

Mitochondrial DNA content in blood and carbon load in airway
macrophages. A panel study in elderly subjects

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Title	Mitochondrial DNA content in blood and carbon load in airway macrophages. A panel study in elderly subjects
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Abstract

Background: Mitochondria are sensitive to air pollutants due to their lack of repair capacity. Changes in mitochondrial DNA copy number (mtDNA_{cn}) or content is a proxy of mitochondrial damage and has been associated with recent exposure to air pollution. Inhaled particulate matter (PM) is phagocytosed by airway macrophages (AMs), and black carbon of the phagocytosed PM measured in AM (AM BC) reflects personal pollution exposure. Objectives: The present study investigated the relation between the internal marker AM BC and ambient NO₂ concentration and examined the associations of mtDNA_{cn} with NO₂ and AM BC. Methods: A panel of 20 healthy retired participants (10 couples) living in Belgium underwent repeated assessments of health and air pollution exposure at 11 time points over one year. We increased exposure contrast temporarily by moving participants for 10 days to Milan, Italy (high exposure) and to Vindeln, Sweden (low exposure). Personal exposure to NO₂ was measured during 5 consecutive days prior to each assessment time point. The amount of BC was assessed by image analysis in AMs retrieved from induced sputum collected at 7 time points. Blood mtDNA_{cn} was determined by qPCR at each time point. Associations between AM BC and NO₂, and of mtDNA_{cn} with NO₂ and AM BC were estimated using linear mixed effect models adjusted for covariates and potential confounders. Results: Mean concentrations of 5-day average NO₂ were higher in Milan (64 µg/m³) and lower in Vindeln (4 µg/m³) than Belgium (26 µg/m³). Each 10 µg/m³ increment in NO₂ exposure during the last 5 days was associated with 0.07 µm² (95% CI: 0.001 to 0.012) increase in median area of AM BC. A 10 µg/m³ increase in NO₂ was associated with 3.9% (95% CI: 2.2 to 5.5%) decrease in mtDNA_{cn}. Consistently, each 1 µm² increment in median area of AM BC was associated with 24.8% (95% CI: 6.8 to 39.3%) decrease in mtDNA_{cn}. Conclusion: In this quasi-experimental setting involving moving persons to places with high and low ambient air pollution, we found changes in AM BC according to ambient air pollution levels measured during the previous 5 days. Both higher ambient NO₂ and the internal lung BC load, paralleled mitochondrial compromises as exemplified by lower mtDNA content.

Keywords	Mitochondrial DNA copy number; black carbon; airway macrophages; nitrogen dioxide
Taxonomy	Biomarkers, Mitochondrial DNA, Air Pollution, Exposure Assessment
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Dear Editor,

Please, find attached a manuscript entitled '*Mitochondrial DNA content in blood and carbon load in airway macrophages. A longitudinal study in elderly subjects*', which we would like to submit for publication in *Environment International*.

Mitochondria have been shown to be sensitive to environmental insults, which are considered to play a central role on the axis of oxidative stress, inflammation, and cellular energy production. During a one-year follow-up period, we studied, in a quasi-experimental design, subacute changes in blood mitochondrial DNA (mtDNA) content of healthy old volunteers with contrasting exposures by moving to high (Milan) and low (Northern Sweden) polluted European spots. The blood mtDNA content was inversely associated with the internal exposure marker, carbon load in airway macrophages. Moreover, the changes of airway carbon load was in response to 5-day ambient NO₂ concentrations.

Our findings demonstrate that changes in personal exposure parallels mitochondrial function and that higher exposure compromises the function of the mitochondria within 5 days. Therefore, we believe that our manuscript merits publication in a leading scientific journal, such as *Environment International*.

For your information, we have uploaded as supplemental file a manuscript on the same participants, which describes the recruitment in more detail and made reference to in our paper.

We hope you find our manuscript interesting and we look forward hearing from you.

Sincerely yours,

Tim Nawrot Yang Bai Benoit Nemery
On behalf of all authors

Highlights

- Personal exposure to air pollution was assessed by external and internal markers.
- Repeated measures over 1-year and changing places to contrast exposures
- Carbon load in airway macrophages was associated with ambient NO₂ over a 5-day period.
- Decreased blood mitochondrial DNA content in response to higher airway carbon load.

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4 1 **Mitochondrial DNA content in blood and carbon load in airway macrophages.**

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6 2 **A panel study in elderly subjects**

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60
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62 **Abstract**
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65 **Background:** Mitochondria are sensitive to air pollutants due to their lack of repair capacity. Changes
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89 consecutive days prior to each assessment time point. The amount of BC was assessed by image
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115 ambient air pollution, we found changes in AM BC according to ambient air pollution levels measured
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48 mitochondrial compromises as exemplified by lower mtDNA content.

49 **Keywords:** Mitochondrial DNA copy number, black carbon, airway macrophages, nitrogen dioxide

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180 **1. Introduction**
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183 51 Combustion-derived black carbon (BC), which serves as a surrogate for traffic-related particles, has
184
185 52 been identified as a major risk factor for air pollution-triggered adverse health outcomes, particularly
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187 53 in vulnerable populations including the elderly (Brook et al., 2010; Ostro et al., 2015; Samoli et al.,
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189 54 2016). Recent exposure to BC is likely linked to inflammation through the generation of reactive
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191 55 oxygen species (ROS) and oxidative stress (Hou et al., 2013; Lin et al., 2015; Zhong et al., 2016). The
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193 56 abnormal signaling triggers an adaptive response through an overproduction of mitochondria, a
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195 57 major source of ROS (Malik and Czajka, 2013; Michel et al., 2012). The excess ROS can, in turn,
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197 58 damage the mitochondrial DNA (mtDNA) resulting in chronic inflammation (Malik and Czajka, 2013).
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199 59 The number of mitochondria in a cell varies from hundreds to a few thousands, each of which carries
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201 60 2 to 10 copies of mtDNA (Malik and Czajka, 2013; Wei and Lee, 2002). The mtDNA copy number
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203 61 (mtDNA_{cn}), measured as a ratio of mtDNA to nuclear DNA, is correlated with the size and number of
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205 62 mitochondria, which can change due to environmental stressors (Lee and Wei, 2005). Blood or tissue
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207 63 mtDNA_{cn} has been shown to correlate with exposure to ambient particulate matter (PM) (Hou et al.,
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209 64 2010) and BC (Hou et al., 2013; Zhong et al., 2016), both in occupational settings (Hou et al., 2013,
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211 65 2010) and due to prenatal exposure (Janssen et al., 2012; Rosa et al., 2017). These findings suggest
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213 66 that mtDNA_{cn}, reflecting mitochondrial dysfunction, may serve as a marker to represent a biological
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215 67 effect along the pathway of PM-induced health effects.

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218 68 Li *et al.* (2003) illustrated that the uptake of environmental ultrafine particles in phagocytes could
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220 69 induce major structural damage in mitochondria and, therefore, might contribute to oxidative stress.
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223 70 Fossil fuel exhaust is the primary source of ultrafine carbonaceous particles that form environmental
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225 71 PM. Carbonaceous PM can be inhaled and deposited along the respiratory tract in a size-dependent
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227 72 manner (Saxena et al., 2008). These particles are phagocytosed by airway macrophages (AMs) and
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229 73 retained in the cytoplasm, which can be visualized with microscopy (Bai et al., 2015). In adults, the
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231 74 area of phagocytosed black carbon in AM (AM BC) reflects the past PM exposure. However, the
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239 75 relevant exposure window that influences the carbon in AM is not established. Both long-term (Belli
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241 76 et al., 2016; Jacobs et al., 2010) and short-term (Belli et al., 2016; Nwokoro et al., 2012) exposure
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243 77 windows have been reported so far.

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246 78 We conducted a panel study with semi-controlled exposure to both high and low levels of air
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248 79 pollution that differed widely from the subject's residence. With this design, we sought to examine
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250 80 how the AM BC reflects the change in ambient air pollution and to investigate whether blood
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252 81 mitochondrial DNA content is associated with air pollution exposures.

253 254 255 82 **2. Methods**

256 257 83 **2.1. Study design**

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260 84 As described in detail in another article (Scheers et al. submitted for publication, see supplementary
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262 85 material for review). We designed a panel study including a quasi-experimental design with
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264 86 successive "medium-high-medium-low-medium" air pollution levels. To achieve such exposure
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266 87 contrast both temporally and spatially, we included 20 healthy elderly (10 couples) who lived in
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268 88 Flanders, Belgium, representing an intermediate level of pollution (annual average PM₁₀: 20 – 30
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270 89 µm/m³). This study ran from September 2013 to September 2014, during which two 10-day group
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272 90 trips were organized, one from October 6 to 17, 2013 to Milan, Italy, representing high exposure
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274 91 (annual average PM₁₀: 40 – 50 µm/m³) and the other one from June 1 to 12, 2014 to Vindeln,
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276 92 Sweden, representing low exposure (annual average PM₁₀ < 10 µm/m³) (EEA 2012) (Figure 1). During
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278 93 the trips, the study subjects were encouraged to do outdoor touristic activities in the urban area in
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280 94 Milan and in the rural nature in Vindeln.

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283 95 During the whole study, we collected data over 11 measurement time points for multiple health
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285 96 endpoints and exposures, with sputum induction being performed on 7 time points (Figure 1). All the
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287 97 clinical measurements were performed at the University Hospital in Leuven, the Ospedale Maggiore
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289 98 in Milan, or Umeå University in Umeå (50 km from Vindeln).

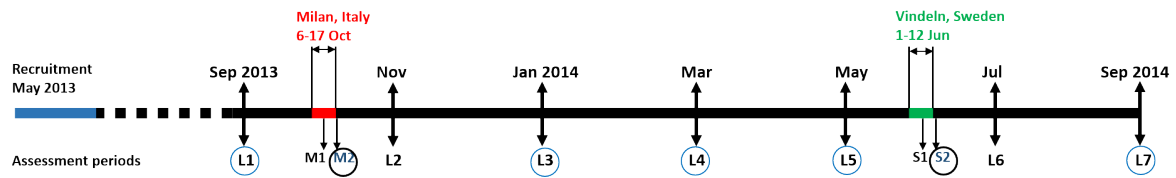


Figure 1. Timeline of the study. Health assessments were performed in Leuven (L1 to L7), in Milan (M1 and M2), and in Vindeln (S1 and S2). All variables were measured in 20 subjects on 11 time points, except for sputum induction, which was performed on 7 time points.

- Sputum induction was performed together with other measurements.
- Sputum induction was performed in Leuven within three days after return from the trip.

2.2. Subjects

We recruited a convenience sample of healthy elderly man-woman couples. Enrolment required each candidate to attend an interview including a questionnaire on general health and sociodemographic characteristics, and a physical examination to ensure that the candidate participants were in good general health. Inclusion criteria included an age range from about 60 to about 75, retired or available to travel during the study period, fluent in Dutch, being non-smokers (or having quit at least one year), and with each partner fulfilling the inclusion criteria. Exclusion criteria were a history of serious cardiovascular disease or cancer, and other diseases that could interfere with the health measurement, as well as mobility problems or unstable mental health that would prevent the subject from full participation. Eventually, we selected 10 male-female healthy retired couples aged 58 to 76 years old at recruitment. All subjects were given detailed oral and written information on the study and gave written informed consent. This study was approved by the Ethical Committee of KU Leuven (S55482).

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121 **2.3. AM Carbon quantification**

122 2.3.1. Induced sputum

123 Spirometry was performed according to standard guidelines (Miller et al., 2005) using an EasyOne
124 spirometer (ndd Medical Technologies, Inc., MA, USA). Forced vital capacity (FVC) and forced
125 expiratory volume in one second (FEV₁) were recorded. Subjects with post-bronchodilator FEV₁ ≥ 80%
126 underwent sputum induction according to a standard protocol (Pizzichini et al., 1996). Nebulized
127 saline (3, 4, and 5%) was administered through De Vilbiss nebuliser (Ultra-Neb 2000 model 200HI) in
128 3 sequential 7-minute inhalation periods. Lung function was measured before each inhalation period
129 for the detection of clinically significant bronchoconstriction. Induced sputum was processed within 2
130 hours after induction. Briefly, the sputum plugs were selected and weighed. A volume of Hanks'
131 balanced salt solution containing 0.1% dithiothreitol (Sigma, St Louis, MO, USA) and 3% bovine serum
132 albumin (Sigma) of four times the weight was added. Portions were agitated with a vortex, placed on
133 a bench rocker for 5 minutes, filtered through a 70 µm Falcon cell strainer, and centrifuged at 1500
134 rpm for 10 minutes. The sputum supernatant was removed and stored at -80 °C for cytokine analysis
135 (not reported here). The cell pellet was resuspended in 1000 µl phosphate-buffered saline. A total
136 nonsquamous cell count was performed in a hemocytometer and expressed as millions per milliliter
137 of selected induced sputum. The proportion of salivary squamous cells was noted and cell viability
138 was determined by trypan blue exclusion method. Cytospins were prepared by cytocentrifuging
139 (Shandon Scientific, Techgen, Zellik, Belgium) 15,000 cells onto glass slides and stained with Diff-Quik
140 (Medion Diagnostics, Düdingen, Germany).

141 2.3.2. Image analysis

142 Twenty subjects attended for sputum induction in all sessions, except session M2 at which 14
143 subjects attended. Due to inappropriate storage (n = 10) and failure to produce adequate sputum (n
144 = 28), we obtained 96 samples in total. Among the 96 samples, only 63 contained a sufficient number
145 of AMs (≥ 50) for assessing carbon load.

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415
416 146 The area of carbon in AM was determined as previously described (Jacobs et al., 2010). Briefly, digital
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418 147 images of 50 randomly selected AM from each cytospin slide were obtained at $\times 100$ magnification.
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420 148 Color images were converted to 32-bit black and white images using ImageJ (National Institutes of
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422 149 Health, USA). Automatic "threshold" command and freehand selection were combined to select the
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424 150 black particles that were within the cell. The software generated a number of pixels which were
425
426 151 converted to an area in micrometers squared (for our analysis: 146 pixels = 10 μm at $\times 100$
427
428 152 magnification). The median area (μm^2) from 50 AM in each sputum sample was calculated and used
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430 153 for the statistical analyses.
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433 154 **2.4. Mitochondrial DNA content**

435
436 155 Genomic DNA was isolated from buffy coat of venous blood stored in EDTA tubes using the QIAamp®
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438 156 DNA minikit (Qiagen GmbH, Hilden, Germany). The yield (ng/ μL) and purity ratios (A260/280 and
439
440 157 A260/230) of the extracted DNA were determined with the NanoDrop spectrophotometer (2000c,
441
442 158 Thermo Scientific). The mtDNA content was determined using a quantitative real-time PCR (qPCR)
443
444 159 assay by taking the ratio of two mitochondrial gene copy numbers (MTF3212/R3319 and MT-ND1) to
445
446 160 two single-copy nuclear reference genes (RPLP0 and ACTB) as previously described (Janssen et al.,
447
448 161 2012). Base software (Biogazelle, Zwijnaarde, BE) was used to normalize data and correct for run-to-
449
450 162 run differences.
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453 163 **2.5. Environmental pollution data**

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456 164 Personal exposure to environmental NO_2 was monitored using Radiello diffusive samplers (Sigma-
457
458 165 Aldrich, Bellefonte, PA, USA). Sampling period was defined as 5 days prior to each health assessment
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460 166 day in Leuven and to the second health assessment day in Milan and Vindeln. The subjects wore the
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462 167 clip-on device moving around during the day, while at night, the sampler was placed next to the bed.
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464 168 After each sampling period, the samplers were collected and sent to the laboratory of the
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466 169 Fondazione Salvatore Maugeri (Padova, Italy) for calculating the exposure to NO_2 . NO_2 exposure was
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468 170 expressed as the average concentration ($\mu\text{g}/\text{m}^3$) over 5 days (Gerboles et al. 2000).
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171 Meteorological data including daily mean temperature and relative humidity during sampling periods
172 were obtained from the local meteorological websites for Belgium (Meteo België. 2016), Milan (Il
173 Meteo. 2016), and Umeå (Weather Underground. 2016).

174 **2.6. Statistical analysis**

175 The mtDNA_{cn} was natural log-transformed to better approximate a normal distribution. For
176 comparisons of means, and proportions we applied Student's t-test, Mann-Whitney test, and the chi-
177 square-statistic. The associations of AM BC with NO₂, and of mtDNA_{cn} with NO₂ and AM BC were
178 analyzed using linear mixed-effect models with random intercept for each subject to account for the
179 repeated measures design of the study. Previous research showed that AM BC is positively
180 associated with white blood cells (WBC) (Jacobs et al., 2010). Besides, the mtDNA_{cn} might be
181 affected by the contents of WBC and platelets (Knez et al., 2015). To investigate the associations
182 between mtDNA_{cn} and exposures, we adjusted the models for age, sex, and WBC. In sensitivity
183 analyses, first we added the platelet-lymphocyte ratio to the mtDNA_{cn} models to account for
184 potential changes in blood composition, and second we excluded all subjects reporting having a cold
185 at the moment of blood sampling. For the association between AM BC and NO₂, we included all 20
186 subjects. For the associations between mtDNA_{cn} and exposures, we excluded one observation with
187 an outlier mtDNA_{cn}, and one subject was excluded from all time points because he started using
188 corticosteroids during the follow-up. Since the dependent variable (mtDNA_{cn}) was natural-log
189 transformed, the resulting regression coefficients and their 95% confidence intervals (CI) were
190 transformed to $[\exp(\beta)-1]\times 100$. This transformation allows interpreting the coefficient as the
191 percentage of increase in mtDNA_{cn}.

192 All statistical analyses were performed using IBM SPSS version 24 (Armonk, NY, USA) or SAS 9.4
193 software (SAS Institute Inc., Cary, NC, USA).

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196 **3. Results**

197 **3.1. Characteristics of participants**

198 Ten male-female couples, 20 subjects in total, started the study in September 2013 and completed
199 the study in September 2014, without dropout nor missed measurement period. Their baseline
200 characteristics are shown in Table 1.

201 **Table 1** Description of the study population at baseline (N = 20) †

Characteristics	All subjects N = 20	Men N = 10	Women N = 10	P-value ‡
Age, years	65 (58 - 76)	68 (58 - 76)	64 (59 - 70)	0.29
BMI, kg/m ²	24.3 (18.9 - 29.4)	25.2 (18.9 - 29.4)	23.5 (19.2 - 29.1)	0.73
Smoking status, n (%)				0.66 #
Never/former	10/10	4/6	6/4	
AM BC at L1 (µm ²)*	0.346 (0.314)	0.348 (0.368)	0.340 (0.113)	0.64 §

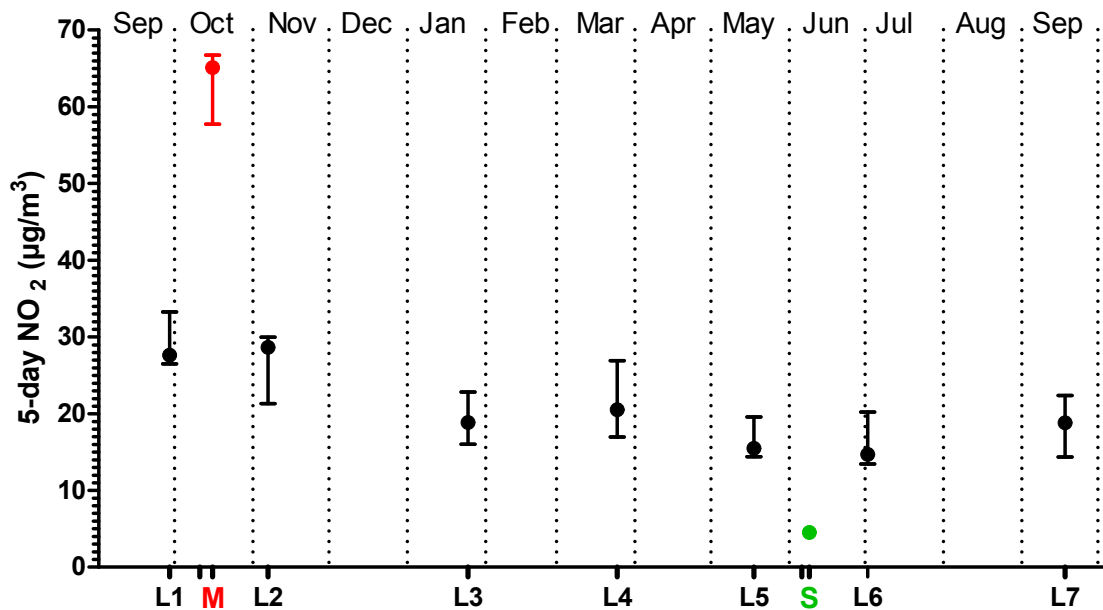
202 AM BC, carbon load in airway macrophages.

203 † All values are median (range) except for * mean (SD).

204 ‡ P-value for Student t-test comparing males to females except for # Fisher exact test and § Mann-
205 Whitney test.

206
207 **3.2. 5-day average NO₂**

208 Personal 5-day average NO₂ levels are presented in Figure 2. We obtained the highest and lowest
209 levels of NO₂ in Milan and Vindeln, respectively, being significantly different ($p < 0.001$) from the
210 exposure at their residence in Belgium. We observed minor variations (coefficient of variation ranged
211 from 18 to 38%) in NO₂ among the Leuven measurements (Figure 2).



212

213 **Figure 2** Median (IQR) of 5-day average NO₂ concentrations (n = 6 - 10, depending on the period). L1
 214 to L7 were measured in Leuven, Belgium. M and S were measured in Milan, Italy and Vindeln,
 215 Sweden, respectively.

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217 3.3. Carbon load in AMs

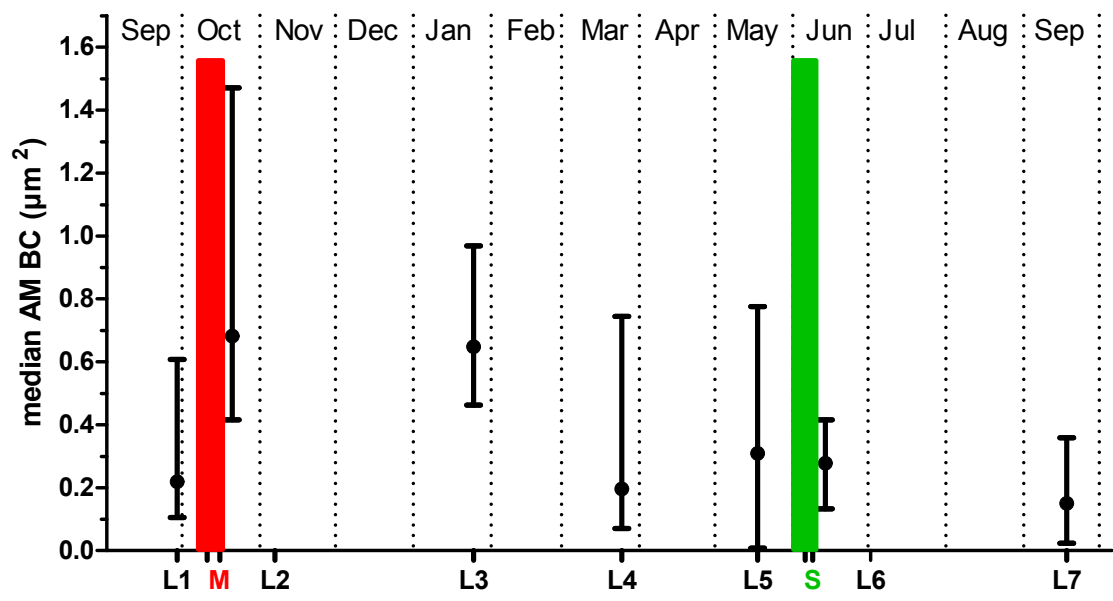
218 The individual success rate of sputum induction varied from 0 to 100%, yielding a mean (SD) success
 219 rate 72.9 (26.1) % for individuals. In comparison, the success rate at each time point varied from
 220 62.5% to 87.5%, yielding a mean (SD) success rate 76.0 (9.0) %.

221 AM BC varied greatly (coefficient of variation ranged from 40 to 117%) throughout the study period
 222 (Figure 3). AM BC was 0.54 (95% CI: 0.15 to 0.93) µm² higher immediately after the trip to Milan (M2)
 223 than the first measurement in Leuven (L1) and remained somewhat higher but not significantly at L3,
 224 12 weeks later. Immediately after the trip to Sweden (S2), AM BC was unchanged compared to the
 225 previous time point (L5) and underwent a minor nonsignificant decrease 12 weeks later (L7).

226 Comparing AM BC measured in Leuven, none of the following measurements (L3 - 7) statistically
 227 differed from L1. No statistically significant differences in AM BC were detected between any two

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228 Leuven measurements, except for L3 and L7, when AM BC at L7 was 0.48 (95% CI: 0.13 to 0.84) μm^2
229 lower than L3 (Figure 3).
230 We found significant associations between the indices of external exposure (5-day NO_2) and internal
231 exposure (AM BC): each 10 $\mu\text{g}/\text{m}^3$ increase in 5-day average NO_2 was associated with an increase in
232 AM BC of 0.07 (95% CI: 0.001 to 0.012) μm^2 .

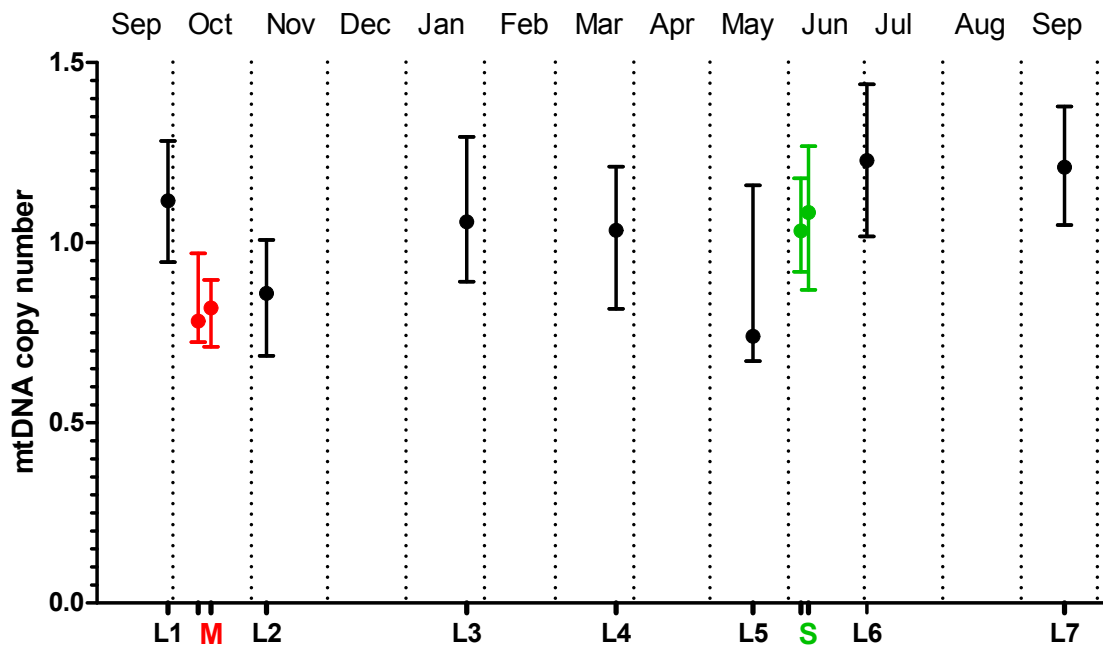


233
234 **Figure 3** Median with IQR area of carbon load in airway macrophages on each average day of
235 measurement. Bars and dots represent the IQR and median values, respectively. The red bar and
236 green bar represent the period staying in Milan (Italy) and VindelIn (Sweden), respectively. L1 to L7
237 were measured in Leuven, Belgium (no measurements for L2 and L6). M and S were measured in
238 Leuven within 3 days after returning from Milan and VindelIn, respectively (n = 5 - 14, depending on
239 the period).

241 3.4. Blood mtDNA copy number

242 Compared with baseline levels in Belgium the blood mtDNAcn decreased significantly during the stay
243 in Milan (M1 versus L1, -23.7%; 95% CI: -40.8 to -12.0%, Figure 4). After the return to Belgium the

244 mtDNAcn (L2 to L5) was restored partially but was still lower than L1. Moving to a lower exposure
 245 area in Sweden was accompanied by a minor non-significant increase in mtDNAcn (S1 and S2), but a
 246 further increment in mtDNAcn was observed upon return in Belgium after 10 days in Sweden,
 247 resulting in higher levels [L6, 19.4% (95% CI: 4.1 to 34.8%); L7, 16.7% (95% CI: 1.4 to 32.1%)] than the
 248 baseline L1 (Figure 4).



249
 250 **Figure 4** mtDNA copy number in blood on each average day of measurement. Bars and dots
 251 represent the IQR and median values, respectively. L1 to L7 were measured in Leuven, Belgium. M
 252 was measured in Milan, Italy. S was measured in Vindeln, Sweden.

253
 254 **3.5. Blood mitochondrial DNA content in association with external and internal exposure**

255 The associations between mtDNAcn and both external and internal exposures to air pollution are
 256 presented in Table 3. Results shown are those obtained by models adjusted for temperature, sex,
 257 age, and WBC.

258 Blood mtDNAcn was inversely associated with both 5-day average NO₂ and AM BC. For example,
 259 mtDNAcn was 3.9% (95% CI: 2.2 to 5.5%) lower for each 10 µg/m³ increment in 5-day average NO₂,

260 and 24.8% (95% CI: 6.8 to 39.3%) lower for each 1 μm^2 increase in AM BC, indicating a reduction in
 261 mtDNAcn with increasing air pollution exposure (Table 2).

262 To test the robustness of our results, we further adjusted for platelet/leukocytes ratios. This
 263 additional adjustment did not substantially change estimates between the original model (Table 2).
 264 Furthermore, excluding the observations of persons reporting having a cold did not alter the
 265 reported associations (Table 2).

266 **Table 2** Adjusted # relative changes (%) with their 95% CI in mtDNA for a 10 $\mu\text{g}/\text{m}^3$ increase in 5-day
 267 cumulative NO_2 and for a 1 μm^2 increase in median area of AM BC.

	Number of observations	Adj I #	Adj II#	Adj I# excluding individuals with cold [§]
NO_2 †	204	-3.9 (-5.5, -2.2)***	-3.7 (-5.3, -2.1)***	-3.3 (-5.0, -1.5)**
AM BC	54	-24.8(-39.3, -6.8)*	-22.3 (-36.7, -4.5)*	-22.7 (-37.6, -4.3)*

268 AM BC, carbon load in airway macrophages.

269 * $p < 0.05$, ** $p < 0.01$, *** $p < 0.0001$

270 # Adj I: adjusted for sex, age, and white blood cells; Adj II: adjusted for Adj I and platelet/lymphocyte
 271 and platelet/neutrophil.

272 † Models additionally adjusted for temperature.

273 [§] Number of observations for NO_2 was 177 and for AM BC was 51.

275 4. Discussion

276 Changes induced by air pollution include oxidative stress, inflammation, and altered cellular energy
 277 production. Mitochondria have been shown to be sensitive to environmental insults and are
 278 considered to play a central role on the axis of oxidative stress, inflammation and cellular energy

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829 279 production. During a 1-year follow-up period, we studied subacute changes in blood mtDNA content
830
831 280 of healthy older volunteers semi-experimentally exposed to contrasting exposures by moving to high
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833 281 and low polluted spots. The airway carbon load changed rapidly after a brief increase in pollutant
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835 282 exposure and was inversely associated with blood mtDNA content.
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838 283 **4.1. AM BC as an internal exposure marker**

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840
841 284 The present study builds on prior epidemiologic studies that have revealed the relation between AM
842
843 285 BC and particulate pollutants. Increased AM BC area was reported to be associated with residentially
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845 286 modeled annual average PM₁₀ (Kulkarni et al., 2006) and 6-month average PM₁₀ (Jacobs et al. 2010).
846
847 287 However, in another study that compared AM BC content in London cyclists and non-cyclist,
848
849 288 Nwokoro *et al.* (2012) found that increased AM BC in cyclists was only associated with ambient BC
850
851 289 during commuting time, reflective of recent past exposure. A recent study added new findings to the
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853 290 reflection of exposure timing of AM BC, which indicated AM BC content was associated with not only
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855 291 3-month but also 1-week monitored indoor PM_{2.5} (Belli et al., 2016). These inconsistent results
856
857 292 suggest that the time window of exposure reflected by AM BC remains ill-defined.
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860 293 Here, we observed an immediate increase in AM carbon load after the trip to Milan and possibly a
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862 294 delayed decrease in AM carbon load after the trip to Sweden. These results suggest that: 1) clearing
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864 295 particles may take more time than uptake of particles; 2) the two mechanisms, clearance and uptake,
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866 296 interact thus resulting in a delay in responding to environmental change. However, AM BC content
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868 297 measured in Leuven at later time points did not statistically differ from the measurement at L1
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870 298 (Figure 3). These results are compatible with an independent panel study that we performed among
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872 299 healthy young subjects from various countries (Bai et al., submitted for publication). In that study, we
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874 300 found that AM BC reflects the average PM₁₀ exposure of the past year, and that AM BC decays with
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876 301 an initial half-life of about 53 days when moving from a high pollution level to a moderate pollution
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878 302 level, whereas in the Belgian residents, we observed a steady status of AM BC. Taken together, it
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303 seems that AM BC is rapidly sensitive (a few days) to even a briefly increased exposure and is only
304 slowly sensitive (a few weeks) to decreased exposure.

305 **4.2. Associations between exposures and mtDNAcn**

306 In our quasi-experimental design the blood mtDNA content, a measure of mitochondrial function,
307 paralleled the AM carbon load. These findings in elderly are in agreement with those in two birth
308 cohorts indicating that higher prenatal exposure to NO₂ (Clemente et al., 2015) or particulate air
309 pollution (Janssen et al., 2012) during the last trimester of pregnancy was associated with lower
310 placental mtDNAcn.

311 On the contrary, in a study of 675 elderly individuals, every standard deviation (SD) increase in 5-day
312 BC moving average was found to be associated with 0.12 SD increase in blood mitochondrial DNA
313 content (Zhong et al., 2016). In a study of 166 elderly, monthly averaged residential exposure to
314 PM_{2.5} was associated with higher mtDNAcn while annual average residential exposure to PM_{2.5} was
315 associated with lower mtDNAcn (Pieters et al., 2015). Taken together, the above mentioned findings
316 suggest that exposure windows and concentrations, and studied tissues, are important to regulate
317 the PM-associated formation of ROS and inflammation. Hou and coworkers showed that finer
318 particles, EC (Hou et al., 2013) and PM₁ (Hou et al., 2010), resulted in greater changes in mtDNAcn
319 than larger particles. Along similar lines, our study indicated that AM BC was associated with a
320 greater effect in mtDNAcn than external NO₂ (IQR change in exposure being associated with -15.0%
321 vs -7.2% change in mtDNAcn, respectively).

322 The discrepancy in the results of mtDNA content, as to direction and effect-size, can be explained by
323 the dynamic nature of mtDNA. Mitochondrial DNA fluctuates under the influence of age, ethnicity,
324 tissue investigated, but most importantly depends on oxidative stress level, cell antioxidant capacity,
325 type of environmental factor, and dose of exposure (Castegna et al., 2015; Shaughnessy et al., 2014).
326 The current hypothesis is that mild oxidative stress may stimulate mtDNA copy number synthesis and
327 abundance as a compensatory mechanism, while escalating oxidative stress levels may result in

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947 328 decreased or no synthesis due to severe oxidative damage in cells (Lee and Wei, 2005). Taken this
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949 329 hypothesis into account, we suggest that a cumulative exposure to high concentrations of NO₂ and
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951 330 BC leads to clearance of cells with highly damaged or dysfunctional mitochondria. Similarly, the
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953 331 relative mtDNA content was increased in the lung tissues of light smokers but significantly decreased
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955 332 in heavy smokers (Lee et al., 1998).

958 333 **4.3. Strengths and limitations**

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961 334 The major strength of our study is its design. We took the advantage of the geographical variation in
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963 335 air pollution in different regions in Europe and deliberately exposed the participants to a wide range
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965 336 of air pollution levels. This design gave us the opportunity to examine the exposure-response
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967 337 relationship over a wide exposure range. In addition, we measured personal exposure to NO₂ using
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969 338 clip-on devices thus allowing a positive relation to be detected between AM BC content and personal
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971 339 measured NO₂. This finding is in agreement with the relation between AM BC and external ambient
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973 340 BC concentration reported in prior studies (Bai et al., 2015; Nwokoro et al., 2012). Our study
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975 341 contributes to accumulating evidence to show the feasibility of using AM BC as an internal marker for
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977 342 personal exposure assessment.

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980 343 This study also has limitations. Firstly, the sample size (n = 20) was small. Although we performed 11
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982 344 times health measurements, some observations were excluded from analysis because some
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984 345 measurements, for example induced sputum, were not obtained at all time points, mainly due to
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986 346 technical limitations. On the other hand, we obtained a unique dataset including 1-year follow-up of
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988 347 volunteers with on average 11 measurements of mtDNA content and 7 measurements of AM BC per
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990 348 volunteer. Secondly, although the use of personal diffusive samplers provided information on
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992 349 individual NO₂ exposure, the concentrations of NO₂ were averaged over 5 days and we could not
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994 350 differentiate daily concentrations. Therefore, it is not possible to study whether the observed effects
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996 351 were caused by the most recent exposure or by cumulative past exposure.

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353 **5. Conclusion**

354 In a panel of 20 elderly subjects, we showed that average past 5-day average NO₂ exposure was
355 positively associated with BC content in airway macrophages. By use of these personal markers of
356 exposure, within a semi-experimental setting, we showed that blood mtDNA content was inversely
357 associated with external 5-day average NO₂ exposure and internal AM BC content. These findings
358 suggest that 1) internal AM BC is an effective exposure marker to study the PM-effects relations, and
359 2) blood mtDNA content is a proxy to indicate mitochondrial damage induced by recent
360 environmental exposures.

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367 **Competing interests**

368 The authors declare that they have no competing interests.

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1065 **References**
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SUPPLEMENTARY FILE

The supplementary file contains confidential contents only available for the reference of the editors and reviewers.

CONFIDENTIAL - SUBMITTED MANUSCRIPT

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Changing places to study acute and subacute effects of air pollution on cardiovascular health

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Key Points

Question: What is the influence of moving persons to varying levels of ambient air pollution on arterial carotid stiffness and other indicators of cardiovascular health?

Findings: In a panel study with 10 male-female couples of healthy elderly volunteers, we found significant associations between 7-days exposure to air pollution and arterial stiffness, e.g. a 4.4% decrease in compliance for a $10 \mu\text{g}/\text{m}^3$ increment in PM_{10} .

Meaning: Our experiment shows that short to medium-term exposure to elevated or decreased levels of air pollution affects arterial stiffness in elderly people.

Abstract

Importance: Exposure to air pollution is associated with cardiovascular disease. Health outcomes associated with temporal changes in exposure may inform on health benefits of permanent decreases of air pollution levels.

Objective: To evaluate acute and subacute effects of deliberate exposure to varying levels of ambient air pollution on several indicators of cardiovascular health.

Design: In a panel study, we repeatedly measured cardiovascular health endpoints and personal exposure to air pollution over one year in 20 persons at home and during two ten-day periods in locations with higher and lower exposure levels.

Setting: Between September 2013 and September 2014 participants underwent measurements on seven occasions in Leuven, Belgium (intermediate level of air pollution) and twice during each 10-day stay in Milan (Italy; high pollution) and Vindeln (Sweden; low pollution).

Participants: Twenty nonsmoking healthy volunteers (10 male-female couples, aged 59-75 years).

Exposure: Exposure to PM_{10} , $\text{PM}_{2.5}$, black carbon, and NO_2 was measured at the individual level.

Main outcomes and measures: Blood pressure, carotid arterial stiffness,

Results: Compared with Leuven (BE), exposure to pollutants was higher in Milan (IT) and lower in Vindeln (Se), with the highest contrast found for NO_2 (... $\mu\text{g}/\text{m}^3$ vs ... $\mu\text{g}/\text{m}^3$ and ... mg/m^3 ,

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1595
1596 respectively) We found strong associations between 7-days exposure to air pollution and arterial
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1598 stiffness, e.g. a 4.7% (95% confidence interval (CI): -6.9;-2.5%; P<0.001) decrease in compliance for
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1600 each 10 µg/m³ increment in PM₁₀ (adjusted for covariates). Young's elastic modulus and pulse
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1602 wave velocity, both direct measures of stiffness, were positively associated with personal
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1604 exposure to NO₂. No relations were found with plasma CRP and white blood cells.
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1606
1607 **Conclusions and relevance:** Our intervention study demonstrates that short/medium term
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1609 exposure to air pollution results in changes in carotid arterial stiffness among elderly
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1611 population.
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1616 **Key words (3-5):** particulate matter; black carbon; epidemiology; carotid arterial stiffness
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Introduction

Ambient air pollution is an important cause of respiratory and cardiovascular morbidity and mortality.^{1,2} It has been abundantly demonstrated that short-term exposure to air pollution (hours to a few days of exposure) can trigger acute events such as myocardial infarctions,^{3,4} whereas long-term exposure (after several years of exposure) has been linked to both the onset of acute events and the development of chronic diseases.^{5,6} In addition to epidemiological research, controlled-exposure studies in animals and humans have provided insight into possible physiological pathways underlying the relationship between inhalation of pollutants and cardiovascular and respiratory health. These pathways have been reviewed recently.⁷⁻⁹

In this study, we aimed to combine the advantages of epidemiological and experimental studies, by deliberately moving a panel of study volunteers for several days to locations with contrasting levels of air pollution. We quantified several health-related endpoints that have been identified as intermediate steps between exposure and disease: systemic oxidative stress and inflammation,^{10,11} endothelial function,^{10,12} arterial stiffness,¹³ and coagulation.¹⁴

We hypothesized that a decrease or increase of air pollution exposure, compared to the participants' place of residence, during one to two weeks would be associated with detectable subacute and reversible changes in biomarkers of cardiovascular health.

Methods

Study design and participants

We conducted a panel study during one year in healthy elderly volunteers and measured multiple health endpoints and personal exposure to air pollution in locations with widely differing ambient air pollution levels. From September 2013 to September 2014, we collected data over 11 measurement periods: every five to ten weeks in Leuven, Belgium (seven episodes); twice during a 10-day stay in Milan, Italy (one halfway and one at the end of the stay); twice during a similar 10-day stay in Vindeln (a rural area near Umeå, northern Sweden) (see **Figure 5**). These locations are representative for the highest (Milan, $>50 \mu\text{g}/\text{m}^3$) and lowest (Vindeln, $<10 \mu\text{g}/\text{m}^3$) yearly averages in PM_{10} that can be found in Europe, with intermediate values for Leuven ($30 \mu\text{g}/\text{m}^3$)¹⁵⁻¹⁷. To limit differences in temperature between the two study trips, we stayed in Milan in autumn (October 2013) and in Vindeln in summer (June 2014).¹⁸ Clinical measurements were performed in adequate study rooms at the UZ Leuven, the Ospedale Maggiore in Milan, and Umeå University. We collected blood in EDTA and heparin tubes for blood cell counts and measurement of plasma C-reactive protein (CRP), respectively. At baseline, plasma levels of cholesterol and glucose were also determined in fasted blood samples. Plasma samples from heparin tubes were kept frozen at -80°C for subsequent analysis of plasma CRP, cholesterol and glucose levels at the UZ Leuven laboratory (Tina-quant CRP latex assay, Roche, Vilvoorde, Belgium).

Our study panel consisted of 20 healthy retired persons. We invited people attending lectures for retired people in Leuven, as well as friends and acquaintances of the parents of the doctoral researcher (HS) to participate in the study. After screening (by BN) of approximately 51 volunteers, we retained 10 male-female couples with both partners fulfilling the inclusion criteria for age (approx. 60-75 years), smoking habits (having never-smoked or having quit smoking at least one year

1771 before the start of the study), good general physical and mental health, willing and available to
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1773 travel during the study period. We excluded persons with mobility problems; a history of
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1775 travel during the study period. We excluded persons with mobility problems; a history of
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1777 cardiovascular disease (except uncomplicated hypertension), cancer, or other diseases that could
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1779 interfere with the measurements or would represent a risk during travel. We included couples
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1781 because this reduced the accommodation costs during the travel periods. All participants were given
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1783 detailed oral and written information on the study and gave written informed consent. The study
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1785 was approved by the Ethical Committee of KU Leuven (S55482).
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1794 Collection of environmental data

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1797 Participants lived in or close to Leuven or Mechelen (maximum distance between the residences was
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1799 45 km) and we estimated their daily residential exposure to PM₁₀, PM_{2.5}, black carbon (BC) and NO₂
1800
1801 using interpolated values in 4 by 4 km grids, based on the Belgian telemetric air quality network.¹⁹ In
1802
1803 Milan, we used the online database of the Regional Agency for the Protection of the Environment in
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1805 Lombardy (ARPA Lombardia) and averaged values from the different monitoring stations in Milan to
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1807 estimate exposure to the same pollutants.²⁰ In Vindeln, we averaged data from the nearest
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1809 measurement stations in Umeå, Skellefteå and Strömsund to estimate regional levels of PM₁₀, PM_{2.5},
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1811 and NO₂.²¹ BC was not measured by any of these monitoring stations.
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1816 In addition, we sampled outdoor concentration of pollutants by using two portable laser-operated
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1818 aerosol mass analysers: an Aerocet 53 (Met One Instruments Inc, Grants Pass, OR, USA) for PM₁₀ and
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1820 PM_{2.5}, and a microAeth Model AE51 (AethLabs, San Francisco, CA, USA) to measure BC concentration.
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1822 Because our own BC results correlated well with those from central monitoring stations on the same
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1832 day (in Leuven or Milan, N = 57 days, Pearson's $r = 0.76$, $p < 0.001$), we used our own measurements
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1834 for Vindeln to fill the gap in the BC dataset from the Swedish monitoring stations.
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1838 Finally, personal exposure to NO_2 was measured using Radiello diffusive samplers (Sigma-Aldrich,
1839 Bellefonte, PA, USA). Six to 10 study volunteers wore the clip-on device during six days prior to each
1840 health assessment day in Leuven or to the last health assessment day in Milan and Vindeln. After the
1841 sampling period, samplers were sent to the lab of the Fondazione Salvatore Maugeri (Padova, Italy)
1842 for quantification of average exposure to NO_2 .
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1850 Daily temperature and relative humidity during the study period were obtained from local
1851 meteorological websites for Belgium²² and Milan²³ and an international website for Umeå.²⁴
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1855 1856 1857 1858 1859 1860 Cardiovascular measurements

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1862 We measured blood pressure and carotid arterial stiffness at each study moment including nine
1863 measurement occasions in Belgium, two in Milan and two in Sweden. Endothelial function was
1864 measured once during each trip (on day 9 or 10) and in Belgium only in control periods immediately
1865 before and after trips, resulting in six time points with endothelial function assessments (see **Figure**
1866 **5**).
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1876 1877 Blood pressure

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1879 Systolic (SBP) and diastolic blood pressure (DBP) were measured according to guidelines of the
1880 European Society of Hypertension,²⁵ with an automated device (Stabilograph, Stolberg, Germany).
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1882 After the subject had rested for at least 5 minutes, blood pressure was measured five times
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1889 consecutively in sitting position. We used the average of the last two measurements for analyses,
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1891 and we calculated pulse pressure (ΔP) as average SBP minus DBP, and mean arterial pressure as DBP
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1893 + $\Delta P/3$.
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1899 **Carotid arterial stiffness & endothelial function**

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1902 We measured carotid arterial stiffness by using an ultrasound device with automatic boundary
1903 detection software in RF-mode (MyLabOne, Esaote Benelux, Maastricht, The Netherlands) according
1904 to previously reported protocols.²⁶ Participants were at rest for 10 minutes in a supine position
1905 before starting the measurements. All measurements were performed by the same trained
1906 investigator (LC) by longitudinal scanning of a 1 cm segment of the right common carotid artery at 1
1907 cm proximally to the dilatation of the carotid bulb visualizing the lumen-intima and media-adventitia
1908 interfaces of the far arterial wall. Carotid intima-media thickness (CMT) was determined under
1909 three different angles; i.e. 90, 130 and 180 degrees.
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1920 We averaged diastolic artery diameter (D) and systolic increase in diameter (ΔD) over three
1921 consecutive ultrasound measurements, each spanning eight cardiac cycles. We subsequently used D
1922 and ΔD to calculate four parameters related to arterial stiffness, as described in two standard
1923 papers.^{27,28} Carotid distensibility (DC) and compliance (CC) coefficients are inversely related to
1924 arterial stiffness, and pulse wave velocity (PWV) is a direct measure of arterial stiffness. Young's
1925 Elastic Modulus (YEM) combines measures of arterial wall elasticity with intima media thickness
1926 (IMT). Intra-observer coefficients of variation ranged from 5.2% to 10.1% for the different stiffness
1927 parameters, indicating good reproducibility of measurements.¹³
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1939 Reactive hyperemia index (RHI), which is a measure for endothelial function was assessed using the
1940 EndoPAT 2000 device (Itamar Medical, Israel). Measurements were performed according to the
1941 manufacturer's instructions. Briefly, the subjects rested in supine position for a minimum of 20
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1950 minutes before measurements. Each recording consisted of 5 minutes of baseline measurement, 5
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1952 minutes of occlusion measurement, and 5 minutes postocclusion measurement (hyperemic period).
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1954 Occlusion of the brachial artery was performed on the nondominant upper arm. The occlusion
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1956 pressure was at least 60 mmHg above the systolic blood pressure (minimally 200 mmHg, and
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1958 maximally 300 mmHg).
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1960 1961 1962 Covariates 1963 1964 1965

1966 Information on smoking status (never or former), medication use for hypertension, and having a cold
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1968 was obtained by face-to-face interviews. Since physical activity, alcohol consumption, and perceived
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1970 mental health were assumed to differ between the home situation and a 10-day trip abroad, we
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1972 aimed to correct for these variables.
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1976 During seven days preceding each health assessment day, study subjects recorded their average
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1978 physical activity duration (PAD), by wearing a SenseWear Pro Armband (BodyMedia, Inc., Pittsburgh,
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1980 PA), a validated multisensory activity monitor combining a triaxial accelerometer with different
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1982 sensors.²⁹ Weekly consumed grams of alcohol were calculated based on self-reported alcohol use,
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1984 which was scored during one week at baseline, on trips abroad, and at the end of the study.

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1986 Perceived mental health was assessed at the start of each health assessment. Participants filled in
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1988 the Positive and Negative Affect Schedule (PANAS), which comprises a positive (PA) and a negative
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1990 mood scale (NA) based on 10 items each on instantaneous mental condition.³⁰
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1994 1995 Data management and analysis 1996 1997

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1999 Data management and statistical analyses were performed in SAS 9.4 (SAS Institute, Cary, NC, USA).
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2001 We investigated associations between health parameters and exposure to air pollution by using
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2009 linear mixed models, accounting for the repeated-measures design of the study. We evaluated
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2011 different lag structures for the exposure variables: 'acute' effects of air pollution were estimated by
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2013 using lag day 0 (exposure on the day of measurement), and 'subacute' effects by calculating the
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2015 average of lag days 0 to 6 (referred to as 'av06'), corresponding to the duration of exposure with the
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2017 Radiello NO₂ sampler. We performed sensitivity analyses with different lag structures for the
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2019 subacute exposure (av02 and av04). Age at baseline, sex, date of the examination, ambient
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2021 temperature, relative humidity, heart rate, mean arterial pressure, having a cold, medication use
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2023 (BP), and smoking status were included in all models. We tested the assumption of normal
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2025 distribution of the error terms by visual inspection of the Q-Q plots of residuals. For PWV, DC, CC,
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2027 YEM, white blood cells (WBC) and differential WBC counts, this assumption was only met after log₁₀-
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2029 transformation. Therefore, results for these outcomes are presented as % change, whereas
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2031 parameter estimates of all other analyses are unit changes.
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Results

Ten male-female couples started the study in September 2013, and all participants completed the study in September 2014, without any dropout or missed measurement episode for any participant.

Table 2 summarizes the main characteristics of the study population at baseline. No differences were observed between males and females, except for body height and DBP, which were both higher in males than in females. Five female volunteers took medication for blood pressure during the whole study period, one male started taking medication after period L2 (figure 1).

Individual exposure levels to PM₁₀, PM_{2.5}, NO₂ and BC are presented in **Figure 6**. Personal exposure to NO₂ and ambient levels of BC were clearly highest in Milan and lowest in Vindeln with intermediate values for Leuven (Belgium). Average concentrations of PM₁₀, PM_{2.5} and NO₂ (monitoring stations) did not differ between Leuven and Vindeln. Standard deviations (SD) were smaller in Milan and Sweden because the exposure window was more uniform in time and space than in Leuven. Plasma CRP levels were related with air pollution exposure in the crude models, but this association disappeared in the adjusted models, due to the influence of the covariate 'having a cold'.

The adjusted associations of blood pressure and carotid arterial stiffness with ambient concentrations of PM₁₀, PM_{2.5}, BC and NO₂ are presented in Table 3. Crude individual data and unadjusted coefficients can be found in the supplement. Changes in blood pressure variables were not related to changes in pollutant concentrations, regardless of the time window. We detected no short-term associations (lag0) between pollutant concentrations and indicators of arterial stiffness, except a 2.0% (95% CI -3.5;-0.4%) decrease in CC for a 10 µg/m³ increase in PM₁₀, and a similar association with PM_{2.5}. In contrast, we found robust effects of subacute exposure (av06 lag structure) to air pollution on all measures of arterial stiffness. These associations were strongest for PM₁₀ and

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2127 PM_{2.5} [e.g. a 4.7 (-6.9;-2.5%) decrease in CC for a 10 µg/m³ increment in PM₁₀]. Analyses with
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2129 different lag structures (av04 and av02) produced very similar results (see supplement).
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2133 Endothelial function, by use of the EndoPAT, was positively associated with both 24h and 7 days
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2135 averages of exposure to different pollutants, e.g. RHI was 0.36 (95% CI 0.19;0.54) points higher for a
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2137 10 µg/m³ increment in PM₁₀ (av06), indicating an improvement in endothelial function with
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2139 increasing air pollution exposure (Table 3). Similarly, when using a binary RHI outcome variable with
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2141 1.67 as the cut-off value, the risk for having endothelial dysfunction decreased with increasing
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2143 pollutant concentrations (results not shown).
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2151 Discussion

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2156 In a quasi-experimental study, we deliberately exposed 20 study volunteers to the range of ambient
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2158 pollution levels that can be found in Europe by moving them over Europe, and investigated the
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2160 association between their exposure to air pollution and relevant intermediate cardiovascular
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2162 endpoints. We found that changes in the vascular function of the carotid artery parallels personal
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2164 exposure to one week ambient air pollution. Young's elastic modulus and pulse wave velocity, both
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2166 direct measures of stiffness, were positively associated with personal exposure to NO₂, while the
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2168 distensibility and compliance coefficient, both measures of elasticity, were inversely associated with
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2170 NO₂.
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2174 Arterial stiffness and reduced elasticity, as measured here by different parameters, were consistently
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2176 associated with higher exposure to ambient air pollution. Young's elastic modulus and pulse wave
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2178 velocity, both direct measures of stiffness³¹, were positively associated with personal exposure, while
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2186 the distensibility and compliance coefficient, both measures of elasticity,³² were negatively
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2188 associated with one week personal exposure contrast. The mechanisms responsible for the increase
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2190 in stiffness and air pollution remain to be elucidated but most likely increase in inflammation and
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2192 changes in cardiac autonomic function, as observed in studies on heart rate variability, can explain
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2194 the inverse association between arterial distensibility and air pollution exposure. Arterial stiffness is
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2196 an important determinant of increased blood pressure and pulse pressure, and therefore a risk factor
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2198 of events such as myocardial infarction and stroke.^{27,33,34} Since acute effects of air pollution on
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2200 myocardial infarction and stroke have repeatedly been demonstrated,^{1,2,4,35} our results provide a
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2202 plausible biological mechanism for this trigger effect. Similar associations between short-term air
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2204 pollution exposure and arterial stiffness were found in recent intervention and epidemiological
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2206 studies.^{13,36-38} The small changes that we found are not clinically relevant for an individual, but the
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2208 entire population is exposed to air pollution, including more vulnerable individuals. Small average
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2210 effects may reflect substantial changes in the most susceptible portion of the population.³⁹⁻⁴¹
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2212 Moreover, the effects were considerably larger for the 7-days averaged pollutant concentrations
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2214 than for one-day values, indicating that medium-term exposure increases the detrimental effect of
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2216 air pollution.
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2221 We found no evidence of systemic inflammation, quantified as concentrations plasma CRP. Either by
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2223 a release of inflammatory cytokines into the circulation, or by direct translocation of particles
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2225 through the lung-blood barrier into the circulation,⁸ systemic inflammation is held responsible for
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2227 noxious processes such as endothelial dysfunction, development of atherosclerosis, reduced HRV,
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2229 coagulation, and thrombosis.⁷⁻⁹ However, in general, controlled-exposure studies at relatively low
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2231 exposure levels in healthy humans, such as the present study, did not demonstrate robust
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2233 inflammatory responses.⁷
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2245 We had intended to assess relations between blood cell parameters and air pollution exposure.
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2247 Hematocrit was negatively associated with air pollution (data not shown). However, it proved
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2249 impossible to make confident comparisons between counts of erythrocytes, leukocytes or platelets
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2251 obtained in the three locations, because these analyses were made with different devices in the
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2253 three laboratories, thus leading to systematic errors that we could not reliably correct. When only
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2255 the measurements made in Leuven were considered, no significant associations were observed for
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2257 hematologic parameters.
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2261 When we designed the study, we selected the study locations based on their annual PM averages.
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2263 We expected to find ambient PM₁₀ concentrations as low as 10 µg/m³ in rural Sweden and as high as
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2265 50 µg/m³ in Milan during several days in a row. However, PM concentrations obtained from central
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2267 monitoring stations were highly variable during both stays, resulting in average one-week exposures
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2269 higher than expected in Vindeln (av06 PM of 19.8 µg/m³ in S1) and lower than expected in Milan
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2271 (av06 PM of 30.6 µg/m³ in M2) (Figure 6).^{15,16} Nevertheless, such differences between locations were
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2273 bigger for BC and NO₂ concentrations obtained from both, monitoring stations and personal
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2275 exposure. This may be explained by the fact that BC and NO₂ are typical traffic-related pollutants
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2277 with much more spatial variation in ambient concentration than PM.⁴⁸
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2286 Our longitudinal study includes 11 health assessment episodes during one year in a panel of 20
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2288 healthy elderly volunteers, without any missing measurements, drop-out or important changes in
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2290 health status. Moreover, we used a large battery of objective health and exposure measurements,
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2292 including personal exposure measures of NO₂. This strongly increased the statistical power of the
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2294 analyses, allowing us to find subtle, but significant changes in cardiovascular health parameters
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2296 related to changes in air pollution in only 20 subjects. Although our quasi-experimental design has
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2304 clear benefits compared with a pure observational study, some limitations must be mentioned. A 10-
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2306 day group travel abroad is very different from the common home situation in many aspects that can
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2308 confound the association between biological endpoints and exposure to air pollution. Including PAD,
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2310 steps, alcohol use, PA and NA in our models did not produce substantially different results. We still
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2312 may have overlooked other, real confounders of the associations found. However, when we totally
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2314 excluded a possible “trip effect” by analyzing only Leuven data or by just comparing Milan to Sweden
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2316 results, the parameter estimates were still similar to those when we analyzed the whole dataset.
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2320 Contrary to our hypothesis, RHI was positively associated with pollutant concentration, and the risk
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2322 of having endothelial dysfunction was lower with increasing air pollution. The effect was strongest
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2324 for the 7-days averaged concentrations. This result was unexpected, since endothelial dysfunction, a
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2326 marker of atherosclerotic processes,⁴¹ has repeatedly been associated with increased air pollution
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2328 exposure levels.^{7,9,10,12}
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2332 Endothelial function was measured six times in this study, and the highest average and median value
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2334 were recorded in Milan (session M2), which had also the highest levels of air pollution.
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2337 Measurements in Milan took place between 16:00h and 20:00h, whereas those in Leuven were
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2339 always between 8:00h and 12:00h, and those in Vindeln were spread over the whole day. There are
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2341 indications that endothelial function sustains a circadian rhythm, with a lower RHI in the morning.⁴²
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2343 Moreover, the same authors question the suitability of EndoPAT to measure endothelial function in
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2345 small panels, such as in our study⁴² Whatever the case may be, when removing the M2 results from
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2347 the analysis, no positive or negative association between any of the pollutants and endothelial
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2349 function could be detected. Therefore, our results on endothelial function and air pollution exposure
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2351 must be interpreted with care.
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Public health relevance

The changes we found in carotid arterial stiffness and hematology, in relation to exposure to air pollution, were small and probably of little clinical relevance for the healthy individual study participants. However, since ambient air pollution is ubiquitous, the whole population is exposed, including more susceptible subgroups such as children, patients with preexisting diseases, and elderly.⁴⁹ As a consequence, small individual risks result in a large global burden. Moreover, the time window of exposure in our study was relatively short. Many people living in urban environments are continuously exposed to much higher levels of air pollution.⁵⁰ Long-term exposure to air pollution induces pathophysiological processes, eventually causing cardiovascular events and chronic diseases. Thus, it increases the risk for mortality to an even greater extent than the triggering effect of short-term exposures.^{2,7}

Overall, 3.7 million deaths and 3.1% of disability-adjusted life years (DALY) worldwide are attributed to air pollution, placing it in the top 10 of risk factors.⁵¹ In our study, we found that decreases in air pollution exposure, compared to the 'normal' level of exposure, were associated with reduced arterial stiffness and improved elasticity. Our result is in line with follow-up analyses of the Harvard Six Cities cohort study, showing a reduction in mortality risk in association with a decrease in ambient PM concentration.^{52,53} These observations demonstrate that measures leading to a reduction in exposure to air pollution are likely to have beneficial public health effects.

Conclusion

In a panel study of healthy elderly moved to different places to contrast exposure representative for different ambient air pollution levels typical for Europe, we found evidence for subacute effects of

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2422 exposure to PM, BC and NO₂ on carotid stiffness. In this susceptible group, improved air quality
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2424 results within 7 days in higher elasticity of the common carotid artery.
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2462 Disclosures

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Figure legends

Figure 5. Timeline of the study. L1 to L7: health assessment periods in Leuven; M1-2: stay in Milan; S1-2: stay in Sweden. All variables mentioned in the text were measured in 20 study volunteers in all 11 periods, except for endothelial function (only L1, M2, L2, L5, S2, and L6, indicated with *) and plasma levels of cholesterol and glucose (only L1, baseline).

Figure 6. Personal exposure to PM_{10} , $PM_{2.5}$, BC and NO_2 during the study period. All symbols and error bars represent means with SD obtained from values averaged over one week preceding the day of health assessment ('av06' lag structure). Circles indicate data from central monitoring stations, squares are own measurements (NO_2 : Radiello device; BC: Aethlab device). N=20 for each data point, except Radiello NO_2 (N=6 to 18, depending on the period).

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Tables

Table 2. Baseline characteristics of the study participants.^a

Characteristic	All participants (N=20)	Males (N=10)	Females (N=10)	P-value ^b
Age, y	65 (58-76)	68 (58-76)	64 (59-70)	0.29
Height, m	1.71 (1.58-1.96)	1.76 (1.69-1.96)	1.66 (1.58-1.71)	<0.001
Body-mass index, kg/m ²	24.3 (18.9-29.4)	25.2 (18.9-29.4)	23.5 (19.2-29.1)	0.73
Smoking status, No. (%)				
Former	10 (50%)	6 (60%)	4 (40%)	0.66
Never	10 (50%)	4 (40%)	6 (60%)	
Blood pressure, mm Hg				
Systolic	132 (109-165)	133 (113-165)	127 (109-155)	0.53
Diastolic	80 (65-105)	85 (67-105)	76 (65-89)	0.06
Plasma cholesterol, mg/dL ^c				
Total	206 (144-282)	206 (160-238)	207 (144-282)	0.72
LDL	133 (57-212)	133 (93-150)	130 (57-212)	0.91
Plasma glucose, mg/dL ^c	99 (86-131)	100 (88-131)	99 (86-112)	0.37
Medication for hypertension, No. (%)	6 (30%) ^d	1 (10%) ^d	5 (50%)	0.14

^aAll values are medians (range).

^bP-value for t-test comparing males to females (except smoking status and medication use: Fisher exact test).

^cMeasured in fasted blood samples.

^dOne male study subject started taking medication during the course of the study (after period M2).

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Table 3. Adjusted^{a,b} changes (95% CI) in blood pressure, markers of arterial stiffness associated with a 10 µg/m³ increase in PM₁₀ or NO₂, a 5 µg/m³ increase in PM_{2.5} or a 1 µg/m³ increase in BC.

Acute effects (lag0)	PM ₁₀	PM _{2.5}	BC	NO ₂ (stations)	NO ₂ (personal sampler)
Systolic BP, mm Hg ^a	-0.16 (-1.47;1.14)	0.11 (-0.57;0.78)	-0.02 (-0.99;0.94)	-1.02 (-2.11;0.06)	n/a
Diastolic BP, mm Hg ^a	-0.47 (-1.34;0.40)	-0.15 (-0.61;0.30)	-0.02 (-0.72;0.69)	-0.39 (-1.12;0.34)	n/a
Pulse pressure, mm Hg ^a	0.26 (-0.67;1.19)	0.25 (-0.23;0.73)	-0.04 (-0.73;0.65)	-0.66 (-1.44;0.12)	n/a
PWV, % ^b	0.7 (-0.1;1.6)	0.4 (0.0;0.9)[*]	0.3 (-0.3;0.9)	0.3 (-0.5;1.0)	n/a
Distensibility of the carotid artery, % ^b	-1.5 (-3.2;0.3)	-0.9 (-1.8;0.0)[*]	-0.7 (-2.0;0.6)	-0.6 (-2.1;0.9)	n/a
Compliance of the carotid artery, % ^b	-2.0 (-3.5;-0.4)[*]	-1.1 (-1.9;-0.3)[*]	-0.8 (-2.0;0.3)	-1.0 (-2.3;0.3)	n/a
Young elastic modulus, % ^b	1.2 (-0.8;3.2)	1.0 (0.0;2.0)	0.8 (-0.7;2.2)	0.5 (-1.2;2.1)	n/a
RHI ^b	0.20 (0.10;0.30)^{**}	0.19 (0.06;0.32)[*]	1.67 (0.76;2.57)^{**}	0.12 (0.03;0.21)[*]	n/a
Subacute effects (av06)	PM ₁₀	PM _{2.5}	BC	NO ₂ (stations)	NO ₂ (personal sampler)
Systolic BP, mm Hg ^a	0.23 (-1.8;2.26)	0.25 (-0.66;1.15)	-0.12 (-1.57;1.34)	-1.28 (-2.53;-0.04)	-0.14 (-1.10;0.81)
Diastolic BP, mm Hg ^a	-0.90 (-2.23;0.43)	-0.24 (-0.85;0.37)	-0.17 (-1.17;0.82)	-0.78 (-1.65;0.10)	-0.28 (-0.95;0.39)
Pulse pressure, mm Hg ^a	1.11 (-0.36;2.59)	0.47 (-0.17;1.11)	0.03 (-1.00;1.06)	-0.55 (-1.44;0.34)	0.11 (-0.58;0.79)
PWV, % ^b	2.0 (0.8;3.3)^{**}	0.9 (0.4;1.5)^{**}	0.9 (-0.1;1.9)	0.7 (-0.1;1.6)	0.6 (0.0;1.3)[*]
Distensibility of the carotid artery, % ^b	-4.6 (-7;-2.2)^{**}	-2.1 (-3.3;-1.0)^{**}	-2.4 (-4.3;-0.4)[*]	-1.8 (-3.4;-0.1)[*]	-1.3 (-2.5;0.0)
Compliance of the carotid artery, % ^b	-4.7 (-6.9;-2.5)^{**}	-2.1 (-3.2;-1.1)^{**}	-2.5 (-4.3;-0.7)[*]	-2.0 (-3.5;-0.5)[*]	-1.4 (-2.6;-0.3)[*]
Young elastic modulus, % ^b	3.8 (0.8;6.9)[*]	1.9 (0.5;3.3)[*]	2.3 (0.2;4.5)[*]	1.5 (-0.4;3.5)	1.4 (0.0;2.8)
RHI ^b	0.36 (0.19;0.54)^{**}	0.20 (0.08;0.31)^{**}	0.27 (0.12;0.42)^{**}	0.19 (0.09;0.30)^{**}	0.07 (-0.02;0.15)

For all results, N=218 (11 time points), except for RHI, where N = 118 (6 time points).

Statistically significant results are highlighted in bold. * P<0.05; ** P<0.01; *** P<0.001

n/a: not applicable as personal sampling was based on passive sampler integrating exposure during 6 days

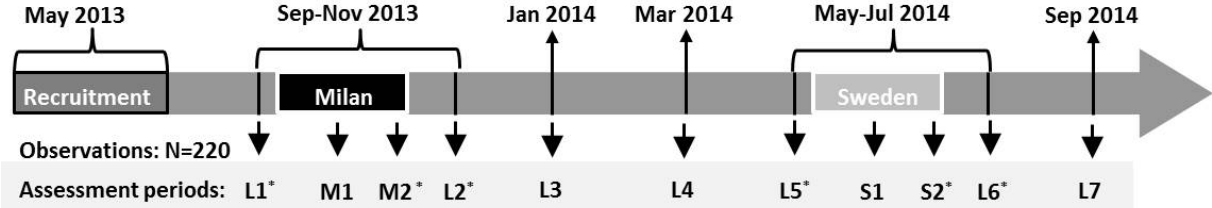
^aAdjusted for age at baseline, sex, HR, smoking status, having a cold, medication use for blood pressure, date, temperature, relative humidity.

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^bAdditionally adjusted for arterial pressure.

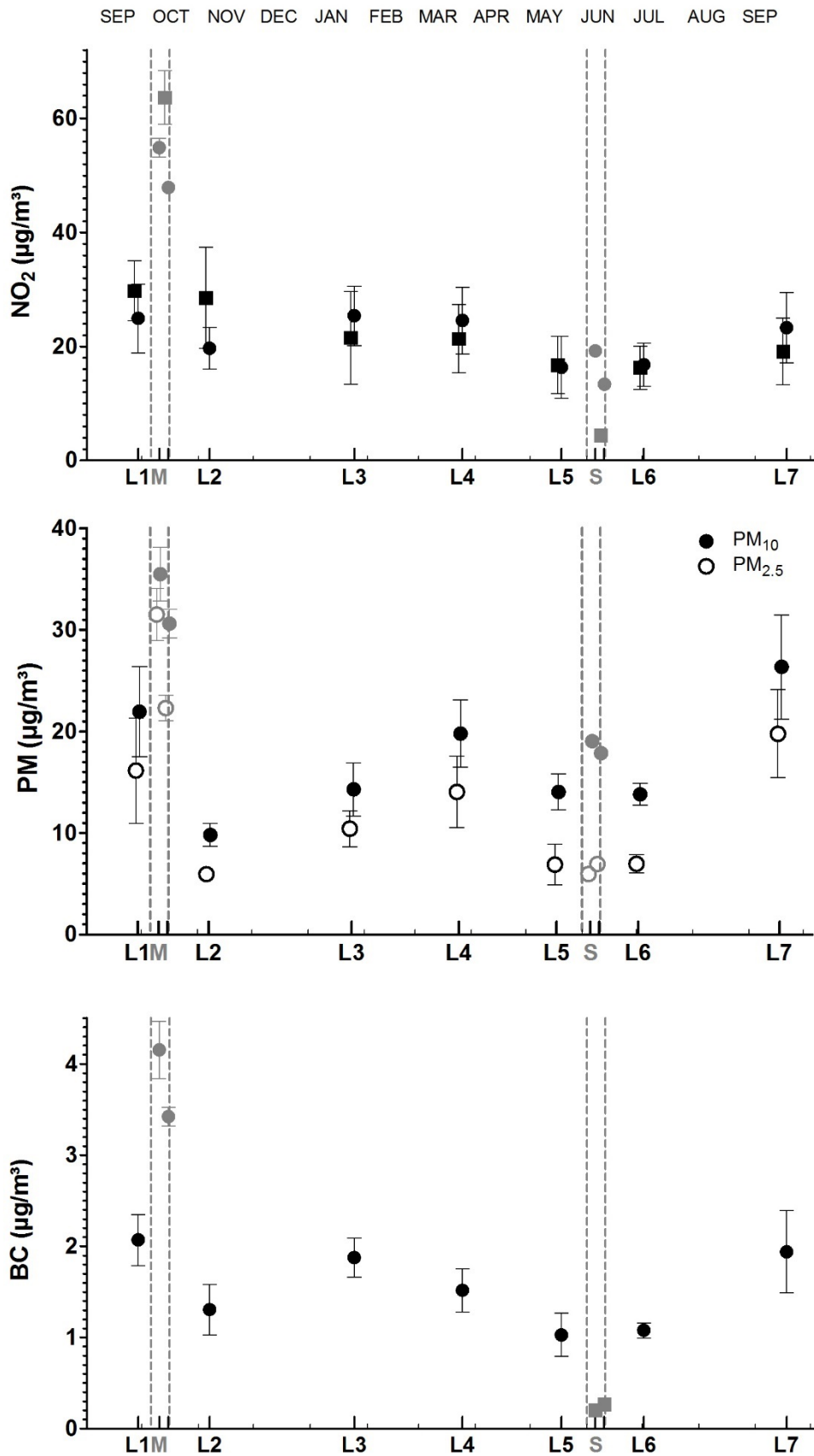
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Figure 1



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Figure 2



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