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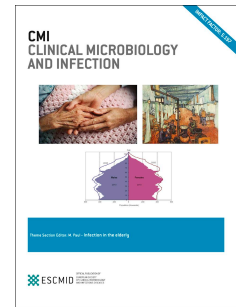
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3 TITLE

4 Identifying a consensus sample type to test for *Chlamydia trachomatis*, *Neisseria*
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6 RUNNING TITLE

7 The optimal sample type to diagnose STIs

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25 **ABSTRACT**

26 **Objectives:** Sexually transmitted infections (STIs) are a global cause of acute illness. Early
27 detection plays a crucial role in interrupting transmission and preventing complications.
28 However, the accessibility of STI testing is curbed by the lack of an overall preferred sample
29 type. By means of a prospective study in female sex workers (FSW), we compared the
30 sensitivity of samples from different anatomical sites in detecting *Neisseria gonorrhoeae*,
31 *Chlamydia trachomatis*, *Trichomonas vaginalis*, *Mycoplasma genitalium* and human
32 papillomavirus. Besides, we documented the prevalence of each STI in this high-risk
33 population.

34 **Methods:** We selected 303 FSW and tested them for each STI by nucleic acid amplification
35 testing on two vaginal and cervical swabs from different manufacturers, cervical smear and
36 first-void urine. The sensitivity of each sample type was compared for each infectious agent
37 in order to identify a consensus sample type.

38 **Results:** Vaginal swabs were superior to all other sample types, with an overall sensitivity of
39 86%. The sensitivity was the lowest for first-void urine, detecting only 63% of positive cases.
40 The prevalence was 3.3% (10/299) for *Neisseria gonorrhoeae*; 9.0% (27/299) for *Chlamydia*
41 *trachomatis*; 7.4% (22/298) for *Trichomonas vaginalis*; 10.8% (32/296) for *Mycoplasma*
42 *genitalium* and 55.6% (158/284) for human papillomavirus.

43 **Conclusions:** When testing for STIs, vaginal swabs are the sample of choice and first-void
44 urine should be avoided. Designating (self-sampled) vaginal swabs as a consensus sample
45 type enables harmonization of STI testing and extension of testing to large numbers of
46 untested females.

47

48 **KEYWORDS**

49 Sexually transmitted infections

50 Laboratory diagnosis

51 Genital samples

52 *Chlamydia trachomatis*53 *Neisseria gonorrhoeae*

54 Human papillomavirus

55 *Mycoplasma genitalium*56 *Trichomonas vaginalis*

57

ACCEPTED MANUSCRIPT

58 INTRODUCTION

59 Sexually transmitted infections (STIs) are a main global cause of acute illness, leading to
60 serious complications, e.g. infertility. Early detection of (a)symptomatic infections has a
61 crucial role in lowering the prevalence and hence preventing complications. Multiple
62 molecular diagnostic tools have been developed to detect a variety of STIs but consensus on
63 an overall preferred sample type lacks. Harmonization of testing is needed in order to
64 improve the accessibility, in particular for hard-to-reach populations. This will result in
65 diagnosis and treatment available more quickly in a patient-friendly way, thereby curbing
66 the clinical impact of STIs.

67
68 To encourage this harmonization, we searched for a consensus sample type to test for the
69 five most prevalent STIs for which nucleic acid amplification testing (NAAT) is the preferred
70 diagnostic tool: *Chlamydia trachomatis*, *Neisseria gonorrhoeae*, *Trichomonas vaginalis*,
71 *Mycoplasma genitalium* and human papillomavirus (HPV). We compared the sensitivities of
72 six sample types (two vaginal and cervical swabs from different manufacturers, a cervical
73 smear and a first-void urine) in detecting each STI. By documenting the prevalence of (co-
74)infections, data were collected on the local epidemiology and insight was gained in the
75 clinical relevance and need for simultaneous detection.

76 MATERIALS AND METHODS

77 Between June 2015 and June 2016, a prospective study was conducted, including 303
78 female sex workers (FSW) with a mean age of 33 years (range 18-58 years), embedded in a
79 health program for sex workers in Flanders (PASOP). PASOP provides specific outreach
80 occupational health services, focused on prevention e.g. STI screening, vaccination against
81 hepatitis B, contraceptive injections, sex education and psychological assistance. Women

82 eligible for STI screening (i.e. at first contact between PASOP and the sex worker or after
83 perceived risk (e.g. condom failure)) were informed on our study and invited to participate,
84 after documenting the informed consent. We intended to include 300 FSW, as preliminary
85 research in the same population on *C. trachomatis* showed that this sample size should
86 result in a representative number of positives ($n > 20$) (4). Post hoc, we calculated the power
87 sample size of our results, using the SAS Power and Sample Size software (SAS Institute Inc.,
88 Cary, NC, USA).

89 Six specimens were sampled consecutively: vaginal Abbott swab (Abbott, Illinois, USA),
90 vaginal Copan FLOQswab (Copan, Brescia, Italy), ThinPrep cervical smear (Hologic Inc.,
91 Massachusetts, USA), cervical Abbott swab, cervical Copan FLOQswab and first-void urine
92 collected on Abbott multi-Collect Sample medium. We defined this order based on other
93 scientific literature and after own preliminary research (1-4). Cervical samples were taken
94 using a speculum with gel lubricant, which has proven not to influence the quality of the
95 samples. The cervical smear was taken before the cervical swabs, in the light of the correct
96 assessment of cervical cytology. Vaginal swabs were taken prior to the cervical samples, in
97 order to avoid potential dilution by the lubricant. Abbott swabs were sampled before Copan
98 swabs, as the latter showed to have an advantage in detecting more positive samples. Urine
99 samples were accepted at any time of the collection, as long as the FSW respected a one
100 hour time-interval after the last pee.

101 Samples were sent to the Department of Laboratory Medicine of the Ghent University
102 Hospital at room temperature within 24h. Immediately after arrival, the swabs were
103 cultured for *N. gonorrhoeae* on BBL GC-Lect Agar (BD, New Jersey, USA) and read after 48h
104 incubation at 37 °C with 5% CO₂. All cervical smears were sent to the Department of
105 Pathology of the Ghent University Hospital for cervical cytology investigation, using the

106 Bethesda system: high-grade squamous intraepithelial lesion (HSIL), low-grade squamous
107 intraepithelial lesion (LSIL), atypical squamous cells, cannot exclude HSIL (ASC-H), atypical
108 cells of undetermined significance (ASC-US) or negative for intraepithelial lesion or
109 malignancy (NILM). Subsequently, all samples were analyzed by NAAT for *C. trachomatis*, *N.*
110 *gonorrhoeae*, *M. genitalium*, *T. vaginalis* and HPV using the Abbott m2000sp/rt systems and
111 the Abbott RealTime CT/NG kit, the Diagenode S-DiaMGTV qPCR kit (Diagenode, New
112 Jersey, USA) and the Abbott RealTime High Risk HPV kit. As the Abbott HPV kit is intended to
113 detect 14 high risk HPV (hrHPV) genotypes (16/18/31/33/35/39/45/51/52/56/58/
114 59/66/68), the results will be discussed as negative or positive for hrHPV. All Abbott
115 analyses were performed according to the manufacturers' instructions. For Diagenode, the
116 manufacturer's protocol was slightly modified by adding 5,0 μ L Diagenode Optima DU
117 Master Mix 2x DNA; 2,5 μ L MGTV double-dye probe and primers; 2,5 μ L Double-dye probe
118 and primers Universal Inhibition Control (UIC); 2,5 μ L UIC and 2,5 μ L water to 10 μ L DNA
119 extract of each sample. In case of invalid results due to (pre-)analytical errors, the analyses
120 were repeated after 1:2 dilution. In between analyses, all samples were stored at -20 °C. The
121 stability of each infectious agent was verified under different storage conditions (data not
122 published).

123 Given the high positive predictive value of NAAT – the reference technique – confirmatory
124 testing of positive results is not recommended. Therefore, a FSW was considered infected
125 whenever she tested positive on at least one sample type (consensus result). Because this
126 approach cannot generate false positive results, we calculated the sensitivity and not the
127 specificity of each sample type for a given infectious agent. All results were analyzed by SPSS
128 Statistics software v24 using Generalized Estimation Equations and Linear Mixed Models

129 tests with the Holm–Bonferroni method. The study was approved by the Ethical Committee
130 of the Ghent University Hospital with Belgian registration number B670201524867.

131

132 RESULTS

133 Figure 1 shows the procedure of inclusion and the results. Overall, we executed 1208
134 bacterial cultures and 8940 NAAT analyses. Internal control failures occurred in 23/8940
135 (0.3%) of NAAT analyses, mainly vaginal Copan swab (n=12) and urine (n=9), necessitating
136 repeat testing which was successful in all cases. Fifteen participants were included twice. In
137 case of repeat positive testing, the possible link was investigated in order to avoid
138 overestimating the prevalence. Overall, 65.6% (196/299) of FSW tested positive for at least
139 one STI on at least one sample type. The individual prevalence rates were 3.3% (10/299) for
140 *N. gonorrhoeae*; 9.0% (27/299) for *C. trachomatis*; 7.4% (22/298) for *T. vaginalis*; 10.8%
141 (32/296) for *M. genitalium* and 55.6% (158/284) for HPV (Figure 1). The mean age [+/-95%
142 confidence interval] of the infected females was 30 years [24- 36] for *N. gonorrhoeae*; 30
143 years [27- 33] for *C. trachomatis*, 30 years [27- 33] for *T. vaginalis*; 31 years [28-34] for *M.*
144 *genitalium* and 32 years [31-33] for HPV. Using the consensus result, we calculated the
145 sensitivities of each sample type for each infectious agent. Figures 1 and 2 show that for *N.*
146 *gonorrhoeae* culture, cervical Copan swabs showed a significant superiority compared to all
147 other sample types. For all NAAT parameters combined, vaginal swabs detected significantly
148 more cases compared to all other sample types, whilst first-void urine and cervical Abbott
149 swabs detected significantly less cases compared to all other sample types. The power of
150 these comparisons is 98.7%.

151

152 Taking each infectious agent into account, 19% (56/303) of FSW were co-infected with at
153 least two STIs. However, when disregarding the HPV results – the most prevalent STI – only
154 4% (12/303) of FSW were co-infected. Of those, FSW with *N. gonorrhoeae*-infection were
155 most likely co-infected (6/10), followed by *T. vaginalis* (7/22), *C. trachomatis* (7/27) and *M.*
156 *genitalium* (5/32).

157 Remarkably for HPV, both vaginal swabs detected far more cases than all other sample
158 types. In order to clarify this finding, we explored the results of cervical cytology. Overall,
159 cervical cytology revealed 56% (168/302) NILM, 24% (72/302) ASC-US, 12% (35/302) LSIL,
160 7% (21/302) HSIL and 2% (6/302) ASC-H. Matching the HPV results on cervical smear with
161 cervical cytology shows that normal cytology resulted significantly less often in HPV
162 positivity compared to abnormal cytology (Figure 3). In case of normal cytology, vaginal
163 swabs showed a significantly higher positivity rate than all other sample types, whilst in case
164 of abnormal cytology, all sample types showed rather comparable rates of HPV positivity
165 (Figure 3).

166

167 **DISCUSSION**

168 We aimed to optimize STI testing by conducting a prospective study in FSW who were
169 tested for *C. trachomatis*, *N. gonorrhoeae*, *T. vaginalis*, *M. genitalium* and HPV on six
170 samples from different anatomical sites. We documented the prevalence of (co-)infections
171 and explored the existence of a consensus sample type.

172

173 The *C. trachomatis* prevalence in this study (9.0%) is slightly higher compared to previous
174 studies in the PASOP population (7.4% and 7.6%), though clearly lower than the worldwide

175 prevalence rates in FSW (12.5% (range 0.6- 46.2%)) (4-12). The same applies to *N.*
176 *gonorrhoeae* and *T. vaginalis*, for which our prevalence rates (3.3% and 7.4% respectively)
177 are clearly lower than the median prevalence rate reported worldwide amongst FSW (10.7%
178 (range 0- 41.3%) and 13.5% (range 0.1-51.0%) respectively) (4-14). In contrast, the 11.1%
179 prevalence of *M. genitalium* is in line with reports on FSW (13.1% (range 9.2 -26.3%)) (7, 15,
180 16). As for HPV, the 55.6% prevalence rate is comparable to previous PASOP reports (55.9%)
181 but higher compared to multiple other studies in FSW worldwide (41.1% (range 2.3-100%))
182 (7, 8, 17, 18). Our prevalence could be overestimated due to the high yield of HPV in vaginal
183 swabs compared to cervical smears, the reference sample type. Indeed, when considering
184 the results on cervical smear as the consensus result, the prevalence falls back to 41.6%.
185 One could presume that a positive STI test following recent sexual activity could be derived
186 from the partner. Although a small study found no effect on HPV detection when vaginal
187 intercourse occurred within 48 hours of self-sampling, further studies are needed to
188 evaluate the effect of vaginal intercourse on STI screening and cervical cytology(19).

189
190 STI guidelines recommend the use of various specimens for STI detection: cervical swabs for
191 *N. gonorrhoeae* culture, vaginal and cervical swabs for *N. gonorrhoeae* and *T. vaginalis*,
192 vaginal swabs for *C. trachomatis* and vaginal swabs or urine for *M. genitalium* (20-25). Our
193 data show that for *N. gonorrhoeae* culture, cervical Copan swabs were the only acceptable
194 sample type. The inferiority of Abbott swabs for culture was expected, as Abbott transport
195 medium contains guanidine thiocyanate, which lyses bacteria and denatures proteins,
196 compromising bacterial growth. Given the inferiority of *N. gonorrhoeae* culture in
197 comparison to NAAT in detecting *N. gonorrhoeae*, culture should be reserved for patients
198 with persistent infection after treatment to exclude antimicrobial resistance. As for NAAT

199 testing, testing on vaginal swabs consistently resulted in the highest sensitivities, which
200 offers an opportunity to improve STI screening coverage, as the vast majority of women
201 favors self-sampling over physician-sampling (26). In contrast, first-void urine and cervical
202 Abbott swabs had the lowest sensitivities. It should be noted that urine samples were
203 accepted at any time of the collection with the only restriction being a one hour time-
204 interval after the last urination. A more stringent approach, using at least 30 mL of first-
205 voided morning urine and centrifugation could render more valuable results.

206
207 Current cervical cancer screening programs are often cytology-based, with HPV testing only
208 as triage of ASC-US positive smears or on follow-up samples. The evidence on the protection
209 against development of cervical (pre-)cancerous lesions collected so far, however, suggests
210 the introduction of HPV testing as a primary screening test. Non-participation is a major
211 challenge concerning the effectiveness of screening programs and self-sampling, surely by
212 easy to take vaginal swabs, might be a preferential approach to these non-attendees.

213 Although a cervical smear is considered as the gold standard, vaginal or cervical self-
214 sampling methods seem equivalent (27). In fact, HPV may be detected in vaginal sites even
215 before it is detected in the cervix (28). This could explain the high number of positives on
216 vaginal swabs in our study. However, one should take into account that the carcinogenic
217 effects of HPV are not limited to the cervix, but also 43% of vulvar and 70% of vaginal
218 cancers are attributable to HPV (29). Remarkably, our study showed that FSW with HPV
219 detected exclusively in the vaginal region were more likely to have normal cervical cytology
220 results. Hence, HPV detected in vaginal swabs could represent freshly infected vaginal cells
221 – whether or not as a prelude of later cervical infection – rather than exfoliation from
222 infected cervical cells. Alternatively, HPV detected in vaginal swabs could be derived from

223 the male sexual partner, whereas the cervical smear reflects the FSW's own HPV condition.
224 In addition, it should be noted that about 90% of HPV infections are asymptomatic and
225 resolve spontaneously within two years (30). As for vaginal swabs, the use of first-void urine
226 for HPV testing assumes contamination with infected exfoliated cervical cells though it may
227 detect urethral or vaginal infections, rather than cervical infections. Indeed, paired cervical
228 and urine samples have showed to detect different types of HPV (31). Our data show that
229 first-void urine is inferior in detecting HPV compared to all other sample types, reflected by
230 both the lower number of positives, which is confirmed by others (31). The lack of
231 standardized methods of urine sampling could be met by using collection devices, though
232 even then cervical smear seems superior for HPV detection (32).

233

234 Some potential limitations need to be mentioned. As close consideration was given to the
235 order in which samples were taken, the order was not altered during the study, whereby
236 potential influences on the results cannot be fully excluded. Analyses were conducted
237 following manufacturers' instructions, though not all sample types are cleared for each
238 analysis. This is particularly true for HPV, where clinical cutoffs are applied when
239 interpreting the results. Given the often asymptomatic nature of the selected STIs and
240 excellent test performance of NAAT, we considered a FSW infected whenever she tested
241 positive at least on one sample type, irrespective of signs and symptoms. Finally, as we
242 studied a population of FSW, which are at higher risk for STI than the overall population,
243 caution is to be made when generalizing our results to lower prevalence populations.

244

245 To our knowledge, this is the first study to explore a consensus sample type for STI testing.

246 The strengths of our study include the simultaneous detection of five STIs and sampling of

247 different anatomical sites, including swabs of two manufacturers. Apart from HPV, we found
248 relatively low prevalence rates in comparison with other studies conducted in FSW
249 worldwide. This could be explained by geographical differences and/or the influence of a
250 well-organized outreach health program, as FSW are often involved in clandestine practices.
251 Vaginal swabs are the preferred sample for STI testing whilst first-void urine should be
252 avoided. In addition to its promising ability to detect STIs, vaginal (self-)sampling should
253 enhance participation in targeted screening programs and in women who refuse or not
254 need a cervical examination, who may be discouraged from testing by the prospect of a
255 speculum examination. The designation of a consensus sample type enables harmonization
256 of STI testing, as it allows for simultaneous analysis of different STIs. This could result in
257 diagnosis and treatment being available more quickly and in a more patient-friendly way,
258 thereby curbing the clinical impact of STIs. The clinical accuracy of HPV on self-collected
259 samples needs further investigation. Meanwhile, cervical smears are likely to remain the
260 sample of choice, especially in a cytology based screening program where cervical smears
261 are suitable both for cytology and HPV testing.

262

263 **KEY MESSAGES**

- 264 - When testing for STIs, vaginal swabs are the sample of choice.
- 265 - The designation of a non-invasive consensus sample type enables harmonization of
266 STI diagnosis, by means of (self-)sampling and simultaneous testing for different STIs.
- 267 - Prevalence rates were the highest for HPV, followed by *M. genitalium*, *C.*
268 *trachomatis*, *T. vaginalis* and *N. gonorrhoeae*.

269

270

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357 **FIGURE LEGENDS**

358 **Figure 1** – Flowchart of inclusion of FSW and number of positive results for each parameter
359 on the different sample types. (NGc = *Neisseria gonorrhoeae* culture; NG= *Neisseria*
360 *gonorrhoeae*; CT= *Chlamydia trachomatis*; TV = *Trichomonas vaginalis*; MG = *Mycoplasma*
361 *genitalium*; HPV = human papilloma virus; FVU= first void urine; VA = vaginal Abbott swab;
362 VC = vaginal Copan swab; CA = cervical Abbott swab; CC cervical Copan swab; CS = cervical
363 smear; ^a significantly higher than CA (p<0.05); ^b significantly higher than FVU (p<0.05); ^c
364 significantly higher than CC (p<0.05); ^d significantly higher than CS (p<0.05); ^e significantly
365 higher than VA (p<0.05); ^f significantly higher than VC (p<0.05); grey background indicates
366 sample type with highest sensitivity)

367

368 **Figure 2** – Percentage of infections detected (■) or missed (■) for each parameter on the
369 different sample types. (FVU= first void urine; VA = vaginal Abbott swab; VC = vaginal Copan
370 swab; CA = cervical Abbott swab; CC = cervical Copan swab; CS = cervical smear)

371

372

373 **Figure 3** – Analysis of high-risk HPV results in case of normal versus abnormal cytology.

374 ^a significantly higher than FVU (p<0.05); ^b significantly higher than CA (p<0.05); ^c significantly
375 higher than CC (p<0.05); ^d significantly higher than CS (p<0.05)

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379• Conflict of interest

380 The authors have nothing to disclose

381

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