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The Flemish Research Discipline Classification Standard: A Practical Approach

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Abstract: In 2010, a study was performed by the Flemish universities in cooperation with the Flemish Interuniversity Council (VLIR) on the administrative burden of research reporting in Flanders, Belgium. One of the most prominent observations of this study (Peters and Lambrechts 2011) consisted of the redundancy that occurs both in preserving, classifying and reporting research information to different stakeholders in a region as small as Flanders. In response to this study, the Flemish government assigned the Centre for Research & Development Monitoring (ECOOM) with the task to: 1) develop a research discipline classification standard for the Flemish region that could serve all existing use purposes; 2) effectuate the implementation of this research clas-

sification standard by all Flemish stakeholders; and, 3) prevent data loss when classification schemes would be converted. This paper discusses the background, creation and implementation of the Flemish Research Discipline Classification Standard.

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1.0 Introduction

In Flanders, researchers have to report on their research activities to their host institutions and to the funding agencies that fund their research via projects, scholarships, grants or other funding mechanisms. The same accounts for the host research institutions and funding agencies that have to report to governmental agencies (e.g., Flemish Interuniversity Council (VLIR), Flemish Research Information Space (FRIS)) on their institutional research portfolio and policies. Although all these reports contain information about the research activities of the same (group of) researchers, whether or not at an aggregated level, they

generally differ in the format and classification scheme that is requested, thereby placing an enormous administrative burden on the Flemish researchers, research institutions, agencies and organizations.

Although many efforts have been undertaken in the past decade to digitize and automate the research administration processes by every single institution and agency, these have been counterbalanced by the introduction of new administrative requests coming from new insights in, for example, the field of ethics or animal care. Due to this proliferation of administrative processes and procedures, the Flemish universities and the Flemish Interuniversity Council decided in 2010 to outline the reporting obliga-

tions of the research community in Flanders and to propose recommendations on how the administrative burden on researchers could be reduced. Moreover, for the first time in Flanders, the entire framework of processes, procedures and workflows involved in research reporting was bundled in the “Report on Simplification of Research Reporting in Flanders” (Peters and Lambrechts 2011). This report describes the formal reporting obligations of the entire Flemish research landscape and compares their specific requirements with regards to the requested data, data types, formats and classifications. In addition, the data sources that contain different kinds of research information as well as the workflows whereby each particular kind of information is fed into a number of different Flemish research data systems were described. Finally, information of the accompanying validation processes was described. By bringing all these pieces of information together, the report formally demonstrated the existence of a high degree of redundancy in reporting on research information in Flanders. Peters and Lambrechts therefore, formulated a number of recommendations to the Flemish research community to tackle this redundancy and to evolve to a more efficient and harmonized manner of research administration. As a general recommendation, the report prompted all Flemish stakeholders to engage in a sustainable collaboration and to join forces to reduce the administrative burden put on the research community at the benefit of science and the society. Reduction of research administration is only possible when all stakeholders in the research community communicate and exchange their available research information using the same language. As a second recommendation, the report stated that the efficiency of research administration would improve significantly when the research classifications used in Flanders would be harmonized into a single research classification standard, i.e., only one standard for classifying research according to research disciplines. As every stakeholder in Flanders was operating on research classification schemes that are customized to their own needs, researchers had to categorize their research activities based on a different classification scheme for every research report.

To accommodate the recommendations of this report, the Flemish government, the Flemish Centre for Research & Development Monitoring (ECOOM) and the Flemish universities joined forces to harmonize the research administration processes. First, forces were joined to transform the Flemish Research Information Space portal (<https://www.researchportal.be>) into a Flemish open data store that collects research information in a single, central platform and that allows the stored data to be used for multiple purposes, including research reporting. A collective, centralized Flemish data platform of research information is, however, only informative when the collected

and exchanged data are complete and accurate, but even more importantly, it also requires the agreement of all stakeholders on a common semantic interpretation of the data concepts that are being used. That is, the semantic harmonization of data concepts is essential to obtain data that are comprehensible and transparent to all stakeholders in order to be aggregated or used for a wide variety of purposes. Therefore, the Flemish government and universities, under the supervision of ECOOM, semantically harmonized the content of the data concepts that are fed into the FRIS research portal.

As a third accommodation to the recommendations of the report of Peters and Lambrechts the various stakeholders in Flanders (i.e., the Flemish funders and governmental authorities) prompted to harmonize the research classification schemes in Flanders into semantically defined standards for research reporting. These standards would not only further reduce the administrative burden on the research community but would at the same time ameliorate the quality of research reporting. The first phase of the harmonization process focused on the classification of research activities into research disciplines. In this paper, the methodology for creating the Flemish standard for classifying research into research disciplines is described and an overview of its roadmap to implementation is provided.

2.0 Research discipline classifications in Flanders

2.1 Research reporting obligations

Anno 2011, four different research discipline classification schemes were used in Flanders to characterize the disciplinary expertise of researchers, research organizations and projects. European regulations, Flemish decrees or guidelines from authorities determined which research discipline classification scheme had to be used.

In brief, the European Commission advises the research community worldwide to make use of the guidelines and standards proposed in the *Frascati Manual* (OECD 2015) to report on Research and Development indicators. At the start of the creation of the Flemish Research Discipline Standard, the revised Field of Science and Technology (FOS) classification scheme (OECD 2007) was considered as the standard for reporting to European governmental instances. In Flanders, the revised FOS classification was used to report on the science disciplines of the Flemish research population to the OECD Research and Development Statistics (RDS).

Within Flanders, the decree of the Flemish government on the regulations for the preparation of the annual report of the universities in the Flemish Community prescribes the data categories that need to be reported using research

1 disciplines (Vlaams Ministerie van Onderwijs en Vorming
2 2000). Research projects are, therefore, reported to the
3 Flemish government using the FRIS/IWETO discipline
4 classification scheme, while data on the research input (re-
5 search funds and staff) as well as the output have to be
6 aggregated using the scientific disciplines of the VLIR dis-
7 cipline classification scheme.

8 Finally, in order to request research funds from the Re-
9 search Foundation - Flanders (FWO), Flemish researchers
10 have to use the FWO discipline classification scheme to
11 specify their own expertise as well as the main focus of the
12 grant proposal and to report back to the FWO on the ob-
13 tained results of the research carried out through this
14 funding.

16 2.2 Characteristics of existing research 17 classifications

18
19 In order to create a Flemish standard for classifying research
20 information objects into research disciplines, the character-
21 istics of the research classifications that were used at that
22 moment in time in Flanders, i.e., the revised FOS,
23 FRIS/IWETO, FWO and VLIR discipline classification
24 schemes were studied.

25 The OECD Fields of Science and Technology (FOS)
26 classification scheme, published in the Frascati Manual
27 (OECD 2002), was originally developed by the OECD
28 Working Party of National Experts on Science and Tech-
29 nology Indicators (NESTI) as an international standard to
30 classify research and development (R&D) units and re-
31 sources based on the disciplinary field of their content. The
32 FOS classification scheme was updated and revised in 2007
33 because of the emergence of new technology fields (e.g.,
34 ICT, biotechnology and nanotechnology), was renamed as
35 the revised FOS classification scheme and was published as
36 an annex to the Frascati Manual of 2002 (OECD 2007). In
37 2015, the revised FOS classification list was updated and re-
38 named into the Fields of Research and Development
39 (FORD) classification list (OECD 2015). The FORD clas-
40 sification scheme (as well as the revised FOS classification
41 scheme) consists of two hierarchical levels comprising six
42 and forty research disciplines respectively at the first and
43 second hierarchical level and is used to report to European
44 organizations such as Eurostat, OECD or other European
45 agencies.

46 The FRIS/IWETO classification list is an update of the
47 Inventory of the Scientific and Technological Research in
48 Flanders (IWETO) discipline classification scheme, that was
49 originally designed in the nineties by the former FWO based
50 on the international code schemes that were used in that pe-
51 riod. The IWETO classification scheme was used for re-
52 porting information on research projects to the Flemish
53 government, and was gradually updated, independently of

54 the Research Foundation—Flanders, based on the gaps that
55 were reported to the Department Economy, Science and In-
56 novation of the Flemish government. The IWETO disci-
57 pline classification list was renamed into the FRIS/IWETO
58 classification list, thereby pointing towards its key role in the
59 FRIS portal. The FRIS research portal makes use of the
60 FRIS/IWETO classification list to classify information on
61 researchers, research organizations and research projects
62 based on their discipline. The last version of the FRIS/
63 IWETO discipline classification list consists of three hierar-
64 chical levels, comprising five, forty-nine and 339 disciplines,
65 respectively.

66 The FWO discipline classification scheme originates
67 from the IWETO discipline classification scheme. Over the
68 years, the IWETO discipline classification scheme was ad-
69 justed by the FWO, independently from the IWETO disci-
70 pline classification scheme, in order to accommodate to the
71 gaps in the discipline classification list that were reported to
72 the FWO and to limit the disciplines to only those fields that
73 qualify for FWO funding. In 2010, an attempt was made to
74 harmonize and update the adjusted FWO and IWETO disci-
75 pline classification schemes into a single and updated clas-
76 sification list. However, due to a lack of resources, this at-
77 tempt failed and only the FWO discipline classification
78 scheme received a significant update. The FWO discipline
79 classification scheme has been used by the Research Founda-
80 tion—Flanders to report to the Flemish government on
81 which disciplines have been funded over the years. Addi-
82 tionally, this classification list is used by the FWO in the pro-
83 ject review process to match research project proposals to
84 researchers with a matching field of expertise. The last ver-
85 sion of the FWO discipline classification scheme consists
86 of three hierarchical levels, comprising five, forty-one and
87 1,029 disciplines respectively.

88 The VLIR discipline classification scheme is a scheme
89 that is owned and governed by the Flemish Interuniversity
90 Council (VLIR). This classification scheme is based on the
91 twenty-nine scientific disciplines that are listed in the Flem-
92 ish Decree on the annual reporting obligations of the uni-
93 versities in Flanders (Vlaams Ministerie van Onderwijs en
94 Vorming, 2000). This classification scheme has, however,
95 been adjusted, i.e., three disciplines have been split-up and
96 two new disciplines were added, thereby creating a non-hi-
97 erarchical classification scheme consisting of thirty-four dis-
98 ciplines. The VLIR discipline classification scheme is used
99 for reporting on scientific personnel to the Flemish govern-
100 ment.

102 3.0 Towards a Flemish research discipline 103 classification standard

104
105 Based on the reporting obligations, characteristics and use
106 purposes of these research discipline classification schemes,

the framework for the Flemish Research Discipline Standard was created.

3.1 Scope

In the first phase, the scope of the Flemish research discipline standardization project was determined by the key stakeholders that make use of discipline classifications, i.e., FWO, VLIR and FRIS. These stakeholders agreed that the Flemish Research Discipline Standard, the standard classification scheme that would be used by all stakeholders in Flanders to classify research activities, should meet the following criteria:

- 1) cover research fields for all research activities performed in Flanders;
- 2) be hierarchical, in order to guarantee the level of granularity that every stakeholder needs with regards to their use purposes;
- 3) be enriched with semantic descriptions for all disciplines in order to ensure a comprehensible terminology for all potential users;
- 4) is provided with concordance tables to all the discipline classification schemes that were in use at that time in Flanders as well as to the FORD classification scheme, in order to prevent historical data loss and to meet the reporting requirements to European authorities and organizations.

3.2 Creation of the Flemish research discipline classification standard

After analyzing the Flemish research discipline classification schemes, (inter)national research discipline classifications were studied. The classifications of the surrounding countries were incorporated in the analyses and specific efforts were made in order to include at least one research discipline list per continent in order to overcome regional and political influences. Altogether sixteen (inter)national research discipline classification schemes were evaluated on their uses, purposes and characteristics and were later merged into the draft research discipline classification scheme (Table 1).

One list in particular, i.e., the Fields or Research classification scheme of the Australian and New Zealand Standard Research Classification (ANZSRC) version 2008, was particularly interesting as this list is based on OECD revised FOS classification (OECD 2007), yet adds an additional level of granularity. Furthermore, the list contains built-in mechanisms that could potentially be used to identify new and emerging research fields.

Based on the structural characteristics of the ANZSRC - FOR, the Flemish Research Discipline Standard was further drafted. First, the number of hierarchy levels was determined in accordance with the different use purposes of the standard. That is, the standard should allow for reporting to the European authorities, the Flemish authorities, but

Research discipline classification	# Hierarchical levels	Geographical area
ANZSRC – FOR ¹	3	Australia & New Zealand
CASRAI ²	3	America
CSC ³	2	China
FAPESP ⁴	2	Brazil
RSA-RF ⁵	2	Africa
ERC ⁶	2	Europe
OECD – FOS/FORD ⁷	2	Europe
ANVUR ⁸	3	Italy
SSD ⁹	4	Italy
ÖFOS ¹⁰	3	Austria
RAE ¹¹	1	UK
REF ¹²	1	UK
NWO ¹³	2	The Netherlands
FWO ¹⁴	4	Flanders
FRIS ¹⁵	3	Flanders
VLIR ¹⁶	1	Flanders

Table 1. Overview and characteristics of the analyzed international research classification schemes.

1 should also allow for the visualization of the research fields
 2 of a researcher's organization and even an individual re-
 3 searcher. In this way, four hierarchical levels were proposed
 4 to the Steering Committee "Research Disciplines" that con-
 5 sisted of members of the key stakeholders (i.e., FWO, VLIR
 6 and FRIS) as well as representatives of the universities,
 7 higher education institutions and other research institutions,
 8 and that was specifically appointed for taking strategic deci-
 9 sions. After approval of the four hierarchical levels, the first
 10 hierarchical level was filled with terms of the revised FOS
 11 classification (OECD 2007) as this level would be used to
 12 report to the European authorities. For the remaining three
 13 hierarchical levels, the list was first populated with disci-
 14 plines originating from the revised FOS (OECD 2007) and
 15 ANZSRC-FOR discipline classification schemes. In the
 16 next step, disciplines that were identified as seemingly miss-
 17 ing research fields during a gap analysis of the sixteen re-
 18 search discipline schemes or that were previously reported
 19 to the key stakeholders, were added. The draft research dis-
 20 cipline list was then presented to the Steering Committee
 21 "Research Disciplines" and approved for evaluation by ex-
 22 perts in the various research fields.

24 3.3 Expert evaluation

25
 26 The evaluation of the composed draft research discipline
 27 classification list occurred, following the process formally
 28 approved by the Steering Committee "Research Disci-
 29 plines." That is, the list was first evaluated by the FWO ex-
 30 pert group; secondly, the key stakeholders' opinions were
 31 consulted and finally the list was reviewed by expert
 32 (groups) within the respective research fields. The order of
 33 this procedure was dictated by the fact that the FWO expert
 34 group, at that point in time, was the only Flemish group
 35 that already had expertise in reviewing research disciplines,
 36 based on the update of the FWO discipline classification
 37 scheme in 2010. As such, the FWO expert groups provided
 38 valuable information, even on different political and re-
 39 gional interpretations that might influence the content of
 40 the research discipline classification scheme. Second, in line
 41 with the principles of organizational warrant (Zeng 2005),
 42 the key stakeholders were consulted and asked to provide
 43 feedback on whether the proposed terms were acceptable
 44 in the jargon of their organization. This consultation addi-
 45 tionally stimulated the engagement of the stakeholders in
 46 the establishment of a Flemish standard for research disci-
 47 plines and in the validation of the draft research discipline
 48 classification scheme. Moreover, the expert databases of
 49 the Flemish stakeholders were consulted in order to iden-
 50 tify relevant experts for reviewing the granularity of the re-
 51 search discipline list, the terminology used as well as for
 52 identification of potential overlaps and gaps. Subsequently,
 53 in line with the concept of cognitive proximity, researchers

54 with a similar knowledge base, e.g., a similar professional
 55 background and a common understanding, were consulted
 56 (Hautala 2011). In total, 453 experts were addressed to re-
 57 view their research field, out of which 293 provided us with
 58 useful feedback. On some occasions the experts addressed
 59 submitted our review questions to their respective societies
 60 in order to get an even more generalized view. The overall
 61 review process resulted finally in a research discipline list of
 62 four hierarchical levels, consisting of seven, forty-two, 382
 63 and 2,866 research discipline codes respectively. For a more
 64 detailed description of the Flemish Research Discipline
 65 Standard, see Vancauwenbergh and Poelmans (2019).

67 3.4 Semantics

68
 69 In the next step, definitions were added to the terms used
 70 for denoting the research disciplines. Although at first
 71 glance, the meaning of a research discipline might seem ob-
 72 vious, it was observed during the exercise that a wide range
 73 of semantic misinterpretations existed amongst stakehold-
 74 ers. First, the expertise that one has with regards to the re-
 75 spective research field depends on their cultural and pro-
 76 fessional background (Hautala 2011) as well as on their ac-
 77 quaintance with the jargon used within specific research
 78 communities (Zeng 2005). This highly influences the inter-
 79 pretation of the terms being used. Second, political and
 80 philosophical influences also have a role in the specific
 81 meaning of a term for an individual. Third, the role that
 82 one has towards the use of the terminology also determines
 83 subtle, yet important differences in the way the terminology
 84 is perceived by an individual. In order to ensure a perfect
 85 understanding of the terminology used, we extended the
 86 project towards the inclusion of clear, yet detailed defini-
 87 tions that give the potential users of the Flemish Research
 88 Discipline Standard a clear view of what is contained when
 89 a term is used, including what is excluded from it.

90 As a starting point, definitions that were available in
 91 online sources, e.g., in professional literature, Wikipedia or
 92 other online sources, were formulated. Then scientific ex-
 93 perts were consulted for evaluating the definitions in rela-
 94 tion to the research fields included in a particular area, but
 95 also in relation to the overall Flemish Research Discipline
 96 Standard. By going through iterative cycles of semantic rec-
 97 onciliation in which domain experts collaboratively capture
 98 business semantics (Van Grootel et al. 2009), the definitions
 99 were optimized to provide all potential users with clear in-
 100 sights in the meaning of the terminology and thus the re-
 101 search fields. For every single discipline used in the Flemish
 102 Research Discipline Standard, a definition was formulated.
 103 The thus-composed classification scheme was then submit-
 104 ted to the Steering Committee "Research Disciplines" who
 105 formally approved the resulting classification scheme.
 106 Next, the classification scheme was submitted to the

1 ECOOM Steering Committee, that contains members of
2 the key stakeholders (i.e., FWO, VLIR, FRIS/IWETO), in-
3 cluding representatives with decision-making rights of the
4 Flemish universities. The ECOOM Steering Committee
5 formally approved the Flemish Research Discipline Stand-
6 ard on 13 December 2017, after which the list was made
7 available under a Creative Commons Attribution-Non-
8 Commercial 4.0 license on the FRIS research portal
9 (<https://researchportal.be/en>). The Standard can be re-
10 trieved via SOAP webservices in CERIF-XML, which is an
11 extension of the Common European Research Infor-
12 mation Format ([https://www.eurocris.org/cerif/main-fea-
13 tures-cerif](https://www.eurocris.org/cerif/main-features-cerif)). Furthermore, the ECOOM Steering Commit-
14 tee agreed to start the implementation at the Flemish stake-
15 holders.

17 4.0 Concordance schemes

18
19 The development of a new classification scheme re-
20 quires accountability for aspects such as interoperability of
21 data encoded by one or more of the original classification
22 schemes and data encoded with the Flemish Research Dis-
23 cipline Standard. The development and implementation of
24 concordance schemes is a widely used method to achieve
25 semantic interoperability of existing classification schemes
26 (Zeng 2019). In the field of knowledge organization, con-
27 cordance mapping essentially involves imposing equiva-
28 lence, i.e., conceptual and hierarchical relationships be-
29 tween terms or concepts in different classification systems
30 (Doerr 2001). It is known that the mapping quality that can
31 be achieved is best when the two classification schemes
32 have an equal scope, specificity and granularity (Zeng
33 2019, ISO 25964-2:2013). The development of concord-
34 ance schemes with the Flemish Research Discipline Stand-
35 ard was thus expected to be a challenging process since the
36 original classification schemes differ with regard to their
37 use, purpose, structure and granularity and hence differ in
38 their lexical, semantic and structural features. Due to this
39 complexity, concordance mappings were performed manu-
40 ally and were based on a set of predefined requirements.
41 First, to ensure data consistency upon the transition be-
42 tween classification schemes, concordance mapping
43 should be possible in two directions, i.e., forward (from old
44 to new classification scheme) and a backward (from new
45 to old classification scheme) mapping. Second, all disci-
46 plines were required to be mapped upon at least one disci-
47 pline, in order to ensure complete interoperability between
48 data and prevent data loss.

49 In order to establish a concordance scheme for the
50 Flemish Research Discipline Standard to the key stake-
51 holders' research discipline schemes (i.e., FWO, VLIR,
52 FRIS/IWETO), tables mentioning the various mappings
53 for each concordance scheme were drafted. These map-

54 pings were based on the co-occurrence of terms, or part
55 of terms within both classification lists. In addition, defi-
56 nitions were used to check for co-occurrence of words as
57 they often contained valuable information in the form of
58 highly relevant terms or synonyms to denote research
59 fields. The thus-composed draft concordance schemes
60 were then fed back to the experts who fine-tuned the
61 schemes using their domain knowledge. This allowed for
62 the correction of some erroneous mappings that were
63 originally proposed, based on the co-occurrence of highly
64 similar terms, but that were not representing identical re-
65 search fields. Furthermore, the domain experts identified
66 identical research fields that were denoted with different
67 terminology in multiple classification lists. The resulting
68 concordance schemes were then submitted to the Steering
69 Committee "Research Disciplines" for formal approval of
70 the list and, secondly, for obtaining the formal commit-
71 ment of the Flemish stakeholders to use these concord-
72 ance schemes as a means of uniform data transition in
73 Flanders.

74 At first glance, the stakeholders thought the concord-
75 ance schemes would provide them with mappings where
76 each term of an existing classification list would result in
77 exactly one new term. In reality, however, mappings were
78 observed to be more complex. In terms of equivalence
79 mappings, described in the ISO 25964-2:2013 standard,
80 different forms of simple and compound equivalence
81 were observed (Doerr 2001). This complexity was largely
82 due to the different level of granularity between the lists,
83 as well as within a single existing list. This granularity dif-
84 ference was largely a reflection of the experts involved in
85 establishing the existing lists, i.e., some existing disciplines
86 were defined very detailed, while others remained at a ra-
87 ther high level of granularity. In contrast, explicit attention
88 was paid in the creation process of the Flemish Research
89 Discipline Standard in order to guarantee a uniform overall
90 representation of all research fields.

91 In addition, the level to which concordance mappings
92 were established also had an influence on the percentage
93 of 1-n mappings. In general, more 1-n mappings occurred
94 as the level of the Flemish Research Discipline Standard
95 became more granular. For example, the disciplines pre-
96 sent in the FWO classification scheme largely reflected the
97 granularity of the disciplines at the third level of Flemish
98 Research Discipline Standard. Mapping of the FWO disci-
99 plines to the second level of the Flemish Research Dis-
100 cipline Standard resulted in 11% of 1-n mappings, map-
101 ping of the FWO disciplines to the third level resulted in
102 19% of 1-n mappings, and mapping of the FWO-code to
103 the fourth level resulted in 37% of 1-n mappings. For the
104 FRIS/IWETO classification scheme, the number of 1-n
105 mappings was even larger, since this list only entails 393
106 disciplines in total. The disciplines present in the FRIS/

1 IWETO classification scheme also largely reflect the gran-
 2 ularity of the disciplines at the third level of Flemish Re-
 3 search Discipline Standard. Mapping of the FRIS/
 4 IWETO disciplines to the second level of the Flemish Re-
 5 search Discipline Standard resulted in 27% of 1-n map-
 6 pings, while mapping to the third level resulted in 45% of
 7 1-n mappings. Finally, the disciplines of the VLIR classifi-
 8 cation scheme largely reflected the granularity of the dis-
 9 ciplines at the second level of Flemish Research Discipline
 10 Standard. Mapping of the VLIR disciplines to the second
 11 level of the Flemish Research Discipline Standard resulted
 12 in 38% of 1-n mappings, while mapping of the VLIR dis-
 13 ciplines to the third level resulted in 91% of 1-n mappings.

15 5.0 Implementation

16
 17 Once the concordance mappings were created, stakehold-
 18 ers could start implementing the Flemish Research Disci-
 19 pline Standard in their organizational databases.

20 One of the first adopters of the Flemish Research Dis-
 21 cipline Standard was the Research Foundation—Flanders.
 22 This agency has used the Flemish Research Discipline
 23 Standard since September 2018 in order to specify the re-
 24 search fields of grant proposals. This enables the Research
 25 Foundation—Flanders in finding well-positioned review-
 26 ers for the grant proposal, but also allows the administra-
 27 tive characterization of these proposals, which allows
 28 them to report this information at an aggregated level to
 29 the Flemish government. Moreover, the Research Founda-
 30 tion—Flanders also provides this information to the host
 31 institutions of the grantees, which in turn have to report
 32 this information to the FRIS-research portal on the level
 33 of a single project, as soon as possible upon the receipt of
 34 this information. The swift adoption of the Research
 35 Foundation—Flanders thereby indirectly put pressure on
 36 the host institutions to adopt the Flemish Research Disci-
 37 pline Standard into their own database systems at the same
 38 pace and even more, on the Department of Economy, Sci-
 39 ence and Innovation to ensure a simultaneous transition.
 40 Finally, the participation of all Flemish stakeholders fur-
 41 ther confirmed the decision of the VLIR to adopt the
 42 Flemish Research Discipline Standard as well. Altogether,
 43 this domino-effect demanded the establishment of a steer-
 44 ing group in order to discuss business- and technical re-
 45 lated issues that arose upon implementation of the Flem-
 46 ish Research Discipline Standard. Based on the fruitful co-
 47 operation we had within the Steering Group “Research
 48 Disciplines,” it was decided to extend this committee with
 49 all stakeholders that had to deliver research information to
 50 the FRIS-portal, which would allow for the discussion of
 51 common problems with all stakeholders having to imple-
 52 ment the Flemish Research Discipline Standard. As each
 53 stakeholder uses different database systems, as well as dif-

54 ferent processes to govern the discipline list within the sys-
 55 tems, individual implementation roadmaps were drafted by
 56 each stakeholder. However, every stakeholder was con-
 57 fronted with similar problems upon implementation.

59 5.1 Business rules

60
 61 First, institutions had to define the granularity level to
 62 which researchers, research projects and organizations
 63 should be reported. Based on the existing reporting obli-
 64 gations and in agreement with the key stakeholders (i.e.,
 65 FWO, VLIR and the FRIS-team), the granularity was set
 66 to level four (i.e., the highest degree of detail) for active
 67 researchers and newly obtained research projects, and to
 68 level three for existing research organizations. As the data-
 69 bases of the stakeholders as well as the FRIS-portal also
 70 contained historical information, business rules were writ-
 71 ten that defined the level of granularity to which these his-
 72 torical objects had to be defined as the new Flemish Re-
 73 search Discipline Standard contains a higher degree of
 74 granularity compared to the existing lists, which unavoid-
 75 ably leads to an additional work load to grasp the infor-
 76 mation by the information providing institutions. The re-
 77 sulting business rules were thus a compromise between the
 78 FRIS-team that wanted to have as much as possible infor-
 79 mation being described in the highest degree of granularity
 80 and the information providing institutions that had to
 81 transform the classification scheme and that wanted to re-
 82 duce the additional work load accompanied with the trans-
 83 formation of historical research information objects. In
 84 brief, the existing codes attached to researchers and organ-
 85 izations that were not active anymore in 2017, could be
 86 transformed to level two codes of the Flemish Research
 87 Discipline Standard. As “research projects” is one of the
 88 most often viewed categories on the FRIS-portal, it was
 89 decided to be more stringent. That is, research projects
 90 ending in 2015 could be transformed to level two codes of
 91 the Flemish Research Discipline Standard, thus having a
 92 larger proportion of projects that had to be provided with
 93 more details. After approval, all business rules were trans-
 94 lated to validation rules that could be easily interpreted by
 95 computers in order to validate the information sent to the
 96 FRIS portal.

97 Secondly, as the Flemish Research Discipline Standard
 98 contains research disciplines at a more detailed level of
 99 granularity; this reopened the existing discussion on how
 100 to display a researcher’s expertise to the public, for in-
 101 stances through web portals. Even more, by going into the
 102 details of these discussions, most host providing institu-
 103 tions decided also to review the governance and processes
 104 behind the preservation of a person’s research field.

105 Finally, the transition of the existing research discipline
 106 classification lists into the Flemish Research Discipline

Standard also initiated the discussion on the revision of the Flemish research reporting obligations. While agreements in between the universities will be made in the current transition phase towards the Flemish Research Discipline Standard, the future adaptation of the decrees will be a more laborious trajectory.

5.2 Technical impact

The implementation of the Flemish Research Discipline Standard obviously also had an enormous impact on the different database systems and web portals of all stakeholders involved. First, the databases had to be updated with the new research discipline standard, and the information contained had to be transformed according to the new business as well as the derived validation rules. Second, all stakeholders wanted to implement a module that allowed for searching through the Flemish Research Discipline Standard in a hierarchical manner or, additionally, by keyword search via the terminology and definitions that are accompanying the standard. Third, the Flemish Research Discipline Standard had to be integrated in web portals of the stakeholders and the Flemish Research Information Space. The latter implementation not only involves the mere inclusion in the web interface but also includes the incorporation of the agreed validation rules in the so-called FRIS-R³ environment that is used in order to exchange and validate information before this is transmitted to the FRIS-portal. In addition, as agreed in the FRIS-framework this also includes the retrieval of the Flemish Research Discipline Standard via SOAP-services by the broad public as the FRIS-portal acts as an open data portal. Furthermore, the Flemish Research Discipline Standard is also contained in the Data Governance Centre software of Collibra® (<https://ewi.collibra.com/vocabulary/a102aac6-28b6-432b-ba15-87a83e07e27a#tbt-tabbar-content=terms&tbt-tabbar-meta=com-ments&view=4d85af7a-16db-46c8-8496-3ff8c76e4b90>), as this allows for a dynamic and governed management of the standard as well as the corresponding concordance mappings.

6.0 Towards a discipline classification standard in Flanders: advantages and disadvantages

Hence, from 2019 on, reporting on disciplinary research activities in Flanders will operate based on a standard discipline classification scheme. This transition will be beneficial for the entire research community. Researchers will be able to identify their field of expertise based on a single discipline classification scheme instead of reclassifying their expertise depending on the stakeholder to which one must report. In addition, by providing definitions describ-

ing the semantic borders of each discipline, the used terminology in the context of research disciplines in Flanders will be harmonized within the research community, in the field of research administration and policy as well as in the interaction between these parties. Hence, research administration and policy organizations will implement a single, semantically enriched discipline classification scheme in their databases, allowing institutions and organizations to link the disciplinary classification of different data concepts (e.g., persons, organizations, projects, etc.) directly without making use of conversion schemes in an intra- as well as interorganizational context.

The transition from a diversity of discipline classification schemes to a standard classification system will increase the efficiency of registering and reporting on research activities in the entire Flemish research community. Moreover, as the Flemish Research Discipline Standard contains a hierarchical code system, an automated detection of higher hierarchical levels can be deduced based on the code, which can be used in order to efficiently re-use this information for reporting purposes, which occurs at these higher hierarchical levels. Researchers will thus only have to select the codes of their expertise once, preferably at the most relevant granular level, and consequently these codes can be reused for reporting on any level that is requested. Furthermore, as the Flemish Research Discipline Standard is directly linked to the FORD classification scheme, the use of this standard hence allows for direct reporting to European and other international authorities. At the same time, the standard brings on two additional layers of granularity compared to the FORD-list, thereby providing a solution when a more detailed image needs to be obtained of the research landscape. This places the Flemish Research Discipline Standard in a unique position as the standardization of discipline classification schemes beyond local and country borders is currently trending and many peers are looking for more granular extensions of the FORD-scheme.

A change in data registration does, however, also entail some restrictions. That is, to convert the data that has been encoded with disciplines from a former discipline classification scheme, concordance mappings are applied. The concordance mappings do however not map 1-on-1 from one classification scheme to another. In the process of data transformation, 1-on-n mappings will occur, leading to duplicated data and even more problematically, unless all mapped data points are subjected to expert evaluation, to erroneous mappings. This data registration problem will inherently lead to a breakpoint in any kind of data analysis or evaluation of evolutions that began before the moment that the Flemish Research Discipline Standard was implemented. To limit the impact of these restrictions, quality assurance and monitoring of the converted data are abso-

lutely necessary. In addition, this effect is characteristic for the transition phase and will level out over time.

Notwithstanding these pitfalls, standardization is a necessary step towards interoperable data on research activity on a national as well as on an international level. The interoperability afforded by standards enables new forms of knowledge exchange through which research information can be shared within governments, between governments and higher education institutions, between governments and citizens and between any other relevant party. (International Telecommunication Union 2010). In this context, standardization plays a crucial role in the externalization of research activities to the specialist as well as to the broad public.

7.0 Conclusion

Altogether, the Flemish Research Discipline Standard was developed based on the principles of classification governance (Vancauwenbergh et al. 2016) that allows for the reporting of research information (i.e., persons, projects, organization) at an aggregated level to authorities and is at the same time able to characterize an individual's research portfolio. This Flemish Research Discipline Standard is currently being implemented by the various Flemish stakeholders in the data processes, databases as well as in web portals. In the overall process, specific attention has been paid to the uniform transition of historical data by means of fixed concordance schemes used by all stakeholders. Although the implementation of the first version of the Flemish Research Discipline Standard is almost in place, the standard, together with other research discipline lists worldwide, will be monitored and updated in order to maintain the quality of the list as well as to keep it in line with the dynamics of the research world.

Notes

1. ANZSRC – FOR, Australian and New Zealand Standard Research Classification – Fields of Research (<http://www.abs.gov.au/Ausstats/abs@.nsf/Latestproducts/4AE1B46AE2048A28CA25741800044242?opendocument>)
2. CASRAI, Consortia Advancing Standards in Research Administration Information
3. CSC, China Subject Categories, (http://old.moe.gov.cn//publicfiles/business/htmlfiles/moe/moe_834/201104/116439.html)
4. FAPESP, Fundação de Amparo À Pesquisa do Estado de São Paulo (<http://ipscience-help.thomsonreuters.com/inCites2Live/filterValuesGroup/researchAreaSchema/fapespBrazil/fapespSchema.html>)
5. RSA-RF, Republic of South Africa Research Fields

6. ERC, European Research Council, (<https://erc.europa.eu/sites/default/files/document/file/erc%20peer%20review%20evaluation%20panels.pdf>)
7. OECD (2015). Frascati Manual 2015: Guidelines for collecting and reporting data on research and experimental development, The measurement of scientific, technological and innovation activities, OECD Publishing, Paris. DOI: <http://dx.doi.org/10.1787/9789264239012-en>
8. ANVUR, Agenzia Nazionale di Valutazione del Sistema Universitario e della Ricerca (<https://www.cun.it/documentazione/academic-fields-and-disciplines-list>)
9. SSD, Settore scientifico disciplinare (<http://www.miur.it/UserFiles/115.htm>)
10. ÖFOS, Österreichische Systematik der Wissenschaftszweige (<http://bartoc.org/de/node/1094>)
11. RAE, Research Assessment Exercise (<http://www.rae.ac.uk/aboutus/uoa.asp>)
12. REF, Research Excellence Framework (<https://www.ref.ac.uk/2014/panels/unitsofassessment>)
13. NWO, Nederlandse Organisatie voor Wetenschappelijk Onderzoek (<https://www.nwo.nl/en/funding/funding+process+explained/research+fields>)
14. FWO, Fonds voor Wetenschappelijk Onderzoek, <http://www.fwo.be/media/236854/Disciplinecodes-ENG.pdf>
15. FRIS, Flanders Research Information Space
16. VLIR, Vlaamse Interuniversitaire Raad

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