  
275 mg/l) were also higher than the concentration allowed by the EPA (Figure 3; Table 1: *footnote 1*).

276 Another pollutant found in high concentrations was Chemical Oxygen Demand (COD). The COD  
277 content of the effluents from our case study strongly varies among the effluents from the sample  
278 industries. The lines in Figure 4 show that the lowest (130.28mg/l) and the highest values (733.5mg/l)  
279 were measured in DH-GEDA and NOYA respectively. In Dukem, the concentrations strongly vary  
280 between effluents from ALSAR (130.28mg/l) and ALMHADI (470mg/l). The COD level in effluents  
281 from NOYA and ALMHADI are nearly 5 and 3 times, respectively, higher than the concentration levels  
282 tolerated by the EPA. A study by Jekel (1997) shows an average pollution of 100 kg COD is the result  
283 of one ton of finished products of fabric. Accordingly, the higher COD level in effluents from NOYA  
284 and ALMHADI may be due to more tons finished products. Also, the low quality of effluent treatment  
285 techniques used in NOYA and ALMHADI could also result in inefficient removal of pollutants below  
286 the level expected. Furthermore, the type and quality of chemicals used in the COD treatment plant would  
287 also affect the efficiency of pollutant removal (Magarde et al. 2009).

288 [insert Fig. 4]

289  
290 Another important parameter used to determine the pollution levels of effluents from the sample textile  
291 industries, is the concentration level of Total Suspended Solids (TSS). Textile industries uses organic  
292 and/or synthetic fibers as a raw material, which end up as part of the release of suspended solids in the  
293 wastewater.

294 [insert Fig. 5]

295  
296 Figure 5 also shows that another pollutant, TSS, was found in the samples with a high concentration  
297 level. The highest concentration was found in effluents from NOYA (368mg/l), followed by ALMHADI  
298 (146mg/l), ALSAR (114mg/l), and DH-GEDA (46.5mg/l). The measured TSS values from NOYA,  
299 ALMHADI, and ALSAR are 12, 5, and 4 times, respectively, higher than the limit of 30mg/l allowed by

300 the EPA. One implication high TSS concentrations have on the environmental is that it blocks sunlight  
301 from pervading the water, which negatively affects photosynthetic plants and hampers the oxygen  
302 production in the water (Prabu et al. 2008). Furthermore, in a study by Bukhari (2008), raw municipal  
303 wastewater was electro-coagulated in order to remove TSS using stainless steel electrodes. The result  
304 showed that the efficiency of TSS removal depends on the amount of iron generated from the anode of  
305 the reactive electrode. Also, according to Meybeck et al. (2003), the temporal variability of TSS  
306 decreases with an increased basin size, lake abundance, and is higher for basins influenced by glacier  
307 melt and snowmelt.

308

309 **ii. Pollutants limited to certain industries**

310 Although, Total coli form (*T. coli*) & Escherichia coli (*E. coli*) are not directly related to the textile  
311 industries, they were found in effluents from ALMHADI (820mg/l) and ALSAR (712mg/l) in higher  
312 concentrations (Figure 6). Coli forms are the most common indicators of the microbiological  
313 contamination of water used for domestic uses (WHO 2008).

314 The presence of all types of coli forms, especially of *E. coli* (also code-named *E. coli* 0157:H7), in water  
315 used for domestic consumption can cause health problems for humans, children in particular (WHO  
316 2008). In spite of the potential health risk of water in streams or waterways, local people rely on the  
317 water to meet their demands, especially for sanitation and livestock.

318 [insert Fig. 6]

319

320 **2.3 Effects of industrial effluents in the study areas**

321 **2.3.1 Aesthetic values and quality of local environment**

322 In spite of their importance for economic growth, industrial plants are generally associated with the  
323 generation and discharge of solid or liquid wastes. The reduce, reuse and proper recycling of these wastes  
324 require adequate financial and technological resources. In this regard, most industries in Gelan and  
325 Dukem have established neither treatment plants nor adequate storage or discharge channels for their  
326 wastes. As a result, polluted liquids are directly discharged into the open landscape (Fig. 7).

327 [insert Fig. 7]

328

329 The volume of some discharged effluents was so high that they block local resident's walkways. Some  
330 of them were discharged without even using decolorizes in order to remove the different dyes used during  
331 the process of dyeing or bleaching the fibers and/or yarns (Fig. 7: a and b) or effluents with high turbidity

332 levels (Fig. 7: c) that were discharged from NOYA, DH-GEDA and ALMHADI textile industries  
333 respectively.

334

### 335 ***2.3.2 Impact of Effluents on People's Health***

336 Another aspect of this study was to assess the effects of contaminated effluents from textile industries on  
337 the health of people living around the textile factories, especially those living very close to the factories  
338 and downstream along the discharge channels. According to data obtained from special reports from the  
339 Oromia Regional State, close to 84% of the total population of Oromia Regional State live in rural areas,  
340 with an average tap water supply of less than 50% (ORS 2012). Accordingly, most of the households  
341 living around the textile factories in Gelan and Dukem depend on surface water for domestic use (Fig.  
342 8).

343 [insert Fig. 8]

344

345 Table 2 illustrates households' access to potable water for domestic use in the towns of Gelan and Dukem.  
346 It shows that most households have access to potable water for domestic use in both towns. Accordingly,  
347 nearly 84% and 82% of the households in Gelan and Dukem, respectively, replied that they have access  
348 to potable water. On the other hand, nearly 14% and 12% of the interviewed households in Gelan and  
349 Dukem, respectively, replied that they do not have access to potable water at all.

350 [Insert Table 2]

351

352 In this context, having access to potable water does not necessarily mean that these households are  
353 connected to a public water pipeline system in their compounds or at least close to their residences.  
354 Information obtained from the water and energy offices in Dukem and Gelan indicate that potable water  
355 supply coverage is less than 40% and that households obtain their water from different sources: public  
356 tap water, private houses and/or from the premises of some investors, and from open surface water  
357 sources such as streams and open channels. In some parts of Dukem and Gelan, investors have  
358 constructed ground water wells for industrial purposes, and at times, they allow residents who live close  
359 to the premise to tap these resources (Fig. 7). Yet, obtaining water from these sources is tedious and  
360 access is restricted. Wells remain closed during daytime working hours, between 8.00 am to 5:30 pm,  
361 and before and after residents have to wait in long queues to obtain a jerry cane of water every two or  
362 three days. Households who live close to the urban centers travel longer distances in order to fetch water  
363 from public taps, for which they have to pay. Others buy water from private water traders. Particularly

364 poorer households and those who reside in areas that are rural, have to rely on surface water from nearby  
365 rivers or streams – which is often contaminated by effluents from industries, textile industries in  
366 particular.

367 The participants of the FGDs stated that residents who live along channels that transport textile effluents  
368 and those who live downstream are more vulnerable than those who live faraway. Thus, in the face of a  
369 very limited potable water supply and open surface discharge of industrial wastewater, the likelihood of  
370 local people being exposed to effluents would be high. With this in mind, respondents were asked if they  
371 think that any of their household members ever became sick because of the exposure to industrial  
372 effluents locally discharged into open spaces, canals or streams.

373 The responses of the interviewees are shown in Table 3. They indicate that the perceived nexus between  
374 health problems and the exposure to industrial effluents induced by textile industries was null in Xadacha  
375 *kebele*, in Dukem (0.0% or ‘Yes’ answers), and relatively high in Gelan K (9.1%), T/Guracha (12,5%),  
376 and Gogecha (14.7%) *kebeles* in Gelan. In the Koticha *kebele* in Dukem, however, 30.6% (19) of the  
377 interviewees said that at least one of their household members had become sick following exposure to  
378 industrial effluents. Unlike all other *kebeles*, Koticha hosts both ALMHADI and ALSAR textile  
379 industries. The incidence of health problems mostly related to skin allergies and stomach health  
380 problems.

381 [Insert Table 3]

382  
383 One of the participants in the FGD explained the health effects of polluted water in the following way:

384 *“At the very beginning no one realized that sickness such as skin disease (allergy) and*  
385 *other internal (stomach) health problems were related to the exposure to polluted water*  
386 *in the stream that we used to rely on for many years in the past. We were not given any*  
387 *orientation or warning against the potential health risks of polluted water. Those who*  
388 *walk bare foot and cross through the flow lines of effluents or polluted streams contracted*  
389 *skin allergy and internal disease. Besides, most of our children who look for the livestock*  
390 *in the open field walk bare foot through polluted water; some of them who took bath in*  
391 *the polluted water contracted health problems, skin allergy in particular. As time goes on*  
392 *local people began distancing themselves from all the surface water except potable or*  
393 *pond water”.*

394

395 **2.3.3 Health effects on livestock**

396 Livestock is a major source of income for many households in the study area and rearing livestock  
397 depends on the availability of safe drinking water. Table 4 shows the principal sources of water for  
398 livestock drinking are rivers and streams in Gelan K (66.2%), Gogecha (58.8%) and T/Guracha (50%)  
399 *kebeles* in Gelan. Conversely, households in Xadacha (83.3%) and Koticha (72.6%) use tap water to  
400 water their livestock.

401 [Insert Table 4]

402  
403 In spite of these differences, livestock is set free in order to graze in the open landscape during the long  
404 dry season and on the fields after harvest. Hence, the provision of tap water does not mean that livestock  
405 is not exposed to effluents (Fig. 9). This was also witnessed in the FGDs, where particular worries were  
406 expressed about the health of children who rely on milk and milk products from their own livestock.

407 [insert Fig. 9]

408  
409 Based on the prevailing scenarios, an assessment was made in order to understand the magnitude of  
410 livestock health problems and the accompanied effects for which the result of the household survey data  
411 was displayed in Table 5.

412 [Insert Table 5]

413  
414 Tabel 5 shows that the magnitude of assumed effects of effluents on the health of livestock vary in each  
415 studied *kebele*, depending on its location and the level of access to the municipal water supply. The  
416 livestock of residents who live in the downstream *kebeles* of Gelan k and Koticha (Fig. 10) are relatively  
417 more affected than those in upstream *kebeles*, like Xadacha and Gogecha. Most residents in Gelan K,  
418 Koticha, and parts of T/Guracha *kebele* live downstream. Accordingly, 64.5%, 56.3% and 50% in Gelan  
419 K, Koticha and T/Guracha *kebeles*, respectively, reported cases of sick livestock, compared to 11.1% and  
420 32.4% in Xadacha and Gogecha *kebeles*.

421 [insert Fig. 10]

422  
423 In order to assess the sources of water for livestock and the health condition of livestock at the *kebele*  
424 level, a *Chi-Square test* was conducted and the results show that The *Pearson Chi-Square test* result shows  
425 that there is a link between the location of the study *kebeles* and the sickness of livestock: ( $\chi^2 = 122.45$ ,  
426  $df = 6$ ,  $P < 0.05$ ) (Table 6).



427 [Insert Table 6]

428  
429 In order to identify the types of livestock that are more vulnerable to health problems assumed to be  
430 caused by polluted water, respondents were asked to reflect on their past experiences. Accordingly, of  
431 the five livestock categories considered in this study (cattle, donkey, horse, sheep, and goat), cattle were  
432 identified as most vulnerable, followed by donkeys, in all the study *kebeles*. Furthermore, in an expert  
433 interview, a veterinarian expressed his view on the nexus between livestock sicknesses and effluents as  
434 follows:

435 *“Generally, microorganisms, pathogens are known for causing human or livestock health*  
436 *problems and that some of the effluents discharged from industries hold high amounts of*  
437 *organic loads: textile, food and beverage, tannery, etc. The presence of high organic loads*  
438 *amounts to the presence of microorganisms (aerobic/anaerobic) that survive by*  
439 *decomposing organic loads. Therefore, the use of water infected with pathogens means*  
440 *high risks of contracting disease by the livestock. Based on this fact, most of the livestock*  
441 *that were brought to the veterinary clinics for treatment were diagnosed for bacterial*  
442 *infections mainly “Salmonella”. Based on our recorded data, more cases were reported*  
443 *for cattle and donkeys than other livestock which were in fact much less in number among*  
444 *the livestock types owned by most households” (Question no. 8; expert interview*  
445 *conducted 20.02.2014).*

446  
447 The role of livestock on the livelihoods of households in the study area is immense. Therefore, their long  
448 lasting sickness or even death can easily disrupt the economic situation of a household.

## 450 **2.4 Economic costs of human and livestock treatments**

### 451 **2.4.1 Cost of medical treatment for a family member**

452 Another aspect of this study was to assess the economic costs of human and livestock treatments. This  
453 section shows the estimated costs that a household might pay for a medical treatment that is needed due  
454 to exposure to industrial effluents at a Kebele health post. The mean costs for a treatment for a sick  
455 individual were more or less similar in Gelan (US\$ 5.9) and Dukem (US\$ 4.0) in 2014 (Table 8). Based  
456 on the interviews made with drug dealers in Gelan and Dukem, the lowest costs arise when sick  
457 individuals purchase ‘Paracetamol’ (also called “pain-killer”) in order to get relieve his/her pain or from

458 an itching skin due to a skin allergy. In extreme cases, however, a patient may pay total costs up to US\$  
459 11.5 (and Dukem) and US\$ 15 (in Gelan) respectively (Table 7).

460 [Insert Table 7]

461

#### 462 ***2.4.2 Economic costs of livestock treatment***

463 In this regard, an attempt was made to collect information on the economic costs of livestock treatment  
464 in a veterinary health post. Table 8 outlines the mean costs for treatment of cattle per visit.

465 [Insert Table 8]

466

467 The variations in livestock treatment costs between US\$ 1.8 in Gelan and US\$ 1.6 in Dukem, the slight  
468 variation in the treatment cost was mainly attributed to the level of sickness and the type of veterinary  
469 health posts visited.<sup>3</sup> On the other hand, the loss of livestock due to health problems, which might be due  
470 to the exposure to polluted surface water, is a serious economic loss for the concerned households. Table  
471 9 gives a summary of the average price of the livestock at local markets.

472 [Insert Table 9]

473

474 The mean market price of sick/affected cattle in Table 10 was calculated based on the estimated cattle  
475 price of the local markets. Respondents have estimated the price of their cattle at the local market between  
476 US\$50 and US\$ 600 (Table 10), based on age, size and health status of the animal. Therefore, losing  
477 cattle costs a household, on average, about 300 US\$ per animal.

478

#### 479 ***2.5 Community trainings and consultations***

480 According to proclamation no. 300/2002, the environmental awareness of local communities should be  
481 raised through community training and/or consultations that would enable them to protect themselves,  
482 as well as their property, against the danger posed by toxic substances. Against this backdrop, the  
483 question was raised to the interviewees if they ever received any form of training or consultation from  
484 local or regional governments aimed in order to create awareness of how to protect their household

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<sup>3</sup> Usually, private owned health posts are costlier than public ones. In Dukem town, most people bring their sick livestock to public health posts for which they pay less compared to Gelan where the prices are set by private clinic owners.

485 members and/or their livestock against effluents from the nearby industries. The findings are shown in  
486 Table 10.

487 [Insert Table 10]

488  
489 It is evident from Table 11 that the large majority of the respondents (79.4%) did not receive any form  
490 of information, training, and/or consultation at all. In the face of widespread and uncontrolled discharge  
491 of effluents into the open environment (Fig. 2), this is an astonishingly high figure. Only 15.2% of the  
492 interviewees reported that they received information on the potential harm caused by the industrial  
493 effluents.

494

### 495 **3 Discussion**

496 The environmental and health related problems associated with wastewater discharged from textile  
497 industries have since long been sources of global concern. Textile effluents consisting of high  
498 concentrations of toxic chemicals and organic loads – often beyond the permissible limit - can alter the  
499 physico-chemical characteristics of humans, animals and plants, as well as whole ecosystems (Zaharia  
500 et al. 2011). Through this they produce multiple indirect economic costs, e.g. by reducing agricultural  
501 production, or by increasing the cost of drinkable water and health treatment. Of the total sixteen  
502 parameters observed in the laboratory, this study focused on and selected ones that help to determine the  
503 quality of water for different uses. The discussion involved comparing the values obtained in the  
504 laboratory and the permissible limit of discharge allowed focusing on why some of these pollutants were  
505 observed in large concentrations and the implications of polluted surface water on the health of humans  
506 and livestock.

507

#### 508 ***3.1 Major pollutants and their concentration levels against the national standards***

509 In all samples collected from the effluents of the four case study textile industries, six variables were  
510 measured much higher than the permissible limit of discharge. Three of them (BOD<sub>5</sub>, COD and TSS)  
511 were observed in effluents from all four textile plants while the others were plant specific. In this context,  
512 Islam et al. (2011) has found BOD<sub>5</sub>, COD, TSS and T° values of 573.89mg/l, 1223.33mg/l, 1123.11mg/l  
513 and 5022°C, respectively, from samples taken from textile industry effluents in Gazipur and Narayanganj  
514 cities in Bangladesh. Likewise, Singh et al. (2013) had conducted a study on effluents from eight textile  
515 factories Punjab in India. Their results show concentrations of BOD<sub>5</sub> between 156mg/l and 790mg/l.

516 Likewise, the measured values for COD and TSS concentration levels from the same industries range  
517 from 120mg/l to 3050mg/l and 898mg/l to 5145mg/l, respectively.

518 Likewise, the results of a study conducted by Siyanbola et al. (2011) on effluents from five textile  
519 industries in Nigeria shows high concentrations of BOD<sub>5</sub>, COD, and TSS, between 340mg/l and 560mg/l  
520 for BOD<sub>5</sub>, between 615mg/l and 1245mg/l for COD, and between 0.11mg/l and 310mg/l for COD. The  
521 measured values of temperature in wastewater discharged from textile plants in most cases falls well  
522 within the national standards of their respective countries. In our study, however, an exceptionally high  
523 temperature of 77°C was measured in effluents from the NOYA textile industry in Gelan. This is nearly  
524 double the national and international permissible limit of the maximum temperature of 40° C for  
525 discharged effluents, as well as the highest temperature measured in effluents from textile industries  
526 worldwide. Islam et al. (2011) also measured an exceptionally high temperature (i.e. slightly higher than  
527 50°C) in effluents discharged from a textile industry in Narayanganj city in Bangladesh. A wastewater  
528 temperature of 77°C is likely to have strong negative impacts on the surrounding animals, plants, soils,  
529 and wetlands. Another important pollutant identified in the sampled effluents was T. Coli, where  
530 820±195mg/l and 712±37.0mg/l were found in the samples taken from ALMHADI and ALSAR  
531 industries, respectively.

532 The main reason for the presence of these pollutants in large quantities is attributed to the fact that most  
533 textile industries use organic materials and fibers as raw materials. More importantly, the absence of  
534 effluent treatment and/or the low quality of effluent treatment techniques used (e.g., due to age or model)  
535 results in the pollutants being removed to a level below expected inefficiently. Furthermore, the type and  
536 quality of chemicals used in the effluent treatment plant would also affect the pollutant's removal  
537 efficiency (Magarde et al. 2009; Govindarajalu 2003; Khan and Malik 2013). It is important to say that  
538 all industries investigated in this study, except NOYA, have their own effluent treatment plants and yet  
539 still discharge highly polluted effluents. The measured values of the sampled effluent taken from the  
540 NOYA industry showed that 8 of the 16 parameters are much higher than the national limits. According  
541 to the technician who works on the effluent treatment plant and the manager of the company (i.e.  
542 ALMAHDI), the design of the treatment plant and the chemicals they use were not effective. In an expert  
543 interview, the ALMHADI manager indicated that they are aware of the problem, but that the more  
544 effective wastewater treatment measures are expensive and priority of their company is profit.

545 Unlike ALSAR, ALMHADI was able to regulate the amount of most pollutants within the intended  
546 national limit. For instance, of the 16 investigated pollutant types, the values of only 4 pollutants were  
547 seen as slightly higher than the permissible limit. The values for all of the other 8 parameters were lower

548 than the EPA regulation (Table 1). The manager of the company ALMHADI, was already aware of the  
549 problem and was focused on an appropriate industrial waste management strategy.

550

### 551 **3.2 *The environmental implication of wastewater from textile industries***

552 According to Kant (2012), effluents with high temperature and pH values above the tolerable limit (as  
553 proven in this study for the effluents from NOYA) could cause the extinction of important  
554 microorganisms. Likewise, the presence of high amounts of BOD<sub>5</sub> in wastewater has led to the depletion  
555 of DO, which is important for the survival of wetland ecosystems. The environmental implication of high  
556 BOD<sub>5</sub> in wastewater is associated with the removal of Dissolved Oxygen (DO), which is central for  
557 aquatic ecosystems. The amount of DO available in water is directly affected by the amount of BOD<sub>5</sub>  
558 loads in effluents. High concentrations of BOD<sub>5</sub> could create an ideal environment for the growth of  
559 microorganisms that survive by decomposing the organic matter using DO. Thus, at higher  
560 concentrations, BOD<sub>5</sub> remove more DO that are equally required for the survival of other aquatic life,  
561 mainly fish and other aerobic organisms that will be threatened in such circumstances (Islam et al. 2011;  
562 Prabu et al. 2008; Kovaipunder 2003). The removal of more DO affects the availability of DO required  
563 for the plant's metabolism and reproduction (Mallya, 2007). COD was another pollutant found in large  
564 concentrations in the effluents sampled from all of our four case study industries. The main problem  
565 related to high COD concentrations is that it depletes available dissolved oxygen. In this environment,  
566 anaerobic microorganisms use DO to oxidize inorganic loads in the water. Hence, sustained removal of  
567 DO has a destructive effect on aquatic biodiversity by reducing the metabolism and the water's ability to  
568 recharge water oxygen.

569 In this study, we also considered pH, S<sub>2</sub>, NO<sub>3</sub>, P-SO<sub>4</sub><sup>3</sup>, and Zinc. The pH value is linked to the biological  
570 productivity of aquatic ecosystems in a way that does not deviate from the specified limit without risking  
571 damage to their productivity (Islam et al. 2011; Tüfekci et al. 2007). Given that, our study revealed that  
572 the pH value calculated for all industries was within the specified limit but that the measured value was  
573 very close to the margin of alkalinity (Table 1). According to WHO/UNEP (1999), pH values between  
574 6.5 and 8.5 are within the typical range of most major drainage basins around the world and are usually  
575 referred to in order to indicate good water quality. According to our results, the concentration of Sulfate  
576 in effluents from DH-GEDA was slightly higher than the permitted discharge limit (table 1), indicating  
577 that its higher levels in the surface water would present health risks to people. Earlier studies have also  
578 demonstrated that high sulfate concentrations in water used by humans, could increases the chance of  
579 exposure to diarrhea (Khan et al. 2014).

580

581 **3.3 Textile waste water and its effects on the health of the human and livestock**

582 Households who reside far away from the towns of Dukem and Gelan and those who live downstream  
583 were found to be the most vulnerable to health problems. Generally, the relative number of human health  
584 problems associated with polluted surface water was much lower than the figures indicated for livestock.  
585 According to the results of this study, children who live close to the wastewater discharge canals and  
586 those who live in downstream *kebeles*, were more affected than those who live farther away from  
587 wastewater sources and pathways. A verification of the principal causes of human health problems  
588 would, of course, demand medicinal diagnoses and specialized laboratory tests. Yet, the high levels of  
589 contamination in wastewater with different chemicals and the high T. Coli content as well as the high  
590 temperature of effluents, are considered as factors that contribute to human health problems. However,  
591 the magnitude of the problem varies within the study *kebeles*, especially with regard to the perceived  
592 nexus between health problems and industrial effluents (between 0,0% in Xadacha and 30,6% in  
593 Koticha). Residents in downstream areas and those who live in areas with limited or no access to potable  
594 water reported the highest occurrence of related health problems.

595 The main water-related problem for households is that the availability of public and private potable water  
596 sources was not sufficient to cover the demands of domestic households. Many households are thus  
597 forced to use water from open streams and drainage channels that are often polluted by effluents. Coli  
598 forms are the most commonly used indicators of contamination in drinking water. Water that contains  
599 coli forms should immediately be tested further for fecal coli forms or *E. coli* (see below). Boiling coli  
600 contaminated water for one minute is a reliable way to disinfect it. Of the two types of the pollutants, the  
601 presence of *E. coli*, also code-named *E. coli* 0157:H7, in the water used for domestic consumption, can  
602 cause human health problems, for children in particular (WHO 2008).

603 An important point observed in this study is the prevalence of livestock health problems to those observed  
604 for humans. Yet, we observe considerable variations in the distribution of the problems: at study town  
605 level, more livestock health problems were reported in Gelan than in Dukem (Table 5). At *kebele* level,  
606 however, the results reveal that households in *kebeles* situated downstream were more affected than those  
607 situated upstream. For instance, situated along the flow lines of the effluents where livestock could easily  
608 access the wastewater, sickness among livestock was reported in Gelan *k*, and in parts of T/Guracha and  
609 the Koticha *kebele*. This is primarily due to the absence of any alternative sources of drinking water for  
610 the livestock and the people in the study *kebeles*. Thus, unless the issue of environment and the livelihood

611 of these people are properly handled, the ongoing scenario suggests that there will be more damage to the  
612 environment and the livelihoods of the local people.

613

#### 614 **4 Conclusions**

615 Ethiopia is one of the least-developed countries worldwide and agriculture is the backbone of its national  
616 economy. Conversely, the industrial sector is in its infancy, accounting for less than 5% of the work force  
617 and contributing less than 13% to the national GDP. Since the formulation of the Industrial Development  
618 Strategy (IDS), the Ethiopian government has taken a couple of proactive measures in order to  
619 ‘modernize’ the economy by promoting the industrial sector. The main justification of the industrial  
620 development project is its economic benefits at local, regional and national levels. However, the project  
621 also showed some significant negative impacts.

622 Since 2005, Dukem and Gelan town have undergone rapid industrialization process that involved the  
623 rapid flow of investors, whose origin is local. The results of this study revealed that the concentration of  
624 some physico-chemical and bacteriological pollutants (BOD<sub>5</sub>, COD and TSS) in textile effluents in Gelan  
625 and Dukem is higher than the permissible limit defined by the Ethiopian Federal Environmental  
626 Protection Authority (EPA). The concentrations of other pollutants, however, were below that limit. This  
627 study also indicated that the environmental consequences of disposing untreated or inefficiently treated  
628 wastewater into ambient environments damage the aquatic biodiversity. Moreover, one of the critical  
629 problems of textile industries in developing countries is the management of the vast amounts of waste  
630 generated. Challenges are particularly associated with disposal of wastewater into the ambient  
631 environment. Therefore, in areas where development activities take place, consultation with the local  
632 communities raise community awareness of development activities. Consultation or holding community  
633 training boosts, not only the awareness and participation/support for development activities in their  
634 locality, but also raises their awareness in protecting their family and properties from the negative  
635 outcomes of the proposed or ongoing changes.

636 According to the findings of this study, the indiscriminate conversion of large tracts of prime agricultural  
637 lands has been negatively affecting the livelihoods of the affected households in many ways. In the first  
638 place, intensive land conversion caused a sharp reduction in the total cultivated land size and the volumes  
639 of food crop production both at the study kebele and at household level leading to household food  
640 insecurity. The study showed that industrialization and land use change has affected household food  
641 security in three aspects. Firstly, they lost large agricultural land area (i.e. nearly half of what they owned  
642 at the start of the program in 2005) for the establishment of industrial projects, which did not ensure

643 stable jobs or better wage for peasants. Secondly, the expropriation of farmlands significantly reduced  
644 the self-reliance of the households on food. Many of the surveyed households reported that they are not  
645 able to produce enough food for their own consumption and high living costs (i.e., due to reduced  
646 farmland size and production, none existence or limited opportunities of off-farm and non-farm  
647 employment incomes) and the price of stable food crops is also increasing. Finally, health problems (i.e.  
648 human and livestock) are found as an important result of deteriorating environmental pollution in general  
649 and from the high risk posed by the industrial effluents.

650 Based on the findings of this study, many of the farming households are not comfortable with the  
651 procedures involved in process of land expropriations. Because the lack of transparency during field  
652 measurements of the expropriated farmland size, the elements considered in estimating the values of their  
653 properties and in the final compensation amounts. The grievances of most of the affected households are  
654 so intense in relation to the inadequacy of the compensation money and the manner in which the  
655 compensation money was aid to them. Due to the very short notification period (sometimes 30 days), the  
656 affected families are not given much time to adapt to changing living circumstances when they lose their  
657 land.

658 Another grievance by the affected households was related to the low development level of the converted  
659 lands and lack of off-farm or non-farm employment opportunities for some of the households, where the  
660 income derived from agricultural activities is simply too low to cover all living expenses either due to  
661 too small farmland size or turned into landless. Moreover, the younger generations do not wish to work  
662 as farmers. Based on the results of the field GPS survey, although agricultural land was converted into  
663 IZs, many licensed investors did not develop the land, hence did not invest as initially proposed. This is  
664 confirmed by this study. It shows that the majority of the licensed investors (72% in Gelan and 63% in  
665 Dukem) did not develop their land in a stipulated period.

666 In consequence, the substantial conversion of farmland into 'industrial land' negatively affects local  
667 people not only through the loss of their farmland but through the lack of promised employment  
668 opportunities and improved infrastructure that might have otherwise offset their losses in the agricultural  
669 sector. In relation to employment opportunities, high labor migration coupled with labor selection turned  
670 against the chances of getting opportunities for the local people where the level of human capital  
671 development is very low and with no specific skill acquired by most of the unemployed people except  
672 activities related to farming. As a result, those households who heavily rely upon farming activities, on  
673 their own land or on the land of others by working as farm laborers, often have more difficulties in taking  
674 care of their families when agricultural land conversion takes place and agricultural land holdings



675 decline. In short, although non-agricultural activities are considered positively related to higher income  
676 and sustainable livelihoods, the success of non-agricultural trajectories depends upon the households'  
677 'starting position'. It is no given that people, especially the poor, can actually take advantage of new  
678 employment opportunities outside the agricultural sector.”

679 Finally, the results of this study can highlight a significant lack of comprehensive studies that can indicate  
680 the impact of textile industries effluents on the health of people in the towns of Dukem and Gelan.  
681 Accordingly, a study on the impact of textile industries' effluents on people's health in Dukem and Gelan  
682 should be considered in future studies.

683

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801

802 **Appendix**

803

804

**Survey questionnaire for sampled informants**

805

806 **PART I**

807 **General Information**

808 **I. Location identification**

809 1. *Woreda*/town -----

810 2. Name of *Kebele*/village -----

811 3. Name of data collector -----

812 4. Date of data collection -----

813 **PART II**

814 **I. Household demographic characteristics**

815 1. Sex of household head: a. male ----- b. female -----

816 2. Age: -----

817 3. Place of birth: -----

818 4. Marital status: a. single b. married c. separated d. widowed e. divorced

819 5. Educational level:

820 a. Cannot read and write b. can read and write c. 1 – 4 d. 5 – 8 e. 9 – 10 f. 11 – 12 g. > 12<sup>th</sup>

821 6. Ethnicity:

822 a. Oromo b. Amhara c. Tigre d. other, specify,-----

823 7. Religion:

824 a. Waqefata b. orthodox c. protestant d. catholic e. Muslim f. other, specify, ---

825

826

827 **PART III: Questionnaire on the Livelihood Assets of a household**

828

829 **N.B. Multiple answers is possible where required**

830 **I. Human capital/asset of a household**

831 1. If you are married or heads a family, please, indicate your family size by age, sex, educational status and major  
832 occupation:

No	Pseudo name	Family profile				Remark
		sex	age	educational status	basic occupation (>1 answer is allowed)	
1						
2						
3						
4						
5						

6						
---	--	--	--	--	--	--

833

834

**II. Access to Natural capital/assets of a household / Economic assessment**

835

836

**A. Land**

837

1. Do you have agricultural land?

838

a. yes      b. no

839

840

2. Would you please mind indicating the size of each land use type for the years specified in the table?

841

Land use type	Size in local unit (i.e. qarxii)			Remark
	Before 2004/05	In 2008/09	In 2012/13	
Cultivated land				
Fallowed land				
Grazing land				
Planted Forest land				
Others,				

842

843

844

845

3. Would you please, tell total size of cultivated land and total amount of crops harvested over the years indicated?

Crop type	Total size cultivated land ( <i>Qarxii</i> )			Amount produced ( <i>Qunt.</i> )		
	2004/05	2008/09	2012/13	2004/05	2008/09	2012/13
wheat						
<i>teff</i>						
barley						
Oats						
maize						
peanut						
Horse bean						
Haricot bean						
Others, list						

846

847

4. For how many months of the year that you annual crop production could able to feed your family?

848

a. <3 months    b. 3-6 months    c. 6-9 months    d. 9-1 year    e. >1year    e. other, specify -----

849

5. What has happened to the size of your agricultural land over the past 8 years?

850

a. Increased    b. decreasing    c. intact    d. other, specify, -----

851

6. If your answer to Q5 is 'decreasing', what are the major causes for that?

- 852 a. converted to investment in industries c. Shared with family member  
853 b. fall within urban housing expansion d. other, specify, -----  
854 7. If your answer to Q6 is 'a', how many hectare/'qarxii' is converted to industrial establishment?  
855 a. 0.25ha b. 0.25-0.5ha c.0.5- 0.75ha d.0.75-1ha e. 1-1.5ha f. whole farm land g. other, specify-----  
856 8. Were you consulted by local/regional government authorities about the conversion of your land?  
857 a. Yes b. No  
858 9. If your answer to Q8 is 'yes', how did you decide/ were convinced to give up your land and properties on it?  
859 a. voluntarily b. order to cede c. other, specify, -----  
860 10. Were you paid compensation? a. yes b. no  
861 11. If your answer to Q10 is 'yes' how much birr, -----  
862 12. If your answer to Q10 is 'yes', how did you collect your compensation money?  
863 a. all in one installment b. installment was made phase by phase c. not yet paid d. other, specify,-----  
864 13. If your answer to Q10 is 'yes', how did you rate/compare the amount of compensation money with your land and  
865 properties on it if any? Compensation money was:  
866 a. higher than aggregate value of my land and properties on it  
867 b. was equivalent to the value of my land and properties on it  
868 c. lower than the aggregate value of my land and properties on it  
869 d. very much lower than the aggregate value of my land and properties on it  
870 e. Other, specify -----  
871  
872 14. What did you do with the compensation money? Explain, four major activities  
873 a. -----  
874 b. -----  
875 c. -----  
876 15. How do you rate your household's current living status and standards before collecting compensation money and  
877 after collecting compensation? Do you thing, your living status and standard improved significantly  
878 a. Strongly agree e. disagree  
879 b. Agree f. strongly disagree  
880 c. unsure  
881 16. Have you ever displaced from your residential areas to cede your land for ongoing investment activities in your  
882 area? a. yes b. no  
883 17. If your answer to Q16 is 'no', have you ever worried that you will be some day in the future? a. yes b. no  
884 18. If your answer is yes, what is your plan as to solve the problems that might come because of displacement?  
885 a. -----  
886 b. -----  
887 c. -----

888 **B. Agriculture - Industry linkages**  
889

- 890 1. Do you have access to supply raw materials from your produce (crops, livestock, etc) for operating industries in  
 891 your area? a. yes b. no
- 892 2. If your answer to Q1 is 'yes', would you please, specify top three items in order of their importance for you,  
 893 a. -----  
 894 b. -----  
 895 c. -----
- 896 3. Do you have an opportunity/possibility to purchase consumable products produced from operating industries in  
 897 your area? a. yes n. no
- 898 4. If 'yes' to Q3, what type of consumable goods? Please list top three important items and compare prices with  
 899 conventional market price
- 900 a. ----- (cheap, similar, expensive)  
 901 b. ----- (cheap, similar, expensive)  
 902 c. ----- (cheap, similar, expensive)

903  
904  
905 **C. Employment opportunities in relation industrial activities**  
906

- 907 1. Can you indicate employment history of your household members?  
908

Employment Status	male	age	female	age	total	Remarks
employed						
unemployed						

- 909
- 910 2. Is there anyone of your family member who is hired in any of the nearby investment activities? a. yes b. no
- 911 3. If your answer is 'yes', can you indicate the type of employment? (>= one answer possible)
- 912 a. Daily laborer d. professional work, specify -----  
 913 b. Foreman e. other, specify -----  
 914 c. compound keeper
- 915 4. How much is the average monthly income for unskilled household member employed in industry? (in birr)
- 916 a. <500 b. 501-750 c. 751- 1,000 d. 1,001-1250 e.1251-1500 f. >1,500
- 917 5. What is the household monthly saving from the income obtained from employment in the industry?
- 918 a. < 100 birr b. 101 – 150 c. 151-200 d. 201- 250 e. 251 – 300 f. other, specify -----
- 919 6. Do you and/or other people in your locality have access to employment opportunities in the processes of industrial  
 920 establishment? a. yes b. no
- 921 7. If your answer to Q6 is 'yes', what type/s of employment/job opportunities are easily/ commonly available for  
 922 local people in your area? Indicate in terms of their decreasing order of availability
- 923 a. Wage labor b. daily labor c. compound keeper c. casual work d. other, specify-----
- 924 8. What are the major problems related to employment in industries?



- 925 a. lack of education      b. lack of skill      c. availability of excess labor from other places  
 926 d. employers are selective: prefer people from urban origin than from rural area      e. other, specify -----  
 927 9. What implication (positive-negative) do you think employment in the industries has on own agricultural activities  
 928 in your locality? Please, put in order of their importance  
 929 a. Diversify sources of household income      b. divert/reduce farm labor  
 930 c. Affect agricultural production      d. accelerate rural-urban migration      e. other, specify -----  
 931 10. Do you agree with the processes of rapid industrialization and the accompanied rural land conversion in your area?  
 932 a. Strongly agree      b. agree      c. unsure      d. disagree      e. strongly disagree  
 933 11. If rapid industrialization is associated with major negative impacts, what do you suggest to be undertaken by the  
 934 government to avoid or reduce the negative impacts in your locality?  
 935 a. -----  
 936 b. -----

937  
 938 **III. Access to physical capital/assets**

939 **A. Infrastructure**

- 940 1. When did you get access to the following infrastructures in your locality/*Keble*? Please, put thick mark ‘√’ based on  
 941 the years indicated in the table,

Type of Infrastructure	2004/05	2008/09	2012/13	Remark
paved				
Gravel				
Coble stone				
Asphalt				
Potable water				
Power/electric				
Health centers				
School				
i. 1-4				
ii. 5-8				
iii. 9-10				

942  
 943 **IV. Financial capital/assets**

944 **A. Income and saving**

- 945 1. Do you have your own savings of money in liquid and/or grain form to be used for emergencies and/or other  
 946 household use purposes?  
 947 a. Yes, I have own savings      d. No, I do not have saved/savings so far  
 948 b. I do not have extra money/grain to save      e. I am not interested in saving  
 949 c. I do not have any idea about saving  
 950 2. Did you or any of your family members involve in non-agricultural income generating activities?

- 951 a. Yes b. No
- 952 3. What do you or your family member do with the income obtained from non-agricultural activities?
- 953 a. purchase food c. pay back debts d. purchase farm implements and inputs
- 954 b. Save for future uses e. other, specify, -----
- 955

956 **B. Livestock ownership**

- 957 1. Do you own livestock?
- 958 a. Yes b. No
- 959 2. If your answer to Q2 is 'yes', please give us the following details for the periods indicated in the following table

Livestock category	Year		
	2004/05	2008/09	2012/13
<b>cattle</b>			
oxen			
caw			
calves			
heifers			
bulls			
Sub-total			
<b>Equines</b>			
horse			
donkey			
mules			
Sub-total			
<b>Ruminants</b>			
sheep			
goat			
Sub-total			
<b>others</b>			
chickens			

- 960
- 961 3. Do you face animal feed problems such as communal and/or own grazing land shortages over the last five years
- 962 back from 2011?
- 963 a. Yes b. No
- 964 4. If your response to Q3 is 'yes', what is/are the causes?
- 965 a. shrinking of own grazing land
- 966 b. lack of communal grazing lands
- 967 c. communal grazing land converted to investment and settlement activities
- 968 d. Lack of clean drinking water f. other, specify -----
- 969 5. If your answer to Q3 is 'yes', what measures did you take to overcome shortages of grazing lands/pasture?
- 970 a. Limiting livestock number b. avoiding equines to save pasture c. purchase fodder
- 971 d. sold to shift to employment in industry e. other, specify -----
- 972

973 **V. Access to Social capital/ social assessment**

974 **A. Schooling**

- 975 1. Are there any children in your family who are not going to school over the last five years? a. yes b. no
- 976 2. If your answer is yes for Q1, what are the major reasons for not sending children to school? (> 1 answer is possible)
- 977 a. Unable to afford school expense f. Lack of awareness
- 978 b. In need of child labor g. Abduction of girls
- 979 c. engaged in daily labor/wage in industries h. Changing place of living
- 980 d. Absence of schools i. Other, specify -----
- 981 e. Schools are far from home
- 982 3. Are there any children in your family who dropped out of school over the last five years?
- 983 a. Yes b. No
- 984 4. What are the major reasons for school dropout? (More than one answer is possible)
- 985 a. Economic problems (Unable to afford school expense) e. Lack of awareness
- 986 b. In need of child labor f. Abduction of girls
- 987 c. Absence of schools g. Changing place of living
- 988 d. Schools are far from home h. Other (specify) -----

989

990 **B. Socio-cultural aspects**

- 991 1. What main socio-cultural **problems/prospects** is/are emerging and how do you rate their trends after industrial
- 992 establishments in your locality? Please, write the later of your choice in front of each question

993

Types of social problems/opportunities	a. severe      b. moderate	a.increasing   b. decreasing
	b. low      d. no problem observed yet	c. constant      d. not sure
Theft		
Conflict over grazing land		
Conflict over agricultural land		
Juvenile delinquency		
Commercial sex workers		
Beggary		
Unemployment		
Street-ism and orphan/child related problems		
Disability – related to working in industries		
Elders without support		
Alcoholisms		
<i>Jigii</i>		
<i>Idirii</i>		
<i>Equbii</i>		

Other, list and rate		
----------------------	--	--

994

995 **Part IV.**

996 **Environmental Assessment**

- 997 1. What are your current sources of water for household consumption in your locality?
- 998 a. River/stream water b. spring water c. pond in backyard d. tap water potable e. other, specify -----
- 999 2. If you answer to Q2 is 'a', what do you do with it?
- 1000 a. Drinking b. for cooking c. bath d. washing and sanitation e. other, specify -----
- 1001 3. How do you rate the quality of river/stream water in your area for human uses after the processes of industrial
- 1002 establishment based on your local knowledge/experience?
- 1003 a. excellent b. very good c. good d. bad/unclean/polluted
- 1004 4. If your answer to Q3 is 'd', did you or your family member get sick of using river/stream water for drinking?
- 1005 a. Yes b. No
- 1006 5. If your answer to Q4 is 'yes', how many of family member got sick on average in a year? ----indicate age -----
- 1007 6. Did you or any of your family members visit health center for medical treatment so far up when sick?
- 1008 a. Yes No.
- 1009 7. If your answer to Q6 is 'yes', how much money did you pay on average each time you or your family visited health
- 1010 centers? -----
- 1011 8. Did any of your family member/ relatives die of sickness due to drinking river/stream water so far? Please indicate
- 1012 their age ----- a. Yes b. No
- 1013 9. What are the most common diseases prevailing in your area over the last five years? (More than one answer is
- 1014 possible)
- 1015 a.. STD b. TB c. Diarrhea d. Typhoid Fever e. Intestinal parasites f. Gastric g. Ameba
- 1016 h. Eye disease i. Tonsillitis j. Other (specify) -----
- 1017 10. What other impact/s does using river water in your area bring on your family, livestock and agricultural activities?
- 1018 a. Children drop schools due to health problems c. Farm labor often affected
- 1019 b. Abortion and maternal health problems d. Deaths among children and elders
- 1020 c. Other specify -----
- 1021 11. What is/are the principal sources of water for livestock consumption in your area?
- 1022 a. River/stream water b. pond c. potable water d. other, specify -----
- 1023 12. Did you or your livestock get sick of using polluted river water for drinking?
- 1024 a. Yes b. No
- 1025 13. If your answer to Q12 is 'yes', how many of your livestock got sick on average? -----indicate age-----
- 1026 14. Did you take sick livestock to health center for medical treatment so far? a. yes b. no
- 1027 15. If your answer to Q14 is 'yes', how much money did you pay on average each time for treatment? -----
- 1028 16. Which livestock types are more vulnerable to health problems up on using river/stream water in your area?
- 1029 a. Cattles: ox, caws, calves heifers, bulls b. equines c. small animals (sheep, goats) d. other specify -----

1030 17. Would you please, mention **three** pressing health problems of your livestock after industrialization process begins in  
1031 your locality in terms of their order?

1032 a. -----

1033 b. -----

1034 18. What other impact/s does using river water in your area bring on people, livestock and agricultural activities?

1035 a. Farm labor often affected

1036 b. Affected agricultural production

1037 c. Livestock incomes such as milk and milk products declined

1038 d. Abortion and maternal health problems

1039 e. Deaths among calves

1040 f. Other, specify -----

1041 19. What do you think should be done by you, local administration, investors and government at higher levels do in order  
1042 to reduce or avoid the principal sources of river/stream water pollution in your locality and enhance the usability of the  
1043 river/streams?

1044 a. -----

1045 b. -----

1046 c. -----

1047

1048 **Part V**

1049 **Which of the following best represent your Copping and adaptations Strategies to farmland losses?**

1050 **(Multiple responses are possible)**

1051 1. How do you cope with problems of land and food shortages for your household? Please, put ‘√’ mark (>1 answer  
1052 possible)

1053 a. share cropping

j. consume less preferred food

1054 b. land rent

k. borrowing grain from relatives/neighbors

1055 c. work in others farm

l. cash/money loans from merchants

1056 d. diet change: type, quantity and quality reduction

m. labor sale: work for the others farmers

1057 e. livestock sale

n. grass sale

1058 f. ox/oxen, equines rent

o. fuel wood and animal dung sale

1059 g. farm land renting

p. daily labor in investment sites

1060 h. buy food on credit basis

q. sale of hand crafts

1061 i. migrate to urban centers

r. other, list

1062