

Pathological myopia classification with simultaneous lesion  
segmentation using deep learning

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30 Abstract

31 Background and Objectives: Pathological myopia (PM) is the seventh leading cause of blindness, with  
32 a reported global prevalence up to 3%. Early and automated PM detection from fundus images could  
33 aid to prevent blindness in a world population that is characterized by a rising myopia prevalence. We  
34 aim to assess the use of convolutional neural networks (CNNs) for the detection of PM and semantic  
35 segmentation of myopia-induced lesions from fundus images on a recently introduced reference data  
36 set.

37 Methods: This investigation reports on the results of CNNs developed for the recently introduced  
38 Pathological Myopia (PALM) dataset, which consists of 1200 images. Our CNN bundles lesion  
39 segmentation and PM classification, as the two tasks are heavily intertwined. Domain knowledge is  
40 also inserted through the introduction of a new Optic Nerve Head (ONH)-based prediction  
41 enhancement for the segmentation of atrophy and fovea localization. Finally, we are the first to  
42 approach fovea localization using segmentation instead of detection or regression models. Evaluation  
43 metrics include area under the receiver operating characteristic curve (AUC) for PM detection,  
44 Euclidean distance for fovea localization, and Dice and F1 metrics for the semantic segmentation tasks  
45 (optic disc, retinal atrophy and retinal detachment).

46 Results: Models trained with 400 available training images achieved an AUC of 0.9867 for PM  
47 detection, and a Euclidean distance of 58.27 pixels on the fovea localization task, evaluated on a test  
48 set of 400 images. Dice and F1 metrics for semantic segmentation of lesions scored 0.9303 and  
49 0.9869 on optic disc, 0.8001 and 0.9135 on retinal atrophy, and 0.8073 and 0.7059 on retinal  
50 detachment, respectively.

51 Conclusions: We report a successful approach for a simultaneous classification of pathological myopia  
52 and segmentation of associated lesions. Our work was acknowledged with an award in the context of  
53 the "Pathological Myopia detection from retinal images" challenge held during the IEEE International  
54 Symposium on Biomedical Imaging (April 2019). Considering that (pathological) myopia cases are  
55 often identified as false positives and negatives in glaucoma deep learning models, we envisage that  
56 the current work could aid in future research to discriminate between glaucomatous and highly-myopic

57 eyes, complemented by the localization and segmentation of landmarks such as fovea, optic disc and  
58 atrophy.

59 Key words: pathological myopia, fovea localization, peripapillary atrophy, retinal detachment,  
60 convolutional neural network, fundus image, glaucoma

## 61 Introduction

62 Myopia or nearsightedness currently affects approximately 34% of the world population.<sup>1</sup> High myopia,  
63 often defined as a spherical equivalent that exceeds -6.00 diopter or an axial length of 26.5mm or  
64 more, has a prevalence ranging from 1% in African Americans<sup>2</sup> and up to 5.5% in the Japanese Tajimi  
65 study<sup>3</sup>. Approximately 1-3% of the world population develops vision-impairing macular lesions (lacquer  
66 cracks, choroidal neovascularization, and Fuchs spots) as a result of high myopia, referred to as  
67 myopic maculopathy.<sup>4,5</sup> Both the presence of myopic maculopathy and posterior staphyloma are used  
68 to define pathological myopia (PM), which causes uncorrected and irreversible visual impairment.<sup>6</sup>  
69 Other retinal changes due to myopia include: fundus tessellation, (peripapillary) atrophy, optic disc  
70 tilting, retinal tear and retinal detachment. Additionally, myopia increases the risk of developing open-  
71 angle glaucoma<sup>7</sup>, presumably because myopic eyes have thinner and weaker lamina cribrosa tissue<sup>8</sup>.  
72 Optic nerve head (ONH) changes such as temporal disc flattening and tilting<sup>9</sup>, as a consequence of  
73 myopia, hampers glaucoma detection through ONH assessment during fundoscopy or fundus image  
74 analysis<sup>10</sup>. Peripapillary atrophy (PPA), being attenuation of retinal pigment epithelium (RPE)  
75 neighboring the ONH, is associated with both myopia and glaucoma, and is one of the causes for a  
76 high number of myopic patients being diagnosed as glaucoma suspects.

77 Previous work on automated pathological myopia detection from retinal images is limited. Liu et al  
78 described a methodology dubbed PAMELA (Pathological Myopia Detection Through Peripapillary  
79 Atrophy), in which a support vector machine (SVM) is trained using exclusively PPA texture features  
80 from fundus images.<sup>11</sup> They reported sensitivity and specificity of 0.85 and 0.90, respectively, on 40  
81 test images. As mentioned above, PPA is not unique to pathological myopia, and not the only retinal  
82 change induced by the disease.

83 Zhang et al also employed an SVM, but expanded on the feature set by incorporating additional retinal  
84 information such as ONH-related parameters and socio-demographic variables including age and  
85 race.<sup>12</sup> 10-fold cross validation led to accuracies ranging from 84.9% to 89.3% on a private data set  
86 encompassing imaged eyes of 800 primary school students.

87 Deep learning-based classification of pathological myopia has not been previously explored, although  
88 convolutional neural networks (CNNs) are showing great potential in ophthalmic research for disease  
89 identification and staging<sup>13</sup>. Relevant for this manuscript is refraction estimation from fundus images  
90 using deep learning by Varadarajan et al., who developed a regression model that estimates refractive  
91 error with high accuracy (<1 diopter mean absolute error).<sup>14</sup> Their approach could be useful in  
92 stratifying fundus images into emmetropia (normal refraction), hyperopia (farsightedness), myopia  
93 (nearsightedness), and high myopia (exceeding -6.0 diopters). The last group could then be further  
94 analyzed to detect myopia-induced lesions.

95 Semantic segmentation or pixel-wise classification has experienced major advances through the  
96 introduction of fully convolutional networks (FCN) in 2015.<sup>15</sup> For fundus images, ample FCN-based  
97 segmentation networks have been described in popular tasks like vessel extraction<sup>16</sup>, artery/vein  
98 discrimination<sup>17</sup>, and optic cup/disc estimation<sup>18</sup>. Recent work on retinal lesion segmentation in fundus  
99 images is dominated by microaneurysms, hard exudates and cotton wool spots induced by diabetic  
100 retinopathy.<sup>19</sup> Segmentation of myopia-related lesions (e.g. PPA) from fundus images has been  
101 obtained using classic computer vision methods. Lu et al. employed a modified Chan-Vese  
102 segmentation tool with shape constraints to delineate both optic disc and PPA, reporting 92.5%  
103 accuracy in PPA size estimation on 40 test images.<sup>20</sup>

104 Here, we report our CNN-based methods and results developed for the classification of (non-  
105 )pathological myopia, fovea localization, and semantic segmentation of optic disc, retinal atrophy and  
106 detachment on a novel reference data set. The multitude of tasks encouraged us to fuse classification  
107 and segmentation tasks when proven to be beneficial on the validation set. Joint disease classification  
108 and lesion segmentation systems have been described in deep learning literature, leading to improved

109 classification performance.<sup>21</sup> We also introduce a novel ONH-based prediction enhancement that  
110 results in improved performance for the tasks of lesion segmentation and fovea localization. The latter  
111 task is being obtained through a segmentation approach for the first time, improving vastly on  
112 coordinate regression. Our results are benchmarked against a holdout validation set, other state-of-  
113 the-art methods, [and evaluated on external labeled data sets where possible](#).

## 114 Methodology

### 115 Dataset and evaluation

116 Retinal images were made available in the context of the “Pathological Myopia detection from retinal  
117 images” challenge held on the occasion of the IEEE International Symposium on Biomedical Imaging  
118 organized in April 2019.<sup>22</sup> The PALM dataset consists of 1200 anonymized color fundus images that  
119 were captured with a Zeiss VISUCAM device at a 45° angle with a 2124 x 2156 resolution or 30° with  
120 a 1444 x 1444. The images are macula- or optic disc-centered of left eyes with no disclosure of the  
121 number of different eyes or patients that were included in the dataset. The 1200 images are split into  
122 equally sized train, validation, and test sets sharing the same characteristics. Publicly available labels  
123 for the training set of 400 images encompass (1) the binary label for (non-)pathological myopia  
124 classification, (2) cartesian coordinates corresponding to the location of the fovea, and (3) semantic  
125 segmentation ground truth on pixel level for optic disc, peripapillary/retinal atrophy and retinal  
126 detachment. The myopia labels were extracted from the health records of the Zhongshan Ophthalmic  
127 Center, Sun Yat-sen University (China) and were determined during an ophthalmic examination,  
128 including optical coherence tomography (OCT) and visual field (VF) testing. The fovea coordinates  
129 and segmentation masks were generated by seven independent ophthalmologists from the same  
130 clinic. The PM detection training labels are balanced (53% PM images), but do not match the  
131 prevalence encountered in screening context (up to 3%). Ground truth of optic discs is available for  
132 most images, with an empty ground truth mask in case of an absent or partially visible disc. An  
133 overview of official training set characteristics is provided in Table 1. Differences in PM and non-PM  
134 characteristics were analyzed using a two-tailed t-test.

135 PM detection was quantified using area under the receiver operating characteristic (AUC), while the  
136 fovea localization was evaluated using the average Euclidean distance between the predicted  
137 cartesian coordinates and ground truth. The three predicted segmentation masks (optic disc, atrophy,  
138 detachment) were evaluated using a weighted combination of Dice<sup>23</sup> similarity coefficient  
139 (segmentation) and test's accuracy using the F1 score (detection). See supplementary information for  
140 full details on evaluation framework as defined by PALM organizers.

141 [Additional data to evaluate the generalization ability of trained models was included where possible.](#)  
142 [For PM detection, we evaluated on the recently-introduced Ocular Disease Intelligent Recognition](#)  
143 [\(ODIR\) data set aimed at multi-disease classification.<sup>24</sup> The original competition did not include PM](#)  
144 [detection as task, but structured labels are available in the file with diagnostic keywords. We selected](#)  
145 [the subset of images having either 'normal fundus' or 'pathological myopia' in the diagnostic keywords](#)  
146 [\(3350 out of 7000 fundus images\). Fovea localization was evaluated on Messidor<sup>25</sup>, for which 1136](#)  
147 [out of 1200 fundus images have official fovea coordinates.](#)

148 Network architectures and loss functions

149 UNet++<sup>26</sup>, a nested variant of the widely used U-Net<sup>27</sup>, was selected for the segmentation tasks  
150 because of its reported improved performance. The widely used ResNet<sup>28</sup> encoders were tested as  
151 feature extractors to enable transfer learning with pretrained ImageNet<sup>29</sup> weights. We selected a  
152 pretrained ResNet-18 encoder as feature extractor as it satisfies our preset conditions of minimizing  
153 the amount of trainable weights (there is a limited amount of labeled training images), while maximizing  
154 the input size that fits on GPU memory (larger size yields the best performance for segmentation). At  
155 the end of the contracting path (ResNet-18), where the input image is converted to a representation  
156 in latent space (shape 9x9x512), we added a second output branch for PM classification in light of co-  
157 regularization.<sup>30</sup> Figure 1 displays the full architecture, with the contracting path extracting and refining  
158 feature maps through convolutional, batch normalization and pooling layers (ResNet-18). In UNet++,  
159 these feature maps are connected to a number of dense convolution blocks, before being inserted in  
160 the expanding path (decoder). The principle of dense convolution blocks as extended skip connections

161 is illustrated in Figure 1 as well (highlighted in dark green). The UNet++ with ResNet-18 encoder  
162 amounts to a total of 16 million trainable weights, with the detection branch adding 513 trainable  
163 weights because of the additional 1x1 convolutional layer.

164 The employed loss function for PM classification is standard binary cross-entropy. Fovea localization  
165 labels are cartesian coordinates, but were converted to filled circles with varying radii to allow for  
166 segmentation, as an alternative approach to standard coordinate regression. All segmentation models  
167 employed standard binary/categorical cross-entropy as loss function, complemented by Dice similarity  
168 coefficient. Finally, we experimented with the Lovász-Softmax<sup>31</sup> as third loss component. The latter  
169 serves as a tractable surrogate for the optimization of intersection over union (IoU), and has proven  
170 itself as finetuning loss in recent semantic segmentation challenges.<sup>32</sup>

171 Preprocessing, Data augmentation, Training details

172 Color fundus images are unevenly illuminated due to the curvature of the retina. Local contrast  
173 enhancement through background subtraction estimated by a large Gaussian kernel was used to  
174 correct this<sup>33</sup>. Data augmentation techniques used throughout all experiments include random  
175 cropping, mild elastic deformation, and horizontal flips. Random cropping was performed selecting  
176 patches of 288 x 288 within resized images of a random size between half and original image size to  
177 teach the model features at multiple resolutions. Data augmentation was not applied to the 40 holdout  
178 images used to select the best model weights. The model input of 288 x 288 was selected based on  
179 a balance between the merits of pretrained weights (224 x 224) and segmentation output (higher  
180 resolution leads to better results).

181 Due to the severe class imbalance of the retinal detachment segmentation, we adopted a sampling  
182 strategy that oversamples images with retinal detachment at earlier stages of the training process to  
183 an equal mini-batch distribution, only to gradually slim down to the original data distribution (x 0.75 per  
184 five epochs). As such, the model is less likely to treat the detachment label as noise at training start.



185 Model development was done in Keras v2.2.4 with TensorFlow v1.4.1 backend. All models used  
186 Adam<sup>34</sup> optimizer with a default starting learning rate at 0.001. A plateau callback decreased the  
187 learning rate by 25% after ten successive epochs of stagnation in validation metric (Dice). To obtain a  
188 wider optimum, model weights were averaged over the last twenty epochs when the learning rate  
189 reached a value of  $1e^{-5}$ .<sup>35</sup> Internal validation was performed on a holdout set of 40 images,  
190 representing 10% of available training data.

#### 191 ONH-based prediction enhancement

192 Theoretically, there should be no overlap between atrophy and optic nerve head (ONH). Peripapillary  
193 atrophy represents loss of RPE and choriocapillaris, which ends/starts in Bruch's membrane opening  
194 (BMO), and simultaneously delineates the optic disc boundary. Leveraging this domain knowledge,  
195 the optic disc and peripapillary/retinal atrophy segmentation tasks were bundled by fusing the two  
196 ground masks. Retinal detachment ground truth does overlap with atrophy in certain cases, hence this  
197 ground truth was left unprocessed.

198 In addition to standard coordinate regression, we rebranded the fovea localization task as a  
199 segmentation problem. The ground truth masks were generated by drawing filled circles (varying radii  
200 between 25 and 75 pixels) based on the official cartesian coordinates as centroids. The optic disc is  
201 located on the nasal side of the fovea. Hence, the optic disc segmentation ground truth was added to  
202 the fovea ground truth, to implicitly insert this domain knowledge. We also experimented with the  
203 implementation of cutout<sup>36</sup>, a common regularization technique, to improve the learning of the ONH –  
204 fovea relation.

205 The predicted fovea segmentations required post-processing in case of missing or unlikely predictions.  
206 Two sanity checks were performed prior to reconversion to coordinates: (1) whether there is a fovea  
207 prediction made, and (2) whether it falls within normal range compared to optic disc location. Normal  
208 range was defined as mean  $\pm 2 \times$  standard deviation, with population mean and deviation estimated  
209 from the training labels (grouped by image resolution). If the assertions failed, the predicted fovea  
210 coordinates were determined based on optic disc centroid and mean distance between optic disc and

211 fovea. For benchmarking purposes, we also report on experiments without joint optic disc  
212 segmentation. Here, the postprocessing was limited to the use of image center coordinates in case of  
213 missing fovea prediction.

214 Ensembling on image and model level

215 Ensembling on image and model level tend to lead to small performance gains due to its decrease in  
216 prediction variance. Hence, final predictions of (non-)pathological myopia classification on the test  
217 images were obtained through commonly-used test-time augmentation (TTA) techniques (elastic  
218 deformation and horizontal flips). We further enhanced TTA predictions by ensembling on model level  
219 through the averaging of predictions obtained on seven separately trained models with different  
220 random seed on train/holdout split. Segmentation results were generated using averaged predictions  
221 on overlapping 288 x 288 patches from resized images (288 x 288, 294 x 294, and 302 x 302).  
222 Overlapping patches were only possible in the last two resolutions.

223 Results

224 Table 1 reveals that the largest group of available training images are 45° macula-centered images,  
225 whereas its disc-centered variant contains only 3 images. Complete optic discs are missing in all 30°  
226 macula-centered images, and in some PM cases imaged at 45° as well. Optic disc area ranged  
227 between 1-4%, and was significantly larger in 30° disc-centered PM images. Retinal atrophy was  
228 present in almost all PM cases, and in roughly half of non-PM images. The area covered by atrophy  
229 was larger in PM images for all modalities. The fovea is visible in nearly all images.

230 The Dice score on ONH segmentation was found to be the highest in the vanilla setup with a single  
231 model (0.9481 Dice). For retinal atrophy however, multi-class segmentation with Lovász as loss  
232 component did lead to better performance (0.6948 Dice) when compared to two individual models  
233 (0.6210 Dice). The balanced data generator did lead to better performance in segmentation of retinal  
234 detachment (0.9998 Dice).

235 Table 2 summarizes our quantitative results on a holdout validation set, the official test set, obtained  
236 through the online competition evaluation server hosted at  
237 <http://ai.baidu.com/broad/subordinate?dataset=pm>, and external data if available. We also provide the  
238 official test results obtained by other onsite PALM participants. All PM cases were correctly classified  
239 in both experiments on the holdout validation set (n=40), but the validation loss was significantly lower  
240 in the setup with combined ONH and atrophy segmentation (0.0824 versus 0.1146). Our trained  
241 models for detection of pathological myopia achieve a final AUC value of 0.986 on the test set. There  
242 is no statistical significant difference observed between AUC values among PALM participants (range  
243 0.987-0.997). Without having to retrain the model for PM classification with ONH/atrophy  
244 segmentation, a high AUC of 0.924 is recorded on fundus images of the ODIR data set, which is  
245 significantly higher compared to using a classification-only model (AUC = 0.858). The ROC curves of  
246 both PM models on ODIR are plotted in Figure 2. An overview of all PM experiments and results are  
247 given in the first section of Table 2.

248 The move from regression to segmentation for fovea localization seems to be beneficial, with average  
249 Euclidean distance at 229 and 129 pixels, respectively recorded on the internal holdout validation set  
250 (n=40). The result using a segmentation approach also improved when employing a larger fovea  
251 radius of 75 pixels (110 pixels Euclidean distance). Our proprietary ONH-based prediction  
252 enhancement led to a major performance gain (87 pixels Euclidean distance). Finally, the post-  
253 processing that deals with missing and unrealistic predictions resulted in the best observed  
254 performance (62 pixels Euclidean distance). The result on the official PALM set (n=400) is equivalent,  
255 with a Euclidean distance of 58.3 pixels. Euclidean distances reported by other PALM participants  
256 differed considerably, ranging from 55.7-172.9. Furthermore, our findings are confirmed on the  
257 Messidor data set for which the best performance (lowest Euclidean distance) is also obtained using  
258 a segmentation approach complemented with our ONH-based prediction enhancement. A complete  
259 results overview for fovea localization can be found in section 2 of Table 2.

260 Table 2 also shows that the Dice score on ONH segmentation was found to be the highest in the  
261 vanilla setup with a single model (0.9481 Dice on holdout validation set). For retinal atrophy however  
262 (4<sup>th</sup> section of Table 2), multi-class segmentation with Lovász as loss component did lead to better  
263 performance (0.6948 Dice) when compared to two individual models (0.6210 Dice). ONH  
264 segmentation on PALM test data achieved a Dice of 0.93. Other participants reported results ranging  
265 from 0.91-0.95. The atrophy segmentation Dice result on the PALM test set (0.8001) is considerably  
266 higher than the best Dice recorded on the holdout validation set, which is likely caused by the low  
267 number of validation images. Again, there existed a small variability in atrophy segmentation Dice  
268 results among participants (0.77-0.82).

269 Finally, the F1 metric for retinal detachment segmentation reveals that the test set contain 11 cases  
270 of retinal detachment. The trained deep learning model identified six correct cases. For this subtask,  
271 we obtained the highest Dice score (0.8073) among all participants (0.0030-0.7449), as can be  
272 retrieved from the last section of Table 2.

273 Ground truth for validation and test sets on image level will be made publicly available at a later date  
274 by the organizers of the PALM challenge. Hence, the qualitative results of four test images displayed  
275 in Figure 3 cannot be visually compared to the official ground truth. The optic disc – outlined in green  
276 – is detected in both non-pathological (A) and pathological (B,C) fundus images (not present in D),  
277 and does not overlap with peripapillary atrophy (B,C). The fovea – indicated by a cross – is localized  
278 well in cases of a clear (A) and covered (C,D) macula, or added during postprocessing (B). Atrophy –  
279 outlined in white – is segmented at both peripapillary (A,B,C,D) and macular (B) regions. In images  
280 where 30% of the image is predicted to be retinal detachment, the prediction is replaced with the size  
281 of the image mask (yellow outline of image C).

282 Figure 4 showcases two examples of bad segmentations for both atrophy and optic disc tasks. These  
283 cases were quantitatively selected on the 40 holdout validation images for which the ground truth is  
284 publicly available at this time. For atrophy segmentation, we observe the lowest scores in images that  
285 feature a small amount of peripapillary atrophy (often healthy eyes). The highest Dice scores are

286 obtained on images with a lot of retinal atrophy present (eyes with pathological myopia). For optic disc  
287 segmentation, the roles are reversed. Lower performance is recorded in challenging cases with  
288 atrophy surrounding the disc; while the highest performance is obtained in healthy eyes.

## 289 Discussion

290 This deep learning study on fundus images describes (1) the detection of pathological myopia (PM),  
291 (2) the localization of the fovea, and (3) the segmentation of optic disc, retinal atrophy and retinal  
292 detachment. The results are obtained after training on 400 labeled fundus images and relies on  
293 transfer learning and co-regularization through weight sharing. The methodology described in the  
294 manuscript led to a third place in PALM challenge hosted at ISBI 2019. The PALM dataset provides  
295 novel challenges to existing research topics, as myopic optic discs are often tilted (optic disc  
296 segmentation), and the fovea obscured due to tessellation and macular atrophy in some cases of  
297 pathological myopia (fovea localization).

298 The PM detection task scored an AUC of 0.9867 on the official test set of 400 images. PM detection  
299 from fundus images has not been covered in deep learning literature prior to the launch of PALM. The  
300 work of Varadajaran et al (2018) comes closest, but employs a whole different setup. Their goal was  
301 to develop a data-driven regression model that estimates refractive error (including cases of  
302 pathological myopia), using the spherical equivalent as target. In our investigation, the task of PM  
303 detection was approached in a different manner, given the different nature of the task and materials.  
304 The definition of PM states that a highly myopic case is converting to pathological once a posterior  
305 myopia-specific pathology from axial elongation is developing, such as vision-impairing myopia-  
306 induced lesions. This is corroborated by the explorative analysis of the training set, given in Table 1.  
307 Retinal atrophy, being progressive RPE thinning and attenuation, is present in 98.3% cases of PM,  
308 versus 52.6% in non-PM images (restricted to the modality of 45° macula-centered images). By  
309 combining atrophy segmentation and PM classification, one forces the model to focus on lesions as  
310 main features that contribute to PM classification. This implies a step towards explainable AI or

311 sufficient transparency to gain clinicians' trust in the future use of deep learning detection systems in  
312 ophthalmology.

313 All valid PM detection results at the onsite PALM challenge scored above 0.98 AUC. Although an  
314 official rank is maintained, there exists no statistical significant difference in results between teams,  
315 due to the low amount of test images (at 95% confidence interval). Other participants also relied on  
316 transfer learning, but not in combination with segmentation. For example, team Vistalab employed a  
317 ResNet-50 pretrained on ImageNet, reporting an AUC of 0.998.<sup>37</sup> Their data augmentation strategy  
318 included Gaussian noise addition and random rotations.

319 Our PM detection model trained on PALM also generalizes well to images captured with multiple  
320 fundus cameras (Figure 2). On data from the recent ODIR challenge, we obtain AUC values of 0.858  
321 and 0.924 using a standard classification model and using a combined lesion segmentation branch,  
322 respectively. This further illustrates that segmentation on related tasks (myopia-induced lesions) can  
323 augment classification performance.

324 For fovea localization, we obtained the 2<sup>nd</sup> place among all PALM participants. We initially considered  
325 adding a regression branch to the segmentation model for optic disc and retinal atrophy. However,  
326 due to subpar performance (229 pixels Euclidean distance), this idea was discarded and replaced by  
327 a standalone segmentation model. One potential explanation for poor regression performance could  
328 be the combination of scarcity in available regression labels (1 per image) when compared to  
329 segmentation labels (1 per pixel), and low variance in coordinate values (the fovea is centrally located  
330 in macula-centered images). However, the winning submission by Vistalab did follow a regression  
331 approach, using a modified pretrained VGG19<sup>38</sup> model. The main disadvantage of a segmentation  
332 approach is the loss of direct optimization on the competition metric. Thoughtful post-processing that  
333 relies on domain knowledge further enhanced our final predictions. Fovea localization in fundus  
334 images has been investigated with deep learning prior to PALM, but primarily in clean datasets with  
335 clear macular depression.<sup>39</sup> To illustrate this, we evaluated on diabetic retinopathy cases from the  
336 Messidor data, without retraining. The significantly lower Euclidean distance obtained on this data

337 emphasizes the difficulty aspect introduced by the novel PALM data. Our domain knowledge insertion  
338 – combined optic disc and fovea localization – is considered useful in the move towards general deep  
339 learning models that can process large amounts of fundus images with unclear macular regions. Such  
340 fovea localizing models can assist future big data research. One application would be the automated  
341 image cropping of the macula area to facilitate diabetic retinopathy screening.

342 The optic disc segmentation model obtained a Dice similarity coefficient of 0.9303, scoring in line with  
343 relevant work.<sup>40</sup> Due to axial elongation, myopia induces anatomical changes to the optic nerve head,  
344 resulting in tilted and oval-shaped optic discs, often surrounded by peripapillary atrophy. These  
345 alterations are significant, as a pretrained optic disc segmentation model on non-myopic fundus  
346 images failed to properly delineate the discs in the PALM dataset. Another factor could be the larger  
347 optic disc size observed in myopic eyes<sup>41,42</sup>. From Table 1, there is a moderate significance ( $P < 0.01$ )  
348 found between PM and non-PM (which also includes high myopia) in the 30° disc-centered images.  
349 Hence, optic disc size is unlikely to be an informative predictor in PM detection.

350 This original investigation also introduces a pioneering result of 0.8001 Dice on the segmentation of  
351 retinal atrophy (PPA, lacquer cracks and Fuch's spots) in fundus images. This type of segmentation  
352 can support future research in discriminating between myopia- and glaucoma-induced peripapillary  
353 atrophic changes. This is relevant because in previous work it has been observed that false positive  
354 and negative predictions in glaucoma classification models are often due to cases of high/degenerative  
355 myopia. For example, Liu et al (2019) observed that the most common reason for both false-negative  
356 and false-positive grading by their DL model (46.3% and 32.3%) and manual grading (44.2% and  
357 34.0%) was pathological or high myopia.<sup>10</sup> Several studies investigated the discriminatory properties  
358 of beta- (area with intact Bruch's membrane) and gamma-PPA (lacking Bruch's membrane) for myopia  
359 and glaucoma using OCT, but report contradictory findings and low discriminatory power.<sup>43,44</sup> Another  
360 recent study discovered a relationship between PPA shape and glaucoma progression, stating that  
361 progression is more correlated with eccentric PPA than concentric PPA.<sup>45</sup> DL may assist in analyzing  
362 PPA in a larger set of patients than previous investigations.

363 The fusion of optic disc and atrophy segmentation tasks ensured no overlap in final predictions. This  
364 form of joint prediction increases the odds of generalization to unseen samples (in this case, 800  
365 images split in validation and test set of equal size). Ground truth fusion did lead to better performance  
366 for atrophy segmentation, but not for ONH segmentation. Another important motivation for joint training  
367 is explainable artificial intelligence, as previously discussed.

368 Finally, this study reports a top-ranked Dice score of 0.8073 on the task of retinal detachment  
369 segmentation. The high performance is mainly due to the correct predictions of empty masks in the  
370 high number of cases (~97% of images) without retinal detachment. The actual performance would be  
371 much lower when the images with retinal detachment would be isolated. In most cases, retinal  
372 detachment covers more than half of the field of view (FOV) in the fundus. Hence, one could question  
373 the added value of segmentation over a classification approach.

374 Other participating teams also heavily relied on the combination of FCN architectures and existing  
375 feature extractors pretrained on ImageNet for the segmentation tasks. For optic disc segmentation,  
376 Vistalab (2<sup>nd</sup> place) combined ResNet-34 followed by an Atrous Spatial Pyramid Pooling (ASPP)<sup>46(p)</sup>  
377 operator in a U-Net architecture. The winning submission in all segmentation tasks is obtained using  
378 a lesion-aware segmentation network described by team PingAn Smart Health.<sup>47</sup> They introduce three  
379 innovations: an additional classification branch to aid the network in becoming better aware of lesion  
380 presence in images; a custom feature fusion module, and lastly a loss function dubbed edge overlap  
381 rate that boosts the accuracy of lesion edge segmentation.

382 The strengths of our work are significant. We describe a CNN architecture that bundles classification  
383 and segmentation tasks when deemed relevant (domain knowledge) and when empirically proven on  
384 the validation set. Next, we introduce a new approach to obtain fovea localization in fundus images  
385 through the reformulation as a segmentation problem. Further domain knowledge is inserted through  
386 a custom ONH-based post-processing scheme that leverages anatomical properties of the retina. We  
387 describe and compare our state-of-the-art results on a novel reference data set that is expected to be



388 widely used. Finally, our models on PM detection and fovea localization generalize well to unseen  
389 heterogeneous data sets without recalibration to the target domain.

390 This study also suffers from several limitations. The ground truth on image level for PALM validation  
391 and test sets are currently unavailable, hampering the qualitative comparison of semantic  
392 segmentation results, and the calculation of specificity and sensitivity. On the other hand, the  
393 introduction of medical labeled datasets and robust online evaluation server should be encouraged,  
394 as they allow the objective comparison of innovations in deep learning for medical imaging.

## 395 Conclusions

396 We report a successful approach for a simultaneous classification of pathological myopia and  
397 segmentation of associated lesions. These award-winning results were obtained in the context of the  
398 “Pathological Myopia detection from retinal images” challenge held on the occasion of the IEEE  
399 International Symposium on Biomedical Imaging organized in April 2019. Considering that  
400 (pathological) myopia cases are often found as false positives in glaucoma deep learning models, we  
401 envision that the current work could aid in future research to discriminate between glaucomatous and  
402 highly-myopic eyes, complemented by the localization and segmentation of landmarks such as fovea,  
403 optic disc and atrophy.

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409 decision to submit the manuscript for publication. Thus, the authors declare that there are no conflicts  
410 of interest in this work.

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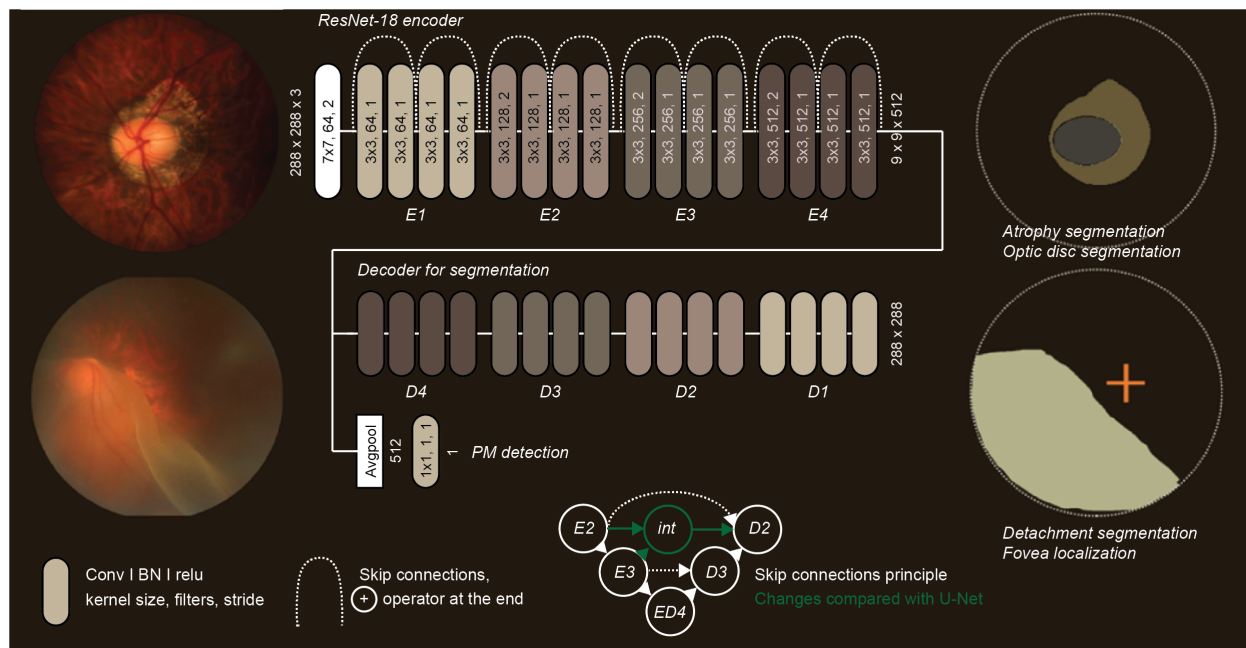
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571 *Figure 1: Overview of the final model architecture used for inference on the PALM official validation and test set. Our*  
 572 *model is aimed at PM classification with simultaneous segmentation of ONH and retinal atrophy. The ResNet encoder*  
 573 *accepts resized fundus images of (288 x 288) and outputs (9 x 9 x 512) at the latent space. The decoder upscales this*  
 574 *output back to the original image size, using a plethora of skip connections (principle given in bottom center). The*  
 575 *graphic on the upper right represents the generated segmentation map of the ONH (grey) and retinal atrophy (olive).*  
 576 *The output of the encoder is also separately transformed to a single prediction for PM classification (through average*  
 577 *pooling and a convolution operation). The model for fovea localization employs a similar architecture as for*  
 578 *ONH/atrophy segmentation, but generates a circle. This circle is then transformed to coordinates using its centroid*  
 579 *(visualized by the orange cross on the right bottom segmentation map). Finally, the UNet++ model for segmentation of*  
 580 *retinal detachment is identical to the other models, but outputs detachment.*

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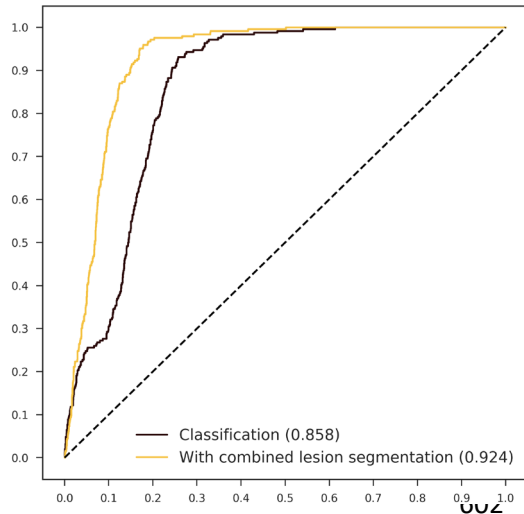


Figure 2: ROC curves of models trained on PALM data, evaluated on 3350 images of ODIR. The model with combined lesion segmentation significantly outperforms the classification-only model.

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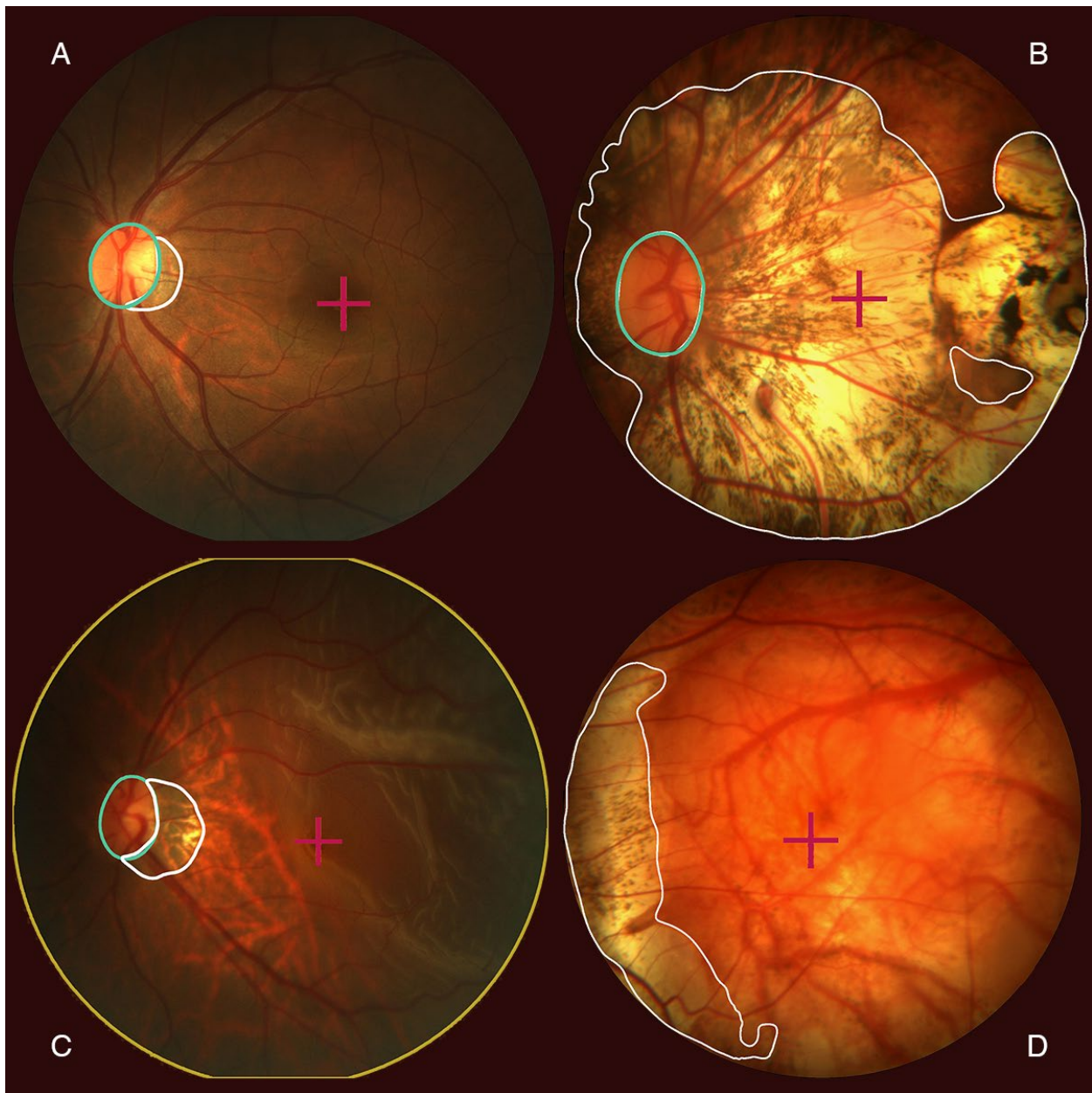
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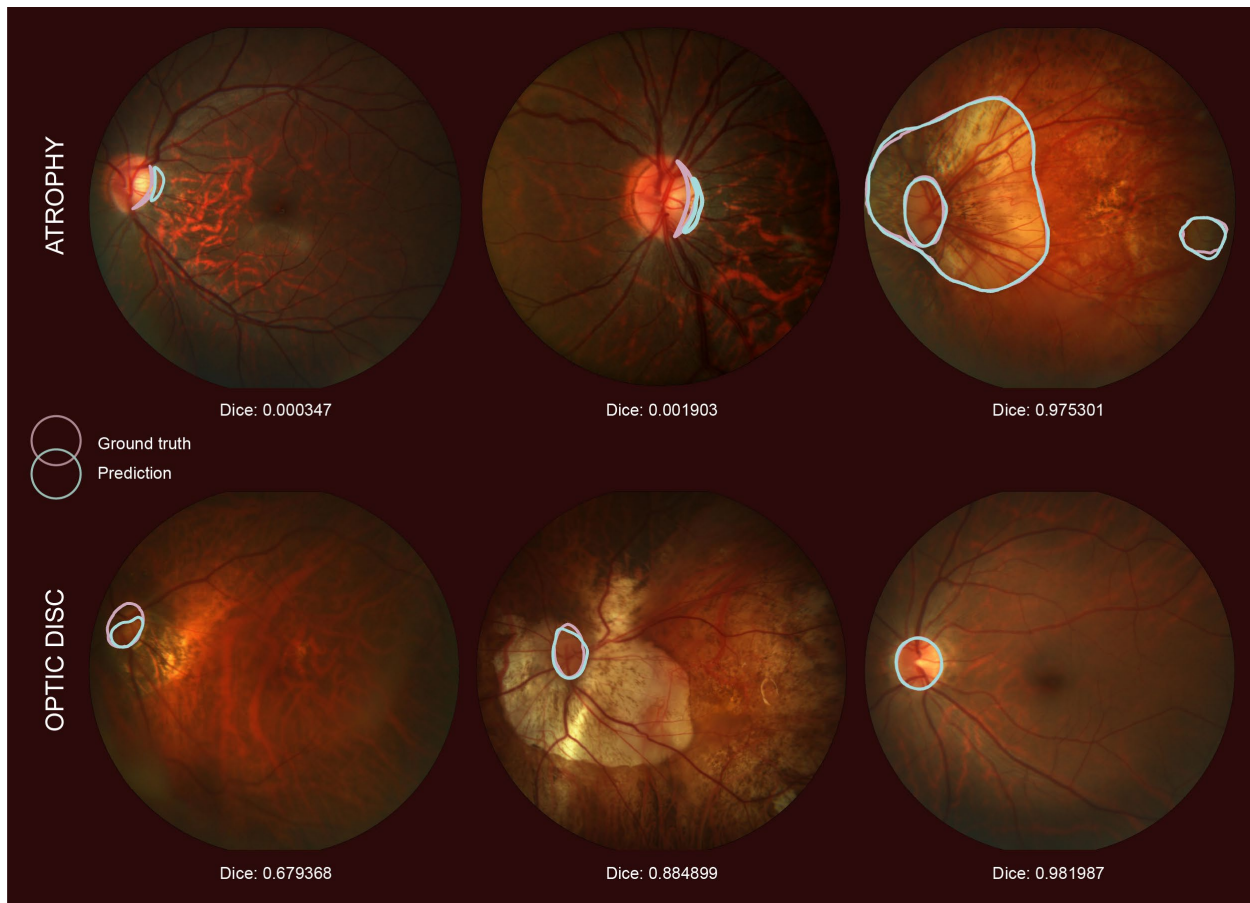
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Figure 3: Qualitative results giving four cases of the official test set. The optic nerve head (outlined in green) is detected and segmented in A, B and C. Retinal atrophy is detected and segmented (outlined in white) in B and C. Retinal detachment was detected in C, for which the whole fundus is outlined in yellow. Finally, the fovea is localized in all cases, indicated by a purple cross. Image D does not feature an optic disc, but clear retinal atrophy on the left.





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657 *Figure 4: Selected samples of atrophy (top row) and optic disc (bottom row) segmentations. Per row, the images with*  
 658 *lowest Dice scores on the holdout set of 40 images are visualized (left and middle column), complemented with the*  
 659 *image for which the best prediction was obtained (far right).*

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672 Tables

673 *Table 1: Overview of characteristics of labeled training set of 400 images. Significance level between PM and Non-*  
 674 *PM on same camera settings provided with asterisks (where applicable, \* <0.05, \*\* <0.01, \*\*\* <0.001, \*\*\*\* <0.0001).*

Centering	Macula				Disc			
	30°		45°		30°		45°	
Angle	PM	Non-PM	PM	Non-Pm	PM	Non-PM	PM	Non-PM
Number of images	6	4	174	173	31	9	2	1
Images with full optic disc	0%	0%	94.8%	100%	100%	100%	100%	100%
Images with atrophy	100%	75%	98.3%	52.6%	100%	77.8%	100%	0%
Images with fovea	100%	100%	99.4%	100%	96.8%	88.9%	100%	100%
Optic disc area	-	-	1.66%	1.72%	3.38%	2.61%**	1.69%	1.15%
Atrophy area	5.93%	0.41%*	11.77%	0.25%****	13.97%	0.70%****	42.37%	-
Fovea x mean	768	758	1236	1102****	1261	1387*	1748	1792
Fovea y mean	713	741	1026	1081****	754	715	1144	1049

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690 *Table 2: Results for five tasks, obtained on holdout validation set (PALM holdout), the official PALM test set, and*  
 691 *external data sets when available (ODIR and Messidor). PM detection is measured in AUC, fovea localization in*  
 692 *Euclidean distance. Dice and F1 are given for the three segmentation tasks (ONH, atrophy, detachment).*

PM detection (AUC)	PALM holdout (n=40)	PALM test set (n=400)	ODIR (n=3350)
Classification	1 (loss: 0.1446)	-	0.8584
Classification combined with ONH/atrophy segmentation	1 (loss: 0.0824)	0.9867	0.9245
Fovea localization (Euclidean dist)	PALM holdout (n=40)	PALM test set (n=400)	Messidor (n=1136)
Regression	229.428	-	53.488
Segmentation, radius 25 pixels	129.182	-	25.765
Segmentation, radius 75 pixels	109.770	-	20.220
Segmentation, combined with ONH	86.675	-	18.296
Segmentation, combined with ONH, postprocessing	61.924	58.3	-
ONH segmentation	PALM holdout (n=40)	PALM test set (n=400)	
Metric	Dice	Dice	F1
Segmentation	0.9481	0.9303	0.9869
Segmentation combined with atrophy	0.9462	-	-
Segmentation combined with atrophy, Lovász loss	0.9414	-	-
Atrophy segmentation	PALM holdout (n=40)	PALM test set (n=400)	
Metric	Dice	Dice	F1
Segmentation	0.6210	-	-
Segmentation combined with atrophy	0.6810	-	-
Segmentation combined with atrophy, Lovász loss	0.6948	0.8001	0.9135
Detachment segmentation	PALM holdout (n=40)	PALM test set (n=400)	
Metric	Dice	Dice	F1
Segmentation	0.9500	-	-
Segmentation with a balanced data generator	0.9998	0.8073	0.7059

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705 Supplementary material

706 *Tables 3-7: Results for five tasks, obtained on holdout validation set (PALM holdout), the official PALM test set, and*  
 707 *external data sets when available (ODIR and Messidor). Team A-F correspond to Vistalab, Masker, LAIS, PingAn*  
 708 *Smart Health, CUHK, and RYE-NUS, respectively.*

PM detection	PALM holdout (n=40)	PALM test set (n=400)	ODIR (n=3350)
Classification	1 (loss: 0.1446)	-	0.8584
Classification combined with ONH/atrophy segmentation	1 (loss: 0.0824)	0.9867	0.9245
Team A	-	0.9974	-
Team B	-	0.9960	-
Team C	-	0.9957	-
Team D	-	0.9934	-
Team E	-	-	-
Team F	-	-	-

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Fovea localization	PALM holdout (n=40)	PALM test set (n=400)	Messidor (n=1136)
Regression	229.428	-	53.488
Segmentation, radius 25 pixels	129.182	-	25.765
Segmentation, radius 75 pixels	109.770	-	20.220
Segmentation, combined with ONH	86.675	-	18.296
Segmentation, combined with ONH, postprocessing	61.924	58.3	-
Team A	-	55.7	-
Team B	-	172.9	-
Team C	-	71.3	-
Team D	-	66.6	-
Team E	-	-	-
Team F	-	-	-

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ONH segmentation	PALM holdout (n=40)	PALM test set (n=400)	
Metric	Dice	Dice	F1
Segmentation	0.9481	0.9303	0.9869
Segmentation combined with atrophy	0.9462	-	-
Segmentation combined with atrophy, Lovász loss	0.9414	-	-
Team A	-	0.9362	0.9909
Team B	-	0.9367	0.9806
Team C	-	0.9093	0.9855
Team D	-	0.9508	0.9974
Team E	-	-	-
Team F	-	0.9288	0.9871

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Atrophy segmentation	PALM holdout (n=40)	PALM test set (n=400)	
Metric	Dice	Dice	F1
Segmentation	0.6210	-	-
Segmentation combined with atrophy	0.6810	-	-
Segmentation combined with atrophy, Lovász loss	0.6948	0.8001	0.9135
Team A	-	0.7879	0.8972
Team B	-	0.7702	0.8372
Team C	-	0.7798	0.9091
Team D	-	0.8220	0.9303
Team E	-	0.8183	0.9199
Team F	-	-	-

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Detachment segmentation	PALM holdout (n=40)	PALM test set (n=400)	
Metric	Dice	Dice	F1
Segmentation	0.9500	-	-
Segmentation with a balanced data generator	0.9998	0.8073	0.7059
Team A	-	0.1584	0.1667
Team B	-	0.0030	0.0541
Team C	-	0.5546	0.7273
Team D	-	0.6617	0.9091
Team E	-	0.7449	0.8571
Team F	-	-	-

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