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1	Amoxicillin for acute lower respiratory tract
2	infection in primary care: subgroup analysis by
3	bacterial and viral etiology
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26	tract infection; symptom duration; symptom severity
27	
28	

29 Abstract

30	Objective. We aimed to assess the effects of amoxicillin treatment in adult
31	patients presenting to primary care with a lower respiratory tract infection
32	(LRTI) who are infected with a potential bacterial, viral, or mixed
33	bacterial/viral infection.
34	Methods. The multicenter randomized controlled trial focused on adults
35	with LRTI not suspected for pneumonia. Patients were randomized to
36	receive either antibiotic (amoxicillin 1g) or placebo three times daily for
37	seven consecutive days using computer-generated random numbers (follow-
38	up 28 days). In this secondary analysis of the trial, symptom duration
39	(primary outcome), symptom severity (scored 0-6), and illness deterioration
40	(reconsultation with new or worsening symptoms, or hospital admission)
41	were analyzed in pre-specified subgroups using regression models.
42	Subgroups of interest were patients with a (strictly) bacterial, (strictly) viral
43	or combined infection and patients with elevated values of procalcitonin, C-
44	reactive protein or blood urea nitrogen.
45	Results. 2058 patients (amoxicillin n=1036; placebo n=1022) were
46	randomized. Treatment did not affect symptom duration (n=1793). Patients
47	from whom a bacterial pathogen only was isolated ($n = 207$) benefited from
48	amoxicillin in that symptom severity ($n=804$) was reduced by 0.26 points
49	(95% CI: [-0.48; -0.03]). The odds of illness deterioration (n=2024) was
50	0.24 (95% CI: [0.11; 0.53]) times lower from treatment with amoxicillin
51	when both a bacterial and a viral pathogen were isolated (combined
52	infection; n=198).
53	Conclusions. Amoxicillin may reduce the risk of illness deterioration in
54	patients with a combined bacterial and viral infection. We found no
55	clinically meaningful benefit form amoxicillin treatment in other subgroups.
56	

58 Introduction

59	Acute lower respiratory tract infection (LRTI) is common in primary
60	care.[1] Antibiotic treatment is of limited benefit both overall and in
61	subgroups at higher risk of an adverse course. Nevertheless, antibiotics are
62	prescribed for most patients with LRTI.[2-5] Primary analysis of the largest
63	trial to date, the Genomics to combat Resistance against Antibiotics in
64	Community-acquired LRTI (GRACE; <u>http://www.grace-lrti.org</u>)
65	randomized placebo controlled trial (RCT), found no clear evidence of a
66	clinically meaningful benefit from treatment with amoxicillin.[2] A follow-
67	up analysis that examined the benefit of amoxicillin in clinically defined
68	subgroups of patient with LRTI who are most likely to be prescribed
69	antibiotics (i.e. patients with green sputum or those with significant
70	comorbidities) found no clear evidence of meaningful benefit from
71	amoxicillin even in these subgroups.[3] Only those patients with evidence of
72	pneumonia on chest X-ray benefited from amoxicillin treatment.[6]
73	However, it is unclear whether patients infected with bacterial pathogens
74	might selectively benefit form antibiotic treatment, and filling this evidence
75	gap could help better target antibiotic prescribing in primary care. This
76	secondary analysis of the GRACE RCT therefore aims to assess whether
77	patients from whom potential bacterial pathogens are isolated receive
78	benefit from amoxicillin treatment. In addition, we aimed to assess whether
79	isolation of a viral pathogen and high levels of C-reactive protein (CRP),
80	blood urea nitrogen (BUN) or procalcitonin (PCT) were associate with
81	benefit from treatment with amoxicillin . [7-9]
82	

83 Methods

84 Data

85	The details of the GRACE RCT have been described in detail elsewhere.[2]
86	In summary, non-pregnant adults presenting to primary care with acute
87	cough, in whom pneumonia was not suspected, were recruited between
88	November 2007 and April 2010 by primary care physicians in 16 networks
89	across 12 European countries (Belgium, England, France, Germany, Italy,
90	the Netherlands, Poland, Spain, Slovakia, Slovenia, Sweden and Wales).
91	Patients who did not consume antibiotics in the month before consultation,
92	were randomized to receive either an antibiotic (amoxicillin 1g) or a placebo
93	three times daily for seven consecutive days. All patients were asked to
94	complete a symptom diary daily until their symptoms had settled (up to a
95	maximum of 28 days). The diary recorded the severity of cough, phlegm,
96	shortness of breath, wheezing, runny nose, chest pain, muscle ache,
97	headache, disturbed sleep, feeling unwell, fever and interference with daily
98	activities. Symptoms were scored on a 7 point scale (0: normal / not
99	affected, 1: very little problem, 2: slight problem, 3: moderately bad, 4: bad,
100	5: very bad, 6: as bad as it could be).[10] For each patient, a nasopharyngeal
101	swab was taken on the day of presentation. This sample was then analyzed
102	using bacterial and viral polymerase chain reaction analysis. We tested for
103	both bacterial pathogens (Streptococcus pneumoniae, Haemophilus
104	Influenza, Mycoplasma pneumoniae, Chlamydia pneumoniae, Bordetella
105	pertussis, Legionella pneumoniae) and viral pathogens (rhinovirus,
106	influenza virus, coronavirus, respiratory syncytial virus, human
107	metapneumovirus, parainfluenza virus, adenovirus, polyomavirus,
108	bocavirus).[11] Samples with a pathogen present, either bacterial or viral,
109	are referred to as confirmed infections. Samples in which a bacterial
110	pathogen was detected are referred to as bacterial infections. If no viral
111	pathogens were present in these samples, they are referred to as purely
112	bacterial infections. Samples in which a viral pathogen was detected are
113	referred to as viral infections. If no bacterial pathogens were present in
114	these samples, they are referred to as purely viral infections. Samples in

115	which both a bacterial and a viral pathogen were detected are referred to as
116	combined infections. Note that these categorizations are not mutually
117	exclusive. Within 24 hours of presentation to the GP, a venous blood sample
118	was obtained. CRP and BUN were measured using the conventional
119	immunoturbidimetric method. PCT was measured using a rapid sensitive
120	assay. [11] We defined an elevated CRP, PCT and BUN as the top 25% of
121	measurements in our patient population (referred to as high CRP, high PCT
122	and high BUN, respectively).
123	

124 Main outcomes

- *Symptom duration.* The primary outcome was the duration of symptoms
 rated moderately bad or worse by the patient (score 3 or above) following
 the initial presentation (in days).[12]
- 128 *Symptom severity.* A secondary outcome was symptom severity, calculated
- as the mean diary score for all symptoms on days 2-4 (rated by the patient).
- 130 This time frame was selected because before day 2 antibiotics will have had
- 131 little chance to provide benefit, and after day 4 the overall symptom severity
- is less than moderately bad.[12]
- 133 Illness deterioration. An additional secondary outcome was illness
- deterioration, defined as a return to the physician with worsening symptoms,
- new symptoms, new signs or illness requiring admission to hospital within
- 136 four weeks of the initial consultation (documented through a notes
- 137 review).[13]
- 138 Analysis
- 139 We fitted a Cox regression model for symptom duration (allowing for
- 140 censoring), a linear regression model for symptom severity and a logistic
- 141 regression model for illness deterioration.[14–16] All analyses controlled

142 for severity of symptoms at baseline and included an interaction term

between a particular subgroup (in the studied subgroup or not) and

- 144 treatment (amoxicillin or placebo). This interaction term was used to assess
- 145 whether the effectiveness of amoxicillin treatment varied by the subgroup.
- 146 Similar models, excluding the interaction term, were fitted for patients in the
- selected subgroup.
- 148 The subgroups of interest were patients with a confirmed, bacterial, purely
- 149 bacterial, viral, purely viral or combined infection. We were also interested
- 150 in subgroups with a high CRP, high BUN or high PCT. Subgroups were not
- 151 mutually exclusive.
- 152 *Ethics approval*
- 153 The study was approved by ethics committees in all participating countries.
- 154 The competent authority in each country also gave their approval. Patients
- 155 who fulfilled the inclusion criteria were given written and verbal
- 156 information on the study and provided written informed consent. The
- 157 GRACE RCT is registered with EudraCT (2007-001586-15), UKCRN
- 158 Portfolio (ID 4175), ISRCTN (52261229), and FWO (G.0274.08N).
- 159

160 Results

- 161 In total, 2058 patients (out of 2061) that did not consume antibiotics in the
- 162 month before consultation were randomized. Symptom duration and
- 163 symptom severity were reported for 87% (1793/2058) and 88% (1804/2024)
- 164 of patients respectively. Illness deterioration (or no deterioration) was
- documented in 98% (2024/2058) of whom 18% (355/2024) experienced
- 166 illness deterioration. The vast majority of those with illness deterioration
- 167 represented reconsultation with new or worsening symptoms. Sample size
- information for subgroup analyses is presented in Figure 1.

- 169 Symptom duration. No subgroups were identified that were significantly
- 170 more likely to benefit from amoxicillin for the duration of symptoms (in
- days) rated moderately bad or worse (Table 1).
- 172 Symptom severity. Patients with a purely bacterial infection benefitted from
- amoxicillin treatment (Table 2; interaction term -0.25 (95% CI: [-0.49;
- 174 0.00])); the mean symptom severity score was 0.26 (95% CI: [-0.48; -0.03])
- 175 points lower compared to patients on placebo (Table 2).
- 176 *Illness deterioration.* Patients with a bacterial infection benefited from
- amoxicillin in terms of illness deterioration (Table 3; interaction term 0.47
- 178 (95% CI: [0.27; 0.82]) OR 0.46 (95% CI: [0.29; 0.75]).
- 179 Patients with a combined infection treated with amoxicillin were less likely
- to experience illness deterioration (Table 3; interaction term 0.26 (95% CI:
- 181 [0.11; 0.59] OR 0.24 (95% CI: [0.11; 0.53]) : 32% (95% CI: [23-41%]) of
- 182 patients receiving placebo experienced illness deterioration compared to
- 183 only 10% (95% CI: [4-16%]) of patients receiving amoxicillin (Figure 2).
- 184

185 Discussion

- 186 We found no clear evidence of clinically meaningful benefit in terms of 187 symptom duration from amoxicillin treatment in patents consulting in 188 primary care with LRTI and from whom we isolated potential bacterial 189 pathogens, viral pathogens or identified mixed viral/bacterial infections. 190 However, amoxicillin treatment did reduce symptom severity among 191 patients with a purely bacterial infection, and did reduce the risk of illness 192 deterioration in patients with a combined infection, but this effect was not 193 seen among those with a purely bacterial infection. 194 Previous analyses from this GRACE trial of amoxicillin versus placebo in
- 195 patients presenting with acute LRTI in primary care found that amoxicillin

196	provided little benefit, both overall and in patients aged 60 and above. In
197	fact, amoxicillin treatment was even associated with slight harm, in that
198	more patients experienced side effects than were prevented from
199	experiencing illness deterioration [2] A secondary subgroup analysis found
200	that only those patients with significant co-morbidities (mostly asthma or
201	chronic obstructive pulmonary disease) benefitted from amoxicillin
202	treatment in terms of reduced symptom severity between days 2 and 4 after
203	first consulting in primary care. However, there was no benefit in terms of
204	symptom duration or odds of illness deterioration, suggesting questionable
205	clinical significance of the modest statistical short-term benefits of
206	amoxicillin treatment in this subgroup .[3]
207	The secondary subgroup analysis presented here has found that patients with
208	a purely bacterial infection benefit from amoxicillin in terms of reduced
209	symptom severity, and that patients with a combined infection benefit from
210	amoxicillin in terms of a reduced chance of illness deterioration. Although
211	the benefit from amoxicillin treatment in those infected only by potential
212	bacterial pathogens is of questionable clinical significance and has only
213	borderline statistical significance, the effect in the combined infection group
214	was an almost 20% reduction in the probability of illness deterioration.
215	We only found clear evidence of benefit (with p-values below 0.01) from
216	amoxicillin treatment in the group of patients who had a bacterial infection.
217	Given that the amoxicillin treatment is on average ineffective in patients
218	with a purely bacterial infection, the effect of antibiotics in patients with a
219	bacterial infection is driven by the effect in those patients with a combined
220	infection. Assuming that this effect was not due to chance, it may be
221	biologically plausible: viral infections may predispose to secondary bacterial
222	infections by causing mucosal damage or inflammation, lead to a longer or
223	more severe illness course, and thus make these patients more likely to
224	benefit from amoxicillin.[17–19]. However, the number of patients with a

225	combined infection (9.6%; 199/2056) who could potentially benefit from		
226	antibiotic treatment indicates that the clinical impact of developing		
227	prediction rules or point of care tests for such patients is limited: 50 patients		
228	would have to be tested with a range of bacterial and viral diagnostic tests in		
229	order to identify five who have a combined infection, and all of these would		
230	have to be treated for one individual to benefit. Not only would such a		
231	policy need to be shown to be cost-effective in the short term, but the		
232	potential medicalization of illnesses (by signaling to the population that		
233	people with LRTI need to be tested) would have to be considered. Because		
234	neither symptom duration nor symptom severity were clearly affected by		
235	amoxicillin treatment, and the odds of illness deterioration was influenced		
236	by amoxicillin treatment only in a very specific subgroup. The potential		
237	benefits of amoxicillin treatment should therefore be balanced against side-		
238	effects, such as diarrhea, nausea or skin rash and the long-term risk of		
239	antibiotic resistance.[20] Thus, most of these patients should probably not		
240	be prescribed an antibiotic, and/or clinicians could consider using a delayed		
241	antibiotic prescription, in order to avoid inappropriate use of antibiotics.[21]		
242	Nevertheless, it is important to be aware of the potential harm caused by		
243	under-treatment of a combined infection, so all patients need to be given		
244	clear advice about when to reconsult.		
- · -			

- 245 Strengths and limitations
- 246 The findings from this study are applicable to European primary care
- clinical practice, as patient recruitment took place in 16 networks across 12
- 248 European countries. Some of the subgroups we studied were small,
- 249 increasing risk of a Type II error. The subgroup with combined bacterial and
- viral infection was also not specified in advance, which increases the risk of
- a 'false positive' result (type I error) due to multiple comparisons, and thus
- the results should be interpreted with caution. Similarly, the impact of
- amoxicillin on symptom severity among patients with a purely bacterial

254	infection was of borderline significance, and was also of doubtful clinical
255	importance. In contrast, the impact of amoxicillin treatment on reducing the
256	risk of illness deterioration in patients with a bacterial infection, and in
257	patients with a combined infection, was highly statistically significant.
258	Conclusion
259	We found no clear evidence of benefit from amoxicillin treatment in adults
260	presenting to primary care with LRTI for symptom severity or duration,
261	irrespective of etiology or biomarker test results. Amoxicillin treatment does
262	reduce the risk of illness deterioration when both a viral and a bacterial
263	pathogen are isolated. However, point of care testing to target antibiotic
264	prescribing only to those with a combined bacterial and viral infection is
265	unlikely to be a cost effective.

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294	
295	Conflicts of interest

296 We have no conflicts of interest to declare.

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	Median	symptom				
	duration (IQR)					
	Amoxicillin	Placebo	Interaction term ^a [95% CI]	p- value	Hazard ratio for subgroup ^a [95% CI]	p- value
Whole cohort (n=1804)	6 (3-11)	7 (3-13)			1.06 [0.96 – 1.17]	0.268
Confirmed infection (n=1163)	6 (3-11)	7 (4-11)	0.92 [0.75 – 1.14]	0.435	1.03 [0.91 – 1.16]	0.673
Bacterial infection (n=392)	6 (3-16)	7 (4-14)	0.96 [0.76 – 1.23]	0.767	1.03 [0.83 – 1.27]	0.821
Purely bacterial infection (n=209)	5 (3-16.5)	9 (5-17)	1.10 [0.80 – 1.51]	0.554	1.13 [0.84 – 1.53]	0.421
Viral infection (n=883)	6 (3.5-11)	7 (3-11)	0.92 [0.75 – 1.12]	0.394	1.01 [0.88 – 1.17]	0.884
Purely viral infection(n=700)	6 (3-11)	7 (3-11)	0.98 [0.80 – 1.21]	0.855	1.04 [0.89 – 1.23]	0.599
Combined infection (n=183)	7 (4-14)	6 (3.5-11)	0.83 [0.59 – 1.15]	0.250	0.89 [0.65 – 1.21]	0.450
High PCT (n=436)	6 (4-13)	7 (4-13)	1.06 [0.84 – 1.34]	0.602	1.09 [0.89 – 1.33]	0.423

380 Table 1. Symptom duration* in patients consulting in primary care with LRTI treated with amoxicillin versus placebo.

	High BUN (n=441)	6 (3-13)	7 (3-13)	0.96 [0.76 – 1.21]	0.723	0.99 [0.81 – 1.22]	0.956
	High CRP (n=421)	6 (4-11)	7 (4-12)	1.03 [0.81 – 1.31]	0.797	1.06 [0.86 – 1.31]	0.567
381	* Calculated as the median (IQ	QR) number of da	ys with symptoms r	ated moderately bad or wo	rse by the p	patient following the init	tial

presentation.

IQR: Interquartile range. ^{*a*} *Estimates controlled for baseline symptom severity; values < 1 favor amoxicillin.*

385 Table 2. Symptom severity* (standard deviation) in patients consulting in primary care with LRTI treated with amoxicillin versus

placebo.

	Amoxicillin	Placebo	Interaction term ^a [95% CI]	p- value	Difference for subgroup ^a [95% CI]	p- value
Whole cohort (n=1793)	1.59 (0.95)	1.70 (1.01)			-0.07 [-0.15 – 0.01]	0.065
Confirmed infection (n=1158)	1.71 (0.99)	1.82 (1.02)	0.03 [-0.13 – 0.19]	0.720	-0.06 [-0.16 - 0.04]	0.221
Bacterial infection (n=390)	1.56 (0.95)	1.87 (1.05)	-0.09 [-0.28 – 0.10]	0.330	-0.14 [-0.31 – 0.03]	0.108

Purely bacterial infection	1.44 (0.95)	1.90	-0.25 [-0.49 –	0.048	-0.26 [-0.480.03]	0.027
(n=207)		(1.09)	0.00]			
Viral infaction (n-990)	1.78 (1.00)	1.83	0.12 [-0.03 – 0.28]	0.119	-0.02 [-0.13 – 0.10]	0.801
(1 1 1 1 1 1 1 1 1 1		(1.01)				
Purely viral infection (n-697)	1 80 (1 01)	1.83	0 09 [-0 07 – 0 25]	0.251	-0.02 [-0.15 - 0.11]	0.755
	1.00 (1.01)	(1.01)	0.09[0.07 0.23]	0.251		
Combined infection (n=183)	1 69 (0 94)	1.84	0 10 [0 15 0 36]	0.423	-0.01 [-0.27 – 0.25]	0.943
	1.09 (0.94)	(1.00)	0.10 [-0.13 - 0.50]			
High PCT (n=434)	1 67 (0.08)	1.87	-0.09 [-0.27 –	0.326	-0.13 [-0.30 – 0.04]	0.144
	1.07 (0.98)	(1.14)	0.09]			
High BUN (n=439)	1 45 (0.02)	1.52	-0.03 [-0.21 –	0.782	-0.08 [-0.23 – 0.07]	0.294
	1.45 (0.93)	(0.98)	0.16]			
High CRP (n=420)	1.99 (1.00)	2.03	-0.07 [-0.25 –	0.473	-0.12 [-0.29 – 0.06]	0.201
	1.00 (1.00)	(1.03)	0.12]			

387 * Calculated as the mean (standard deviation) diary score for all symptoms on days 2-4 (rated by the patient)

a Estimates controlled for baseline symptom severity; negative values 1 favor amoxicillin.

	A	Placebo	Interaction term ^a	p-value	Odds ratio for subgroup ^a	p-value
	Amoxicillin		[95% CI]		[95% CI]	
Whole cohort (n=2024)	162/1019	193/1005			0.80 [0.63 – 1.00]	0.051
Confirmed infection (n=1292)	100/652	137/640	0.58 [0.36-0.95]	0.029	0.67 [0.50-0.88]	0.005
Bacterial infection (n=420)	30/189	67/231	0.47 [0.27-0.82]	0.007	0.46 [0.29-0.75]	0.002
Purely bacterial infection (n=222)	21/100	32/122	0.91 [0.46-1.79]	0.792	0.75 [0.40-1.40]	0.364
Viral infection (n=1000)	72/514	98/486	0.66 [0.41-1.04]	0.075	0.64 [0.46-0.90]	0.010
Purely viral infection (n=802)	63/425	63/377	1.12 [0.69-1.81]	0.639	0.87 [0.59-1.27]	0.464
Combined infection (n=198)	9/89	35/109	0.26 [0.11-0.59]	0.001	0.24 [0.11-0.53]	< 0.001
High PCT (n=481)	39/248	59/233	0.62 [0.36-1.06]	0.079	0.55 [0.35-0.86]	0.010
High BUN (n=473)	40/235	45/238	1.15 [0.67-1.99]	0.605	0.88 [0.55-1.41]	0.593
High CRP (n=478)	41/239	49/239	1.03 [0.60-1.75]	0.927	0.80 [0.51-1.27]	0.350

392 Table 3. Illness deterioration* in patients consulting in primary care with LRTI treated with amoxicillin versus placebo.

393 * Defined as a return to the physician with worsening symptoms, new symptoms, new signs or illness requiring admission to hospital

394 *within four weeks of the initial consultation (determined through a notes review)*

^a *Estimates controlled for baseline symptom severity; values < 1 favours amoxicillin.*





401 Figure 2. Illustration of the interaction between amoxicillin treatment (versus placebo) and having a combined infection (versus not having one):

402 estimates and 95% confidence intervals.