Made available by Hasselt University Library in https://documentserver.uhasselt.be

Motor cortex stimulation does not lead to functional recovery after experimental cortical injury in rats Peer-reviewed author version

SCHONFELD, Lisa; Jahanshahi, Ali; LEMMENS, Evi; Bauwens, Matthias; Hescham, Sarah-Anna; Schipper, Sandra; Lagiere, Melanie; HENDRIX, Sven & Temel, Yasin (2017) Motor cortex stimulation does not lead to functional recovery after experimental cortical injury in rats. In: RESTORATIVE NEUROLOGY AND NEUROSCIENCE, 35(3), p. 295-305.

DOI: 10.3233/RNN-160703 Handle: http://hdl.handle.net/1942/24310

Motor cortex stimulation does not lead to functional recovery after experimental cortical injury in rats

Lisa-Maria Schönfeld^{a,b}, Ali Jahanshahi^{a,e,*}, Evi Lemmens^b, Matthias Bauwens^c,

⁵ Sarah-Anna Hescham^a, Sandra Schipper^{a,d}, Melanie Lagiere^a, Sven Hendrix^{b,1,*}

- 6 and Yasin Temel^{a,e,1}
- ⁷ ^aDepartment of Neuroscience, Maastricht University, Maastricht, The Netherlands
- ^bDepartment of Morphology, Biomedical Research Institute (BIOMED), Hasselt University, Hasselt, Belgium
- ⁹ ^cDepartment of Nuclear Medicine, Maastricht University Medical Center, Maastricht, The Netherlands
- ¹⁰ ^dDepartment of Neurology, Maastricht University Medical Center, Maastricht, The Netherlands
- ¹¹ ^eDepartment of Neurosurgery, Maastricht University Medical Center, Maastricht, The Netherlands

12 Abstract.

- Background: Motor impairments are among the major complications that develop after cortical damage caused by either stroke or traumatic brain injury. Motor cortex stimulation (MCS) can improve motor functions in animal models of stroke
- ¹⁵ by inducing neuroplasticity.
- 16 **Objective:** In the current study, the therapeutic effect of chronic MCS was assessed in a rat model of severe cortical damage.
- 17 **Methods:** A controlled cortical impact (CCI) was applied to the forelimb area of the motor cortex followed by implantation
- of a flat electrode covering the lesion area. Forelimb function was assessed using the Montoya staircase test and the cylinder
- test before and after a period of chronic MCS. Furthermore, the effect of MCS on tissue metabolism and lesion size was
- measured using $[^{18}F]$ -fluorodesoxyglucose (FDG) μ PET scanning.
- **Results:** CCI caused a considerable lesion at the level of the motor cortex and dorsal striatum together with a long-lasting behavioral phenotype of forelimb impairment. However, MCS applied to the CCI lesion did not lead to any improvement in
- behavioral phenotype of forelimb impairment. However, MCS applied to the CCI lesion did not lead to any improvement in
 limb functioning when compared to non-stimulated control rats. Also, MCS neither changed lesion size nor distribution of
- 24 FDG.
- 25 Conclusion: The use of MCS as a standalone treatment did not improve motor impairments in a rat model of severe cortical
- ²⁶ damage given our specific treatment modalities.
- 27 Keywords: Motor cortex stimulation, motor impairment, rehabilitation, behavioral tests, PET

*Corresponding authors. Ali Jahanshahi, Department of Neuroscience, School for Mental Health and Neuroscience, Maastricht University, Universiteitssingel 50, 6229 ER Maastricht, The Netherlands. E-mail: a.jahanshahianvar@maastrichtuniversity.nl. and Sven Hendrix, Department of Morphology, Biomedical Research Institute, Hasselt University, Martelarenlaan 42, 3500 Hasselt, Belgium. E-mail: sven.hendrix@uhasselt.be.

1. Introduction

Cortical damage due to traumatic brain injury (TBI) or stroke often leads to persistent functional impairments if the motor cortex is part of the traumatized or infarcted brain region. The resulting motor impairments are disabling and form a major socioeconomic burden (Parker, Wade, & Langton Hewer, 1986; Walker & Pickett, 2007). Thus far, the only

30

31

32

33

34

clinically proven therapy for patients with motor 36 deficits is physical rehabilitation therapy; still, many 37 patients do not achieve full recovery. To enhance 38 the efficacy of physical rehabilitation therapy, motor 39 cortex stimulation (MCS) has been proposed as 40 a potential therapeutic approach (Brown, Lutsep, 41 Weinand, & Cramer, 2006; R. Levy et al., 2008). 42 Recently, the results of a multicenter study were 43 reported, in which stroke patients suffering from 44 hemiplegia received six weeks of MCS via implanted 45 epidural electrodes concurrent with physical rehabil-46 itation therapy (R. M. Levy et al., 2015). The authors 47 reported a promising recovery course of the patients 48 that was still present six months after cessation of 49 the therapy (R. M. Levy et al., 2015). MCS has also 50 been applied in rodent and non-human primate mod-51 els of ischemic infarcts and resulted in improved limb 52 function, again when being combined with physi-53 cal rehabilitation therapy (Adkins et al., 2006; Baba 54 et al., 2009; Plautz et al., 2003). However, limited 55 data are available investigating the effect of MCS on 56 its own without an additional intervention. 57

One of the mechanisms explaining the therapeutic 58 effect of MCS on motor recovery is neuroplastic-59 ity. In a previous study, we found that MCS applied 60 to naïve rats increased cell proliferation in the sub-61 ventricular zone (SVZ) compared to non-stimulated 62 controls (Jahanshahi, Schonfeld et al., 2013a). Fur-63 thermore, a higher number of neural stem and 64 progenitor cells (NSPCs) and mature neurons were 65 detected in the motor cortex underneath the electrode 66 (Jahanshahi, Schonfeld et al., 2013a). This finding 67 could be explained by a process called electrotaxis, 68 where cells migration is induced by an electrical 69 field (Jahanshahi, Schonfeld, Lemmens, Hendrix, & 70 Temel, 2013). In other studies using different forms 71 of electrical stimulation, this increase of NSPCs at 72 the side of stimulation was further corroborated. 73 strengthening the hypothesis of electrotaxis in vivo 74 (Morimoto et al., 2011; Rueger et al., 2012). 75

Animal models can be used to mimic clinical 76 symptoms in a standardized way. With a controlled 77 cortical impact (CCI), a cortical lesion can be created 78 in rats that results in long-lasting functional deficits 79 (L. M. Schönfeld et al., 2016). Similar to humans, rats 80 possess a topographic organization of the motor cor-81 tex, where distinct cortical areas control the function 82 of specific body parts (Nishibe, Barbay, Guggenmos, 83 & Nudo, 2010; Starkey et al., 2012) and a CCI lesion 84 in the forelimb area of the motor cortex can cause 85 deficits in motor functions specific to the contralateral 86 forelimb (L.M. Schönfeld et al., 2016). 87

In the present study, we tested whether MCS as a standalone treatment is able to achieve functional recovery in a rat model of severe CCI in the forelimb area of the motor cortex. To document functional recovery, we measured the effect of MCS on forelimb function and metabolic brain activity.

2. Materials & methods

2.1. Subjects

All animal experiments were conducted according to the directive 2010/63/EU on the protection of animals used for scientific purposes and had been approved by the local ethical committee for animal experiments at Maastricht University. Forty male Sprague-Dawley rats (Charles River, France), ten weeks old and weighing approximately 400 g at the time of surgery, were housed in pairs under a reversed 12 h light/dark cycle. Housing and testing facilities were kept at a constant temperature of 22° C and a humidity of 40-60%. Animals received standard laboratory chow (Sniff, Germany) and acidified water (pH 2.3-2.7) ad libitum, if not specified otherwise. Each behavioral assessment took place during the dark phase of the reversed night-day cycle (between 7 am and 7 pm), which is the active period of the rats.

2.2. CCI induction and electrode implantation

Induction of CCI was performed as previously 114 described in detail (L.M. Schönfeld et al., 2016). 115 Shortly, a craniotomy was made above the forelimb 116 area of the motor cortex (coordinates AP 0-3.5 mm 117 anterior to bregma, ML 0.5-4 mm lateral to bregma) 118 contralateral to the dominant paw, as determined 119 by baseline performance in the Montoya staircase 120 test. All rats received a CCI using an electromag-121 netically driven impactor device (Leica Impact One, 122 Leica Biosystems, USA) with an impactor tip of 123 3 mm diameter, an impact depth of 5 mm and a 124 velocity of 3 m/s (Fig. 1c). Polyurethane-isolated flat 125 electrodes $(3.4 \times 3 \text{ mm}; \text{Medtronic}, \text{USA})$ with six 126 exposed monopolar platinum/iridium contact points, 127 were positioned on top of the CCI lesion (Fig. 1a-b) 128 and a reference wire was anchored to the contralateral 129 skull (coordinates AP 1.75 mm anterior to bregma 130 and ML 2.25 mm lateral to bregma). Both the elec-131 trode and the reference wire were fixed with dental 132 cement (Paladur; Heraeus, Germany) exposing two 133

88 89 90

91 92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112



Fig. 1. Location of the CCI and electrode placement. A schematic representation of the somatotopic organization of the rat motor cortex is shown, modified from Fonoff et al. (2016; a). The CCI targeted large parts of the forelimb area (FL; CCI area represented by a red circle), followed by electrode placement on top of the lesion (blue square). Dark circles represent the monopolar electrode contacts that delivered the current. On the right, a scaled-down picture of the electrode lead is shown (real size 3.4×3 mm; b). CCI on the motor cortex damaged large parts of the cortex and the dorsal striatum (c; image shown at 1.7 mm anterior to bregma). CCI: controlled cortical impact; W: whiskers; N: neck muscles; FL: forelimb; HL: hindlimb; T: tail; E: eyes; M1; primary motor cortex; M2: secondary motor cortex; CG1: cingulate cortex 1; CG2: cingulate cortex 2; CC: corpus callosum; CPu: caudate putamen (striatum).

electrode contact pins (Multi-Contact, Switzerland)
on the back of the animal's head to allow connection
to an external electrical stimulator. Animals from the
control group received non-functional dummy electrodes of the same size and material. After surgery,
rats were left to recover for two weeks.

140 2.3. Motor cortex stimulation

MCS was applied daily for 2 h during a period of 31 consecutive days. Stimulation parameters were chosen based on previous studies (Baba et al., 2009; Jahanshahi, Schonfeld et al., 2013b; Teskey, Flynn, Goertzen, Monfils, & Young, 2003) and consisted of a frequency of 30 Hz, 1 ms pulse width and biphasic constant current set at 50% of the current that evoked a motor threshold. MCS was delivered by an external digital stimulator (DS8000, World Precision Instruments, Germany) while rats stayed individually in stimulation chambers (width 28 cm, depth 50 cm, height 47.8 cm) and were allowed to move around freely under conditions similar to their home cage.

147 148 149

150

151

152

153

¹⁵⁴ Control animals underwent the same procedure with-¹⁵⁵ out any current being delivered.

156 2.4. Behavioral testing

Reaching and grasping abilities of both forelimbs 157 were assessed with the Montoya staircase test. In 158 short, rats have to retrieve sucrose pellets (Test Diet, 159 USA) lying on each step of a staircase located on the 160 left and on the right hand side of a platform inside a 161 narrow translucent box (Montoya, Campbell-Hope, 162 Pemberton, & Dunnett, 1991). All steps, except the 163 highest one, were baited with three sucrose pellets. 164 Rats were habituated to the staircase boxes and then 165 trained daily until they retrieved a minimum of 55% 166 of the pellets from at least one of the staircases 167 (Windle et al., 2006). Testing sessions lasted 15 min-168 utes and took place on two consecutive days, twice 169 daily with a minimal inter-trial interval of three hours 170 resulting in a total of four testing sessions per time 171 point of behavioral assessment. During the training 172 and testing period, rats were food deprived to 85-90% 173 of their free-feeding weight to increase their motiva-174 tion for pellet reaching. Data are presented as the total 175 number of eaten pellets for the impaired and healthy 176 forelimb separately, as well as using a difference score 177 defined as the score of the healthy forelimb subtracted 178 from the score of the impaired forelimb (pellets_{imp} -179 pelletsheal). Behavioral testing in the Montoya stair-180 case test was performed at three time points: Before 181 CCI, two weeks after CCI and after four weeks of 182 (sham) MCS. 183

Paw use during vertical exploration was measured 184 by the cylinder test as described previously (L.M. 185 Schönfeld et al., 2016). Rats were transferred to 186 Perspex cylinders on an illuminated platform and 187 recorded from above during 10 minutes (GoPro Hero 188 4, GoPro, USA), while they explored the cylinder 189 by rearing and leaning against the wall. Based on 190 the video footage, the first twenty wall contacts were 191 scored and used for analysis. Wall contacts were made 192 using both paws individually ('impaired', 'healthy') 193 or using both paws at the same time ('both'). Data 194 are presented as the percentage of the wall contacts 195 with either the impaired or the healthy forelimb rela-196 tive to the total twenty wall contacts (contacts_{imp}/20 197 * 100 and contacts_{heal}/20 * 100, respectively). In 198 addition, the difference score of the percentages is 199 shown to visualize asymmetry between both fore-200 limbs (%contacts_{imp} - %contacts_{heal}). Behavioral 201 testing using the cylinder test was performed at four 202 time points: Before CCI, two weeks after CCI, two 203

weeks after initiation of MCS or sham stimulation and after four weeks of MCS or sham stimulation.

2.5. Functional imaging

Distribution of ¹⁸F-fluorodesoxyglucose as an indirect indicator of glucose-related metabolic activity in the central nervous system was visualized in vivo using a µPET scanner (µPET Focus, Siemens, the Netherlands). Rats were anesthetized with Isoflurane and received 10-20 mBg ¹⁸Ffluorodesoxyglucose (FDG; GE Healthcare, the Netherlands) intravenously, immediately followed by scanning the entire brain for 30 minutes. Thereafter, a static image was reconstructed using OSEM2D. Each animal underwent µPET twice; on the first day rats from the MCS group were scanned with stimulation off, whereas on the second day stimulation was switched on 10 minutes before as well as throughout the entire duration of scanning in order to visualize potential acute effects of MCS by using autoradiography. Control animals were scanned twice under the same conditions, thus without any stimulation being delivered. The lesion volume was calculated by delineating the virtual CCI area, identified as the cortical area without any visual presence of FDG (Fig. 3a). The CCI lesion was delineated throughout its full length in static images of sequential brain slices using pmod image analysis software (PMOD 2.9, pmod Technologies, Switzerland).

Autoradiography was performed after the second µPET scan to visualize FDG distribution at a high spatial resolution. After transcardial perfusion with 4% paraformaldehyde, brains were frozen and cut into 50 µm thick sections. Autoradiography phosphor plates (GE Healthcare, the Netherlands) were exposed to the frozen brain sections during approximately 2 hours and read for each animal with a Typhoon FLA7000IP scanner (GE Healthcare, the Netherlands). For each animal, the entire lesioned and healthy hemispheres of three sections at a comparable bregma level were delineated separately and the intensity of the FDG signal for the individual hemispheres was measured using ImageQuant TL software (GE Healthcare, the Netherlands). Signal intensity (arbitrary units, a.u.) depended on the amount of radioactive counts present in the delineated area and was corrected for the injected amount of MBq, animal weight, the time from injection until exposure to the autoradiography plate and the duration of exposure on the autoradiography plate. To correct for inter-individual fluctuations, intensity 204

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

341

342

343

344

345

346

347

348

349

values were expressed by dividing the intensity values measured within the lesioned hemisphere by
the intensity values measured within the healthy
hemisphere for each individual animal. In addition,
intensity values for each hemisphere are shown separately.

260 2.6. Statistical analysis and artwork

Data are presented as mean \pm standard error of 261 the mean and were analyzed with repeated-measures 262 ANOVA (SPSS 20, IBM, US) with time (baseline, 263 post CCI and post stimulation) as within-subjects 264 factor and group (MCS and control) as between-265 subjects factor. Imaging data were analyzed with 266 one-way ANOVA and p-values below 0.05 were 267 considered significant. Values below or above 1.5 268 interquartile ranges were identified as outliers by 269 SPSS and excluded. In addition, animals that lost 270 their electrodes during the course of the experiment 271 and therefore could not undergo stimulation during 272 31 days were excluded from the analysis. 273

Figures were created using GraphPad Prism
5 (GraphPad Software, US), Adobe Illustrator
CS6 (Adobe, US) and Microsoft PowerPoint 2011
(Microsoft, US).

278 **3. Results**

279 3.1. Chronic motor cortex stimulation failed to 280 recover grasping skills and paw asymmetry 281 during vertical exploration behavior

The Montoya staircase test was used to assess the 282 recovery of reaching and grasping skills that were 283 impaired by severe CCI. Repeated measures ANOVA 284 revealed that the number of eaten pellets with the 285 healthy paw increased after CCI compared to the 286 number of eaten pellets before CCI for both groups 287 [F(2, 44) = 14.27, p < 0.001; Fig. 2a] without any sig-288 nificant difference between rats that received MCS 289 and rats that received sham stimulation. The number 290 of eaten pellets with the impaired paw decreased after 291 CCI [F(2, 46) = 85.18, p < 0.001; Fig. 2b] and a sig-292 nificant difference was detected between the groups 293 at all time points [F(1, 23) = 6, 18, p < 0.05]. This dif-294 ference between the groups, however, was constant 295 at all timepoints (2.41, 2.45 and 2.87 pellets, rep-296 resenting the mean number of pellets eaten before 297 CCI, after CCI and after four weeks of MCS or sham 298 stimulation), which indicates a lack of functional 299

improvement caused by MCS. The *difference score* was calculated by subtracting the number of pellets eaten with the healthy paw from the number of pellets eaten with the impaired paw. Using the *difference score*, a decline over time was shown implying worse pellet retrieval with the impaired paw in both groups [F(2, 46) = 71.44, p < 0.001; Fig. 2c]. The analysis of the *difference score* also revealed that MCS treatment did not affect the number of pellets eaten after CCI. These results indicate that MCS did not have any effect on grasping and reaching behavior after CCI.

The cylinder test was performed to measure vertical exploration behavior with the individual forelimbs. After CCI, all animals showed an increased reliance on the healthy paw to lean against the cylinder wall [F(3, 69) = 19.30, p < 0.001; Fig. 2d] at the expense of using their impaired paw [F(3, 66) = 6.77, p < 0.001; Fig. 2e]. However, MCS treatment did not restore usage of the impaired paw. Analysis of the *dif-ference score* showed a stronger asymmetry in paw use after CCI compared to paw use before CCI [F(1.8, 66) = 19.73, p < 0.001; Fig. 2f]. Yet, treatment with MCS could not resolve this asymmetry in wall contacts between both forelimbs. Taken together, these results indicate that treatment with MCS could not restore forelimb use for vertical exploration behavior.

3.2. Lesion volume and glucose metabolism in the lesioned hemisphere did not change despite motor cortex stimulation

Delineation of the lesion area in the reconstructed μ PET images was performed to estimate the amount of histological damage that was present after chronic application of MCS (Fig. 3a). The lesion volume of animals that received chronic MCS was not significantly different from the lesion volume of non-stimulated controls [F(1,8)=2.98, p > 0.05; Fig. 3b], which indicated a lack in overt tissue recovery.

Imaging the distribution of FDG in brain slices was performed by means of autoradiography to measure functional recovery that may have occurred at the tissue level (Fig. 3c1-2). The ratio of intensity values between the healthy and the lesioned hemisphere did not differ between rats that received MCS and control rats that received sham stimulation [F(1,7)=0.12, p>0.05; Fig. 3d]. When comparing the intensity values of the delineated lesioned and healthy hemisphere separately, no difference was detected between the animal groups, either [lesioned hemisphere: F(1,7)=0.64, p>0.05; healthy hemi-



Fig. 2. Chronic motor cortex stimulation after a unilateral CCI neither recovered reaching and grasping skills nor paw use during vertical exploration. CCI to the forelimb area of the motor cortex did not affect pellet retrieval with the healthy paw (a) whereas it resulted in significantly less pellet retrieval with the impaired paw (b), as measured in the Montoya staircase test. This behavioral impairment was unaltered by MCS. Concerning the impaired paw, an overall significant difference between groups at all time points was detected, which was unrelated to the application of MCS. The *difference score* also reflected the tendency of less pellets eaten with the impaired paw (c). Use of the cylinder test showed that after a unilateral CCI rats increased the use of their ipsilateral paw to lean against the cylinder walls (d), while neglecting the paw contralateral to the CCI lesion (e). The *difference score* also show a decreased use of the impaired forelimb to lean against the cylinder wall (f). This effect of CCI on motor impairment was not restored by MCS. CCI = controlled cortical impact; MCS = motor cortex stimulation; *p < 0.05 comparing MCS with control.



Fig. 3. Neither lesion volume nor glucose distribution was affected by chronic motor cortex stimulation. A representative μ PET image is shown in a horizontal plane, used to delineate the lesion area (white line, a). Chronic MCS of the lesion area did not significantly change the size of the CCI lesion (b). Representative autoradiography images are shown of a control rat (c) and a rat that received motor cortex stimulation (d). The radioactive signal intensity (arbitrary units, a.u.) for the healthy (e) and lesioned hemisphere (f) separately did not differ between control rats and rats that were stimulated. Also no difference between groups was present in the ratio of the signal intensity between the lesioned hemisphere and the healthy hemisphere. CCI: controlled cortical impact; M: medial; L: lateral; D: dorsal; V: ventral.

sphere: F(1,7)=0.61, p>0.05; Fig. 3e-f], showing again that MCS treatment did not influence FDG distribution.

353 **4. Discussion**

Motor impairments are among the most debili-354 tating consequences of stroke or TBI and have a 355 strong impact on a patient's day-to-day activities. 356 Electrical stimulation of the motor cortex has been 357 shown to cause functional improvements in animal 358 models of ischemic stroke and the ability of MCS 359 to improve motor recovery in humans is currently 360 under investigation (R. M. Levy et al., 2015; Shin, 361 Dixon, Okonkwo, & Richardson, 2014). In rodent 362 models of stroke, functional recovery was measur-363 able as improved limb placement in response to 364 a sensory cue, grasping or balance (Cheng et al., 365 2012; Moon et al., 2009). An increased formation of 366 new blood vessels and dendritic sprouting has been 367 found in addition to more NeuN-positive cells in the 368 ischemic cortex (Cheng et al., 2012; Kang et al., 369 2013). 370

Although stroke and TBI have a different cause, 371 both result in strikingly similar effects at the cel-372 lular level, such as excitotoxicity, oxidative stress 373 and inflammatory responses (Lopez, Dempsey, & 374 Vemuganti, 2015); therefore treatments effective in 375 animal models of stroke might also be applicable 376 to animal models of TBI. However, compared to 377 stroke, endogenous plasticity processes are more lim-378 ited after CCI lesions and more diverse behavioral 379 rehabilitation training is necessary to induce morpho-380 logical and functional rehabilitation (Combs, Jones, 381 Kozlowski, & Adkins, 2016; Jones et al., 2012). In 382 the specific case of MCS, stimulation parameters 383 that induced robust recovery in stroke models were 384 less effective in a TBI model with comparable motor 385 impairments (Jefferson et al., 2015). 386

In the current study the potential of chronic MCS to 387 achieve functional recovery after a cortical lesion was 388 assessed. To induce the cortical lesion, rats received 389 a CCI in the forelimb area of the motor cortex con-390 tralateral to the preferred limb. MCS was applied to 391 freely moving rats during a period of 31 consecutive 392 days and different aspects of forelimb function were 393 assessed before and after the stimulation period. We 394 measured fine motor skills with the Montoya staircase 395 test and the cylinder test and both tests are sensitive 396 ways to detect asymmetrical paw use after a corti-397 cal lesion (MacLellan, Langdon, Botsford, Butt, & 398

Corbett, 2013; L.M. Schönfeld et al., 2016; Windle et al., 2006). Furthermore, comparable behavioral tests were used in studies where a regenerative effect of MCS was detected (Morimoto et al., 2011; Zhou et al., 2010). In addition, we visualized the distribution of a radioactive glucose analog, FDG, to detect potential changes in brain metabolism after chronic MCS.

300

400

401

402

403

404

405

406

407

408

409

410

411

412

413

414

415

416

417

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

448

449

450

In line with previous research, we found that severe CCI created long-lasting motor impairments specific to the contralateral forelimb (L.M. Schönfeld et al., 2016), which could be detected for up to eight weeks after the insult. Motor impairments observed in patients with a cortical lesion usually have a chronic course; therefore modeling long-lasting motor impairments in animals is essential and can be achieved by severe CCI.

In the current study, we wanted to test the therapeutic potential of MCS as a standalone treatment administered in a home cage-environment. This procedure was different compared to previous research, where MCS was always administered together with physical rehabilitation training. In the current study, MCS as an independent treatment did not cause any improvement of motor impairments. After CCI, reaching and grasping skills with the impaired limb were equally affected in rats that received MCS compared to sham-stimulated control rats. Also, after CCI rats predominantly used their healthy forelimb during vertical exploration whereas usage of the other, impaired, forelimb was not restored after chronic MCS.

In line with these findings, no changes in either lesion size or FDG distribution were detected after the application of MCS. Changes in FDG distribution can be used as an indicator of neural activity (Gobel, Oltmanns, & Chung, 2013); therefore increased FDG distribution after MCS would have been an indirect measure of tissue restoration, whereas the absence of any MCS-induced change suggests a lack of treatment effect on energy metabolism.

A few studies on TBI in rats have reported a therapeutic effect of MCS (Jefferson et al., 2015; Yoon, Cho, Kim, Lee, & Lee, 2015; Yoon et al., 2012). In those studies, MCS was co-administered with behavioral rehabilitation training during a period ranging from two (Yoon et al., 2015; Yoon et al., 2012) to nine weeks (Jefferson et al., 2015). In all studies an improvement of forelimb function at the end of the stimulation period was reported (Jefferson et al., 2015; Yoon et al., 2015; Yoon et al.,

2012), together with an increase in size of the corti-451 cal area responsible for wrist movement, as assessed 452 by intracortical microstimulation mapping (Jefferson 453 et al., 2015). However, the TBI lesions created in 454 these studies were considerably smaller compared to 455 our lesions, which may have increased the likelihood 456 of regeneration. Also, in these studies the implanted 457 electrodes did not only cover the damaged cortex, 458 but also stimulated spared cortical regions, which 459 could facilitate re-mapping of lost functions onto the 460 surrounding cortex. Lastly, MCS was always admin-461 istered together with behavioral training, which is 462 representative of the clinical situation, but does not 463 provide any information about the therapeutic effect 464 of MCS on its own. It is of note: three factors might 465 explain why MCS did not induce functional regener-466 ation in our study. First, the present CCI lesion was 467 very severe and damaged the corpus callosum and 468 parts of the striatum in addition to the entire fore-469 limb area of the motor cortex. We chose for inducing 470 such a severe CCI since previously we have been 471 able to measure long-lasting behavioral impairments 472 after this specific form of CCI (L.M. Schönfeld et 473 al., 2016). In studies using milder CCI lesions in 474 the motor cortex, spontaneous recovery of motor 475 functions was measured, starting already at 2 weeks 476 after the lesion (Goffus, Anderson, & Hoane, 2010; 477 Nishibe et al., 2010; Shijo, Ghavim, Harris, Hovda, 478 & Sutton, 2015). Taken together, behavioral improve-479 ment might be more likely after a milder lesion since 480 the area to regenerate is smaller and surrounding cor-481 tical regions are spared which might allow functional 482 re-mapping of the lost area. 483

Second, in numerous studies, in which MCS 484 caused functional improvements, it was not cho-485 sen to stimulate the damaged brain area directly; 486 instead stimulation electrodes were implanted on top 487 of the lesion penumbra (Adkins et al., 2006; Boychuk, 488 Adkins, & Kleim, 2011; Jefferson et al., 2015; Moon 489 et al., 2009; O'Bryant et al., 2014; Plautz et al., 2003; 490 Zhou et al., 2010). Electrical stimulation of the spared 491 surrounding cortex may induce plasticity processes in 492 contrast to the stimulation of a damaged brain region 493 that during the course of several weeks develops into 494 a large morphological cavity (L.M. Schönfeld et al., 495 2016). In a number of studies the therapeutic effect 496 of MCS was not explained by tissue restoration at the 497 lesion side, but by remapping of the lost functions 498 onto the spared cortex around the lesion (Boychuk et 499 al., 2011; Jefferson et al., 2015; Teskey et al., 2003). 500 In the current study, the lesion area was stimulated 501 directly to clarify whether the influence of MCS on 502

NSPCs found in an earlier experiment (Jahanshahi, Schonfeld, et al., 2013b) could rescue the damaged cortical tissue. In a previous study we showed an increased amount of NSPCs at the stimulated cortex of naïve rats, which presumably had migrated from the SVZ (Jahanshahi, Schonfeld et al., 2013b). Under in vitro conditions, electrical fields can induce migration of NSPCs towards the current source, a process known as electrotaxis (Babona-Pilipos, Droujinine, Popovic, & Morshead, 2011; Babona-Pilipos, Pritchard-Oh, Popovic, & Morshead, 2015; Feng et al., 2012; Liu et al., 2015; McCaig, Rajnicek, Song, & Zhao, 2005), and in vitro electrotaxis is a widely proven phenomenon occurring in different cells types, including NSPCs (Babona-Pilipos et al., 2015; Feng et al., 2012; Liu et al., 2015). Also, stimulation of the striatal penumbra after ischemic stroke in rats has been shown to increase the number of proliferating cells in the vicinity of the electrode and this finding co-occurred with a decreased lesion size and behavioral improvement (Morimoto et al., 2011). However, contrary findings have been reported as well. In a different study, a non-invasive form of cortical stimulation was applied in naïve rats and did not lead to directional migration of labeled neural stem cells in response to an electrical field (Keuters et al., 2015). The authors concluded that accumulation of neural stem cells at the stimulated cortical area is rather due to local cell proliferation and not to cell migration from neurogenic regions (Keuters et al., 2015). These contrary findings indicate, that in vivo electrotaxis first needs to be reliably demonstrated, before its role in stimulation-induced motor recovery can be investigated.

Third, in previous studies MCS has been delivered while the animals underwent rehabilitative therapy in the form of repetitive reaching with the impaired forelimb (Boychuk et al., 2011; Jefferson et al., 2015; Teskey et al., 2003). Probably an additional behavioral stimulus, that is stronger and more specific to the impaired forelimb than mere locomotion in a home cage, is necessary to cause improvement through MCS. Pairing of MCS with rehabilitation therapy may result in a synergistic effect and there are only a few reports showing a therapeutic effect of MCS without any additional intervention (Adkins-Muir & Jones, 2003; Adkins et al., 2006; Zhou et al., 2010).

In conclusion, the use of MCS as a standalone treatment did not improve motor impairments in a rat model of severe cortical damage given our specific treatment modalities. 503

504

505

506

507

508

509

510

511

512

513

514

515

516

517

518

519

520

521

522

523

524

525

526

527

528

529

530

531

532

533

534

535

536

537

538

539

540

541

542

543

544

545

546

547

548

549

550

551

552

554 Acknowledgments

This work was supported in part by a grant from Hasselt University (BOF11603BOFMO) to SH and a grant from the Hersenstichting Nederland and Medtronic Europe, (A1196117) to YT and AJ.

559 Disclosure statement

560 No competing financial interests exist.

561 References

578

579

580

581

582

583

584

585

586

587

588

589

590

591

592

593

594

595

596

597

- Adkins-Muir, D.L., & Jones, T.A. (2003). Cortical electrical
 stimulation combined with rehabilitative training: Enhanced
 functional recovery and dendritic plasticity following focal
 cortical ischemia in rats. *Neurological Research*, 25(8), 780 788. doi: 10.1179/016164103771953853
- Adkins, D.L., Campos, P., Quach, D., Borromeo, M., Schallert,
 K., & Jones, T.A. (2006). Epidural cortical stimulation
 enhances motor function after sensorimotor cortical infarcts
 in rats. *Experimental Neurololgy*, 200(2), 356-370. doi:
 10.1016/j.expneurol.2006.02.131
- Baba, T., Kameda, M., Yasuhara, T., Morimoto, T., Kondo, A.,
 Shingo, T., ... & Date, I. (2009). Electrical stimulation of
 the cerebral cortex exerts antiapoptotic, angiogenic, and
 anti-inflammatory effects in ischemic stroke rats through
 phosphoinositide 3-kinase/Akt signaling pathway. *Stroke*,
 40(11), e598-605. doi: 10.1161/STROKEAHA.109.563627
 - Babona-Pilipos, R., Droujinine, I.A., Popovic, M.R., & Morshead, C.M. (2011). Adult subependymal neural precursors, but not differentiated cells, undergo rapid cathodal migration in the presence of direct current electric fields. *PLoS One*, 6(8), e23808. doi: 10.1371/journal.pone.0023808
 - Babona-Pilipos, R., Pritchard-Oh, A., Popovic, M.R., & Morshead, C.M. (2015). Biphasic monopolar electrical stimulation induces rapid and directed galvanotaxis in adult subependymal neural precursors. *Stem Cell Research and Therapeutics*, 6, 67. doi: 10.1186/s13287-015-0049-6
 - Boychuk, J.A., Adkins, D.L., & Kleim, J.A. (2011). Distributed versus focal cortical stimulation to enhance motor function and motor map plasticity in a rodent model of ischemia. *Neurorehabilitation and Neural Repair*, 25(1), 88-97. doi: 10.1177/1545968310385126
 - Brown, J.A., Lutsep, H.L., Weinand, M., & Cramer, S.C. (2006). Motor cortex stimulation for the enhancement of recovery from stroke: A prospective, multicenter safety study. *Neurosurgery*, 58(3), 464-473. doi: 10.1227/01.NEU.0000197100.63931.04
- Cheng, X., Li, T., Zhou, H., Zhang, Q., Tan, J., Gao, W., ... & Duan,
 Y.Y. (2012). Cortical electrical stimulation with varied low
 frequencies promotes functional recovery and brain remodeling in a rat model of ischemia. *Brain Research Bulletin*,
 89(3-4), 124-132. doi: 10.1016/j.brainresbull.2012.07.009
- Feng, J.F., Liu, J., Zhang, X.Z., Zhang, L., Jiang, J.Y., Nolta, J.,
 & Zhao, M. (2012). Guided migration of neural stem cells derived from human embryonic stem cells by an electric field.
 Stem Cells, 30(2), 349-355. doi: 10.1002/stem.779

- Gobel, B., Oltmanns, K.M., & Chung, M. (2013). Linking neuronal brain activity to the glucose metabolism. *Theoretical Biology* and Medical Modeling, 10, 50. doi: 10.1186/1742-4682-10-50
- Goffus, A.M., Anderson, G.D., & Hoane, M. (2010). Sustained delivery of nicotinamide limits cortical injury and improves functional recovery following traumatic brain injury. *Oxidative Medicine and Cellular Longevity*, 3(2), 145-152. doi: 10.4161/oxim.3.2.11315
- Harris, N.G., Mironova, Y.A., Hovda, D.A., & Sutton, R.L. (2010). Chondroitinase ABC enhances pericontusion axonal sprouting but does not confer robust improvements in behavioral recovery. *Journal of Neurotrauma*, 27(11), 1971-1982. doi: 10.1089/neu.2010.1470
- Jahanshahi, A., Schonfeld, L., Janssen, M.L., Hescham, S., Kocabicak, E., Steinbusch, H.W., ... & Temel, Y. (2013). Electrical stimulation of the motor cortex enhances progenitor cell migration in the adult rat brain. *Experimental Brain Research*, 231(2), 165-177. doi: 10.1007/s00221-013-3680-4
- Jahanshahi, A., Schonfeld, L.M., Lemmens, E., Hendrix, S., & Temel, Y. (2013). In vitro and in vivo neuronal electrotaxis: A potential mechanism for restoration? *Molecular Neurobiology*. doi: 10.1007/s12035-013-8575-7
- Jefferson, S.C., Clayton, E.R., Donlan, N.A., Kozlowski, D.A., Jones, T.A., & Adkins, D.L. (2015). Cortical stimulation concurrent with skilled motor training improves forelimb function and enhances motor cortical reorganization following controlled cortical impact. *Neurorehabilitation and Neural Repair*. doi: 10.1177/1545968315600274
- Kang, C., Yang, C.Y., Kim, J.H., Moon, S.K., Lee, S., Park, S.A.,
 ... & Zhang, L.Q. (2013). The effect of continuous epidural electrical stimulation on neuronal proliferation in cerebral ischemic rats. *Annals of Rehabilitation Medicine*, *37*(3), 301-310. doi: 10.5535/arm.2013.37.3.301
- Keuters, M.H., Aswendt, M., Tennstaedt, A., Wiedermann, D., Pikhovych, A., Rotthues, S., ... & Rueger, M.A. (2015). Transcranial direct current stimulation promotes the mobility of engrafted NSCs in the rat brain. *NMR in Biomedicine*, 28(2), 231-239. doi: 10.1002/nbm.3244
- Levy, R., Ruland, S., Weinand, M., Lowry, D., Dafer, R., & Bakay, R. (2008). Cortical stimulation for the rehabilitation of patients with hemiparetic stroke: A multicenter feasibility study of safety and efficacy. *Journal of Neurosurgery*, *108*(4), 707-714. doi: 10.3171/JNS/2008/108/4/0707
- Levy, R.M., Harvey, R.L., Kissela, B.M., Winstein, C.J., Lutsep, H.L., Parrish, T.B., ... & Venkatesan, L. (2015). Epidural electrical stimulation for stroke rehabilitation: Results of the prospective, multicenter, randomized, single-blinded everest trial. *Neurorehabilitation and Neural Repair*. doi: 10.1177/1545968315575613
- Liu, J., Zhu, B., Zhang, G., Wang, J., Tian, W., Ju, G., ... & Song, B. (2015). Electric signals regulate directional migration of ventral midbrain derived dopaminergic neural progenitor cells via Wnt/GSK3beta signaling. *Experimental Neurology*, 263, 113-121. doi: 10.1016/j.expneurol.2014.09.014
- Lopez, M.S., Dempsey, R.J., & Vemuganti, R. (2015). Resveratrol neuroprotection in stroke and traumatic CNS injury. *Neurochemistry International*, 89, 75-82. doi: 10.1016/j.neuint.2015.08.009

607

608

609

610

626

627

628

629

630

631

632

633

634

635

636

637

638

639

640

641

642

643

644

645

646

647

648

649

650

651

652

653

654

655

656

657

658

659

660

661

662

663

664

665

666

715

716

717

718

719

720

721

722

723

724

725

726

727

728

729

730

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

746

747

748

749

750

751

752

753

754

755

756

757

758

759

MacLellan, C.L., Langdon, K.D., Botsford, A., Butt, S., & 667 Corbett, D. (2013). A model of persistent learned nonuse 668 669 following focal ischemia in rats. Neurorehabilitation and Neural Repair, 27(9), 900-907. doi: 10.1177/ 670 1545968313496323

671

672 673

674

675

676

677

678

679

680

681

682

683

684

685

686

687

688

689

690

691

692

693

694

695

696

697

698

699

700

- McCaig, C.D., Rajnicek, A.M., Song, B., & Zhao, M. (2005). Controlling cell behavior electrically: Current views and future potential. Physiological Reviews, 85(3), 943-978. doi: 10.1152/physrev.00020.2004
- Montoya, C.P., Campbell-Hope, L.J., Pemberton, K.D., & Dunnett, S.B. (1991). The "staircase test": A measure of independent forelimb reaching and grasping abilities in rats. Journal of Neuroscience Methods, 36(2-3), 219-228.
- Moon, S.K., Shin, Y.I., Kim, H.I., Kim, H., Lee, J.O., & Lee, M.C. (2009). Effect of prolonged cortical stimulation differs with size of infarct after sensorimotor cortical lesions in rats. Neuroscience Letters, 460(2), 152-155. doi: 10.1016/j.neulet.2009.05.029
- Morimoto, T., Yasuhara, T., Kameda, M., Baba, T., Kuramoto, S., Kondo, A., ... & Date, I. (2011). Striatal stimulation nurtures endogenous neurogenesis and angiogenesis in chronic-phase ischemic stroke rats. Cell Transplantation, 20(7), 1049-1064. doi: 10.3727/096368910X544915
- Nishibe, M., Barbay, S., Guggenmos, D., & Nudo, R.J. (2010). Reorganization of motor cortex after controlled cortical impact in rats and implications for functional recovery. Journal of Neurotrauma, 27(12), 2221-2232. doi: 10.1089/neu.2010.1456
- O'Bryant, A.J., Adkins, D.L., Sitko, A.A., Combs, H.L., Nordquist, S.K., & Jones, T.A. (2014). Enduring poststroke motor functional improvements by a well-timed combination of motor rehabilitative training and cortical stimulation in rats. Neurorehabilitation and Neural Repair. doi: 10.1177/1545968314562112
- Parker, V.M., Wade, D.T., & Langton Hewer, R. (1986). Loss 701 of arm function after stroke: Measurement, frequency, and 702 recovery. International Rehabilitation Medicine, 8(2), 69-73. 703
- Plautz, E.J., Barbay, S., Frost, S.B., Friel, K.M., Dancause, N., 704 Zoubina, E.V., ... & Nudo, R.J. (2003). Post-infarct cortical 705 plasticity and behavioral recovery using concurrent cortical 706 stimulation and rehabilitative training: A feasibility study in 707 708 primates. Neurological Research, 25(8), 801-810.
- Rueger, M.A., Keuters, M.H., Walberer, M., Braun, R., Klein, 709 R., Sparing, R., ... & Schroeter, M. (2012). Multi-session 710 transcranial direct current stimulation (tDCS) elicits inflam-711 712 matory and regenerative processes in the rat brain. PLoS One, 7(8), e43776. doi: 10.1371/journal.pone.0043776 713

- Schönfeld, L.M., Jahanshahi, A., Lemmens, E., Schipper, S., Dooley, D., Joosten, E., ... & Hendrix, S. (2016). Long-term motor deficits after controlled cortical impact in rats can be detected by fine motor skill tests but not by automated gait analysis. Journal of Neurotrauma. doi: 10.1089/neu.2016.4440
- Shijo, K., Ghavim, S., Harris, N.G., Hovda, D.A., & Sutton, R.L. (2015). Glucose administration after traumatic brain injury exerts some benefits and no adverse effects on behavioral and histological outcomes. Brain Research, 1614, 94-104. doi: 10.1016/j.brainres.2015.04.022
- Shin, S.S., Dixon, C.E., Okonkwo, D.O., & Richardson, R.M. (2014). Neurostimulation for traumatic brain injury. Journal of Neurosurgery, 121(5), 1219-1231. doi: 10.3171/2014.7.JNS131826
- Starkey, M.L., Bleul, C., Zorner, B., Lindau, N.T., Mueggler, T., Rudin, M., & Schwab, M.E. (2012). Back seat driving: Hindlimb corticospinal neurons assume forelimb control following ischaemic stroke. Brain, 135(Pt 11), 3265-3281. doi: 10.1093/brain/aws270
- Teskey, G.C., Flynn, C., Goertzen, C.D., Monfils, M.H., & Young, N.A. (2003). Cortical stimulation improves skilled forelimb use following a focal ischemic infarct in the rat. Neurological Research, 25(8), 794-800.
- Walker, W.C., & Pickett, T.C. (2007). Motor impairment after severe traumatic brain injury: A longitudinal multicenter study. Journal of Rehabilitation Research and Development, 44(7), 975-982.
- Windle, V., Szymanska, A., Granter-Button, S., White, C., Buist, R., Peeling, J., & Corbett, D. (2006). An analysis of four different methods of producing focal cerebral ischemia with endothelin-1 in the rat. Experimental Neurology, 201(2), 324-334. doi: 10.1016/j.expneurol.2006.04.012
- Yoon, Y.S., Cho, K.H., Kim, E.S., Lee, M.S., & Lee, K.J. (2015). Effect of Epidural Electrical Stimulation and Repetitive Transcranial Magnetic Stimulation in Rats With Diffuse Traumatic Brain Injury. Annals of Rehabilitation Medicine, 39(3), 416-424. doi: 10.5535/arm.2015.39.3.416
- Yoon, Y.S., Yu, K.P., Kim, H., Kim, H.I., Kwak, S.H., & Kim, B.O. (2012). The effect of electric cortical stimulation after focal traumatic brain injury in rats. Annals of Rehabilitation Medicine, 36(5), 596-608. doi: 10.5535/arm.2012.36.5.596
- Zhou, Q., Zhang, Q., Zhao, X., Duan, Y.Y., Lu, Y., Li, C., & Li, T. (2010). Cortical electrical stimulation alone enhances functional recovery and dendritic structures after focal cerebral ischemia in rats. Brain Research, 1311, 148-157. doi: 10.1016/j.brainres.2009.11.022