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# Growth of Sb<sub>2</sub>Se<sub>3</sub> thin films by selenization of RF sputtered binary precursors

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#### Abstract

In this work we present a method to grow  $Sb_2Se_3$  thin films with a potential use as absorber layers in solar cell structures. The films were grown on several substrates: soda-lime glass, Mo coated soda-lime glass and Si . The Sb-Se precursor's films were deposited by RF magnetron sputtering and then selenized under a H<sub>2</sub>Se gas flow. Different selenization temperatures were tested and analysed. Compositional and morphological analyses were performed by Energy Dispersive Spectroscopy and Scanning Electron Microscopy, respectively. Phase identification and structural characterization were done by X-ray Diffraction and Raman scattering spectroscopy showing that  $Sb_2Se_3$  is the dominant phase with an orthorhombic crystalline structure. Traces of rhombohedral and amorphous Se secondary phases were also observed supported by their Se-rich compositions. Visible-NIR reflectance measurements allowed to extract a direct bandgap with a value close to 1.06 eV. Photoluminescence spectroscopy shows an emission with a broad band at 0.85 eV for samples selenized at lower temperatures and an intense peak at 0.75 eV for the sample selenized at higher temperatures. Electrical characterization shows low free hole concentrations and mobilities. At low temperatures, the nearest neighbour hopping is the dominant mechanism for the electronic transport for the analysed samples. Both electrical and optical properties are influenced by the type of defects present on samples. A discussion is made on the properties that need to be improved in order that these films can be integrated into thin film solar cells.

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Keywords: Sb<sub>2</sub>Se<sub>3</sub>, thin film, Raman, XRD.

## 1. Introduction

It is well known that one way of creating an environmentally friendly energy production momentum, which allows mitigating the effects of climate change, is closely linked to the 4 commercial relevance of renewable energy production systems. Photovoltaic (PV) technology can play an important role in this field. Currently dominated by Si-based technology, it has some 7 drawbacks that prevent a greater market presence. High energy 8 payback time, low industrial production rate, and high values of <sup>23</sup> 9 initial investment for a production facilities, among others, are 10 constrains to a higher PV share in the energy production sys-<sup>25</sup> 11 tems in most countries. Due to monolithic integration, lower <sup>26</sup> 12 energy processes and lower material demand, thin film technol- 27 13 ogy presents good arguments to overcome Si technology. CIGS 28 14

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bart.vermang@imec.be (B. Vermang), jose.cunha@inl.int (J.M.V. Cunha), pedro.salome@inl.int (P.M.P. Salomé), paf@isep.ipp.pt (P. A. Fernandes) and CdTe based PV cells are currently the most powerful representatives of thin film technology on the market. However, the solar cells based on these materials present problems related to the scarcity and toxicity of some elements that compose them. Alternative materials are currently been studied, such like  $Cu_2ZnSn(S,Se)_4$ , to be applied as absorber layer in the solar cell structure. But due to its intrinsic complex pentanary structure and restricted growth conditions some difficulties have been encountered. These facts have prevented the production of devices with efficiencies compatible with their commercialization.

Antimony selenide,  $Sb_2Se_3$ , is a semiconductor material that belongs to the  $A_m^V B_n^{VI}$  group, crystallising in an orthorhombic configuration of the Pnma (62) space group [1]. The first research was published in the 50 s, where  $Sb_2Se_3$ , belonging to the same space group of the antimony sulfide,  $Sb_2S_3$ , is investigated and structural parameters of  $Sb_2Se_3$  were estimated [2]. Later, this data was confirmed by other research groups [3, 4], as shown in table 1. As a current standard, the crystal lattice parameters for  $Sb_2Se_3$  are taken to be a = 1.16330 nm, b = 1.1700 nm and c = 0.39850 nm.

Over the years, as the interest in  $Sb_2Se_3$  became stronger, researchers devoted to the synthesis of this compound have doubled in the recent decade. Studies revealed that this material

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lattices param.	ref. [1]	ref. [2]	ref. [3]
<i>a</i> (nm)	1.168	1.171	1.17938
<i>b</i> (nm)	1.158	1.162	1.16478
<i>c</i> (nm)	0.398	0.396	0.39858

Table 1: Comparison of the literature data on the Sb<sub>2</sub>Se<sub>3</sub> cell dimensions.

has excellent electrochemical, opto- and thermo-electric prop-90 38 erties. Sb<sub>2</sub>Se<sub>3</sub> exhibits a direct bandgap between 1.04 - 1.3 eV <sup>91</sup> 39 and optical absorption coefficient higher than 10<sup>5</sup> cm<sup>-1</sup> in the <sup>92</sup> 40 visible region [5], carrier mobility  $\approx 10 \text{ cm}^2 \text{V}^{-1} \text{s}^{-1}$  for minor- <sup>93</sup> 41 ity carriers and, based on the transient absorption spectroscopy, 94 42 a carrier lifetime  $\approx 60$  ns [6]. In addition, it has been discov-<sup>95</sup> 43 ered that Sb<sub>2</sub>Se<sub>3</sub> shows an extremely large magnetoresistance <sup>96</sup> 44 [30]. Based on the electrochemical properties, this material has 97 45 been suggested as an anode material for lithium-ion batteries 98 46 [8] and hydrogen storage materials [9]. Optoelectronic proper-99 47 ties of the material have found applications in optical recording<sup>100</sup> 48 material [10, 11], in thermoelectric devices [12], solar cells and<sup>101</sup> 49 photoelectrochemical cells [13]. At the moment, the best power<sup>102</sup> 50 conversion efficiency value for a Sb<sub>2</sub>Se<sub>3</sub> based thin film solar<sup>103</sup> 51 cell with a substrate/FTO/ZnO/Sb<sub>2</sub>Se<sub>3</sub>/Au structure configura-104 52 tion is 5.93 % [14]. However, theoretically calculated gaps with<sup>105</sup> 53 the ideal Shockley-Queisser value predicted that the efficiency<sup>106</sup> 54 of a solar cells based on Sb<sub>2</sub>Se<sub>3</sub> can surpass 30 % [15]. In ad-107 55 dition to efficiency of solar cells, the critical parameter for de-108 56 velopment of such devices is its production cost. Here, Sb<sub>2</sub>Se<sub>3</sub><sup>109</sup> 57 also satisfies necessary criteria as antimony and selenium are110 58 111 widespread and rather cheap elements. 59

Among Sb<sub>2</sub>Se<sub>3</sub> thin-film growth technology, the most common are thermal evaporation [16–20], spin coating [21], chemical bath deposition [22], ionic layer adsorption and reaction methods [23], spray pyrolysis [24], reactive pulsed laser deposition [8], electrodeposition [25, 26], DC magnetron sputtering [29], resistance-heated floating zone furnace [30], just to mention the most used ones.

In this work, we use a method of growing Sb<sub>2</sub>Se<sub>3</sub> thin films 67 based on the deposition of Sb-Se precursors by RF-magnetron 68 sputtering followed by an selenization step in a H<sub>2</sub>Se gas atmo-69 sphere. The films are prepared on different substrates: soda 70 lime glass (SLG), SLG/Mo and Si. We report the effect of 71 the selenization temperatures on the properties of the films. 72 The crystalline structure of the films has been studied by X-73 ray diffraction and Raman scattering spectroscopy. The charac-74 terization of the morphology is done by scanning electron mi-75 croscopy. Spectrophotometry measurements allowed the study 76 of the optical behaviour of the samples and the determination 77 of the bandgap energies of the compounds. The optoelectronic 78 properties of the samples are also investigated using photolu-79 minescence spectroscopy, Hall effect and electrical resistivity 80 measurements. 81

## 2 2. Experimental Methods

## 2.1. Preparation of the films

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The growth method is based on two steps. The first one refers to the deposition of the binary precursor layer,  $Sb_xSe_y$ , by RF magnetron sputtering. The chalcogen incorporation, Se, the composition adjustment and, the selenization process, which allows the crystalline phase formation, are performed in the second stage. In this work we used three types of substrates:  $3 \times 3$  cm<sup>2</sup> soda lime glass (SLG),  $2.5 \times 2.5$  cm<sup>2</sup> Mo coated SLG and 2.5  $\times$  2.5 cm<sup>2</sup> p-Si (100) without removal of the native oxide. The Mo layer thickness is near 350 nm [27]. The samples preparation process begins with the substrate cleaning, with successive ultrasound baths of acetone/alcohol/deionised water. This process ends with the substrate being dried with a N<sub>2</sub> flow. Next, the precursor layers were deposited directly on the different substrates using an Ar atmosphere at an operating pressure of  $5 \times 10^{-3}$  mbar. The Sb<sub>2</sub>Se<sub>2</sub> target purity was 99.99%. To avoid target excessive thermal stress or cracking, a low energy density of 0.86 Wcm<sup>2</sup> was used. The precursor film thicknesses of 900 nm was confirmed by contact profilometry described in next section. For the second stage, a rapid thermal furnace system was used. The samples were placed in a susceptor box and submitted to the selenization process depicted in Figure 1. After a quick initial step at 150 °C, the temperature is raised at a rate of 1 °C/s until the maximum temperature is reached. In this work we tested three different maximum temperatures, 300, 350 and 400 °C. This last step has a duration of 900 s. During ramping and fixed-temperature steps a constant H<sub>2</sub>Se gas flow at 200 sccm is used as the Se supplier. After this step the H<sub>2</sub>Se gas flow is closed and the furnace is subjected to a rapid cooling. During this step the furnace chamber is filled with a mixture of  $N_2$  and  $H_2$ .

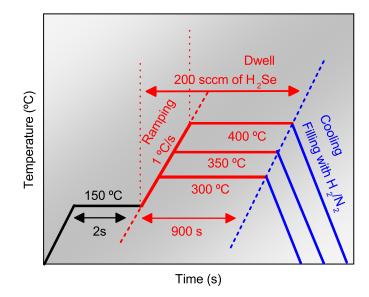


Figure 1: Temperature profiles for the selenization process with three different tested maximum temperatures (300, 350 and 400 °C). The  $H_2$ Se gas flux was 200 sccm during the heating ramp and the fixed-temperature step.

## 114 2.2. Sample characterization

The composition of the films was analysed by EDS us-115 ing a Rontec EDS system coupled to a High-resolution No-116 vaNanoSEM 650 SEM system. The accelerating voltage used 117 for the EDS measurements was 30 kV. The same system was 118 used to surface SEM imaging, but with a acceleration voltage 119 of 10 kV. XRD was done in Bragg-Brentano  $\theta$ -2 $\theta$  configuration 120 121 with a PanAnalytical X Pert PRO MRD system with a  $CuK_{\alpha}$ line of 1.5406 Å with the following generator settings: current 122 intensity 40 mA and voltage 45 kV. To measure the precursors'<sub>167</sub> 123 roughnesses and thicknesses a KLA TENCOR P-16+ contact,168 124 profilometer was used. Raman scattering experiments were car-125 ried out at room temperature (RT) using a Jobin-Yvon LabRa-170 126 man HR800 spectrometer equipped with a multi- 126 chan-171 127 nel Peltier cooled (-70 °C) CCD detector, in the backscattering,172 128 geometry, and using the 632.8 nm excitation line of a HeNe<sub>173</sub> 129 laser. The sample surface was focused with an objective of  $50x_{174}$ 130 (N.A=0.50; WD=10.6 mm), and the incident power was var-131 ied from 70  $\mu$ W to 790  $\mu$ W. The visible-NIR reflectance spectra<sub>176</sub> 132 were recorded at RT, using a dual-beam spectrometer Lambda<sub>177</sub> 133 950, (Perkin-Elmer) with a 150 mm diameter Spectralon in-178 134 tegrating sphere. The photoluminiscence (PL) was measured<sub>179</sub> 135 with the help of a Bruker Vertex 80v Fourier transform infrared, 136 spectrometer equipped with an InGaAs detector. The samples<sub>181</sub> 137 were inserted in a He flux cryostat, at 7 K and under He at-182 138 mosphere. The PL was excited using the 457.9 nm line from<sub>183</sub> 139 a DPSS laser (CVI Melles Griot), non-focused on the sample,184 140 surface. The excitation power value was 200 mW and mea-185 141 sured near the entrance window of the cryostat. The electri-186 142 cal transport properties of the samples deposited in SLG sub-187 143 strates were investigated by Hall effect and electrical resistivity. 144 Ohmic gold contacts were used. Due to the elevated resistivity<sub>189</sub> 145 of the samples, the free hole concentration and mobilities were 146 only determined at 400 K, while the resistivity was measured<sub>191</sub> 147 as a function of temperature. 148 192

## **3. Results and discussion**

#### 150 3.1. Composition and morphology analysis

The sample's composition was analysed by EDS. Table 2<sup>198</sup> 151 shows the results of the composition ratio of Se over Sb,<sup>199</sup> 152 [Se]/[Sb]. The first row refers to the as-deposited films, *i e*, the 153 precursors samples before the selenization process. All samples<sup>200</sup> 154 are Se poor, with ratio values close to 1.13. These values can201 155 be considered the same within the measurement errors. Addi-202 156 tional incorporation of chalcogen element is observed after the203 157 selenization process. In general, [Se]/[Sb] increases for all tem-204 158 perature profiles and for the three tested substrates. Neverthe-205 159 less, a substantial difference can be observed when the results206 160 of the samples with Si substrate are compared with the SLG<sub>207</sub> 161 and SLG/Mo counterparts. For Si substrates the ratio is close208 162 to 1.6 which is close to the stoichiometry value of 1.5. For the209 163 other two tested substrates, the amount of detected Se is higher,210 164 approximately 1.95. In all cases the selenization temperature<sub>211</sub> 165 does not seem to have a significant effect on the incorporation212 166

Max. Selenization temp.	300 °C	350 °C	400 °C
precursors	1.14	1.12	1.14
Substrate - SLG	1.91	2.12	1.93
Substrate - SLG/Mo	1.95	2.11	1.93
Substrate - Si	1.56	1.61	1.62

Table 2: Composition ratio [Se]/[Sb] for precursors and selenized samples at a maximum temperature of 300  $^{\circ}$ C, 350  $^{\circ}$ C and 400  $^{\circ}$ C with three different substrates: SLG, SLG/Mo and Si.

of additional Se in the films. At least considering the error margin of the EDS and that it is semi-quantitative technique. The fact that Si substrate samples have less Se that the others could be related with its thermal conductivity properties.

Surface morphology was investigated using SEM imaging. Figure 2 shows the surface morphology of the precursor layer of the sample selenized at 300 °C on SLG. A regular surface and small grains, with dimensions below 100 nm, can be observed. Figure 3 shows the results for the sample selenized at 300 °C and 400 °C with the three types of substrates. In general, all samples present an increase of the grain size with temperature. This increase can be confirmed by the statistical analysis presented in Figure 4. Based on previous SEM images, a grain contour definition procedure allowed to estimate the grain area and determine the area distribution for each case. As example, shown in Figure 4, samples selenized at 300 °C and 400 °C with SLG/Mo substrates, the peak of the gaussian distribution show a clear trend for higher areas,  $0.25 \rightarrow 0.50 \,\mu\text{m}^2$ , when the selenization temperature increases. Comparing the results observed in Figure 3 between the three types of substrates, Si ones show a more significant and regular grain increase, which can be related with the thermal properties of this type of substrates allowing for a different thermal budget or with the increased flatness of these substrates compared with SLG and SLG/Mo. Small grains can be observed in the sample selenized at 400 °C with SLG substrate. Localized EDS measurements, confirmed higher Na contents when compared with the average composition, which can be explained by the diffusion of this alkali metal from the SLG substrates [28]. These grains were not observed in the other samples. Sparsely distributed needle-shaped crystallites can be observed in sample with SLG/Mo. Point EDS measurements indicated close to stoichiometric Sb<sub>2</sub>Se<sub>3</sub> composition.

## 3.2. XRD charaterization

The structural analysis was performed using XRD and Raman scattering techniques. As shown in Figure 5,  $Sb_2Se_3$  is the predominant crystalline phase in all the samples. This binary compound has a orthorhombic crystalline structure which belongs to the Pmna (62) space group. The most important reflections occur at  $2\theta$  equal to  $16.8^\circ$ ,  $23.9^\circ$ ,  $27.3^\circ$  and  $34.0^\circ$ , corresponding to the orientation planes (2 0 1), (3 0 1), (3 0 2) and (4 0 2), respectively [31]. For the tested selenization temperatures, this growth method does not seem to follow columnar orientation growth, as published in the literature [20]. For all studied synthesis conditions, the samples follow a planar growth, shown by the (2 0 1) peak intensity when compared

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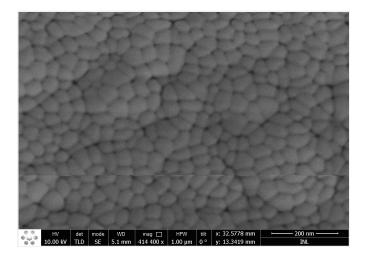


Figure 2: SEM image of a precursor surface sample with SLG substrate.

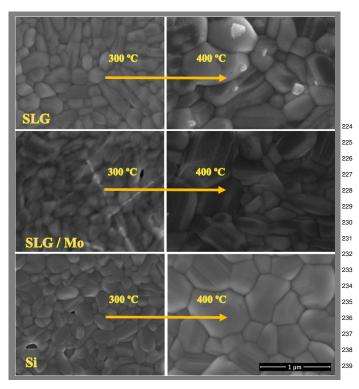


Figure 3: Surface SEM micrographs of the samples selenized at 300  $^{\circ}$ C and 400  $^{\circ}$ C with the three types of substrates.

with the powder pattern and the other peaks. In general, the244 213 sharpness of the peaks attributed to this phase increase with245 214 temperature, meaning that crystallinity increases, as expected.246 215 This behaviour is observed for all three substrate types. Re-247 216 flections from secondary phases, such as Sb and MoSe2, are248 217 visible in samples with SLG/Mo substrates. The crystallisation249 218 of MoSe<sub>2</sub> in a region close to Sb<sub>2</sub>Se<sub>3</sub>/Mo interface can explain<sup>250</sup> 219 the formation of Sb phase as MoSe<sub>2</sub> might deprive Sb of Se.251 220 In other systems it has been reported that the chalcogenation<sub>252</sub> 221 of the back contact leads to lack of chalgogen atoms in the ab-253 222 sorber layer [32]. Further tests are needed to confirm this state-254 223

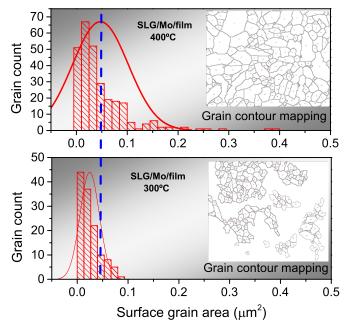


Figure 4: Grain area histogram for the samples selenized at 300  $^{\circ}$ C and 400  $^{\circ}$ C with SLG/Mo substrates. Inset plot: Grain contour mapping used for area analysis

ment. The diffractrograms shown in Figure 5 from sample with SLG/Mo and Si substrates also present contributions from the substrates layers. For Mo the reflection is located 40.5° and for Si the peaks are at 47.5° and 56.1° according to ICDD database [31]. Some artifacts from the Al sample holder are also visible in these results.

It must be highlighted that in literature  $Sb_2Se_3$  space group is commonly identified as Pbnm, where the non-conventional settings cba axis is adopted to define the unit cell. In this work the XRD indexation was done by using the conventional settings abc, whose the space group has the symbol Pnma. Both Pnma and Pbnm are space groups symbols of the orthorhombic system, corresponding to the same symmetry (N° 62), and are related via a simple transformation [33]. The differences are evident when comparing orientation planes previously referred with the ones published [20].

## 3.3. Raman scattering results

Raman scattering characterization of the sample selenized at 300 °C with SLG substrate is shown in Figure 6. Two different spots are presented, corresponding to a) a stoichiometric composition region, and to b) a Se-rich region of the sample. In the first case, the collected Raman spectrum is in agreement with previously reported literature [37]. According to these authors, the most intense peak at 188 cm<sup>-1</sup> is characteristic of Sb-Se stretching mode and it is pointed as an A<sub>g</sub> mode. The peak at 150 cm<sup>-1</sup> can be associated to Sb-Sb bonds, corresponding to the B<sub>1g</sub> mode. The vibration modes at 120 and 210 cm<sup>-1</sup> are related to Se-Se bonds, which are assigned to A<sub>g</sub> modes. The graph for Se-rich region show additional features located close to 70, 102, 129, and 252 cm<sup>-1</sup>, which are assigned to Se with a rhombohedral structure [34]. A small shoulder close to

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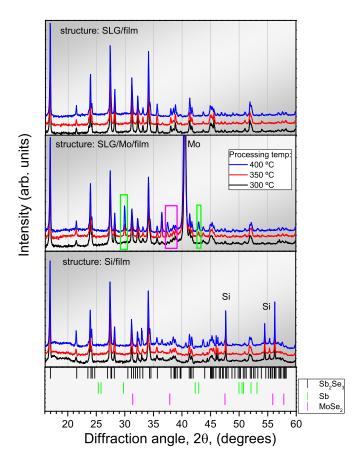


Figure 5: XRD diffractograms of the studied samples with the three different substrate. Blue colored results correspond to maximum selenization temperature of 400 °C, red to 350 °C and black to 300 °C. Colored boxes highlight traces of Sb and  $MoSe_2$  crystalline phases for green and magenta colors, respectively. Contributions from the substrates are also visible, such as Mo and Si. Phase assignment was done using the ICDD database [31].

the 252 cm<sup>-1</sup> peak is visible indicating that amorphous Se can 255 also be present in this sample [35]. The power used in these  $_{275}$ 256 measurements was maintained as low as possible to avoid the 257 sample oxidation. This issue is addressed in more detail else-276 258 where [38]. When comparing these results with the XRD ones,277 259 it is noted that Raman scattering technique can detect Se excess278 260 in the composition. Using this characterization technique, small<sub>279</sub> 261 amounts of Se in an amorphous and/or in a crystalline phase can280 262 be detected. Although low laser power has been used, it is very<sub>281</sub> 263 likely that part of the detected crystalline Se has been formed282 264 during the measurement process. Sparse amorphous and crys-283 265 talline Se in low quantities are more difficult, if not impossible,284 266 to appear in XRD diffractograms. Measurements performed in285 267 the other samples did not show relevant differences in the re-286 268 sults. Such fact is in accordance with the XRD measurements287 269 that show good crystal quality for all films. For the sample with288 270 Mo/SLG substrate, we would not expect either the presence of 2899 271 MoSe<sub>2</sub> as this phase is located in the rear of the film which is 272 not accessible by the Raman probing volume due to the high 273

light absorption of the Sb<sub>2</sub>Se<sub>3</sub> compound [39].

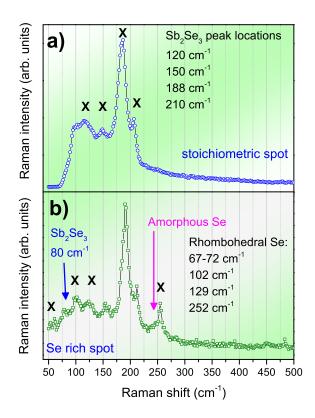


Figure 6: Results of the Raman scattering characterization of the sample selenized at 300 °C with a SLG substrate. Two different spots are shown a) stoichiometric composition where  $Sb_2Se_3$  raman modes are present with the main peaks at 150 and 188 cm<sup>-1</sup> and b) Se-rich region with additional rhombohedral Se peak signatures according to ref. [34, 37, 38]

## 4. Reflectance and Photoluminescence characterization

In Figure 7 it is presented the reflectance spectra of the three samples grown on p-Si substrate. Si was the substrate of choice due to its well known optical properties and due to the increased flatness of the resulting layers which simplifies significantly optical interpretation due to a lesser influence of diffuse properties. The experimental results show that the percentage of reflected light varies from sample to sample. This behaviour can be attributed to the differences in the roughness and the thickness of each sample. By comparing the reflectance spectra of all samples, the shape is found to be similar. Two regions are identified, one between 960-1060 nm and another between 1060-1200 nm, where the reflectance increases abruptly. The first one corresponds to the absorption edge of the silicon substrate and the latter one to the absorption edge of Sb<sub>2</sub>Se<sub>3</sub>.

To estimate the bandgap in which only the reflection spectra from the film side is measured, we use the approach reported

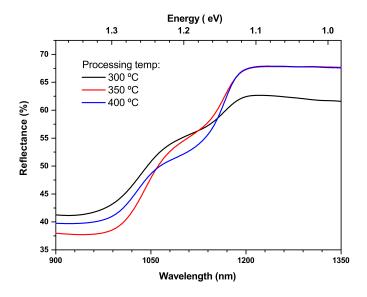


Figure 7: Room Temperature Reflectance spectra of  $\text{Sb}_2\text{Se}_3$  the films grown on p-Si substrate.

by V. Kumar *et al* [40]. When the thickness is large compared <sup>319</sup> with the wavelength, the absorption coefficient can be related  $^{320}_{321}$ with the reflectance by: <sup>322</sup>

$$2\alpha t = ln(\frac{R_{max} - R_{min}}{R - R_{min}})$$
(1)<sup>323</sup>  
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where *t* is the film's thickness,  $R_{max}$  and  $R_{min}$  are the maximum<sub>326</sub> and the minimum Reflectance in the reflection spectra, respec-<sub>327</sub> tively and *R* the reflectance at a given energy (*hv*), being *h* and<sub>328</sub> *v* the Planck's constant and the frequency, respectively.

It is well known that the absorption coefficient is proportional<sub>330</sub> to  $\propto (h\nu - E_g)^{0.5}$  for a direct gap material and  $\propto (h\nu - E_g)^2_{331}$ for an indirect gap material [41]. Thus, plotting  $[ln(\frac{R_{max}-R_{min}}{R-R_{min}})]^2_{322}$ versus  $h\nu$  is possible by using Tauc's plot to estimate the direct<sub>333</sub>  $E_g$ . In a similar way, plotting  $[ln(\frac{R_{max}-R_{min}}{R-R_{min}})]^{0.5}$  versus  $h\nu$  allows<sub>334</sub> extracting the indirect  $E_g$ .

Both plots were tested and the results have shown that within<sub>336</sub> 300 Sb<sub>2</sub>Se<sub>3</sub> absorption spectral range the direct bandgap are well<sub>337</sub> 301 fitted by a straight line as illustrated in Fig. 8 for sample se-338 302 lenized at 300 °C. The Tauc's plots yield to a direct bandgap<sub>339</sub> 303 of 1.06±0.01 eV for this sample. The value obtained is close<sub>340</sub> 304 to the bulk value previously reported [42]. Similar values<sub>341</sub> 305 are extracted for samples selenized at higher temperatures,342 306 1.06±0.03 eV and 1.06±0.06 eV, for 350 °C and 400 °C, re-343 307 spectively. This analysis led to the same value of the bandgap<sub>344</sub> 308 energy for the three samples grown on Si, which agrees with the345 309 close stoichiometry values obtained for these samples. Such<sub>346</sub> 310 fact is also in agreement with the XRD and Raman measure-347 311 ments that show both good crystalline quality and the presence348 312 of the Sb<sub>2</sub>Se<sub>3</sub> phase. It should also be noted that a bandgap<sub>349</sub> 313 around 1 eV, is a value that is compatible with efficient solar<sub>350</sub> 314 cells. 315 351

The inset graph of Fig. 8 shows the Tauc's plot for the in-352 direct bandgap within silicon's absorption spectral range of Si353 substrate of sample processed at 300 °C. As expected, the data354

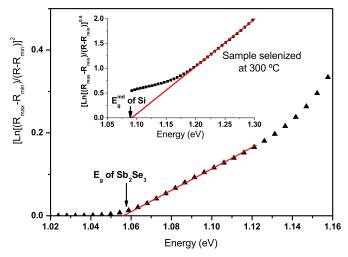


Figure 8: Room Temperature energy bandgap of  $Sb_2Se_3$  film for the sample selenized at 300 °C grown on a p-Si substrate. The inset graph shows the Tauc's plot for the indirect bandgap of Si substrate.

are well fitted by a straight line, yielding to an indirect gap of  $1.09\pm0.01$  eV. This value is lower than the value of 1.12 eV (300 K), commonly reported for intrinsic bulk silicon [43]. We consider that this difference is a consequence of the bandgap narrowing due to the p doping [44, 45] of the Si substrate used.

Figure 9 a) shows the PL spectra measured at 7 K for the three samples with Si substrate. For all spectra, sharp radiative transitions related with the Si substrate (p-type) are observed, and correspond to free and bound excitons recombinations involving one (TO) or two (TO+ $O^{\Gamma}$ ) phonons[46]. An unidentified sharp line at 1.06 eV is also commonly observed in Si [47]. For samples selenized at 300 °C and 350 °C, the luminescence is dominated by a broad and asymmetric band with peak energy at ~0.85 eV and a full width at half maximum (FWHM) of ~100 meV. A shoulder at ~0.75 eV is observed. In the case of sample selenized at 400 °C, this shoulder dominates the spectrum and the relative intensity of the band at  $\sim 0.85 \text{ eV}$ decreases significantly. This behaviour, along with the large FWHM, suggests a possible relation with defects [47]. On the other hand, the increase of the relative intensity of the band at ~0.75 eV for the highest selenization temperature could reflect a better crystalline quality and increase of the grain size in that film. Additionally, it is observed a decrease of the relative intensity of the Si related sharp lines with the increase of the growth temperature which can be related with a higher thickness of the film and, consequently, a higher absorption of the incident photons in the film. Futhermore, we note that the emissions are in agreement with the estimated bandgap of the films as the luminescence must come from energy values lower than the materials bandgap. The PL measurements were also extended to samples that use SLG as a substrate, which is relevant for the analysis of the electrical measurements described in next section. Figure 9 b) shows the PL spectra for the three different selenization temperatures. For 300 °C, the luminescence is dominated by the band at  $\sim 0.85$  eV, whereas with the increase of temperature the relative intensity of this

Max. Selenization temp.	300 °C	350 °C	400 °C	379
$p (\times 10^{13} \text{ cm}^{-3})$	9	570	100	380
$\mu$ (cm <sup>2</sup> v <sup>-1</sup> s <sup>-1</sup> )	4.5	0.7	4.8	381
$\rho (\Omega \text{ cm})$	15381	1635	1290	382
$N_A (cm^{-3})$		$1 \times 10^{15}$	$1.6 \times 10^{19}$	383

Table 3: Electrical characterization results: free hole concentration, p, mobility,  $\mu$ , resistivity  $\rho$  and, acceptor concentration,  $N_A$ , measured at 400 K, for the samples deposited in SLG substrates.

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band is strongly reduced. For the sample selenized at 350 °C, a 355 shoulder at  $\sim 1.0$  eV is observed. In comparison with the lumi-356 nescence for samples with the Si substrate, the signal-to-noise 357 ratio is lower in the PL spectra measured in the case of SLG 358 substrates. This suggest a possible influence of the substrate 359 on the density of defects in the films. The observation of lu-360 minescent is quite important as it is a good indication of good 361 optoelectronic properties relevant to solar cell devices. 362

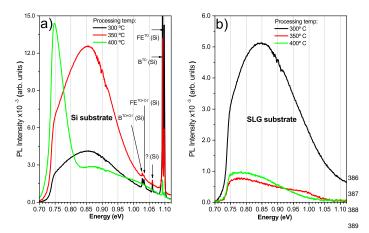


Figure 9: a) PL spectra measured at 7 K for samples with Si substrate selenized<sup>390</sup> at 300 °C, 350 °C and 400 °C, under an excitation of 200 mW. The observed<sup>391</sup> sharp lines are related with the Si substrate. The sharp line at 1.06 eV is an<sub>392</sub> unidentified transition in Si. b) PL spectra measured at 7 K for samples grown on SLG substrates, selenized at 300 °C, 350 °C and 400 °C, under excitation of 200 mW.

### **363 5. Electrical characterization**

The free hole concentration, p, mobility,  $\mu$ , and resistivity,  $\rho$ ,<sup>399</sup> measured at 400 K, for the samples deposited in SLG substrates<sup>400</sup> are reported in table 3. As mentioned, for these measurements,<sup>401</sup> non conductive substrates are needed and SLG was chosen due<sup>402</sup> to is insulating nature.<sup>403</sup>

As shown from these measurements, all samples present low<sup>404</sup> values of carrier concentration and mobility. However, there<sup>405</sup> are not clear correlations between selenization temperature and<sup>406</sup> electrical transport parameters apart from an increase in the free<sup>407</sup> hole concentration by almost two orders of magnitude.

Figure 10 shows the electrical resistivity and all three samples show an Arrhenius behaviour at high temperatures with the same activation energy around 0.5 eV. While in the sample selenized at 400 °C this behaviour appears in the 400 K - 250 K range, for the lowest selenization temperature samples, that range is extended to 170 K. According to the literature, and considering a slow variation of the free carrier mobility with temperature, the activation energy of the resistivity in this region should correspond to Eg/2 [48], confirming the values of  $Eg \approx 1 \text{ eV}$  above reported. Similar results were already reported for Sb<sub>2</sub>Se<sub>3</sub> thin films prepared by thermal evaporation [49] and rapid thermal evaporation [6].

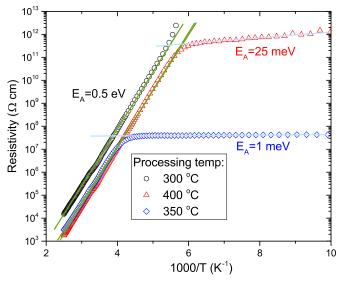


Figure 10: Electrical resistivity as a function of the reciprocal temperatures for the the samples with SLG substractes selenized at 300  $^{\circ}$ C, 350  $^{\circ}$ C and 400  $^{\circ}$ C.

At lower temperatures the slope of the resistivity curve strongly decreases, for the samples selenized at 350 °C and 400 °C, to an activation energy of a few meV. A similar behaviour was also observed for Sb<sub>2</sub>Se<sub>3</sub> thin films prepared by rapid thermal evaporation [6]. In reference [6] the resistivity activation energy in this temperature range is associated to shallow acceptors (Se<sub>Sb</sub>) and Mott variable range hopping  $(\rho \approx Exp[(To/T)^{1/4}])$  [50–52]. The expected 1/4 critical exponent of Mott variable range hopping was not observed at low temperatures, instead an Arrhenius dependency with low activation energy was found, see Figure 10. At low temperatures the ionization of shallow acceptors is reduced, as well as the electrical transport by free holes. However, if compensation is present, some of the acceptors and donors in the semiconductor are ionized and holes can hop between spatially fluctuated acceptor levels by emitting or absorbing phonons. Such hopping is more provable between nearest neighbors and this type of charge transport mechanism is called nearest-neighbor hopping (NNH). In order to observe variable range hopping a further decrease in temperature is usually necessary [51, 52]. Both, Arrhenius dependency of the resistivity with temperature and lower thermal activation energy are characteristics of NNH electrical transport [51, 52]:

$$\rho_{\rm NNH} = \rho_0 \cdot Exp(\frac{E_A}{k_B T}) \tag{2}$$

$$E_A = 0.99 \cdot e^2 \cdot \frac{N_A^{1/3}}{4\pi\epsilon} \tag{3}$$

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where  $\rho_{\text{NNH}}$  is the resistivity of the sample due to NNH,  $\rho_0$  is a<sup>464</sup> 409 constant,  $N_A$  is the acceptor concentration,  $E_A$  is the activation<sub>465</sub> 410 energy for hole hopping and  $\epsilon$ , equal to 14.3, is the dielectric<sub>466</sub> 411 permittivity of Sb<sub>2</sub>Se<sub>3</sub> [6]. By fitting the experimental data with<sup>467</sup> 412 eq. 2 and using eq. 3 the acceptor concentration in the samples<sub>468</sub> 413 selenized at 350 °C and 400 °C was found and reported in Table469 414 3. Such values of  $N_A$  allow for the fabrication of devices like 415 diodes and solar cells. However, depending on the band align-416 ment, higher values of  $N_A$  would be required in order to obtain<sup>470</sup> 417 high built-in voltages that allow for high values of open circuit 418 voltages in solar cells. Such increase of doping in chalcopy-471 419 rite materials is usually obtained by adding alkali elements that472 420 change the compensation ratio [28]. 421

At high temperatures the electronic transport is usually dom-474 422 inated by the grain boundaries in polycrystalline semiconduc-475 423 tors. Even that the charge carrier mobility is sensible to all de-476 424 fects and scattering mechanism present in the samples, in this477 425 context the grain boundary scattering dominates over the rest<sup>478</sup> 426 [51, 53]. Therefore, the increase in the hole mobility value from<sup>479</sup> 427 the 300 °C to the 400 °C sample is probably due to the reduc-480 428 tion of the grain potential barrier height accompanied by the in-481 429 crease in grain size, in agreement with SEM observations. PL is482 430 also sensitive to several types of defects in semiconductor ma-483 431 terials [41]. The observed quenching in the PL measurements<sup>484</sup> 432 (see Figure 9) of the band at 0.85 eV as selenization tempera-485 433 ture increases is probably related with the reduction of surface 434 traps at the grain boundaries (particular for the sample selenized 435 at 400 °C). However, other defects should be also involved in 436 the recombination mechanism behind that broad band. Fur-487 437 ther studies are necessary to deeply understand both, the car-488 438 rier transport and recombination mechanisms in polycrystalline489 439 490 Sb<sub>2</sub>Se<sub>3</sub> samples. 440 491

## 441 6. Conclusion

In this work, it is shown that a RF magnetron sputtering and<sup>495</sup> 442 subsequent selenization process is suitable to grow Sb<sub>2</sub>Se<sub>3</sub> films<sup>300</sup><sub>497</sub> 443 with good crystal and optoelectronic quality. Some composi-498 444 tional and morphological differences are observed when com-499 445 paring the films grown on SLG, SLG/Mo and Si substrates. The\_---446 latter gives compositions close to stoichiometry and more reg-447 ular grains with increasing selenization temperatures. As ex-503 448 pected, a general increase in grain size is observed for all sub-504 449 strates with increasing values of selenization temperature.  $XRD_{506}^{505}$ 450 results show that with this growth method no columnar orien-507 451 tation is observed. Raman scattering detected localized pres-508 452 ence of rhombohedral and amorphous Se, which is consistent<sup>509</sup> 453 with the EDS measurements and expected condensation of  $\mathrm{Se}_{_{511}}^{_{510}}$ 454 during the cool down of the selenization process. Optical mea-512 455 surements done on the samples with Si substrates allowed us to513 456 extract a direct bandgap close to 1.06 eV for the tested seleniza-514 457 tion temperatures. Photoluminiscence performed in the same 516 458 samples present a dominant, broad band at ~0.85 eV for sam-517 459 ples selenized at 300 °C and 350 °C and, a sharper and intense518 460 peak close to 0.75 eV for the sample selenized at 400 °C. An\_---461 520 intense peak with an energy close to the bandgap value is a rele-462 vant feature in materials for application in solar cells. Electrical522 463

characterization of the samples grown on SLG substrates show low free hole concentrations and low mobilities. The study indicates that for low temperature regime the electronic transport is due to nearest-neighbour hopping. The low values obtained for acceptor concentrations pointed out that doping and compensation issues should be addressed in future work.

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