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Recent *versus* chronic fine particulate air pollution exposure as determinant of the retinal microvasculature in school children

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1 Abstract

Background - Microvascular changes may represent an underlying mechanism through which exposure to fine particulate matter with a diameter $\leq 2.5 \ \mu m \ (PM_{2.5})$ contributes to age-related disease development. We investigated the effect of recent and chronic exposure to $PM_{2.5}$ on the microcirculation, exemplified by retinal vessel diameters, using repeated measurements in 8- to 12-year-old children.

7 Methods - 221 children (49.1% girls; mean age 9.9 years) were examined repeatedly (25 one, 8 124 two, and 72 three times) adding up to 489 retinal vessel examinations. Same-day 9 exposure to PM_{2.5} was measured at school. In addition, recent (same and previous day) and chronic (yearly mean) exposure was modelled at the child's residence using a high-resolution 10 interpolation model. Residential proximity to major roads was also assessed. Changes in 11 12 retinal vessel diameters associated with recent and chronic exposures were estimated using mixed models, while adjusting for other known covariates such as sex, age, BMI, blood 13 pressure and birth weight. 14

Results - Each 10 μ g/m³ increment in same-day exposure to PM_{2.5} measured at school was associated with 0.35 μ m (95% CI: 0.09 to 0.61 μ m) narrower retinal arterioles and 0.35 μ m (-0.03 to 0.73 μ m) wider venules. Children living 100 meters closer to a major road had 0.30 μ m (0.05 to 0.54 μ m) narrower arterioles.

Conclusions - Blood vessel diameters of the retinal microcirculation of healthy school-aged children respond to same-day PM_{2.5} exposure. Furthermore, children living closer to major roads had smaller arteriolar diameters. Our results suggest that the microcirculation, with retinal microvasculature as a proxy in this study, is a pathophysiological target for air pollution in children.

24 **Key words:** microcirculation, retina, air pollution, children

25 **1. Introduction**

The microcirculation constitutes the majority of the circulatory system. Its role in age-related disease development is however less explored than that of the macrocirculation. The microvasculature plays a unifying role in diverse pathological conditions such as hypertension,¹ chronic kidney disease,² left ventricular dysfunction,³ obesity,⁴ diabetes mellitus⁵, and cognitive impairment.⁶ The state of the microvasculature and its physiological response are important factors on the trajectory from healthy to unhealthy ageing.

Numerous epidemiological studies have shown that exposure to particulate air 32 pollution affects the vascular system, reporting associations between particulate matter 33 exposure and increases in both cardio- and cerebrovascular mortality and morbidity.⁷⁻⁹ 34 35 However, few studies have addressed the role of the microcirculation in these associations.^{10, 11} The retinal microvasculature is considered a proxy for the systemic 36 microcirculation and can be characterized non-invasively by means of fundus photography. 37 Adar and colleagues were the first to associate retinal arteriolar narrowing with air 38 pollution.¹² Among 4,607 participants of the Multi-Ethnic Study of Atherosclerosis (MESA), 39 the retinal arteriolar narrowing associated with chronic ambient exposure to particulate 40 matter with a diameter less than 2.5 μ m (PM_{2.5}) was equivalent to a 7-year increase in age.¹² 41 A repeated measurements study of the retinal microvessels in healthy volunteers found that 42 increases in recent ambient particulate matter with a diameter less than 10 μ m (PM₁₀) 43 exposure were associated with decreases in both arteriolar and venular diameters.¹³ Results 44 from these studies show that the retinal microcirculation is influenced by both recent and 45 46 chronic exposure to particulate air pollution in adults.

The effect of early life exposures on children's health is a growing research field because
lasting effects may have major public health implications.^{14, 15} Children are potentially more

susceptible than adults when exposed to comparable levels of air pollutants.¹⁶ Not only are
they exposed during a critical developmental period, children also differ from adults in
physiological characteristics and exposure patterns.¹⁶

However, to date, no research has evaluated children's retinal blood vessel characteristics in relation to recent *versus* chronic particulate air pollution exposure. Since the microvasculature plays a pivotal role in age-related disease development, we set up a panel study with repeated retinal microvascular measurements in 8- to 12-year-old children.

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58 2. Methods

59 2.1 Study population

This research was part of the COGNAC (COGNition and Air pollution in Children) study.¹⁷ 60 Children aged 8 to 12 years from two primary schools in Flanders (Belgium) were invited for 61 repeated clinical examinations. The two schools were 3.7 km apart and located in the 62 agglomeration of Hasselt (~70 km east from Brussels). Of the 482 invited children, 221 63 (45.9%) agreed to participate of which 72 (33%) underwent three clinical examinations, 124 64 65 (56%) completed two examinations and 25 (11%) had only one examination, amounting to a total of 489 retinal microvascular examinations. The examinations took place in school years 66 67 2012-2013 for one school and 2013-2014 for the other, from November to February on Monday, Tuesday, Thursday, and Friday between 8:30am and 3:30pm. Participating children 68 were examined at their school during school time. The average (SD) time between two 69 70 consecutive examinations was 49 (19) days. The clinical examinations of each child were 71 scheduled on the same time of day and day of the week to minimize circadian variation.

72 We conducted the study according to the principles outlined in the Helsinki declaration for research on human participants. The ethics committees of Hasselt University 73 and Ziekenhuis Oost-Limburg approved the study. Written informed consent was obtained 74 from the parents as well as oral assent from the children. The parents filled out a 75 questionnaire addressing aspects related to sociodemographics and medical characteristics 76 77 of the child and its family. Additional information on the indoor and outdoor environment of the residence, including current smoking status of the parents and time spent in traffic, was 78 collected. 79

80

81 2.2 Clinical examination

Clinical examinations were performed by a trained examiner and included imaging of the
retinal microvasculature, and measuring of blood pressure and heart rate.

The fundus of the left and right eye of each participant was photographed with a 84 Canon 45° 6.3 megapixel digital non-mydriatic retinal camera (Hospithera, Brussels, 85 Belgium), as described by De Boever and colleagues.¹⁸ The diameters of the retinal blood 86 vessels were measured using IVAN fundus image analysis software according to previously 87 reported protocols.¹⁹ Vessel diameters were summarized per image as the Central Retinal 88 Arteriolar Equivalent (CRAE) and Central Retinal Venular Equivalent (CRVE). The equivalents 89 90 represent a summary of the vessel diameters within an area equal to 0.5-1 times the disc diameter from the optic disc margin. The respective vessel diameters were averaged over 91 both eyes. 92

Blood pressure and heart rate were measured according to the guidelines of the European Society of Hypertension.²⁰ The participating children rested for five minutes, after which heart rate, systolic (SBP) and diastolic (DBP) blood pressure were measured five times

96 consecutively using an automated upper-arm blood-pressure monitor (Stabil-O-Graph[®],
97 I.E.M., Stolberg, Germany) with a special sized cuff for children. The last three
98 measurements were averaged and used in the analyses.

99

100 **2.3 Particulate air pollution exposure assessment**

101 **2.3.1** Measured exposure to PM_{2.5} at school

Concentrations of PM_{2.5} on the day of the examination were measured with the portable 102 Aerocet 531 (Met One Instruments Inc. Grants Pass, OR, USA) both inside the classroom and 103 outside at the school playground. The measurements were performed according to the 104 manufacturer's instructions. Recent outdoor exposure was defined as 10-minutes average 105 106 outside concentrations of PM_{2.5} preceding the clinical examination, during the school breaks when children were playing outside. Recent indoor exposure was defined as the classroom 107 concentrations during the clinical examination averaged over 30 minutes between entering 108 the room until the retinal images were captured. 109

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111 **2.3.2 Modelled residential air pollution**

112 We used a spatial temporal interpolation method to model the daily residential exposure levels (μ g/m³) of PM_{2.5} at each child's home address. This method takes into account land 113 cover data obtained from satellite images (CORINE land cover data set)²¹ and pollution data 114 from fixed monitoring stations in combination with a dispersion model.^{22, 23} The model 115 calculates the daily interpolated exposure concentrations in a high resolution receptor grid 116 117 (25 x 25 m) based on information from the Belgian telemetric air quality networks, point 118 sources, and line sources. Overall model performance was evaluated by leave-one-out cross-119 validation. Validation statistics of the interpolation tool gave a temporal explained variance

of more than 0.80 and spatial explained variance of 0.60-0.80 for PM_{2.5.}^{23, 24} We used the 120 model to assess the residential same-day to 48 hours of exposure up to the clinical 121 122 examination as well as long-term exposure as reflected by the annual mean concentration in the year prior to the examination. When a child had more than one residential address at 123 the moment of the study, we calculated a weighted average using the proportion of time 124 125 spent at each location. In addition we used a Geographical Information System (ArcGIS version 10.0) to assess the residential proximity to major roads, defined as highways and 126 national roads (Appendix, Figure A.1). 127

Daily mean ambient temperature and relative humidity were obtained from the 128 Belgian Royal Meteorological Institute in order to calculate apparent temperature on the day 129 of the clinical examination based on a standard formula.^{25, 26} The region of Flanders is 130 homogenous regarding temperature since both altitudinal and latitudinal gradients are 131 extremely small. Furthermore, elevations range from 0 to 200 m above sea level and the 132 distance between the most northern and southern part is only 100 km. Meteorological 133 parameters were therefore used from the measuring station in Uccle (Brussels, Belgium), 134 which is central and representative for Flanders.²⁷ 135

136

137 2.4 Statistical analysis

SAS software (version 9.4, SAS Institute Inc., Cary, NC, USA) was used for database management and statistical analysis. The effect of particulate matter exposure on retinal vessel diameters was investigated using the MIXED procedure to account for the clustered data within the same person, i.e. repeated measures of retinal vessel diameter. A random intercept model was used and the coefficients and standard errors were estimated under

restricted maximum likelihood estimation (REML) with unstructured autocorrelation. Theschool where the measurements were performed was included as a random effect.

Separate models were built in order to investigate the association between the 145 different exposure windows (same-day PM_{2.5} exposure measured indoor/outdoor at school; 146 same-day, previous day and chronic PM_{2.5} exposure modelled at the residence; residential 147 148 proximity to major roads) and the microvascular phenotypes (CRAE or CRVE). All analyses were adjusted for an a priori chosen list of covariates including sex, age, body mass index 149 150 (BMI), blood pressure and heart rate, birth weight, time of day (categorized in tertiles: ≤9:31am, 9:31am to 11:05am, >11:05am) and day of the week of the examination, apparent 151 temperature, maternal occupation (low/high) and passive smoking (yes/no). The analyses 152 153 were further corrected for fellow vessel diameter, i.e. for CRVE in analyses investigating CRAE and vice versa.¹³ Both linear and quadratic terms of age were tested. The quadratic 154 term was not significant and was therefore removed from the model. Q-Q plots of the 155 residuals were used to test the assumptions of the model. Estimates are given as µm change 156 157 in vessel diameter associated with a 10 μ g/m³ increment in recent outdoor measured and 158 residential modelled PM_{2.5} exposure or living 100 meters closer to a major road. PM_{2.5} 159 measured in the classroom and chronic PM_{2.5} exposure had low variation in concentrations. Therefore estimated changes in retinal vessel diameters are given for a $1 \mu g/m^3$ increment in 160 161 recent indoor measured or chronic modelled PM_{2.5} exposure.

Sensitivity analyses were performed to investigate the robustness of our findings. Models not corrected for blood pressure and heart rate or further corrected for time spent in traffic, prematurity (gestational age <37 weeks), or childhood hypertension as defined in the Fourth report on the diagnosis, evaluation, and treatment of high blood pressure in children and adolescents,²⁸ were implemented.

3. Results

3.1 Study population and exposure characteristics

Details on the study population of 221 children aged 8 to 12 years are summarized in Table
172 1. The number of boys and girls was approximately equal. The participating children had an
average BMI (SD) of 17.1 (2.6) kg/m². Their average systolic and diastolic blood pressures
174 were 111.2 (10.1) and 63.7 (7.9) mm Hg, respectively. 21 (9.3%) children were exposed to
175 passive smoking. The Central Retinal Arteriolar Equivalent (CRAE) averaged 164.6 (13.1) µm
176 and the Central venular Retinal Equivalent (CRVE) was 224.0 (17.3) µm. The CRAE/CRVE ratio
177 was 0.74 (0.05).

Table 1. Description of the study population.

Anthropometrics	
Age, years	9.9 ± 1.2
Girls	49.1%
Body Mass Index (BMI), kg/m ²	17.1 ± 2.6
Systolic blood pressure, mm Hg	111.2 ± 10.1
Diastolic blood pressure, mm Hg	63.7 ± 7.9
Heart rate, beats per minute	85.7 ± 10.5
Birth weight, g	3,403.3 ± 534.1
Gestational age < 37 weeks	13 (5.9)
Exposed to passive smoking	20 (9.1)
Exposed to passive smoking Socio-economic status	20 (9.1)
Exposed to passive smoking Socio-economic status Maternal occupation	20 (9.1)
Exposed to passive smoking Socio-economic status Maternal occupation Low (no occupation or blue collar workers)	20 (9.1) 40 (18.1)
Exposed to passive smoking Socio-economic status Maternal occupation Low (no occupation or blue collar workers) High (white collar workers or self-employed)	20 (9.1) 40 (18.1) 181 (81.9)
Exposed to passive smoking Socio-economic status Maternal occupation Low (no occupation or blue collar workers) High (white collar workers or self-employed) Microvascular endpoints	20 (9.1) 40 (18.1) 181 (81.9)
Exposed to passive smoking Socio-economic status Maternal occupation Low (no occupation or blue collar workers) High (white collar workers or self-employed) Microvascular endpoints CRAE, μm	20 (9.1) 40 (18.1) 181 (81.9) 164.6 ± 13.1

180 Values are number (%) or arithmetic mean ± SD. CRAE: Central Retinal Arteriolar Equivalent, CRVE:

181 Central Retinal Venular Equivalent.

183	The exposure characteristics are listed in Table 2. Recent exposure to PM _{2.5} measured on the
184	playground was on average 16.8 (23.2) $\mu\text{g}/\text{m}^3$, while modelled daily $\text{PM}_{2.5}$ at the residence
185	was 19.2 (14.7) $\mu g/m^3.$ Both indoor and outdoor concentrations as well as measured and
186	modelled $PM_{2.5}$ exposures on the day of the clinical examination were highly correlated
187	(P <0.0001). Children spent on average 9 (6) minutes in traffic. Chronic exposure to $PM_{2.5}$
188	averaged 15.4 (0.7) $\mu g/m^3$ and children lived on average 649 (569) meters from a major
189	road. Apparent temperature on the day of the clinical examination ranged from -6.4 to 9.1
190	°C.

192 **Table 2.** Exposure characteristics.

Exposure	Min	Q1	Q3	MAX	IQR
Recent exposure to PM _{2.5} (µg/m ³)					
Measured at playground	0.5	3	19	142	16
Measured in classroom	1	2	4	17	2
Modelled at residence (lag 0)	1.6	7	27	73	20
Modelled at residence (lag 1)	3	8	25	83	17
Chronic exposure to $PM_{2.5}$ ($\mu g/m^3$)					
Modelled at residence	13	15	16	17	1
Residential proximity to major roads (m)	20	176	1,059	2,230	883
Apparent temperature (°C)	-6.4	-0.5	3.4	9.1	3.9

193 Values represent minimum (min), 25th percentile (Q1), 75th percentile (Q3), maximum (max), and 194 interquartile range (IQR) concentrations of PM_{2.5}, residential proximity to major roads or apparent 195 temperature. Recent exposure on same-day (lag 0) or previous day (lag 1) of the clinical examination. 196 Chronic exposure is annual mean concentration 1 year prior to the clinical examination.

197

198 **3.2 Determinants of retinal vessel diameter**

199 CRAE and CRVE were not significantly correlated with age in our study population (P=0.73 200 and 0.49, respectively). Girls had 3.7 µm (95% CI: 0.8 to 6.6 µm) wider retinal arteriolar diameters than boys. Venular diameter, however, did not significantly differ between boys 201 202 and girls (P=0.27). Having a 1 mm Hg higher diastolic blood pressure was associated with 203 0.13 μm (95% CI: 0.00073 to 0.25 μm) narrower arterioles. Systolic blood pressure (P=0.51) and heart rate (P=0.46) were not significantly associated with CRAE. Children for which a 204 lower birth weight was reported had narrower arterioles (estimate: -0.28 µm per 100 g 205 206 lower birth weight; 95% CI: -0.0073 to -0.55 μm). BMI (P=0.65), passive smoking (P=0.80), occupation of the mother (P=0.58), time of the day (P=0.99) and day of the week (P=0.28) of 207

208 the examination and apparent temperature (*P*=0.81) were not significantly associated with 209 CRAE. Finally, a 1 μ m widening of venular diameter was associated with 0.34 μ m (95% CI: 210 0.28 to 0.40 μ m) wider arterioles. BMI was the only statistically significant correlate of 211 venular diameter, expressed as CRVE (estimate: +1.08 μ m per 1 kg/m² increase in BMI; 95% 212 CI: 0.19 to 1.96 μ m).

213

3.3 Recent exposure to PM_{2.5} and retinal vessel diameter

Same-day exposure to particulate air pollution was significantly associated with retinal 215 vessel diameters (Table 3). Each 10 μ g/m³ increment in same-day exposure to PM_{2.5} 216 217 measured on the playground was associated with a 0.35 μ m (95% CI: 0.09 to 0.61 μ m) 218 narrowing of the retinal arteriolar diameter (CRAE), while venular diameter (CRVE) widened 219 0.35 μm (95% CI: -0.03 to 0.73 μm). Likewise, CRAE narrowed 0.39 μm (95% CI: 0.13 to 0.65 μ m) and CRVE widened 0.41 μ m (95% CI: 0.04 to 0.79 μ m) in association with a 1 μ g/m³ 220 increase in PM_{2.5} concentrations measured in the classroom. Similar results were found with 221 222 recent exposures to PM_{2.5} modelled at the residence, showing a 0.62 µm (95% CI: 0.12 to 223 1.12 μ m) narrowing of CRAE and a 0.59 μ m (95% CI: -0.12 to 1.30 μ m) widening of CRVE 224 associated with a 10 μ g/m³ increase in PM_{2.5} on the day of the clinical examination (lag 0). 225 We found no significant associations with PM_{2.5} concentrations modelled at the residence on 226 the day before the clinical examination (lag 1). Not correcting for blood pressure and heart rate or further correcting for prematurity or childhood hypertension did not substantially 227 alter the reported associations (Appendix, Tables A.1- A.3). 228

229 **Table 3.** Estimated change in retinal vessel diameter (μm) associated with recent or chronic exposure to particulate air pollution PM_{2.5} or

residential proximity to major roads.

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	CRAE		CRVE	
	Estimated change in μM (95% CI)	P-VALUE	Estimated change in μM (95% CI)	P-VALUE
Recent exposure to PM _{2.5}				
Measured on the playground (+10 $\mu\text{g/m}^3)$	-0.35 (-0.61 to -0.09)	0.009	0.35 (-0.03 to 0.73)	0.07
Measured in the classroom (+1 $\mu g/m^3$)	-0.39 (-0.65 to -0.13)	0.004	0.41 (0.04 to 0.79)	0.03
Modelled at residence, lag 0 (+10 µg/m³)	-0.62 (-1.12 to -0.12)	0.02	0.59 (-0.12 to 1.30)	0.10
Modelled at residence, lag 1 (+10 µg/m³)	-0.33 (-0.80 to 0.13)	0.16	0.46 (-0.19 to 1.10)	0.16
Chronic exposure to PM _{2.5}				
Modelled at residence (+1 μ g/m ³)	-1.15 (-3.28 to 0.97)	0.28	2.52 (-0.35 to 5.40)	0.08
Residential proximity to major roads (+100 m)	-0.30 (-0.54 to -0.05)	0.02	0.13 (-0.21 to 0.47)	0.46

Analyses adjusted for sex, age, body mass index, birth weight, maternal occupation, passive smoking, hour of the day and day of the week, apparent

233 temperature, systolic and diastolic blood pressure, heart rate, and fellow vessel diameter. CRAE: Central Retinal Arteriolar Equivalent, CRVE: Central Retinal

234 Venular Equivalent.

235

236 **3.4 Chronic exposure to PM and retinal vessel diameter**

Allowing for the aforementioned covariates, each $1 \mu g/m^3$ increment in chronic exposure to 237 $PM_{2.5}$ modelled at the child's residence was associated with a trend towards 2.52 μ m (95%) 238 239 Cl: -0.35 to 5.40 µm) wider venules, while no association was found with retinal arteriolar 240 diameter (estimate: -1.15 µm; 95% CI: -3.28 to 0.97 µm). Living close to a major road, as a 241 proxy for chronic exposure to traffic-related air pollution, was significantly associated with 242 retinal arteriolar diameter (Table 3). Children living 100 meters closer to a major road had 243 0.30 μ m (95% CI: 0.05 to 0.54 μ m) narrower arterioles, while no association was found between residential proximity to major roads and venular diameter (estimate: 0.13 µm; 95% 244 245 CI: -0.21 to 0.47 µm). Modelled chronic exposure estimates and residential proximity to 246 major roads were highly correlated (P<0.001), but variation in the latter data was larger. 247 Further correction for time spent in traffic did not alter the reported associations (Appendix, Table A.4). 248

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250

251 **4. Discussion**

In a panel of 221 healthy school children aged 8 to 12 years, with a total of 489 retinal microvascular examinations, we found that increases in same-day exposure to PM_{2.5} were associated with narrower retinal arteriolar diameters and wider venular diameters. In addition, those children living closer to major roads, a proxy for long-term PM exposure, had narrower arterioles. There was a trend for wider venules associated with modelled chronic residential exposure based on annual PM_{2.5} concentrations. The associations were independent of other risk factors or correlates of microvascular changes such as sex, age, 259 BMI, birth weight, blood pressure and fellow vessel diameter. Hypertension, diabetes mellitus, chronic kidney disease, coronary heart disease and dementia follow trajectories 260 261 that can have their roots in early phases of life, but track over life and become clinically overt in adulthood. Studies suggest that subclinical differences in risk factors for 262 cardiovascular disease in childhood are related to the development of cardiovascular disease 263 in later life.^{15, 29} Microcirculatory alterations can be early phenotypic markers for disease 264 development.³⁰⁻³² Therefore, the current findings may have significance in the context of the 265 266 developmental origin of diseases.

To our knowledge, this is the first study to investigate microvascular effects of 267 particulate air pollution in children using retinal imaging. Our results are in line with 268 previously reported retinal arteriolar narrowing associated with particulate matter exposure 269 in adult populations. Adar and colleagues documented retinal arteriolar narrowing 270 associated with higher exposure to PM_{2.5} in a cohort of 4,607 participants (mean age 64 271 years) of the Multi-Ethnic Study of Atherosclerosis.¹² These authors reported that retinal 272 arteriolar diameter narrowed with 0.8 µm in association with an interquartile range (3 273 $\mu g/m^3$) increase in long-term exposure to PM_{2.5} estimated at the participant's residence. 274 275 Furthermore, previous day exposure to particulate air pollution was independently 276 associated with narrower arteriolar diameter, estimated cross-sectionally at -0.4 µm for a 9 μ g/m³ increase in PM_{2.5} levels. In another repeated measures study with adult volunteers, a 277 0.93 µm narrowing of retinal arteriolar diameter in association with a 10 µg/m³ higher 278 recent PM₁₀ exposure was reported.¹³ These effect estimates are comparable in size to our 279 280 reported results, but it has to be noted that our study population consists of primary school 281 children, who in comparison with adult volunteers have a low burden of vascular or 282 metabolic risk factors.

283 Microvascular changes may contribute to increased susceptibility for sustained hypertension and other cardiovascular diseases associated with particulate matter exposure. 284 Gishti and colleagues showed an increased risk of hypertension associated with narrower 285 retinal vessel diameters in a cohort of 4,007 school-age children in the Generation-R study.³³ 286 Likewise, Gonipath et al. showed that children in the highest quartile of blood pressure had 287 significantly narrower retinal arterioles than those in the lowest quartiles.³⁴ In a sensitivity 288 analysis, we showed that either no correction for continuous blood pressure (Appendix, 289 Table A.2) or additional correction for childhood hypertension (Appendix, Table A.3) did not 290 alter our reported associations, indicating that the observed changes in retinal vessel 291 diameters due to air pollution exposure are independent of blood pressure. Nonetheless, 292 our estimated effect size of a 10 µg/m³ increment in same-day PM_{2.5} exposure on retinal 293 arteriolar diameter was equal to the effect estimate of a 4.6 mm Hg higher diastolic blood 294 pressure. We found no significant association between systolic blood pressure and the 295 retinal microcirculation. It is established that diastolic blood pressure correlates more closely 296 with indicators of vascular stiffness below the age of 50.^{35, 36} Beyond cardiovascular health 297 effects, air pollution exposure has also been associated with cerebrovascular disease³⁷ and 298 impaired cognitive functioning³⁸, all of which may be potentially reflected in retinal 299 microvascular changes.³⁹ The microvascular changes observed in the retina may therefore be 300 part of a risk phenotype that puts children on a trajectory for age-related disease 301 development. In this respect, retinal arteriolar narrowing and venular widening are 302 independent predictors of an increased risk for dementia,⁴⁰ coronary heart disease,⁴¹ and 303 stroke⁴² among adults and these retinal changes are associated with measures of 304 atherosclerosis and inflammation.⁴³ Inflammatory responses and oxidative stress have been 305 suggested as underlying pathways by which particulate air pollution can exert its effects. 306

Animal studies have shown that exposure to particulate matter attenuates nitric oxide bioavailability due to the activation of inflammatory mechanisms and oxidative stress.^{44, 45} In addition, controlled exposure studies in humans have reported impaired nitric oxidemediated endothelial vasomotor function in response to acute diesel exhaust exposure.^{46, 47} Furthermore, retinal venular diameter has been associated with systemic inflammation in a cohort of 5,979 participants of the Multi-Ethnic Study of Atherosclerosis.⁴³

313 Our findings are based on exposure measurements at school and high resolution 314 modelling at home. We studied the effect of recent and chronic exposure and used repeated examinations of the retinal microcirculation within the same child. Within-subject repeated 315 measures allow each subject to act as its own control, reducing potential confounding by 316 317 variables that do not change over the time period of the study (e.g. socio-economic status). Planning the repeated examinations of individual children on the same day of the week and 318 time of day further controls for circadian variation and daily activity patterns. In addition, the 319 children in our study are free of vascular diseases and other major traditional risk factors and 320 therefore introduce less confounding.⁴⁸ Although we cannot exclude some level of residual 321 confounding, it is unlikely that unmeasured confounders would cancel out the observed 322 323 associations due to the study design, particularly with regard to the short-term effects. Furthermore, results based on both measured and modelled recent PM_{2.5} were in 324 325 agreement, further validating the use of modelled exposure data for the investigation of PMrelated health effects. Nevertheless, our current study must also be interpreted within the 326 327 context of its limitations. Our participation rate was just under 50%, which might result in a 328 not completely representative sample of the target population (e.g. low maternal occupation was 18% in our study population while we expected 26%⁴⁹). However, we do not 329 330 expect this to have an impact on the mechanistic associations reported in this study. We

only assessed capillary properties in the retina. To what extent these findings can be extrapolated to other microvascular beds in children, including physiological consequences, remains to be elucidated. However, studies indicate that changes in the retinal microvessels of adults parallel those in the coronary and cerebral microcirculation.^{50, 51} The observations warrant further research into the potential value of retinal microvascular changes as an early phenotype for age-related disease development.

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339 **5.** Conclusions

We show that vessel diameters of the retinal microcirculation of children aged 8 to 12 years respond to recent particulate air pollution exposure. Additionally, children living closer to major roads had smaller arteriolar diameters. Our results suggest that the microcirculation is a pathophysiological target for air pollution from a young age onwards.

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352	
353	Disclosures

354 None.

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Appendix



Figure A.1. Heat map of the study area with yearly average $PM_{2.5}$ concentrations (2013) and major road network. Dots represent home addresses of the participating children while triangles represent the locations of the schools.

Table A.1. Estimated change in retinal vessel diameter (µm) associated with recent or chronic exposure to particulate air pollution PM_{2.5} or

residential proximity to major roads – Sensitivity analysis with additional correction for prematurity (gestational age <37 weeks).

	CRAE		CRVE	
	ESTIMATED CHANGE IN μ M (95% CI)	P-VALUE	Estimated change in μM (95% CI)	P-VALUE
Recent exposure to PM _{2.5}				
Measured on the playground (+10 $\mu\text{g/m}^3)$	-0.35 (-0.62 to -0.09)	0.009	0.34 (-0.03 to 0.72)	0.07
Measured in the classroom (+1 μ g/m ³)	-0.38 (-0.65 to -0.12)	0.005	0.38 (0.007 to 0.76)	0.05
Modelled at residence, lag 0 (+10 µg/m³)	-0.62 (-1.13 to -0.11)	0.02	0.60 (-0.11 to 1.31)	0.10
Modelled at residence, lag 1 (+10 µg/m³)	-0.31 (-0.78 to 0.16)	0.19	0.44 (-0.20 to 1.09)	0.17
Chronic exposure to PM ₂₅				
Modelled at residence (+1 µg/m ³)	-1.06 (-3.17 to 1.05)	0.32	2.40 (-0.45 to 5.26)	0.10
Residential proximity to major roads (+100 m)	-0.29 (-0.54 to -0.028)	0.02	0.13 (-0.21 to 0.47)	0.46

Analyses adjusted for sex, age, body mass index, birth weight, maternal occupation, passive smoking, hour of the day and day of the week, apparent temperature, systolic and diastolic blood pressure, heart rate, fellow vessel diameter, and prematurity (gestational age <37 weeks). CRAE: Central Retinal Arteriolar Equivalent, CRVE: Central Retinal Venular Equivalent.

Table A.2. Estimated change in retinal vessel diameter (µm) associated with recent or chronic exposure to particulate air pollution PM_{2.5} or

residential proximity to major roads – Sensitivity analysis without correction for blood pressure and heart rate.

	CRAE		CRVE	
	ESTIMATED CHANGE IN μM (95% CI)	P-VALUE	Estimated change in μM (95% CI)	P-VALUE
Recent exposure to PM _{2.5}				
Measured on the playground (+10 $\mu g/m^3)$	-0.41 (-0.67 to -0.15)	0.002	0.33 (-0.04 to 0.71)	0.08
Measured in the classroom (+1 µg/m ³)	-0.43 (-0.68 to -0.17)	0.001	0.41 (0.035 to 0.79)	0.03
Modelled at residence, lag 0 (+10 µg/m³)	-0.61 (-1.10 to -0.011)	0.02	0.46 (-0.25 to 1.16)	0.20
Modelled at residence, lag 1 (+10 µg/m³)	-0.27 (-0.72 to 0.17)	0.23	0.29 (-0.33 to 0.92)	0.36
Chronic exposure to PM _{2.5}				
Modelled at residence (+1 μ g/m ³)	-0.96 (-3.12 to 1.19)	0.38	2.55 (-0.29 to 5.38)	0.08
Residential proximity to major roads (+100 m)	-0.28 (-0.53 to -0.028)	0.03	0.15 (-0.17 to 0.49)	0.38

Analyses adjusted for sex, age, body mass index, birth weight, maternal occupation, passive smoking, hour of the day and day of the week, apparent

temperature, and fellow vessel diameter. CRAE: Central Retinal Arteriolar Equivalent, CRVE: Central Retinal Venular Equivalent.

Table A.3. Estimated change in retinal vessel diameter (μ m) associated with recent or chronic exposure to particulate air pollution PM_{2.5} or residential proximity to major roads – Sensitivity analysis <u>with additional correction for childhood hypertension</u>.

	CRAE		CRVE	
	ESTIMATED CHANGE IN μM (95% CI)	P-VALUE	ESTIMATED CHANGE IN μM (95% CI)	P-VALUE
Recent exposure to PM _{2.5}				
Measured on the playground (+10 $\mu\text{g/m}^3)$	-0.35 (-0.62 to -0.08)	0.01	0.32 (-0.06 to 0.70)	0.10
Measured in the classroom (+1 $\mu g/m^3$)	-0.39 (-0.65 to -0.13)	0.004	0.41 (0.027 to 0.78)	0.04
Modelled at residence, lag 0 (+10 µg/m³)	-0.61 (-1.12 to -0.01)	0.02	0.55 (-0.17 to 1.26)	0.13
Modelled at residence, lag 1 (+10 µg/m³)	-0.32 (-0.79 to 0.14)	0.17	0.42 (-0.23 to 1.07)	0.21
Chronic exposure to PM _{2.5}				
Modelled at residence (+1 µg/m ³)	-1.15 (-3.27 to 0.97)	0.28	2.31 (-0.68 to 5.30)	0.13
Residential proximity to major roads (+100 m)	-0.30 (-0.54 to -0.05)	0.02	0.14 (-0.50 to 0.22)	0.43

Analyses adjusted for sex, age, body mass index, birth weight, maternal occupation, passive smoking, hour of the day and day of the week, apparent temperature, systolic and diastolic blood pressure, heart rate, fellow vessel diameter, and childhood hypertension. CRAE: Central Retinal Arteriolar Equivalent, CRVE: Central Retinal Venular Equivalent.

Table A.4. Estimated change in retinal vessel diameter (µm) associated with recent or chronic exposure to particulate air pollution PM_{2.5} or

residential proximity to major roads – Sensitivity analysis with additional correction for time spent in traffic.

	CRAE		CRVE	
	ESTIMATED CHANGE IN μ M (95% CI)	P-VALUE	ESTIMATED CHANGE IN μM (95% CI)	P-VALUE
Recent exposure to PM _{2.5}				
Measured on the playground (+10 μ g/m³)	-0.32 (-0.59 to -0.06)	0.02	0.28 (-0.10 to 0.67)	0.14
Measured in the classroom (+1 μ g/m ³)	-0.41 (-0.68 to -0.14)	0.003	0.42 (0.03 to 0.81)	0.03
Modelled at residence, lag 0 (+10 µg/m³)	-0.56 (-1.07 to -0.04)	0.03	0.47 (-0.25 to 1.19)	0.20
Modelled at residence, lag 1 (+10 µg/m³)	-0.26 (-0.73 to 0.21)	0.27	0.35 (-0.30 to 1.0)	0.29
Chronic exposure to PM _{2.5}				
Modelled at residence (+1 µg/m³)	-1.23 (-3.44 to 0.97)	0.27	2.58 (-0.38 to 5.55)	0.08
Residential proximity to major roads (+100 m)	-0.31 (-0.56 to -0.05)	0.02	0.16 (-0.19 to 0.51)	0.38

Analyses adjusted for sex, age, body mass index, birth weight, maternal occupation, passive smoking, hour of the day and day of the week, apparent

temperature, fellow vessel diameter, and time spent in traffic. CRAE: Central Retinal Arteriolar Equivalent, CRVE: Central Retinal Venular Equivalent.