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Structure and forcing of observed exchanges across the Greenland-Scotland Ridge Peer-reviewed author version

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| 1  | Structure and forcing of observed exchanges across the Greenland-Scotland                     |
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| 2  | Ridge   |
| 3  | Carina Bringedal* and Tor Eldevik   |
| 4  | Geophysical Institute and Bjerknes Centre for Climate Research, University of Bergen, Bergen, |
| 5  | Norway  |
| 6  | Øystein Skagseth  |
| 7  | Institute of Marine Research, and Bjerknes Centre for Climate Research, Bergen, Norway        |
| 8  | Michael A. Spall  |
| 9  | Woods Hole Oceanographic Institution, Woods Hole, MA, USA                                     |
| 10 | Svein Østerhus  |
| 11 | Uni Research Climate, and Bjerknes Centre for Climate Research, Bergen, Norway                |

<sup>12</sup> \*Corresponding author address: Carina Bringedal, Faculty of Sciences, Hasselt University, Cam-

<sup>13</sup> pus Diepenbeek, Agoralaan building D, BE3590 Diepenbeek, Belgium

<sup>14</sup> E-mail: carina.bringedal@uhasselt.be

## ABSTRACT

The Atlantic Meridional Overturning Circulation and associated poleward 15 heat transport are balanced by northern heat loss to the atmosphere and corre-16 sponding water mass transformation. The circulation of northwards flowing 17 Atlantic Water in the surface and returning Overflow Water at depth is par-18 ticularly manifested - and observed - at the Greenland-Scotland Ridge where 19 the water masses are guided through narrow straits. There is however a rich 20 variability in the exchange of water masses across the ridge on all time scales. 2 Focusing on seasonal and interannual time scales, and particularly the gate-22 ways of the Denmark Strait and between Faroe Islands and Shetland, we 23 specifically assess to what extent the exchanges of water masses across the 24 Greenland-Scotland Ridge relate to wind forcing. On seasonal time scales, the 25 variance explained of the observed exchanges can largely be related to large 26 scale wind patterns, and a conceptual model shows how this wind forcing can 27 manifest via a barotropic, cyclonic circulation. On interannual time scales the 28 wind stress impact is less direct as baroclinic mechanisms gain importance 29 and observations indicate a shift in the overflows from being more barotrop-30 icly to more baroclinically forced during the observation period. Overall, the 31 observed Greenland-Scotland Ridge exchanges reflect a horizontal (cyclonic) 32 circulation on seasonal time scales, while the interannual variability more rep-33 resents an overturning circulation. 34

## **35** 1. Introduction

The Atlantic Meridional Overturning Circulation (AMOC) and the related poleward ocean heat 36 transport are prominent features of the Nordic Seas and Arctic Ocean (Furevik et al. 2007). The 37 Greenland-Scotland Ridge (GSR), with its relative narrow and shallow straits separating the At-38 lantic Ocean from the Nordic Seas, is accordingly an excellent location for observing changes 39 associated with the North Atlantic Current, being the Gulf Stream's northernmost limb (Figure 1). 40 The water masses exchanged across the GSR are the poleward flow of warm and saline Atlantic 41 Water (AW) and - from northern heat loss - the cold return flows of Polar Water (PW) freshened by 42 river runoff, net precipitation and ice melt in the surface and dense Overflow Water (OW) at depth; 43 the former being carried through the Denmark Strait (DS) by the East Greenland Current (EGC), 44 and the latter are the main source for the North Atlantic Deep Water, flowing through the Denmark 45 Strait and the Faroe Bank Channel (Dickson and Brown 1994; Hansen and Østerhus 2000; Eldevik 46 and Nilsen 2013). 47

The circulation in the Nordic Seas, including the exchanges across GSR, are observed to vary 48 on a broad range of time scales under the joint influences of momentum and buoyancy forcing. 49 The circulation and exchanges have been estimated to be in quasi-stationary balance with regional 50 buoyancy forcing on a time scale of about 30 years (Spall 2011; Eldevik and Nilsen 2013), with 51 momentum within closed f/h-contours sustained by the mean wind stress (Nøst and Isachsen 52 2003). A large amount of waters recirculate within the closed f/h-contours in the Nordic Seas, 53 affecting the dynamics in this region (Nøst and Isachsen 2003; Isachsen et al. 2003). Associated 54 mechanisms for variability include a rapid barotropic response to wind-forcing and the (multi-55 ) decadal influence of changing hydrography and buoyancy forcing (Zhang et al. 2004; Eldevik 56 et al. 2009; Spall 2015; Behrens et al. 2017). Wind-forcing has been related to the North Atlantic 57

Oscillation (NAO), which is the prominent mode of sea level pressure variability in the North Atlantic (Furevik and Nilsen 2005). The forcing of northern AMOC, including the variable in- and outflows of the Nordic Seas across the GSR, remains unresolved and an issue of much scientific debate (Hansen and Østerhus 2000; Hátún et al. 2005).

The purpose of this study is to assess the observed variability in GSR exchanges (Figure 2), and in particular how this variability specifically can be explained by wind forcing alone, or by the joint influence of wind and buoyancy forcing on seasonal to interannual time scales. Our assessment is guided by the following overall questions:

- To what extent do observed variable exchanges at GSR reflect a cyclonic (horizontal) or an overturning circulation in the Nordic Seas?
- To what extent can observed volume transports at GSR be explained by the direct influence of variable winds or associated changes in sea level pressure?
- At what time scales must buoyancy effects (wind-induced, or other) be accounted for?

We emphasize that the current meter-based time series synthesized and discussed herein are 71 the result of extensive efforts over many years by individual colleagues and institutions, and we 72 have benefitted from these observations made publicly available by the NACLIM consortium (na-73 clim.eu). Key publications include Berx et al. (2013); Jónsson and Valdimarsson (2005, 2012); 74 Hansen and Østerhus (2007); Hansen et al. (2015a,b, 2016); Jochumsen et al. (2012, 2017). An 75 earlier assessment of Atlantic exchanges concerning heat, salt and volume fluxes between the 76 North Atlantic and the Arctic Mediterranean is available through Østerhus et al. (2005); a syn-77 thesis and update of the available observations is provided by Østerhus et al. (2018). The latter 78 synthesis is also the basis of the data considered here. 79

However, observations of exchanges are not complete. While the bulk of overflow, through the 80 Denmark Strait and Faroe Bank Channel (FBC), is relatively well observed, observations of other 81 overflow branches are limited. The EGC is not monitored by moorings near the GSR. Atlantic 82 Water crossing the Iceland-Faroe Ridge (IFR) continues eastward and is monitored in the Faroe 83 Current (FC) north of the Faroe Islands. As will become evident when the available data are 84 assessed, observed FC inflow is seemingly unrelated to other observed transports on seasonal and 85 interannual time scales. FC inflow as presently observed can thus not be part of a literally coherent 86 description of the exchanges across GSR. FC inflow is therefore only to a limited extent explicitly 87 part of our presentation and inference below. 88

The data and methods of our study are presented in Section 2, and Section 3 characterizes the observed variability of in- and overflows, and the degree of co-variability between them. The variable exchanges are related to possible forcing on seasonal to interannual time scales in Section 4. The results are discussed in Section 5, partly guided by the conceptual model of Straneo (2006), followed by the concluding remarks of Section 6.

#### **2.** Data, methods and concepts

We give here an overview of the observations and reanalysis data utilized in this study, and methods used to characterize (co)variability in these data. Further we describe the conceptual model applied in Section 5.

98 a. Data

The observed exchanges across the Greenland-Scotland Ridge as referred to in Figure 1 and shown in Figure 2, are accessed through the NACLIM consortium. AW inflow through Faroe-Shetland Channel (FSC) is reported upon by Berx et al. (2013), while DS inflow is described

by Jónsson and Valdimarsson (2012). Observed AW transport in FC is documented by Hansen 102 et al. (2015a). The OW transport through FBC is detailed by Hansen et al. (2015b, 2016), while 103 the DS overflow is presented by Jochumsen et al. (2017). Recent observations and estimates 104 of the overflow across the Iceland-Faroe Ridge (IFR) suggest a mean overflow of less than 0.4 105 Sv (Hansen et al. 2018). Observations of overflow across the Wyville-Thomson Ridge (WTR) 106 are available, with some gaps, for 2003-2013 and are on average 0.8 Sv (Sherwin et al. 2008a; 107 Sherwin 2010). However, due to low data coverage, IFR overflow and WTR overflow will not be 108 considered in this study. We refer to the above publications regarding uncertainties in the observed 109 estimates of volume transports. For all volume transports, we assess monthly averaged data. 110

Hydrography from the KG6 station on the Kögur section is also available through the NACLIM consortium. The Kögur section is located north of where DS overflow is measured (see Figure 1), and is reported upon in Jónsson and Valdimarsson (2012). The KG6 station measures temperature and salinity at various depths 3-4 times a year.

Gridded hydrography of the Nordic Seas extending across the GSR is available through the 115 Nordic Sea Atlas (Korablev et al. 2014). The dataset utilizes over 500 000 stations to create tem-116 perature, salinity and density fields on a  $0.25^{\circ} \times 0.25^{\circ}$  degree grid spanning  $58^{\circ}-84^{\circ}N$ ,  $47^{\circ}W-72^{\circ}E$ 117 at 29 depth levels for the period 1900-2012. After 1993, a total of 102 758 stations throughout the 118 Nordic Seas are utilized. There are fewer observations near the northern coast of Greenland and 119 north of Iceland, but sampling frequency and density is larger near the GSR, and particularly in 120 western DS. Altimetry measured sea surface height (SSH) has been accessed through EU Coperni-121 cus Marine Service Information (CMSES) on a  $0.25^{\circ} \times 0.25^{\circ}$  degree grid. From the ERA-Interim 122 reanalysis (Dee et al. 2011) we apply surface winds, atmospheric sea level pressure (SLP) and 123 atmospheric heat flux on a  $1^{\circ} \times 1^{\circ}$  degree grid. The ERA-Interim reanalysis is considered realis-124

tic for the Arctic region and the variables considered here (Lindsay et al. 2014). All the gridded
 datasets are monthly averages.

## 127 b. Methods

For each time series, the mean (linear trend) is subtracted and these *monthly* data are used when 128 analyzing seasonal variability. To investigate interannual variability, we form *annual* data by ap-129 plying a simple, if approximate, 12-month low-pass filter (in the form of a 25-month triangular 130 window) to the monthly data; the annual time series are accordingly truncated by 6 months at the 131 endpoints. Missing data points within the time series are replaced with the mean value correspond-132 ing to that month, but these data points are removed after filtering. Note that the annual data still 133 contains 12 data points per year, but without any variability on shorter than annual time scales. 134 For gridded datasets, the above steps are implemented for each grid point. 135

Covariability between two time series is determined using linear correlations based on Pearson 136 correlation coefficient; i.e., r-values (Thomson and Emery 2014). All reported correlations are 137 significant at a 95% (90%) confidence level based on Student's t-test for seasonal (interannual) 138 variability (Thomson and Emery 2014), where autocorrelation is taken into account by adjusting 139 the effective number of degrees of freedom (EDF) following Chelton (1983). Note that the adjust-140 ment of EDFs will be strongly affected by the amount of autocorrelation within the time series, 141 hence the significance criterion can vary substantially. We perform EOF analysis (Björnsson and 142 Venegas 1997) to resolve spatio-temporal variability in the gridded data sets. Power spectra are 143 computed by applying the maximum entropy method (Ghil et al. 2002), and for significance testing 144 these estimates are compared to red noise spectra computed by fitting a first order autoregressive 145 process to the data sets. 146

We employ a measure of the NAO as the leading order EOF mode of annual SLP from the region 147 20°-90°N, 90°W-40°E. Although the NAO is usually winter-based, the leading EOF mode of the 148 full-year SLP provides the same spatial pattern usually associated with the NAO. Derivatives of 149 gridded data (e.g., of wind stress) are calculated through 2-point difference approach using two 150 neighbouring grid cells. Wind stress  $(\tau_x, \tau_y)$  is estimated from wind data  $(u_x, u_y)$  using  $(\tau_x, \tau_y) =$ 151  $c_D \rho_{\text{air}} \sqrt{u_x^2 + u_y^2(u_x, u_y)}$ , where  $c_D = 1.5 \times 10^{-3}$  and  $\rho_{\text{air}}$  is a shifted sinusoidal with maximum 1.3 152 kg m<sup>-3</sup> in January and minimum 1.2 kg m<sup>-3</sup> in July. We define the mixed layer depth (MLD) 153 as the depth were the density has increased 0.125 kg  $m^{-3}$  compared to the density at surface, in 154 accordance with the sigma-t criterion by Levitus (1983). When falling between two vertical grid 155 points, linear interpolation is used. 156

## 157 c. Two-layer model

We adopt a modified version of the time dependent two-layer model formulated by Straneo 158 (2006). The model contains an interior reservoir surrounded by a narrow boundary current, with 159 parametrized eddies to communicate heat between the interior and boundary current, see Figure 3. 160 The model has been adapted to include a sill, see discussion below. Straneo included atmospheric 161 heat loss only from the interior reservoir and for completeness we include heat loss also from 162 the boundary current. The two layers have fixed temperatures, with the deeper being colder than 163 the upper. The depth of the interfaces between the two layers in the interior and the boundary 164 current will adapt due to heat loss to the atmosphere and the eddy heat exchange, as baroclinic 165 eddies are only active when there is a difference in the interface heights between the interior and 166 boundary current. The boundary current velocity is only in the along-current direction and the 167 baroclinic component is calculated from the horizontal density gradient using the thermal wind 168 balance. The velocity is formulated as vertical averages for each layer. As the interface height in 169

the boundary current can vary along the current, the boundary current speed varies accordingly to
 preserve mass balance. For all details concerning the model derivation and assumptions we refer
 to Straneo (2006).

<sup>173</sup> Model parameters concerning size of domains etc., are chosen in accordance with the Nordic <sup>174</sup> Seas and are given in Table 1 along with the adapted model equations. The model is forced with <sup>175</sup> the atmospheric heat loss from boundary current and interior,  $Q_{bc}(t)$  and  $Q_{int}(t)$ , along with a <sup>176</sup> barotropic component of the boundary current, see discussion below. The model is solved for the <sup>177</sup> thickness of the deep layers in the interior and boundary current.

Straneo formulated her model for the Labrador Sea, which does not have a sill. Iovino et al. 178 (2008) showed that the effect of a sill is mainly the difference in boundary current strength as the 179 sill limits the flow that prefers to follow f/h-contours. Spall has in several papers used a similar 180 formulation for the Nordic Seas (Spall 2011; Yasuda and Spall 2015) where the boundary current 181 is based on thermal wind balance, and found good correspondence between this formulation and 182 idealized numerical simulations mimicking the Nordic Seas and its boundary current. The sill 183 affects the formulation of the model by adjusting the interior interface height, d(t), into height 184 above the sill height. The adjusted variable and the height of the deep boundary current layer, 185  $h_2(t,l)$ , are marked in Figure 3, where l indicates the along-current coordinate. 186

<sup>187</sup> We will apply the adapted two-layer model to determine the relative importance of the baroclinic <sup>188</sup> and barotropic forcing on seasonal time scales, where the baroclinic forcing is quantified through <sup>189</sup> observed atmospheric heat loss. For the barotropic forcing we take into account how wind interacts <sup>190</sup> with topography, as topography is of importance for the Nordic Seas (Nøst and Isachsen 2003; <sup>191</sup> Spall 2011). Skagseth (2004) found that for monthly time scales a topographic Sverdrup relation <sup>192</sup> (Niiler and Koblinsky 1985) applies; i.e., that positive wind stress curl integrated within a bottom <sup>193</sup> contour is balanced by cross-isobath flow toward shallower depth and visa versa. Further, this

was reflected in the variability in the along-slope current in the southern Norwegian Sea at the 194 Svinøy section (Skagseth et al. 2004). This indicates a transfer from cross- to along-isobath flow 195 analogous to Walin et al. (2004), who argued that the northward buoyancy loss along-stream the 196 Norwegian Atlantic Current causes a baroclinic flow toward shallower depth, that through mass 197 conservation is transferred into an equivalent barotropic slope current. Based on satellite SSH data 198 the slope current varies coherently across the Iceland-Scotland ridge in response to a NAO-like 199 wind pattern (Skagseth et al. 2004). Hence, through an estimate of the length of the along-isobath 200 region where the positive wind stress curl acts, the corresponding barotropic velocity component 201 across the ridge can be calculated as a scaled topographic Sverdrup relation 202

$$v_{w,Sv} = \frac{L_{\text{along}}}{\rho_{\text{ref}}Lh^2 |\nabla(\frac{f}{h})|} \Big(\frac{\partial \tau_y}{\partial x} - \frac{\partial \tau_x}{\partial y}\Big),\tag{1}$$

where  $L_{along}$  is the length of the region where the wind stress curl pushes waters towards shallower depths and must be estimated through observations of wind stress curl and is discussed in Section 5a. Note that the Coriolis parameter f is considered constant, while the depth gradient must be estimated from the region where wind stress curl acts. Spall (2011) estimated how wind stress along the coast would have a significant impact on the variability across the GSR through Ekman transport and piling of waters near the coast, resulting in a barotropic transport along the coast following the wind direction. The resulting barotropic velocity is hence a scaled Ekman relation

$$v_{w,\text{Ek}} = \frac{L_{\text{along}}}{\rho_{\text{ref}}hc_0} \tau_{\text{along}},\tag{2}$$

where  $\tau_{along}$  is the wind stress component along the coastline, and  $L_{along}$  the length of the region where the along-coast wind stress pushes waters towards shallower depths and must be estimated through observations of wind stress and is discussed in Section 5a. Further,  $c_0$  is the barotropic shelf wave speed. Hence, we have two possible forms of the wind-forced barotropic velocity component of the boundary current, where both rely on towards-coast transport and increased SSH near the coast. The difference lies in relying either on wind stress curl or the wind stress. In the model, the velocity (1) or (2) is applied at the right inlet as sketched in Figure 3. We assume weak stratification in order to apply the barotropic relations to both layers, which is reasonable for the Nordic Seas (Oliver and Heywood 2003).

#### **3.** Observed Greenland-Scotland Ridge exchanges

In this section we quantify and characterize the observed variance of GSR exchanges (Figure 2) on seasonal to interannual time scales, and assess to what extent the branches of exchange covary.

#### *a. Seasonal variability*

The mean seasonal cycles of the branches are shown in the right panel of Figure 2. It is evident that FSC and DS inflows and the FBC overflow have a prominent seasonal cycle; there is also a seasonal cycle in the FC inflow and the DS overflow, although relatively muted in the total variance. Table 2 quantifies the correlation between the respective seasonal cycles and the full monthly time series, and between the seasonal cycle and a perfect sinusoid.

The seasonal cycles (Figure 2; right panel) display an anti-phase relation between FSC inflow, with FBC overflow and DS inflow; the latter are relatively weak when the former is strong (and vice verse), e.g., both FSC inflow and FBC overflow are anomalously northwards in winter. The seasonal phase of FC inflow is more northwards in winter. The less pronounced seasonal cycle of DS overflow is out of phase with DS inflow, i.e., similarly to the eastern gateway. The DS flows are qualitatively in seasonal phase over the water column and they are both anomalously southwards in winter. Hence, these five seasonal cycles broadly describe a seasonal GSR exchange of anomalous net eastern inflow reflected in anomalous net western outflow during winter, consistent with a
 barotropic-like cyclonic circulation encompassing the Nordic Seas that is stronger in winter than
 summer.

The extent to which the above findings related to the seasonal cycles carries over to the full time series is documented in Table 3, with significant correlations ranging between 0.3 and 0.6; the correlation between the two overflows is essentialy zero (and insignificant). The FC inflow is seemingly unrelated to the other transports on seasonal time scales, except for some covariability with FBC overflow.

#### *b. Interannual variability*

In the following, we turn to the interannual variability of the observations (assessing the filtered time series also displayed in Figure 2). We emphasize that statistically confident inference is generally an issue at this time scale given the length of the record (e.g., Table 3), but we believe a characterization of observed interannual variance is still of relevance, particularly when related to possible forcing and previous findings in subsequent sections, and also noting that these observations have often been discussed in the context of climate change (Hansen et al. 2001; Zhang et al. 2004; Olsen et al. 2008; Hansen et al. 2016).

The power spectra of the four branches display a range of interannual variability, and all broadly exhibit variability on a 2-4 years time scale (Figure 4). From visual inspection of Figure 2, a most pronounced interannual-scale feature of the time series is that all transports except FC inflow were anomalously strong in 2002-2003, indicating a period of particularly strong overturning circulation in the Nordic Seas.

<sup>257</sup> In general the two overflows covary (cf. Table 3), but from Figure 2 it is evident that the in-phase <sup>258</sup> variation is restricted to the years following the abovementioned "event" of strong overturning.

Restricting to 2004-2015, the two overflows share a (significant) correlation of r = 0.82. The (relatively few) years of the record prior to this are characterized by the overflows appearing out of phase. Furthermore, strong overflow generally follows strong FSC inflow with a 1-2 year time lag (Table 3). The FC inflow is again unrelated to the other transports, with a possible exception of DS inflow.

#### **4.** Forcing of Greenland-Scotland Ridge exchanges

In this section, we assess to what extent the observed variability on seasonal to interannual time scales of the North Atlantic - Nordic Seas exchanges (Figure 2) can be related to local or remote surface forcing, and in particular can be reflected in the spatial fields of sea level pressure, wind stress, and sea surface height. As FC inflow shows different behavior from the other currents, we will in the following focus on common forcing mechanisms for FSC inflow, FBC overflow, DS inflow and DS overflow only, and these four transports are generally implied when referring to "GSR exchanges" below.

## 272 a. Seasonal variability

The seasonal cycles of the GSR exchanges (Figure 2, right panel) are in line with a cyclonic Nordic Seas circulation including GSR exchanges that is stronger in winter than summer. This resonates with the seasonal cycle of Nordic Seas SLP, a regional-scale low that is most pronounced in winter (Furevik and Nilsen 2005). Correlation maps between the four transports (Figure 2, left panel) and reanalyzed SLP using monthly data resemble NAO-like patterns (Figure 5), with a center of action in the vicinity of Iceland and its anti-phase counterpart, normally centered off the Iberian Peninsula, being generally shifted east and partly less pronounced. The positive/negative <sup>280</sup> correlations in Figure 5 support how a lowered SLP near Iceland relates to stronger cyclonic cir <sup>281</sup> culation through the Nordic Seas.

The large scale SLP patterns drawn up in Figure 5 are through geostrophy associated with a pos-282 itive/negative wind stress curl around the SLP center of action. Variability in wind stress curl over 283 ocean basins are associated with cyclonic circulation anomalies through (topographic) Sverdrup 284 balance (Eden and Willebrand 2001). The correlation maps between the transports and wind stress 285 curl in Figure 6 show significant positive (negative) correlations near the ridge and in the Nordic 286 Seas that are associated with cyclonic (anticyclonic) circulation anomalies of the four transports. 287 Skagseth (2004) found that a topographic Sverdrup relation could explain monthly variability in 288 the FSC inflow through SSH gradients both normal to and along the flow, associating SSH in-289 creases near Scotland with increased northwards flow. The correlation maps in Figure 6 support 290 such a connection for all four transports. Note that correlations for the DS inflow and DS overflow 291 are low, although significant. 292

Considering wind stress along the coast directly (Figure 7) shows how winds along the respective 293 coastlines are associated with anomalous flow in the same direction as the wind for both inflows 294 and overflows. We have used the southwesterly component of the wind stress as an estimate for 295 the along-coast (or along-slope) direction. For the FSC inflow, Sherwin et al. (2008b) and Chafik 296 (2012) found that the wind driven Ekman transport and corresponding SSH increase near Shetland 297 resulted in increased northwards flow. Figure 7 supports such a mechanism for all four transports. 298 The correlation values for DS overflow are low (although significant); hence, there is still much 299 variability in the DS overflow that cannot be explained by the wind stress alone. 300

Note that the influences of SLP, SSH and wind are not independent. A positive phase of the NAO is for example associated both with positive wind stress curl over the Nordic Seas, strengthened westerlies (Hurrell 1995), and increased SSH near Shetland leading to an anomalously strong SSH <sup>304</sup> gradient across the FSC (Chafik 2012). Accordingly, the mechanisms explained in the above are <sup>305</sup> partly interconnected.

FC inflow variability is primarily associated with SSH changes north of the GSR on seasonal and interannual time scales (Hansen et al. 2010). Richter et al. (2009, 2012) found that the FC inflow variability only depends on local wind forcing and on sea level pressure when these have a direct influence on the Nordic Seas SSH. Creating correlation maps between FC inflow and atmospheric indicators as in Figures 5-7, reveals qualitatively different patterns than for the four other currents; FC inflow is positively correlated with wind stress curl only within the Nordic Seas and with westerly wind stress at the ridge (not shown).

#### 313 b. Interannual variability

There is a tendency for the mechanisms identified for the seasonal variability to translate to 314 the interannual time scales, but admittedly much less pronounced. The annual anomalies of FSC 315 inflow and FBC overflow in particular remain significantly correlated to an NAO-like SLP pattern 316 and wind stress curl near the ridge, similar to Figures 5 and 6, with significant correlations peaking 317 at r = -0.58 (r = 0.47) and r = 0.56 (r = -0.72) for FSC inflow (FBC overflow) and SLP and 318 wind stress curl, respectively. The FSC inflow is also significantly correlated to the EOF-based 319 NAO, with r = 0.43. Despite the relative shortness of the time series, there are 4 (5) positive 320 (negative) phases of the NAO (here defined as exceeding 1 standard deviation from the mean) 321 within the observation period. 322

We find, using annual data, that a positive wind stress curl anomaly averaged over the green box in Figure 1 precedes a decreased FBC overflow with 0-6 months, and a decreased DS overflow with 10-14 months (not shown). These findings are robust with respect to reasonable choices of averaging region for the wind stress curl, but correlation values are generally larger near the ridge. A positive wind stress curl over the Nordic Seas has earlier been linked to lagged decrease in FBC and DS overflows (Yang and Pratt 2013). Using idealized simulations, Yang and Pratt (2013) found that a positive wind stress curl caused doming of the overflow reservoir through pulling the overflow waters towards the center of the basin and away from the boundary current, ultimately decreasing the overflows.

Using the annual SSH averaged over the green box in Figure 1, we find that SSH covaries with 332 DS inflow, FSC inflow and FBC overflow transports (Table 4). Large scale SSH variability can 333 be linked to wind-driven barotropic processes through gyre variability (Häkkinen 2001; Chafik 334 2012; Zhang et al. 2016), or to steric effects reflecting the heat/salt content variability (Mork and 335 Skagseth 2005). The sign of the significant correlations supports an increased cyclonic gyre man-336 ifested through lowered SSH. Regressing the SSH gradient between the boundary current and the 337 green box with the observations, underestimates the response following geostrophic balance with a 338 factor 3-10 depending on which boundary current points are chosen. As the boundary current also 339 contains waters recirculating within the Nordic Seas, it is reasonable that the geostrophic balance 340 of the along-boundary current involves larger transport variability than what is observed across the 341 ridge. The DS inflow, FSC inflow and FBC overflow are also correlated with the corresponding 342 SSH differences as the boundary current SSH changes are small (not shown). 343

Olsen et al. (2008) found that the sum of barotropic and baroclinic pressure differences across the GSR could account for modeled FBC overflow variability on interannual time scales. Although Olsen et al. (2008) only considered the FBC overflow, the AW inflow in the southern Norwegian Sea has also been linked to along-current sea level slope on monthly to yearly time scales (Skagseth 2004). To resolve the effect of pressure differences between the Nordic Seas and North Atlantic basin on the observed exchange variability, proxies for the barotropic forces using SSH and baroclinic forcing using hydrography are constructed following Olsen et al. (2008), using the <sup>351</sup> orange boxes in Figure 1. We find that increased north-south barotropic and total pressure dif-<sup>352</sup> ference are associated with a stronger FBC overflow and weaker FSC inflow on interannual time <sup>353</sup> scales, as seen in Table 5. While Olsen et al. (2008) found that the total pressure difference was <sup>354</sup> necessary for the modeled FBC overflow (r = 0.90), our analysis using observed FBC overflow <sup>355</sup> indicates that the barotropic and total pressure difference are both influential, and that this applies <sup>356</sup> also to the FSC inflow.

DS overflow variability has been linked to hydraulic control through upstream interface height 357 and SSH (Köhl et al. 2007). However, using SSH and hydrography from the Kögur section north 358 of DS, we find neither any apparent connection between changes in DS overflow transport and the 359 SSH variability, nor with the depth of the density interface defining the DS overflow. Also, DS 360 inflow and overflow show no apparent connection with north-south pressures differences. Rather, 361 the DS inflow seems to be dependent on local winds: DS inflow exhibits significant covariability 362 with winds from south located west of Iceland, and with SSH along the western coast of Iceland, 363 as seen in Figure 8. Hence, southern winds causing Ekman transport and consequently increased 364 SSH near Iceland appear important for DS inflow on interannual time scales. 365

## **5. Discussion**

Based on the observed variability of the four volume transports, we discuss some questions regarding forcing mechanisms. For the seasonal cycle we investigate the robustness of the wind stress or wind stress curl forcing through a two-layer model; and, focusing on the interannual variability we examine the behavior of FBC and DS overflow in particular. Finally, we discuss how the GSR exchanges can be interpreted as horizontal and overturning circulations in the Nordic Seas.

#### *a.* A simplified model describing the seasonal cycle

We apply the two-layer model presented in Section 2c, forced with average seasonal cycles 374 of reanalyzed wind stress curl or wind stress, and atmospheric heat loss for boundary current 375 and interior. The wind stress curl and wind stress values are the averages over where the largest 376 significant correlations (r > 0.4) were found for FSC inflow (between 45°-60°N, 25°-5°W) in 377 Figures 6 and 7. From the correlation maps we estimate  $L_{along}$  to be 1500 km for wind stress curl 378 in (1) and 3000 km for wind stress in (2). The topographic beta  $\beta = h |\nabla (f/h)|$  ranges over several 379 magnitudes  $(10^{-8} - 10^{-13} \text{ m}^{-1} \text{ s}^{-1})$  in the relevant region due to variability in topography. As an 380 estimate of the large-scale average we employ  $\beta = 10^{-10}$  m<sup>-1</sup> s<sup>-1</sup> in (1), which is close to the 381 arithmetic average. This value of  $\beta$  corresponds to a constant value of  $f = 1.4 \times 10^{-4} \text{ s}^{-1}$  and an 382 average slope of about 0.5 m per km near the idealized sill. In (2), the barotropic shelf wave speed 383 is taken as  $c_0 = 10 \text{ m s}^{-1}$  based on the estimate by Spall (2011). The boundary current and interior 384 heat fluxes are averages over the oceanic part of  $60^{\circ} - 80^{\circ}$ N,  $25^{\circ}$ W $-15^{\circ}$ E. As the observed heat 385 fluxes are generally larger where the AW flows northwards, the model heat fluxes are weighted 386 such that boundary current is twice as large as the interior heat flux, but the model is not sensitive 387 with respect to this weighting. The time series of the applied forcings are seen in Figure 9. The 388 boundary current is discretized with  $\Delta l = 7500$ m, while we apply a time step of 7500s to fulfill a 389 CFL-condition. For each time step, small noise of mean 0 are added to the forcings. The model is 390 integrated in time 15 years, and the model variables d(t) and  $h_2(t,l)$  reach steady seasonal cycles 391 after 7-8 years of integration. The seasonal cycles of the inflows/outflows presented in Figure 392 10 are the average seasonal cycles for years 10-15. The model is compared with FSC inflow, 393 DS inflow, FBC overflow and DS overflow, and the inflows/outflows from the two-layer model 394 are assigned same names and sign convection as in Figure 1. The two wind forcings (1) and (2) 395

<sup>396</sup> both rely on the presence of a longer coastline to explain the dynamics, which is not the case for <sup>397</sup> FC (Richter et al. 2012). Consequently the different dynamics of FC inflow, as pointed out in in <sup>398</sup> Section 4a, are not likely to be captured by this two-layer model. We will hence not attempt to <sup>399</sup> include FC inflow in the following analysis.

Forcing the model with either constant or seasonally varying forcing (Figure 10) reveals that the 400 two-layer model can largely (except for DS overflow - see discussion below) reproduce the ob-401 served seasonal cycles (Figure 2; right panel) both with respect to phase and amplitude if allowing 402 varying wind forcing; hence, the seasonal variability of the wind is both necessary and sufficient 403 for the GSR exchange variability. However, we cannot easily conclude whether the main driver is 404 wind stress curl through topographic Sverdrup balance (1) or wind stress through Ekman transport 405 (2), or both. For both the wind stress curl and wind stress forcing, there is uncertainty in determin-406 ing effective parameters used in equations (1) and (2), but both equations can largely reproduce 407 the observed seasonal cycles within reasonable choices of these parameters by themselves. Both 408 mechanisms rely on transport towards the coast being translated into a barotropic transport through 409 SSH stacking near the coast. Also, as both topographic Sverdrup and Ekman transport can be at 410 play simultaneously (one below and the other in the Ekman layer), their response can be consid-411 ered as the sum of (1) and (2) due to the linearity of the system. Either way, the seasonal cycle can 412 be understood as due to barotropic mechanisms, and the effect of the seasonally varying buoyancy 413 (baroclinic) forcing is small. This is expected from the theory of Spall (2015) because the seasonal 414 cycle is short compared to the adjustment time of the mixed layer depth to the surface heat flux. 415

Although the seasonal cycles of FBC overflow and DS inflow are in overall phase when forcing the two-layer model with wind, the seasonal maxima and minima are slightly shifted. Further, the two-layer model overestimates the amplitude of the DS overflow for all cases, although it resembles the observed phase. One important point of the model is that it requires the four transports

in sum to preserve mass alone, which is in general not the case for the Nordic Seas due to contri-420 butions from Fram Strait, IFR and EGC. As the polar region and Fram Strait are not represented 421 in the model, the part of the DS overflow fed by polar origin waters from the shelfbreak or sepa-422 rated East Greenland Current (see e.g., Harden et al. (2016); Behrens et al. (2017)) is not expected 423 to be captured by the two-layer model and, as these contributions have different seasonal phases 424 (Behrens et al. 2017), would reduce the seasonal signal. However, several modeling studies that 425 include the polar region, e.g. Köhl et al. (2007); Serra et al. (2010); Behrens et al. (2017), describe, 426 as the two-layer model herein, a stronger seasonal cycle in DS overflow than what is observed. 427

Forcing the model with wind and heat loss from the same region as earlier and including interan-428 nual variability, produces inflows/outflows with interannual variabilities with positive, but gener-429 ally insignificant, correlations (when wind forcing is included) with the four respective transports 430 (not shown). The largest (significant) correlation for interannual variability is achieved for FSC 431 inflow when forcing the model with the southwesterly wind stress alone (r = 0.42). As the in-432 terannual variability of the four transports was found in Section 4b to depend strongly on other 433 mechanisms than described by the two-layer model, the model cannot be expected to describe their 434 interannual variability well. 435

Simplified two-layer models were applied to Labrador Sea and FBC overturning circulations by 436 Deshayes et al. (2009) and Hansen and Østerhus (2007), respectively, and both models could 437 largely reproduce the observed variability through idealized barotropic and baroclinic forcing 438 mechanisms. Deshayes et al. (2009) found that also in the Labrador Sea the wind was more im-439 portant for the seasonal variability. Hansen and Østerhus (2007) found that SSH changes (through 440 wind forcing) had a strong influence on seasonal variability of FBC overflow, but the seasonal 441 density field variations were the more likely forcing of the FBC overflow as the SSH-influence 442 would overestimate the seasonal amplitude of FBC overflow. This is in contrast to the findings 443

of the two-layer model applied here (Figure 10) where both observed phase and amplitude of
 FBC overflow is well represented considering barotropic dynamics, while baroclinic forcing alone
 underestimates the amplitude and shifts the seasonal phase.

A plausible argument against the correlation values in Section 4a is that they could be coinciden-447 tal if two independent time series exhibiting strong seasonal cycles happened to covary. However, 448 entire time series were used in the analysis, hence including variability on shorter and longer time 449 scales. Although not all correlations were above the 95% significance criterion, they support the 450 hypothesis of the seasonal variability being linked to NAO-related wind-forced cyclonic circula-451 tion, which has also been indicated in earlier simulation based studies; e.g., Sandø et al. (2012). 452 Leaning on the findings from the two-layer model, which resembles the responses both in phase 453 and in amplitudes of the GSR exchanges satisfactory - except for the DS overflow, we can connect 454 the seasonal variability of observed GSR exchanges to wind forcing, where both wind stress and 455 wind stress curl can account for the observed seasonal variability. 456

## 457 b. Interannual variability of the overflows

The supply of overflows across the ridge will in the long term be restricted by renewal of dense waters through Nordic Seas buoyancy loss. Eldevik et al. (2009) identified time scales for dense water production and export through AW temperature and salinity anomalies manifested in the OW and found that hydrographic anomalies in FSC inflow appeared 1 (2) years later in FBC (DS) overflow. These time scales were also found in the volume transport correlations in Table 3, although not significant due to the low number of effective samples.

The annual FBC and DS overflows were found in Section 3b to covary after 2004, and were possibly anti-phased before 2002 (Figure 2). We seek to better explain the shift in interannual behavior in the overflows. As the two overflows are part of a cyclonic gyre circulation but also drain a common overflow reservoir, in-phase variability (as after 2004) between the overflows is a sign of dominating baroclinic mechanisms, while anti-phased behavior (1995-2003) indicates barotropic forcing (Serra et al. 2010). Using a numerical simulation, Serra et al. (2010) described a NAO-forced anti-phased behavior between FBC and DS overflow, and noted that the anti-phased behavior gradually faded after 1995 due to dense water redistribution in the overflow reservoir. After 1995 the in-phase baroclinic components of the overflows increased, while the anti-phase barotropic components decreased in strength due to weaker wind forcing (Serra et al. 2010).

We calculate the average mixed layer depth (MLD) across  $66^{\circ}$ -71°N, 10°W-5°E (Figure 11). 474 Preferably we would have expanded this averaging region further west, but as the relative error in 475 the Nordic Sea Atlas density field is some years too large, we restrict the domain to the Norwegian 476 Sea region. Relative errors are in the present region large certain months before 2003, but accept-477 able for March which is when the deepest MLDs are generally found. The annual maximum in 478 MLD marked in Figure 11 shows how the MLD has a minimum around 2003 before it strongly 479 increases. The average of annual maximum MLD in 1995-2003 - when the overflows appear out 480 of phase - is 470 m, while the average annual maximum MLD after 2004 is 560 m. The increase in 481 MLD suggests that production of deep waters escalated after 2003, indicating that a relative shift 482 of the overflow forcing from barotropic to baroclinic seems plausible. We also note that the SSH in 483 the Nordic Seas (average over green box in Figure 1) was anomalously strong in 2003, whose role 484 for the Iceland-Faroe Ridge has been discussed by Olsen et al. (2016). The FSC and DS inflows 485 as well as the FBC and DS overflows were anomalously strong at the same time (Figure 2). 486

Both Serra et al. (2010) and Yang and Pratt (2013) formulated how the balance between barotropic and baroclinic mechanisms can be understood through deformation of isopycnal surfaces: a weak barotropic gyre relaxes the doming of the isopycnal defining the overflow reservoir, allowing overflow waters to reach the slope current and be transported across the ridge. However, <sup>491</sup> due to in periods low data reliability it has not been feasible to use the Nordic Sea Atlas for this <sup>492</sup> purpose. Hence, addressing any evidence of deforming isopycnal surfaces is beyond the scope of <sup>493</sup> this work.

We find that FBC overflow is covarying stronger with Nordic Seas SSH (green box in Figure 494 1) and north-south barotropic pressure difference (between the orange boxes in Figure 1) before 495 2005. The correlation value with SSH before 2005 is r = 0.83 (as compared to r = 0.67 for the 496 entire period, see Table 4), while correlation with the barotropic pressure difference is r = 0.77 (as 497 compared to r = 0.63 for the entire period, see Table 5). Note however that there is only 9 years 498 of data prior to 2005, but correlations are significant when correcting for EDF. After 2005, these 499 correlations are weaker and not significant. Hence, before 2005 the FBC overflow was more tightly 500 linked to barotropic forcing mechanisms while the period after 2005 is suggestively dominated by 501 baroclinic mechanisms. Olsen et al. (2008) found a remarkable covariance between observed and 502 modeled FBC overflow accounting for 52% (85%) of the monthly (interannual) variability until 503 2005. As atmospherically forced ocean GCMs generally have better skill for direct (and local) 504 barotropic variability, the connection between FBC overflow and barotropic mechanisms before 505 2005 can possibly explain the strong agreement between observed values and those modeled by 506 Olsen et al. (2008). 507

### <sup>508</sup> c. Nordic Seas overturning and horizontal circulations

As the volume exchanges of warm Atlantic Waters and cold Overflow Waters across the GSR are part of the northern limb of the Atlantic Meridional Overturning Circulation, the variability of these exchanges can be associated with variability in AMOC. We have however seen that the variability in the GSR exchanges - in particular the seasonal - can be interpreted as part of a cyclonic (horizontal) exchange. Hence, we seek to quantify to which extent the GSR exchanges that follow the rim of the Nordic Seas reflect horizontal or overturning circulation in the Nordic
Seas.

We consider FSC and DS inflow, and FBC and DS overflow volume transports as a gridded 516 dataset representing in-/outflows in the surface and at depth, in the west part and east part of the 517 GSR. Performing an EOF analysis on standardized anomalies of this dataset will provide objective 518 measures of the structure of these exchanges and their relative importance. The EOF analysis is 519 performed only between May 1996 and April 2014 to avoid periods with too low data coverage. 520 Gaps in the time series within this time frame are filled with the current's mean value. The leading 521 order mode of the monthly data represents a cyclonic circulation with flow towards north in the 522 east and towards south in the west part of the ridge, while the second mode depicts overturning 523 with northwards flow at the surface and southwards flow at depth. For the annual data the first 524 two modes reflect overturning and cyclonic circulation, respectively. The patterns of the dominant 525 modes together with variance explained are summarized in Table 6. We interpret these four EOF 526 modes as indicators of monthly/annual overturning/horizontal circulation within the Nordic Seas, 527 as manifested at the GSR. Hence, for the seasonal variability the cyclonic (horizontal) exchange 528 dominates, while the overturning circulation is most important for the interannual variability. 529

The two leading monthly principal components (PCs) along with seasonal cycles and power spectra are shown in Figure 12. Their seasonal cycles explain 49% and 8% of the monthly variability. The two leading annual principal components are shown as black overlay in the left part of Figure 12. A remarkable feature of the annual PC reflecting overturning is that it also indicates anomalous strong overturning around 2003.

Eden and Willebrand (2001) and Barrier et al. (2014) described how large-scale wind patterns associated with a positive NAO give a fast, barotropic response manifested as increased cyclonic circulation quantified by a simple (topographic) Sverdrup balance, while increased overturning

is expected three years later through baroclinic adjustments. We find using monthly data that 538 increased horizontal circulation is associated with lowered SLP near Iceland, positive wind stress 539 curl near the ridge and wind stress along the coast (Figure 13). These findings are in-line with 540 our previous findings of how the transports on seasonal time scales can be interpreted as part of 541 a SLP or wind stress (curl) forced barotropic, cyclonic circulation. Using annual EOFs, the two 542 leading modes can be associated with a rapid response through SSH; the annual SSH averaged 543 over the green box in Figure 1 share correlation values of r = 0.67 and r = -0.50 with the annual 544 overturning and horizontal circulations, respectively. A decreased SSH can be associated with 545 strong cyclonic circulation (cf. Table 4), while a possible relation between SSH and overturning 546 is discussed below. We find an indication of a positive phase of the annual EOF-based NAO is 547 followed by increased overturning 2.5-3 years later, but the correlation is not significant due to the 548 relative shortness of the overturning time series. 549

Ekman transport and associated coastal convergence can be - depending on latitude - important for AMOC variability on interannual time scales (Cabanes et al. 2008). We find that annual southerly winds and increased SSH along the continental slope on the eastern side of the Nordic Seas is associated with increased overturning circulation on annual time scales, as seen in Figure 14. However, the extent of the increased SSH region can also be an indicator of steric effects affecting the overturning; i.e., that warmer or fresher than average waters in the Norwegian Sea can be associated with increased overturning.

The above EOFs are based on standardized anomalies of the four transports, hence their PCs do not reflect values in Sverdrups. Motivated by the structure of the leading modes from Table 6, we can define physical measures of the horizontal and overturning circulation using the difference and sum of the inflows and overflows;

$$HC = \frac{1}{2} \Big( \{FSC \text{ inflow}\} - \{FBC \text{ overflow}\} - (\{DS \text{ inflow}\} - \{DS \text{ overflow}\}) \Big),$$

561

$$OC = \frac{1}{2} \left( \{ DS \text{ inflow} \} + \{ FSC \text{ inflow} \} + \{ DS \text{ overflow} \} + \{ FBC \text{ overflow} \} \right)$$

These two indicators do not take into account any weighting between the transports as performed 562 by the EOF analysis, but has the advantage of giving physical estimates for the horizontal and 563 overturning circulation. The HC and OC are however closely related with the EOFs and share 564 correlation values of r = 0.91 (r = 0.93) and r = 0.87 (r = 0.78) with the corresponding PCs 565 for the monthly (annual) variability, respectively. The mean values of the HC and OC are 1.3 566 Sv and 4.4 Sv, respectively, showing how these GSR exchanges in the mean mainly represent 567 an overturning transformation. Note that these estimates are based on four transports alone, and 568 the total GSR exchange also includes EGC and inflow and overflow across the Iceland-Faroe 569 Ridge. In particular, the EGC would give a positive contribution to the HC and negative to the 570 OC. Including FC inflow and WTR overflow transports by adding them to FSC inflow and FBC 571 overflow, respectively, increases the mean HC and OC to 2.9 Sv and 6.7 Sv. 572

## 573 6. Conclusion

<sup>574</sup> We have described the observed volume transport variability of four volume transports crossing <sup>575</sup> the Greenland-Scotland Ridge: The inflow of warm Atlantic Water through the Faroe-Shetland <sup>576</sup> Channel and Denmark Strait, and the overflow of cold Overflow Water through the Faroe Bank <sup>577</sup> Channel and Denmark Strait. By comparing these transport time series with reanalyzed sea level <sup>578</sup> pressure, wind and sea surface height, we can deduce common forcing mechanisms on seasonal <sup>579</sup> and interannual time scales. The AW measured north of the Faroe Islands in the Faroe Current was not considered regarding common forcing mechanisms as the statistical analysis revealed it being
 unrelated to the other transports on these time scales.

<sup>582</sup> Concerning the seasonal cycle, the four transports can be interpreted as being part of a cyclonic <sup>583</sup> circulation encompassing the Nordic Seas driven by the wind stress or wind stress curl near the <sup>584</sup> Greenland-Scotland Ridge. Supported by a simple two-layer model based on Straneo (2006), the <sup>585</sup> wind stress curl through a topographic Sverdrup relation and the wind stress through an Ekman <sup>586</sup> relation can both account for the observed seasonal variability of the four transports following the <sup>587</sup> rim of the Nordic Seas, both with respect to seasonal phase and amplitude. Baroclinic processes <sup>588</sup> through atmospheric heat loss play a minor role for the seasonal variability.

Moving into longer time scales, the Greenland-Scotland Ridge exchanges can to some extent 589 still be interpreted as part of a barotropic, cyclonic circulation, but baroclinic mechanisms gain 590 importance. The Faroe Bank Channel overflow and Faroe-Shetland Channel inflow relates to a 591 barotropic and total pressure difference across the ridge, but the connection between the Faroe 592 Bank Channel overflow and the barotropic pressure difference is less pronounced after 2004. The 593 interannual variabilities of the Faroe Bank Channel and Denmark Strait overflows shift from being 594 anti-phased to in-phase during the observation period, which is linked to a shift from dominant 595 barotropic to common baroclinic forcing mechanisms. The Faroe Bank Channel overflow is influ-596 enced by wind-induced barotropic forcing on both seasonal and longer time scales, and we find 597 that this connection was particularly strong before 2005. 598

Estimating the Nordic Seas overturning and horizontal circulations through these four volume transports provides insight to the extent of horizontal transport and overturning transformation occurring within the Nordic Seas, as well as their possible relations to forcing mechanisms. In the mean, the Greenland-Scotland Ridge exchanges reflect an overturning transformation. The seasonal variability is mainly a horizontal, cyclonic circulation associated with wind stress or

wind stress curl, while the interannual variability is dominated by overturning that can be linked to winds from south and increased SSH within the Nordic Seas.

In summary, and returning to the three questions posed in the introduction:

- The observed variable exchanges across the Greenland-Scotland Ridge reflect a horizontal circulation in the Nordic Seas on seasonal time scales, and to a larger extent an overturning circulation on interannual time scales.
- The barotropic-like seasonal cycle of anomalous in- and overflow following the rim of the Nordic Seas can be explained by the direct influence of wind associated with changes in sea level pressure.
- Buoyancy effects are not essential for the seasonal variability, but must be accounted for when considering interannual time scales.

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| Equation / parameter  | Description                                |
|---|--|
| $\frac{\mathrm{d}}{\mathrm{d}t}d(t) = -\frac{cv^*}{Ah}\int_0^P \left(d(t) - h_2(t,l)\right)^2 dl + \frac{Q_{\mathrm{int}}}{\rho_{\mathrm{ref}}c_p\Delta T}$ | Buoyancy conservation interior             |
| $\frac{\partial}{\partial t}h_2(t,l) + v_{\mathrm{adv}}(d(t),h_2(t,l))\frac{\partial}{\partial l}h_2(t,l) =$  | Buoyancy conservation                      |
| $rac{cv^*}{Lh} \left( d(t) - h_2(t,l)  ight)^2 + rac{Q_{ m bc}}{ ho_{ m ref}c_p\Delta T}$   | boundary current                           |
| c = 0.066   | Eddy heat flux coefficient                 |
| $A = 1.2 \times 10^{12} \text{ m}^2$  | Interior area                              |
| h = 750  m  | Sill depth                                 |
| L = 80  km  | Boundary current width                     |
| P = 4000  km  | Boundary current length                    |
| $ ho_{ m ref}=999.8~ m kg~m^{-3}$   | Reference density                          |
| $c_p = 3.9 	imes 10^3 \text{ J kg}^{-1} \text{ K}^{-1}$   | Heat capacity                              |
| $\Delta T = 4.5 \text{ K}$  | Temperature difference AW & OW             |
| $f = 1.4 \times 10^{-4} \text{ s}^{-1}$   | Coriolis parameter                         |
| $lpha_T=0.2~\mathrm{kg}~\mathrm{m}^{-3}~\mathrm{K}^{-1}$  | Thermal expansion                          |
| $\eta=0.5$  | Baroclinic velocity fraction at inflow     |
| $v^* = rac{2lpha_T\Delta Tgh}{ ho fL}$   | Measure of baroclinic flow                 |
| $v_{adv}(d,h_2) = v_2(d,h_2) + \frac{v^*h_2}{h^2}(d+h-2h_2)$  | Advective velocity                         |
| $v_1(d,h_2) = v_{btp}(d,h_2(l=0)) + \frac{h_2}{h}v_{bcl}(d,h_2)$  | Top layer velocity                         |
| $v_2(d,h_2) = v_{btp}(d,h_2(l=0)) + \frac{h_2 - h}{h} v_{bcl}(d,h_2)$   | Deep layer velocity                        |
| $v_{btp}(d, h_2(l=0)) = v_w + v_{bcl}(d, h_2(l=0)) \frac{\eta - h_2(l=0)}{h}$   | Barotropic velocity, $v_w$ from (1) or (2) |
| $v_{\rm bcl}(d,h_2) = v^* \frac{d-h_2}{h}$  | Baroclinic velocity                        |

TABLE 1. Model equations for the two layer model formulated through the unknowns d(t) and  $h_2(t, l)$ , along with parameter values ensuring applicability for the Nordic Seas and GSR, and other relevant notation.

TABLE 2. Seasonality of GSR inflow and overflow branches. The first column quantifies the correlation between the observed exchanges (Figure 2; left panel) and by the mean seasonal cycles (Figure 2; right panel), and the second column quantifies to what extent the seasonal cycles are perfectly sinusoidal, calculated as the maximum correlation with a shifted sinusoidal function. Insignificant correlations are in italics.

|              | Monthly time series | Sinusoid |
|--------------|---------------------|----------|
| FSC inflow   | 0.57                | 0.95     |
| FC inflow    | 0.40                | 0.94     |
| DS inflow    | 0.71                | 0.99     |
| FBC overflow | 0.61                | 0.92     |
| DS overflow  | 0.25                | 0.83     |

TABLE 3. Covariance of GSR exchanges. Correlations for monthly (annual) data are quantified in the upper (lower, in bold) diagonal. Monthly correlations are given at no lag, while the interannual correlations are also given for number of years lag of largest correlation (a positive lag implies that the flow defining the column is leading). Interannual correlations are generally insignificant due to a small number of EDF. The EDFs ranges from 6-10 for the annual data to over 40 regarding monthly DS overflow.

|              | FSC inflow      | FC inflow | DS inflow | FBC overflow | DS overflow |
|--------------|-----------------|-----------|-----------|--------------|-------------|
| FSC inflow   | 1               | 0.09      | -0.37     | -0.42        | 0.37        |
| FC inflow    | 0.05@0          | 1         | -0.14     | -0.36        | 0.04        |
| DS inflow    | -0.02@0         | -0.47@0   | 1         | 0.58         | -0.29       |
| FBC overflow | -0.11@0; 0.37@1 | -0.28@0   | 0.57@0    | 1            | -0.05       |
| DS overflow  | 0.38@0; 0.35@2  | 0.04@0    | 0.10@0    | 0.50@0       | 1           |

TABLE 4. Correlation values between annual volume transport time series and SSH averaged over  $66^{\circ}$ -71°N, 18°W-5°E (green box in Figure 1). Insignificant correlations are in italics.

|              | SSH   |
|--------------|-------|
| FSC inflow   | -0.43 |
| DS inflow    | 0.71  |
| FBC overflow | 0.67  |
| DS overflow  | 0.11  |

TABLE 5. Relations between FSC inflow and FBC overflow with pressure differences. Correlation values between the annual volume transport time series and the barotropic (first column), baroclinic (second column) and total (third column) pressure difference between north and south of the current passage. For FSC inflow the pressure difference is between  $64^{\circ}-66^{\circ}N$ ,  $0^{\circ}-4^{\circ}W$  and  $58^{\circ}-60^{\circ}N$ ,  $7^{\circ}-9^{\circ}W$ , while for FBC overflow the average pressures are between  $64^{\circ}-66^{\circ}N$ ,  $0^{\circ}-4^{\circ}W$  and  $60^{\circ}-61^{\circ}N$ ,  $16^{\circ}-18^{\circ}W$ . These boxes are marked with orange in Figure 1. The baroclinic pressure differences have been calculated at 200m depth for FSC inflow, and at 700m depth for FBC overflow. Insignificant correlations are in italics.

|              | $\Delta P_{\text{barotropic}}$ | $\Delta P_{\text{baroclinic}}$ | $\Delta P_{\text{baroclinic}} + \Delta P_{\text{barotropic}}$ |
|--------------|--------------------------------|--------------------------------|---|
| FSC inflow   | -0.51                          | 0.12                           | -0.48   |
| FBC overflow | 0.63                           | 0.45                           | 0.67  |

TABLE 6. Dominant EOF modes of the four exchanges. The patterns reflect the four exchanges across the GSR as seen from south, where "x" depicts northwards flow and "o" southwards. The bottom row shows the variance explained by the mode.

|              | EOF1 monthly | EOF2 monthly | EOF1 annual | EOF2 annual |
|--------------|--------------|--------------|-------------|-------------|
| Inflow       | o x          | хх           | x x         | o x         |
| Overflow     | о х          | 0 0          | 0 0         | o x         |
| Contribution | 53%          | 24%          | 46%         | 33%         |

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FIG. 1. The exchanges across the Greenland-Scotland Ridge. Red arrows indicate AW inflow, black indicate OW; solid lines are the observed flows considered in this study. The blue (stippled) represents the EGC. The green dashed line is the Kögur section. The boxes are regions used to define possible external forcing as described in Section 4, where the green box ( $66^{\circ}$ - $71^{\circ}N$ ,  $18^{\circ}W$ - $5^{\circ}E$ ) is used for average SSH and wind stress curl, while the orange boxes ( $64^{\circ}$ - $66^{\circ}N$ ,  $0^{\circ}$ - $4^{\circ}W$ ;  $58^{\circ}$ - $60^{\circ}N$ ,  $7^{\circ}$ - $9^{\circ}W$ , and  $60^{\circ}$ - $61^{\circ}N$ ,  $16^{\circ}$ - $18^{\circ}W$ ) are used for a north-south pressure difference across the ridge. Isobaths are outlined for every 500m.



FIG. 2. Current-meter based monthly time series of volume transports across GSR. All values are in Sv, with positive directions coinciding with arrows in Figure 1. Black lines in the left panel are low-pass filtered with a 25-month triangular filter. The right panel gives the mean seasonal cycle including the 95% confidence intervals based on Student's t-test around the overall mean (dotted).



FIG. 3. Two-layer model with boundary current and motionless interior based on Straneo (2006). Atlantic Water is depicted in red and Overflow Water in purple. The height of the deep layer in the boundary current,  $h_2(t,l)$ , and height above sill depth of the interior deep layer, d(t), are marked. The two layers of the beginning and the end of the boundary current defines the two inflows and outflows across the ridge. Orange arrows indicate atmospheric heat loss; green curls indicate eddy exchange. The yellow arrows represent the windforced barotropic part of the boundary current.



FIG. 4. Power spectra of GSR exchanges. Power spectra of the monthly data (with seasonal cycle removed) together with a red noise spectrum (thin line; cf Section 2b) and 95% confidence level (thin dashed line).



FIG. 5. Correlations between monthly SLP with AW inflow (top) and OW (bottom). Left panels are DS, right panels FSC/FBC. Dots indicate significant correlations. Note that cross-covariance in the SLP data is larger over the Nordic Seas than over continental Scandinavia, and hence the significance criterion is larger over the ocean as the EDFs are lower (approximately 20). Also, the EDFs are generally larger for DS overflow (more than 50) giving a lower significance criterion.



FIG. 6. Correlations between monthly wind stress curl with AW inflow (top) and OW (bottom). Left panels are DS, right panels FSC/FBC. Dots indicate significant correlations. Note that the number of EDFs varies over a broad range (but are generally close to 20). Also, the EDFs are generally larger for DS overflow (more than 50) giving a lower significance criterion.



FIG. 7. Correlations between monthly southwesterly wind stress with AW inflow (top) and OW (bottom). Left panels are DS, right panels FSC/FBC. Dots indicate significant correlations. Note that the number of EDFs varies over a broad range (but are generally close to 20). Also, the EDFs are generally larger for DS overflow (more than 50) giving a lower significance criterion.



FIG. 8. Correlations between annual DS inflow and wind from south (left) and SSH (right). Dots indicate significant correlations.



FIG. 9. Applied forcing for the two-layer model. Seasonal cycles of atmospheric heat flux for the interior,  $Q_{int}$ , wind stress curl and wind stress.



FIG. 10. Seasonal cycles of the in- and outflow of the two-layer model. Resulting seasonal cycles when the 964 model is forced with: (i) seasonally varying atmospheric heat flux and wind (left); (ii) seasonally varying wind 965 and constant atmospheric heat flux (middle); and, (iii) seasonally varying atmospheric heat flux and constant 966 wind (right). In the first row wind forcing is through wind stress curl using (1), while in the second row wind 967 forcing is through wind stress using (2). The two cases applying constant wind forcing, (iii1) and (iii2), give 968 equal results. The bottom right plot (obs) instead shows the average seasonal cycles from Figure 2. Exchanges 969 are given same names and sign convention as in Figure 2. All curves from the two-layer model are low-pass 970 filtered with a 1month Hanning filter. 971



FIG. 11. Norwegian Sea mixed layer depth. Monthly (red) and annual maximum (black dots) regionally averaged MLD over 66°-71°N, 10°W-5°E.



FIG. 12. Horizontal and overturning circulation in the Nordic Seas. Left: PCs of monthly EOFs representing horizontal (top) and overturning (bottom) in colors, with corresponding PCs of annual data as black overlay. The y-axis reflects standardized anomalies. Middle: Average seasonal cycles of the monthly PCs with 95% errorbars. Right: Power spectra of the monthly PCs (with seasonal cycle removed) together with red noise (thin line) and 95% confidence level (thin dashed line).



FIG. 13. Atmospheric forcing of the seasonal horizontal circulation. Correlation maps between the monthly horizontal circulation (PC1) and gridded SLP (left), wind stress curl (middle) and southwesterly wind stress (right). Dots indicate significant correlations.



FIG. 14. Atmospheric forcing of the annual overturning circulation. Correlation maps between the annual overturning circulation (PC1) and gridded southern winds (left) and SSH (right). Dots indicate significant correlations.