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Rural Highway Segments in Saudi Arabia

Peer-reviewed author version

Al-Ahmadi, HM; Jamal, A; AHMED, Tufail; Rahman, MT; Reza, I & Farooq, D (2021)
Calibrating the Highway Safety Manual Predictive Models for Multilane Rural
Highway Segments in Saudi Arabia. In: ARABIAN JOURNAL FOR SCIENCE AND
ENGINEERING, 46 (11), p. 11471-11485.

DOI: 10.1007/s13369-021-05944-6

Handle: <http://hdl.handle.net/1942/36115>

1 **Calibrating the Highway Safety Manual Predictive Models for Multi-lane** 2 **Rural Highway Segments in Saudi Arabia**

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16 17 **Abstract**

18 Crash prediction models (CPM) are mostly used for network screening in the road safety
19 management process. The Highway Safety Manual (HSM) offers consistent and reliable CPMs for
20 various roadway facilities that are commonly known as safety performance functions (SPFs). SPFs
21 are statistical regression models that estimate the expected crash frequencies by crash severity,
22 type, and facility types as a function of geometric characteristics and traffic exposure. They are
23 vital in identifying high-frequency crash locations and assessing the effectiveness of safety
24 countermeasures. HSM SPFs were originally developed using data collected from a selected few
25 states in the US. When applied to different jurisdictions, agencies can either develop local SPFs or
26 calibrate the existing HSM base SPFs for local conditions depending on various trade-offs. This
27 study aims to calibrate HSM-default SPFs for multi-lanes rural divided highway segments using
28 three years of crash data (2017-2019) in the Kingdom of Saudi Arabia (KSA). In this regard, two

29 highways (NHWY-80 and NHWY-85) in the eastern region were considered for the analysis.
30 Crash and traffic were procured from the MOT (Ministry of Transport), Riyadh, KSA. Geometric
31 data was from MOT as well as google earth and field surveys. Calibration procedure as
32 recommended by HSM was followed to obtain the local calibration factors. The Interactive
33 Highway Safety Design Model (IHSDM) calibrator tool was for the analysis. SPFs calibration
34 results revealed that HSM predictive methodology consistently overpredicts all types of crashes
35 (i.e., total, fatal and injury, and property damage crashes) on both highways. The estimated
36 calibration factors ranged from 0.53 to 0.78. Various goodness of fit (GOF) measures (like MAD,
37 MSPE, MPB) were used for quality assessment of calibrated SPFs. Methods used in this study
38 could be beneficially practiced in any jurisdiction. Calibrated SPFs provide a favorable alternative
39 and replacement of HSM-default SPFs, thereby making the crash predictions more accurate and
40 helping in better decision-making related to highway safety.

41

42 **Keywords:** Highway Safety Manual; Safety Performance Functions; Multi-lane rural highway
43 segments; Calibration Factors; Goodness-of-fit measures.

44

45 **1. Introduction**

46 Road traffic crashes have become a threatening public health concern worldwide.
47 Estimates suggest that globally around 1.35 million people lose their lives, while nearly 50 million
48 suffer injuries in road traffic crashes [1]. The resulting economic consequences are thought to be
49 over USD 520 billion worldwide [1,2]. Low-and-middle income countries carry 90% of the total
50 road fatalities [3]. In general, the Gulf Cooperation Council (GCC) countries are facing serious
51 road safety issues due to the rapid motorization following tremendous economic growth during

52 the past few decades. Compared to the US and Europe, the fatality rate is significantly high in
53 GCC countries. According to the latest global status report on road safety published by the World
54 Health Organization (WHO), the fatality index (deaths/100K population) in the Kingdom of Saudi
55 Arabia is approximately 27, which is among the worst compared with neighboring GCC nations
56 [1]. Traffic collisions account for around 4.7% of total mortalities in KSA [4]. Different regions
57 in the country have witnessed the crash to injury ratio varying between 8:4 to 8:6, which are very
58 significantly high compared to the global average value of 8:1 [5,6]. Due to traffic crashes,
59 economic losses account for approximately 4.3% of the Kingdom's GDP [7]. In recent years,
60 several studies have attempted to investigate the crash causation and injury severity risk factors in
61 KSA. The literature suggests that factors such as overspeeding, distracted driving, non-compliance
62 with traffic rules, and aggressive driving are the few predominant factors responsible for increased
63 crash incidence and severity [7–11]. Traffic-related injuries are the leading cause of death among
64 the young Saudi population, which is a worrying situation. Recently, national and provisional
65 authorities have initiated various efforts (installation of SAHER and traffic enforcement schemes,
66 imposition heavy on violators, child restraint law, regular safety training and awareness
67 campaigns, routine maintenance on highways, etc.) to curb road safety issues. However, there is
68 no significant improvement in the road safety situation.

69 Identification of hazardous road segments/sites is an integral component of effective road
70 safety management. It can also help in the selection of appropriate countermeasures, thereby
71 optimizing the use of road maintenance budget and resources. One of the primary goals of road
72 safety studies is to understand and quantify the safety effectiveness of various countermeasures
73 and their relative significance for better decision-making. CPM are useful tools for -detecting
74 critical locations where the odds of crash risks are high [12]. In 2010, following extensive research

75 in road safety and its practices in the past few decades, AASHTO (American Association of State
76 Highway and Transport Officials) published Highway Safety Manual (HSM). Part C of HSM
77 covers predictive methodologies that help to estimate the expected number of road traffic crashes
78 along various highway facilities, for example, rural two-lanes two-way highways, urban arterials,
79 sub-urban arterials, and rural multilane highways. In 2014, an appendage to the first edition of
80 HSM was published covering freeways and ramp segments.

81 The procedure of predictive methods given in Part C of HSM involves the application of
82 statistically derived regression models, commonly called safety performance functions (SPFs). To
83 develop jurisdiction-specific SPFs, two main statistical modeling approaches, i.e., negative
84 binomial (NB) and Poisson have been widely used [12–14]. The main purpose of SPFs is to
85 quantitatively correlate the expected mean number of yearly crashes with geometric characteristics
86 and traffic exposure of a highway. HSM SPFs have been developed for a set of base conditions
87 (unique to each facility type) using limited data collected from a few selected states in the US. It
88 is essential to modify crash estimates obtained by SPFs, which can be done using various crash
89 modification factors (CMFs). This modification is necessary for adjusting the multiple changes in
90 the site's geometry under certain non-base conditions [15]. For example, a lane width of 12 feet
91 indicates a base condition in a sample CMF calculations for a rural multilane highway. If the
92 highway segment's lane width is less than this value, it needs to be adjusted for SPFs for correct
93 estimations by applying appropriate CMF for lane width. Similarly, suitable CMFs for other non-
94 base conditions (such as median width, shoulder type, and width, lighting conditions, enforcement,
95 etc.) must be computed to improve SPFs crash predictions.

96 This paper demonstrates the applicability of the HSM calibration procedure for developing
97 SPFs calibration factors for multilane rural highway segments in KSA. HSM SPFs are calibrated

98 for total, FI (fatal and injury), and PDO (property damage only) crashes for the study area. To
99 investigate the quality assessment of the calibration process, several performance statistics such as
100 MAD, MPB, and MPB, etc., are used. The calibration dataset includes crash, traffic, and geometric
101 data for two multilane rural highway segments (NYWY-80 and NHWY-95) in the eastern
102 province, KSA. Rural highways in KSA account for a significant proportion of total traffic
103 fatalities. At the time this study was launched, no study had been performed on the calibration of
104 HSM crash prediction models for rural multi-lanes highways, for GCC countries in general, and
105 KSA in particular.

106

107 **2. Literature Review**

108 *2.1. Importance of HSM Calibration*

109 A detailed review of the existing literature suggests that three main approaches are
110 used to calibrate HSM SPF. To compute the local calibration factors, the first method accounts for
111 differences between the local jurisdiction and jurisdiction for which base SPFs in HSM were
112 developed. The second method develops SPFs with available data for jurisdiction under
113 consideration. The third method uses specific default parameters of SPFs in HSM in the absence
114 of any associated information. However, the use of the third method is criticized as it could
115 introduce some biases in SPF calculation. Factors commonly associated with crashes such as
116 topography, animal population, weather conditions, highway conditions, lighting crash-reporting
117 thresholds vary from one state/jurisdiction to another. Applying HSM base SPFs to other
118 jurisdictions can lead to biased crash predictions. Therefore, it is highly recommended to either
119 calibrate default SPFs or develop jurisdiction-specific SPFs to avoid this issue [16]. Agencies
120 could either calibrate the HSM predictive models using adjustment factors by ranges of exposure

121 variables (local traffic, road inventory, and crash data) or develop jurisdiction-specific models. In
122 deciding on which approach to undertake, different trade-offs are involved, such as data
123 availability, accuracy, data collection requirement, data processing, the minimum sample size for
124 analysis, modeling and statistical expertise, the labor involved, and reliability of estimates. Limited
125 data in developing countries is the main hindrance to develop jurisdiction-specific crash prediction
126 models. Though developing jurisdiction-specific SPFs is more preferable, a valid alternative to
127 developing them is to calibrate existing SPFs. [12,17]. As road safety conditions fluctuate over
128 time, transport agencies should use calibrated HSM predictive models.

129 The benefits of developing local safety performance functions (SPFs) in the first place are
130 unclear and may vary from location to location [15]. As the HSM SPFs are already established and
131 are based on comprehensive data and decades of research, jurisdictions are encouraged to calibrate
132 the HSM-default SPFs and perform the quality assessment of calibrated HSM-default SPFs prior
133 to developing local/jurisdiction-specific SPFs [18]. WHO has also emphasized the priority of
134 adapting propitious and established methods from developed countries to developing countries and
135 assessing their effectiveness in local contexts [19,20]. Often there are some similarities between
136 countries in terms of contributing factors and crash mechanisms despite the general differences in
137 terms of overall crash rates. Thus, there is a certain appeal in investigating the transferability of
138 established methods from developed countries to developing nations, particularly under
139 circumstances where conditions do not favor the development of regional crash prediction
140 methods. Calibration is defined as the process of correcting the HSM base-SPFs to reflect local
141 traffic conditions. Calibrating HSM predictive models aims to achieve reliable and realistic and
142 crash estimates and avoid biased crash predictions.

143 The model transferability of HSM has become much simpler with the advancement of the
144 latest statistical techniques and new software application tools. As a decision-making tool,
145 implementing HSM predictive models to developing countries could provide a more cost-effective
146 approach to transport agencies and government authorities to efficiently utilize limited resources.
147 The application of calibrated HSM SPFs will help to prioritize engineering alternatives and safety
148 planning based on their potential safety effects. To conclude, it may be argued that borrowing
149 HSM SPFs and calibrating them to local conditions could curtail the expenses of labor resources
150 and capital costs (required for data collection and hiring the experts for data processing)
151 significantly relative to developing the models. Another motive of using the calibrated HSM SPFs
152 is the amount of time saved in the process. A recent study reported that man-hours invested for
153 data collection and preparations for developing SFPs are three-fold of those needed for calibrating
154 adopted SPFs [18].

155 2.2. *Review of Past Studies*

156 In literature, limited studies have attempted to calibrate SPFs for local traffic exposure and
157 geometric conditions [18,21–26]. Sun et al. were the first to perform the study to calibrate HSM
158 CPM for rural two-lane roadway segments in Louisiana [27]. The authors followed the calibration
159 procedure recommended by HSM. Study results demonstrated that calibrated models performed
160 reasonably well compared to base CPM. Another study conducted by Sun et al. focused on
161 calibrating SPFs for rural multilane highway segments [28]. The authors also investigated how
162 calibrated models could be used for network screening and identification of plausible problems
163 with application. Srinivasan and Carter selected various types of two-lane two-way rural highways
164 utilizing different crash-reporting databases in North Carolina to developed SPFs [29]. Calibration
165 factors for total crashes were computed using HSM prediction methods. Later on, Smith et al.

166 conducted a study for the recalibration the SPFs developed earlier by Srinivasan and Carter in
167 2011. Recent crash data from the study area was used for recalibration [30]. Xie et al. also
168 conducted SPFs calibration analysis for various two-lane, two-way roads rural highway facility
169 types in Oregon [31]. The final calibration factor of 0.74 indicated fewer predicted crashes
170 compared to observed crash frequencies. The authors argued that lower calibration value might be
171 associated with non-reporting of property damage only (PDO) crashes in the state. Mehta and Lou
172 examined the applicability of HSM predictive models to Alabama data [32]. The study also
173 developed state-specific models for four-lane divided rural highway segments and two-lane, two-
174 way highways. Jurisdiction-specific SPFs were developed by using NB and Poisson models. The
175 resulting calibration factors estimated using NB methods were 1.522 and 1.863 for two-lane two-
176 way rural highway segments and multilane divided highway facilities.

177 In their study, Brimley et al. also calibrated for two-lane two-way rural highway segments
178 in Utah employing hierarchical Bayesian and NB modeling techniques [33]. Hierarchical Bayesian
179 was found more useful in identifying the unsafe segments. HSM SPF calibration factor was
180 computed to be 1.16, suggesting underprediction of the base model. Jalayer et al. introduced a
181 modified methodology to estimate calibration factors considering the recent crash recording
182 threshold (CRT) for five different urban and suburban highways in Illinois [34]. Study results
183 showed that the higher the CRT corresponds to fewer PDO crashes. The calibration factors were
184 reduced from 0.68 to 0.55 before and after the implementation of CRT change, respectively. A
185 study performed by Srinivasan et al. calibrated and developed HSM prediction models for the state
186 of Florida [35]. This study compared calibrated factors developed for different highways with
187 HSM equations. Sun et al. recently conducted a study to calibrate HSM base SPFs to four-lanes
188 urban and rural freeway segments and six-lanes urban freeways using three years of crash data

189 (2009-2011) in Missouri [36]. Estimated calibration factor values for PDO crashes were higher
190 than fatal and injury (FI) crashes for all the considered freeway types. The authors performed a
191 follow-up study in 2018 to recalibrate all the previous freeway facilities and segments using the
192 recent 2012-2014 crash data [37]. The newly estimated calibration factors had minor variations
193 from the previous calibration for some facility types. Authors reported that these changes might
194 be attributed to crash reporting differences, driver behavior changes, and natural variability in the
195 data. In another study, Shin et al. utilized Maryland crash data (2008-2010) to calibrate freeway
196 segments, ramp terminals, and speed-change lanes [38]. They calculated the calibration factors
197 using the IHSDM tool developed by FHWA (Federal Highway Safety Administration) [39].
198 Results showed that HSM predictive models overestimated both PDO and FI crashes mostly for
199 ramp and freeway facilities. Dutta et al., in their study, demonstrated that crash prediction based
200 on disaggregated traffic flow state information is more rational, accurate, and robust compared to
201 those obtained for typically used average annual daily traffic exposure [40].

202 Williamson and Zhou documented the development of calibration factors for crash
203 prediction models for rural two-lane roadways in Illinois [41]. They analyzed three years of crash
204 data from 2007 to 2009 for randomly selected road segments meeting the HSM requirement. The
205 three years of crashes were averaged and determined to be 34 per year, compared to the one year
206 of predicted crashes. The under-predicted value of the estimated calibration factor of 1.58 for
207 Illinois provides evidence that the crashes on Illinois rural two-way two-lane roadway segments
208 are higher than the national average. Llopis-Castelló and Findley deployed aggregated and
209 disaggregated analysis to study the influence of different calibration factors on crash prediction in
210 North Carolina [42]. Their study recommended that different calibration factors should be used for
211 different road segments and crash severity types. The predicted calibration factor for horizontal

212 curve and tangents was 1.57 and 1.15, respectively, while the calibration factor for all types of
213 roads was 1.34 for North Carolina. Another study by Shin et al. computed Maryland-specific local
214 calibration factors (LCFs) to apply the predictive models in HSM's using crash data sets of in-
215 state roadways from 2008 to 2010 [43]. They reported that LCFs for most of the intersections of
216 Maryland were less than 1.0, and some were as low as 0.5. This overprediction by the model shows
217 that none of the intersection facilities reached 50% of the crash predicted threshold. However, they
218 also articulated some limitation of the study that there were lack of sample data in some segments,
219 exclusion of some important cities of the state and chance of missing PDO reports as reporting is
220 self-volunteered if no injury is involved.

221 The calibration methodology presented in Appendix Part B of HSM was used by Berry et
222 al. to compute the calibration factors for a six-lane urban freeway in Missouri [44]. The study
223 utilized the 2012-2014 crash data from the study area. It was found that HSM methodology
224 overpredicted all single-vehicle FI and PDO crashes and underpredicted multiple-vehicles PDO
225 crashes. Vargas et al. compared the Florida-specific SPFs and the calibrated SafetyAnalyst SPFs
226 to predict crashes on urban and rural multilane and two-lane highways [15]. The main aim was to
227 assess if the jurisdiction-specific SPFs are warranted for the considered facilities. Study results
228 showed that jurisdiction-specific SPFs showed a better fit compared to calibrated SafetyAnalyst-
229 default SPF to the local data. A recent study by Carlos et al. concluded that crash prediction
230 accuracy was slightly improved by calibration function compared to that obtained from calibration
231 factors [45]. In another follow-up study, Carlos et al. compared the performance of calibration
232 factors, functions, and jurisdiction-specific models in predicting the expected crash frequencies on
233 urban four-lane freeway segments in Missouri [46]. The authors used different goodness of fitness
234 measures such as cumulative residual plots, log-likelihood, and inverse overdispersion to compare

235 the performance of each predictive approach. Calibration of AADT ranges performed better than
236 all other calibration functions and factors proposed in the study. In their study, Karmacharya et al.
237 calibrated the HSM base-SPF for various urban and suburban intersections (3SG, 4SG, 3ST, and
238 4ST) in Kanas, the US [47]. Results showed that the HSM methodology overpredicted the crashes
239 at all types of intersections except 4SG, where the average calibration factor was 1.17. This study
240 also compared the reliability of calibrated SPFs with locally developed SPFs through cumulative
241 residual plots and coefficient of variation. The analysis revealed that calibration functions have
242 better reliability compared to the developed calibration factors for all intersection types.

243 Shin et al., in their recent study used HSM calibration methodology to examine the
244 adequacy of the calibration process to freeway segments, ramp terminals, and speed-change lanes
245 in Maryland [48]. Local calibration factors (LCFs) developed for each facility type were less than
246 1 implying the overprediction of applicable HSM predictive models. Another HSM calibration
247 study considered a total of 1,133 freeway segments in the state of Kanas in the US and concluded
248 that HSM predictive methodology consistently underpredicted all PDO crashes and overpredicted
249 all FI crashes [49]. Sacchi et al. assessed HSM's transferability to highways and validated the
250 performance of jurisdiction-specific models using cumulative residual plots in Italy [50]. Matarage
251 and Dissanayake used the Kansas freeway data to evaluate the performance of calibrated HSM-
252 base SPF and calibration functions [51]. The dataset was limited to freeway segments, merging
253 and diverging lanes only. Empirical results showed that the calibrated HSM base SPFs is not
254 appropriate for all of the considered locations; instead, the calibration function performed better
255 to the dataset. In another study, the authors compared the predictive performance of calibrated
256 SPFs and calibration functions for 74 signal controlled ramp terminals and 120 cross-road stop
257 controlled ramp terminals using three-year crash data (2014-2016) from Kanas [52]. Though the

258 calibration factors estimated for ramp terminals showed satisfactory results, calibration functions
259 yielded better-fitted models than local data. Moraldi et al. investigated the transferability of the
260 HSM predictive method to two-lanes, two-way German highways [53]. The calculated calibration
261 factor of 0.94, which is close to 1.00, approves the HSM predictive model's adequateness for the
262 German highways. It may be noted from the literature aforementioned that the adequacy of HSM
263 predictive models has been investigated for various facilities types across different jurisdictions
264 within and outside the US. However, there are very limited similar studies conducted in GCC
265 countries, despite the fact these countries are facing serious road safety challenges. In KSA, a
266 single study on the topic was performed by Kaaf and Abdel-Aty to examine the calibration of SPFs
267 on urban four-lane divided roadway segments in Riyadh [54]. In Riyadh, jurisdiction-specific SPFs
268 provided the best results to predict severe crashes. The study concluded that Riyadh's local CMFs
269 outperformed the calibration method using HSM default values.

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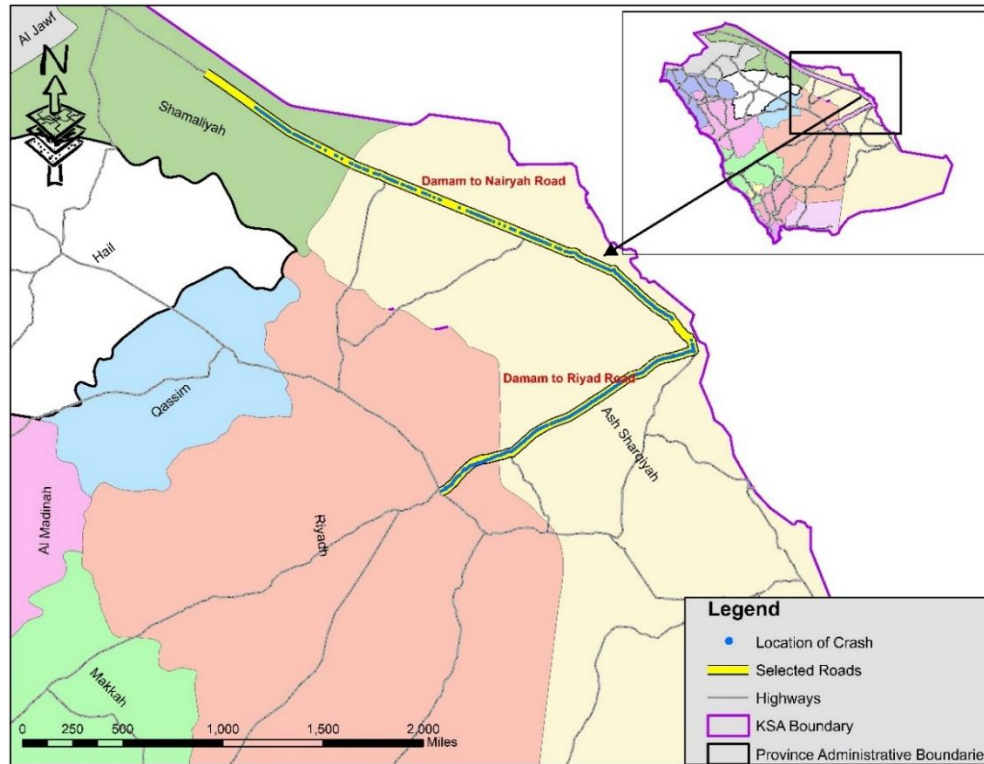
271 **3. Data and Methods**

272 *3.1. Study area and routes Selection*

273 Two inter-city highways were selected for SPFs calibration, i.e., routes 80 and 85. The
274 National highway-80 (NHWY-80) connects KSA's capital city of Riyadh to Dammam in the
275 eastern province, while the highway-85 (NHWY-85) links the cities of Dammam and Hafr Al-
276 Batin. The primary motive for selecting these routes was the availability of the required data to
277 accomplish the research objective. NHWY-80 has a length of approximately 255 miles, of which
278 a significant part (>90%) runs through plain and desert terrain. Similarly, NHWY-85 has a length
279 of about 300 miles, passing through the town of Nairyah. Both the highways are rural multilane
280 (having three lanes in each direction for most stretches) with dividing medians to separate the

281 opposing traffic. Both the highways carry mixed traffic, which comprises light vehicles and heavy
282 vehicles. Ruling design speed along both highways is predominantly 140km/hr, with few stretches
283 having a limiting speed of 120km/hr. Figure 1 shows the study sections along both highways.

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Figure 1. Study area route map for NHWY-80 and NHWY-85

288 3.2.Segment reduction

289 To apply the HSM calibration procedure, the highway must be divided into individual sites, having
290 homogeneous roadway segments or intersections. Some of the key criteria for obtaining
291 homogenous segments include traffic volumes (AADT) and road inventory data (number of lanes,
292 lane width, type and width of shoulders and medians, presence of lighting, automated speed
293 enforcement, etc.). For SPFs predictive model calibration, HSM recommends using a sample size
294 of 30-50 sites comprising at least 100 crashes per year along with the entire facility. It also
295 recommends a minimum segment length of 0.1mi for each segment. To avoid any bias in site

296 selection, it is also suggested to choose the sites randomly without considering the crash frequency
 297 during the observation period. [55]. As a result, the dataset may comprise sites with high number
 298 of crashes, as well as some will have with no crashes. However, recent studies have reported that
 299 HSM suggested sample size is arguable; for example, it may be challenging to obtain 100 crashes
 300 from 30-50 sites in some instances [16,31]. On the other hand, few studies suggested that a single
 301 criterion in HSM SPFs calibration procedure might not be practicable for sample size selection
 302 since different highways have diverse homogeneities and characteristics [56–58]. For the current
 303 study, the ET Geo Wizards Spatial Analytic Tool in ArcGIS was used to segmentation the study
 304 section along both highways following the procedure of previous studies [49,59]. Only tangent
 305 segments were excluding rest areas, and interchanges were included in the analysis. Using the
 306 criterion mentioned above, the study section of NHWY-80 was divided into 30 segments having
 307 an average length of 4.77 miles with a standard deviation of 3.76 mi (Table 1). Similarly, NHWY-
 308 85 had a mean segment length of 11.10 miles with a standard deviation of 6.40. Figure 2 shows
 309 the three-years (2017-2019) average crash frequencies at study segments on both highways. Total
 310 crashes for both highway segments exceeded as per the HSM requirements.

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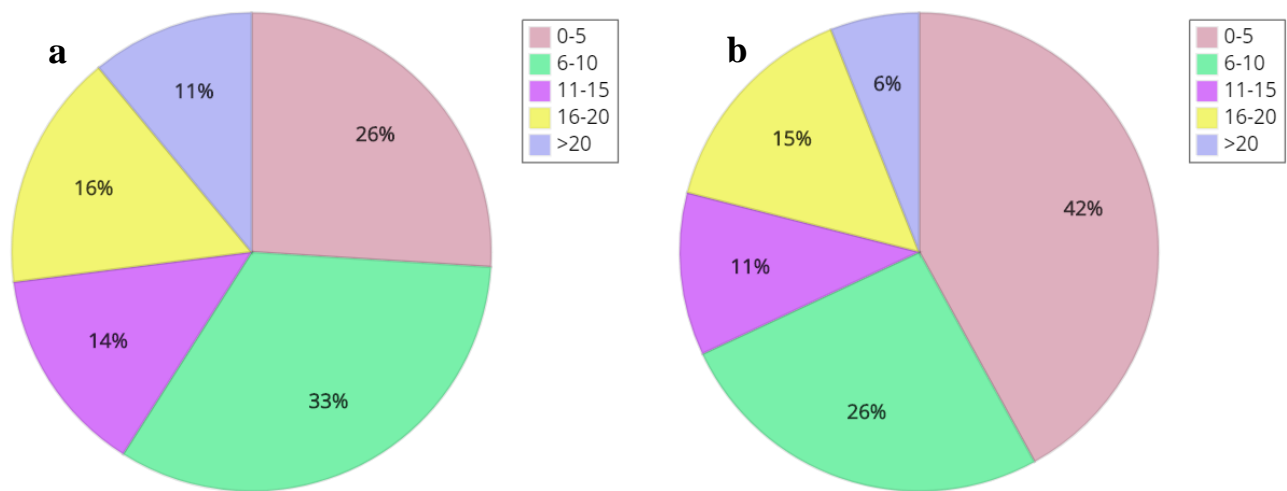


Figure 2. Three-year average crash frequency on the study segments: a) NHWY-80, b) NHWY-85

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3.3.Data Collection

331 Accurate calibration of SPFs is dependent mainly on the quality data used utilized in the process.
332 Two types of data are required for the SPFs calibration process—first, a detailed historical crash
333 (minimum of three years) at the interest sites; second, datasets for road inventory and condition
334 are also needed. This includes geometry, traffic volumes, and physical feature within the highway's
335 right-of-way. As recommended by HSM, the calibration period should be a multiple of 12 to avoid
336 any seasonal effects. However, the HSM does not provide detailed guidelines for collecting the
337 essential data desired for SPFs calibration [60]. Table 1 presents the data needs for calibrating
338 HSM SPFs to multilane rural highway segments, together with their source of
339 collection/extraction. It also summarizes the key descriptive statistics of traffic and road geometry
340 data of study segments for both the highways. This research utilized the traffic crash data obtained
341 from the Ministry of Transport (MOT) traffic safety department in Riyadh, KSA. The data covers
342 all types of motor vehicle crashes that occurred in the study area from January 2017 to December
343 2019. The crash contains information on crash locations (with precise latitude and longitudes
344 information), date and time of the crash, weather conditions, road surface condition, lighting
345 conditions, crash cause, collision type, vehicle characteristics, etc. Crash injury severity is reported
346 in three categories: fatal (F), injury (I), and property damage only (PDO). Table 2 shows the
347 distribution of crash frequencies and the share of each injury severity group across different years
348 for study sections of both highways. A total of 998 and 729 crashes occurred on study sections of
349 NHWY-80 and NHWY-85, respectively. PDO crashes dominate a large proportion of reported
350 crashes along both highways. NHWY-80 segments had a mean crash frequency of 11.09, with a
351 standard deviation of 6.80. Similarly, for NHWY-85 segments, the average and standard deviation
352 of crash frequencies were 6.45 and 3.98, respectively. Traffic volume data (AADT) for the

353 analysis period were also obtained from MOT. Besides, the needed road geometry data were
 354 mostly extracted using the google earth pro tool, while for a few locations, it was collected from
 355 MOT where available.

356

357 **Table 1.** Summary of road geometry, crash data, traffic volumes, and data sources for selected routes
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Data type	NHWY-80 (N=30)				NHWY-85 (N=38)				Data Source	HSM Base Condition
	Min.	Max.	Mean	SD	Min.	Max.	Mean	SD		
Segment Length (mi)	1	21.40	4.77	3.76	4.20	36.60	11.10	6.40	Google Earth	Need actual data
Lane Width (ft)	11.48	12.60	12.08	0.34	11.46	12.79	12.03	0.33	Google Earth/ MOT/ Survey	12
Shoulder width (ft)	8.66	13.10	6.07	1.84	6.66	13.12	9.08	1.60	Google Earth /MOT/Survey	8
Median Width	39.37	70.54	57.60	7.85	37.40	82.03	61.77	13.80	Google Earth/ MOT/ Survey	30
Crash Data (2017-2019)	2	53	11.09	6.80	2	27	6.45	3.98	MOT	Actual crash record
ADDT (veh/day)	11263	28145	17557	5294	4655	6849	5251	681	MOT	Need actual data
Presence of Lighting	NO	NO	NO	NO	NO	NO	NO	NO	Google Earth/ MOT	Assume no lighting
Automated Speed Enforcement	Present	Present	Present	Present	Present	Present	Present	Present	MOT	NOT Present

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Table 2. Crash severity descriptive statistics for the study stretches

Time Period	NHWY-80			NHWY-85		
	Total	Fatal and Injury	PDO	Total	Fatal and Injury	PDO
2017	388	180	208	301	133	168
2018	334	141	193	231	113	118
2019	276	116	160	197	88	109
Grand Total	998	437	561	729	334	395
Share (%)	100	43.79	56.21	100	45.82	54.18

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368 3.4. Calibration Procedure

369 Safety performance functions (SPFs) in the HSM were initially developed using data for
370 jurisdictions and periods rather than when and where they should be utilized. When applied to
371 different jurisdictions over different time periods, it is essential to calibrate SPFs to account for
372 differences due to spatial and temporal trends. Because the HSM SPF holds the most weight in
373 crash prediction, their calibration is more critical and efficient than other adjustments. HSM
374 predictive models follow a three-step procedure to predict the expected number of crashes for a
375 given facility type (road segments, intersection, etc.). The steps involved are i) computing SPFs
376 under the base conditions, ii) determining the crash modification factors (CMFs) to account for
377 variations from base conditions, and iii) finding the calibration factor C as an ultimate adjustment
378 for all other differences, whether measurable or immeasurable, known or unknown, such as crash
379 reporting system procedures, crash recording threshold (CRTs), driver and animal populations,
380 climate, etc. The HSM predictive models take the following general form (equation 1) to yield the
381 average crash frequency on divided and undivided multilane highways.

382

$$N_{predicted,i(unadjusted)} \tag{1}$$
$$= N_{SPF,i} \times (CMF_{i1} \times CMF_{i2} \times CMF_{i3} \dots \times CMF_{ij})$$

383

384 Where;

385 $N_{predicted,i}$ = predicted average crash frequency for a specific year on i^{th} site

386 $N_{SPF,i}$ = total predicted crash frequency for a specific year on i^{th} site under the base condition

387 CMF_{ij} = crash modification factors pertaining to specific safety issue j on i^{th} site

388

389 CMFs are the multiplicative factors used to evaluate the crash impact of road geometric
390 conditions. For instance, under base conditions for rural multilane highways (shown in Tables 3
391 and 4), CMFs values are equivalent to 1. A segment with 14 feet of lane width, and the right
392 shoulder width less or greater than 8 feet represents a deviation from base conditions, and thus
393 CMFs will be adjusted based on guidelines provided by HSM. A CMF value greater than 1
394 indicates a higher expected average crash frequency compared with SPF base conditions, while
395 the CMF value less than 1 shows a reduction in average crash frequency estimates. The predicted
396 average crash frequencies obtained from equation 1 does not provide accurate estimates as
397 additional adjustments may be essential to adjust for local conditions. A calibration factor should
398 be included in the calculations to enhance the accuracy and reliability of predicted crash estimates
399 for a given jurisdiction. This leads to a modified predictive model (shown in equation 2) that
400 applies to all facility types cover SPFs developed for base conditions

401

$$N_{predicted,i(unadjusted)} = N_{SPF,i} \times (CMF_{i1} \times CMF_{i2} \times CMF_{i3} \dots \times CMF_{ij}) \times C_i \quad (2)$$

402 Here C_i denotes the calibration factor for the i th site. SPFs for predicting the expected average
403 crash frequency as a function of exposure (traffic) and roadway characteristics on rural divided
404 highway segments is given by relation shown in equation 3.

405

$$N_{SPF,(rd)i} = e^{(a+b \times \ln(ADDT) + \ln(L))} \quad (3)$$

406 Where,

407 $NSPF_{(rd)i}$ = total average expected average crash frequency of i^{th} roadway segment per year,
408 ADDT = average annual daily traffic in veh./day (both directions) on i^{th} roadway segment in the
409 corresponding year,
410 L = length of i^{th} roadway segment per year in miles (mi),

411 a,b = regression coefficients

412

413 The SPF for rural multilane highways shown above applies to AADT ranging between 0 to 89,300
414 veh/day, beyond which it may not provide reliable prediction results. The over-dispersion
415 parameter associated with SPF is computed in terms of the function of segment length and is given
416 by equation 4.

$$k_i = \frac{1}{e^{(c+\ln(L_i))}} \quad (4)$$

417

418 Where,

419 k_i = overdispersion parameter associated with the i^{th} roadway segment,

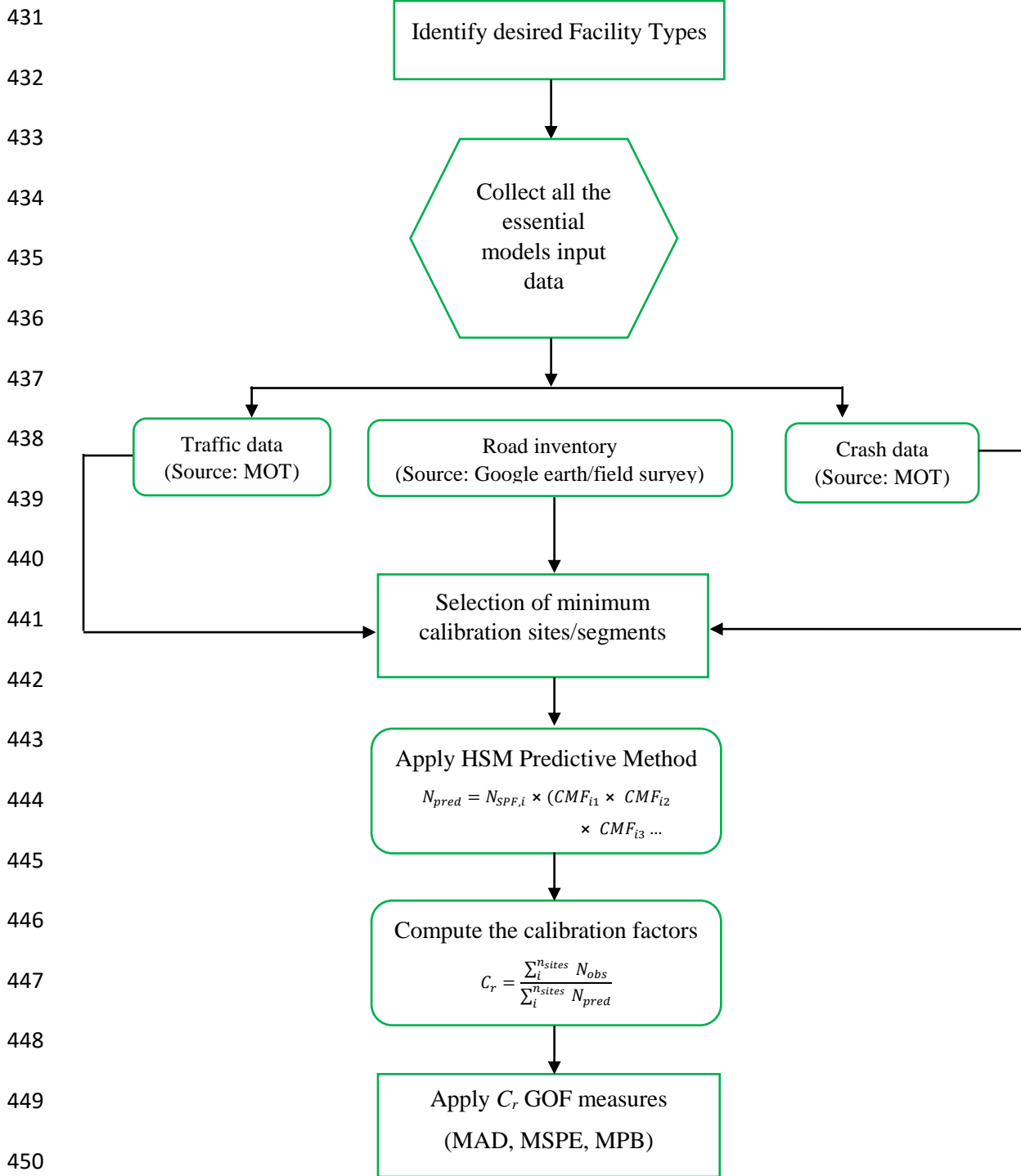
420 L = length of i^{th} roadway segment per year in miles (mi),

421 c = regression coefficient for determining the overdispersion parameters

422

423 The procedure for calibrating the SPFs given in Part C of the HSM was followed (AASHTO,
424 2010). Figure 3 presents the stepwise methodological framework for computing the local
425 calibration factors for multilane rural highway segments in the study area. HSM predictive model
426 calibration is a five steps procedure given below: i) identification of desired facility type (such
427 multilane rural highways considered for this study), ii) selecting sites/segments for calibration, iii)
428 collecting the required crash data and roadway condition data for selected sites, iii) apply

429 applicable HSM predictive model (equation 3) to obtain the expected crash frequencies per year
 430 at those sites, and v) compute the calibration factor using equation 5.



451 **Figure 3.** Flowchart for the methodological framework

452

$$C_r = \frac{\sum_i^{n_{sites}} \sum_j^{m_{years}} N_{observed}}{\sum_i^{n_{sites}} \sum_j^{m_{years}} N_{predicted(unadjusted)}} \quad (5)$$

453

454 All the variables are the same as explained previously. A Cr value of 1.0 indicates that HSM-SPF
455 overpredicts the mean crash frequencies. This implies that multiplying the calibration factor under
456 base conditions will lower the predictions to match observed mean crash frequencies. While a Cr
457 value greater than 1.0 means that HSM-SPF underpredicted the crash frequencies. In this situation,
458 multiplying the factor increases the predictions to match the observed frequencies on average.
459 Several software support packages have built-in calibration capabilities for different HSM
460 predictive models. This study one such calibrator tool commonly known as IHSDM (Interactive
461 Highway Safety Design Model) for calibrating the HSM base SPFs. In addition to calibration,
462 crash type distribution, and crash severity, the software allows the state agencies to develop and
463 implement jurisdiction-specific SPFs [61].

464

465 **3.5. Goodness of Fit Measures**

466 Three commonly reported goodness of fit measures of SPFs were used, i.e., mean absolute
467 deviation (MAD), mean square predicted error (MSPE), and mean prediction bias (MBP). MAD
468 was suggested by Washington et al. for determining the adequacy of SPFs [62]. It measures the
469 average magnitude of variability in the model. MAD can be computed as the ratio of the sum of
470 the absolute difference between predicted mean values and observed crash counts at n number of
471 sites. Smaller values of MAD are preferred over larger values. MAD can be calculated using
472 equation 6 given below.

$$MAD = \frac{\sum_i^{n_{sites}} \sum_j^{m_{years}} |N_{SPF,i} - N_{obs,i}|}{n} \quad (6)$$

473

474 Where, $N_{SPF,i}$ is the predicted number of crashes on i^{th} segment, $N_{obs,i}$ is the observed number of
 475 crashes on i^{th} segment, n is the number of sites, and m is the number of years during the study
 476 period. Like MAD, MPB was suggested by Washington et al. that gives the direction and
 477 magnitude of average model bias compared to observed data. It is defined as the ratio of the sum
 478 of the predictive mean value minus observed crash count considering n number of sites. MPB can
 479 be calculated using equation 7. A negative value of MPB indicates that the SPF underestimates the
 480 mean number of crashes, whereas a positive value implies that the site is less safe than it actually
 481 is. If the model does not overpredict/underpredict the observations, MPB will be equal to zero.
 482 The only distinction of MAD from MPB is that positive and negative differences are unable to
 483 cancel each other out. Like MAD, Smaller values of MPB are preferred over larger ones.

$$MPB = \frac{\sum_i^{n_{sites}} \sum_j^{m_{years}} (N_{SPF,i} - N_{obs,i})}{n} \quad (7)$$

484 MSPE is defined as the squares sum difference between predicted and observed crash frequencies
 485 divided by the number of sites. This metric is used to assess the error associated with the external
 486 or validation dataset. A lower value of MSPE implies a better predictive performance of a model.
 487 MSPE can be computed using equation 8.

488

$$MSPE = \frac{\sum_i^{n_{sites}} \sum_j^{m_{years}} (N_{SPF,i} - N_{obs,i})^2}{n} \quad (8)$$

489 **4. Results and Discussions**

490 Calibration factors estimated for the study segments using the HSM calibration procedure are
 491 presented in Table 3-5. A calibration factor (Cr) value of 1.0 indicates that HSM predictive models
 492 accurately predict the expected average crash frequencies for a given jurisdiction, where Cr values
 493 of greater and less than 1 means that the default SFPS underestimate and overestimate the crash
 494 frequencies. The calibration factors are reported for total, fatal, and injury (FI) and property
 495 damage only (PDO) crashes in Table 3,4 and 5, respectively. Different goodness of fit (GOF)
 496 measures such as MAD, MSPE, and MPB are also calculated to show the adequacy and success
 497 of calibration. Considering the results in Table 3, it may be noted that both highways (NHWY-80
 498 and NHWY-85) experienced a fewer number of observed total crashes compared with HSM
 499 predicted total crashes that resulted in small calibration factors. HSM overpredicts the total crashes
 500 by on average 29% and 35% on NYWY-80 and NHWY-85, respectively. GOF values show an
 501 acceptable and satisfactory model calibration process.

502 **Table 3.** Estimated calibration factors for total crashes

Analysis Period	NHWY-80			NHWY-85		
	N _{Observed}	N _{Predicted}	Cr	N _{Observed}	N _{Predicted}	Cr
2017	388	471.52	0.82	301	409.12	0.74
2018	334	452.82	0.74	231	341.51	0.67
2019	276	489.56	0.56	197	376.69	0.53
2017-2019	998	1413.90	0.71	729	1127.32	0.65
Goodness of Fit Measures						
<i>MAD</i>		<i>5.13</i>			<i>4.41</i>	
<i>MSPE</i>		<i>208.30</i>			<i>143.14</i>	
<i>MPB</i>		<i>3.62</i>			<i>2.90</i>	

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506 As shown in Table 4, the HSM predictive model again overestimates FI crashes. The HSM
507 SPF estimated a total of 694.96 FI crashes over all the selected segments of NYWY-80 during the
508 study period. There were only 437 observed crashes in this category. Using equation (5), the
509 calibration factor for NYWY-30 was calculated to be 0.63. This value suggests that HSM SPFs
510 overpredict the FI crash frequency by approximately 37%. Similarly, for NHWY-85, the HSM
511 default predictive model estimated a total of 622.77 FI crashes, whereas the number of observed
512 FI crashes were 334 only. The corresponding calibration factor was computed to be 0.53, which
513 suggests that HSM overpredict the FI crash frequency by nearly 47%. Table 6 summarizes the
514 calculations for obtaining the calibration factors for PDO crashes for the study area. As shown in
515 Table 5, the observed and HSM predicted crashes for NYWY-80 are 561 and 718.93, resulting in
516 a calibration factor value of 0.78. This means that the HSM default SPF predictive model for rural
517 multilane highways again overestimates the PDO crashes by approximately 22%. Likewise, for
518 NHWY-85, the observed and HSM predicted PDO crashes are 395 and 504.55 that yielded a
519 calibration factor of 0.78, which is indicative of an overprediction of about 22%. Considering the
520 GOF expressed in terms of MAD, MSPE, and MPB, it may be argued that PDO crashes had
521 relatively less deviation between observed and predicted crashes, which produced better
522 performance statistics compared to total and FI crashes. The highest discrepancy between HSM
523 base SPFs and those calibrated to data for the study area occurs for FI crashes.

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Table 4. Estimated calibration factors for FI crashes

Analysis Period	NHwy-80			NHwy-85		
	N _{Observed}	N _{Predicted}	Cr	N _{Observed}	N _{Predicted}	Cr
2017	180	231.83	0.78	133	224.25	0.59
2018	141	223.20	0.63	113	190.35	0.59
2019	116	239.90	0.49	88	208.16	0.42
2017-2019	437	694.96	0.63	334	622.77	0.53
Goodness of Fit Measures						
<i>MAD</i>		3.04			2.68	
<i>MSPE</i>		82.86			88.24	
<i>MPB</i>		2.86			2.53	

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Table 5. Estimated calibration factors for PDO crashes

Analysis Period	NHwy-80			NHwy-85		
	N _{Observed}	N _{Predicted}	Cr	N _{Observed}	N _{Predicted}	Cr
2017	208	239.68	0.87	168	184.86	0.91
2018	193	229.62	0.84	118	151.16	0.78
2019	160	249.62	0.64	109	168.52	0.65
2017-2019	561	718.93	0.78	395	504.55	0.78
Goodness of Fit Measures						
<i>MAD</i>		3.33			2.24	
<i>MSPE</i>		82.27			45.12	
<i>MPB</i>		1.75			0.96	

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Figure 4 presents a regression plot to reflect the discrepancy between HSM predicted mean

539 crash frequencies and observed crash counts for NYWY-80. Predicted versus observed crash plots

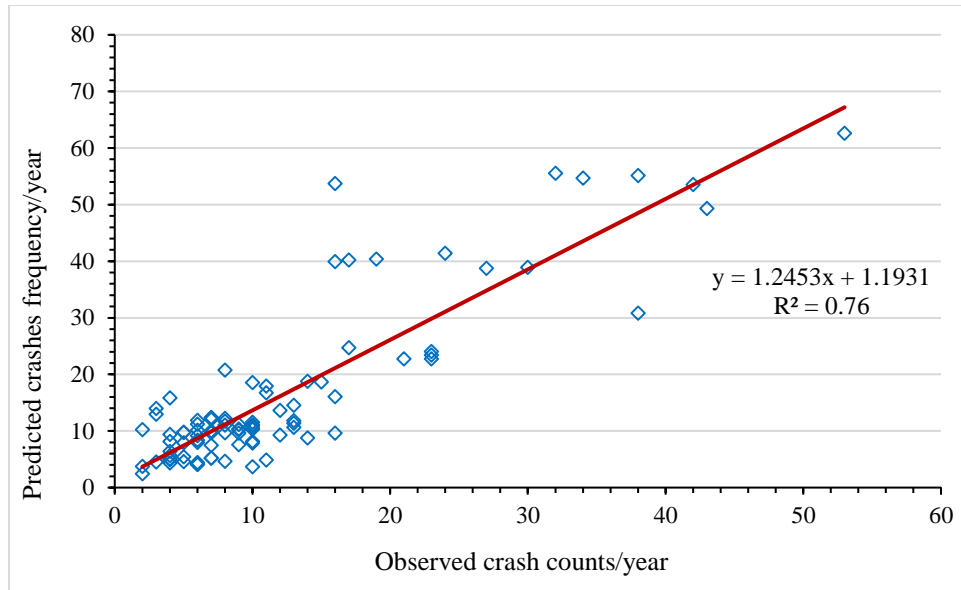
540 are shown for each crash injury severity type (total, FI, and PDO). A regression equation, along

541 with corresponding values of the coefficient of determination (R^2) is also provided for the subplot.

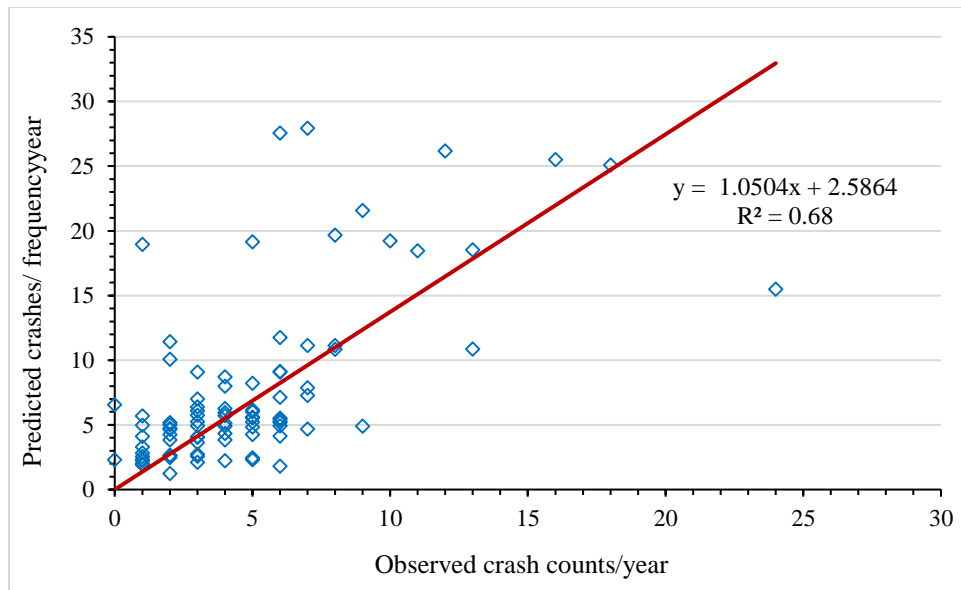
542 The trend line shown shows the plot fit. If observed and predicted crash frequencies are identical,

543 then R^2 will be 1.00. Whereas the values of R^2 below 0.60 indicate poor model fit. It may be noted
544 from Figure 4 that the predictive performance of all models for various crash severity types is
545 acceptable based on the model's R^2 metric. Among the three plots, the PDO plot for predicted
546 crashes yields the closest crash estimations compared to observed PDO crashes.

547



(a)



(b)

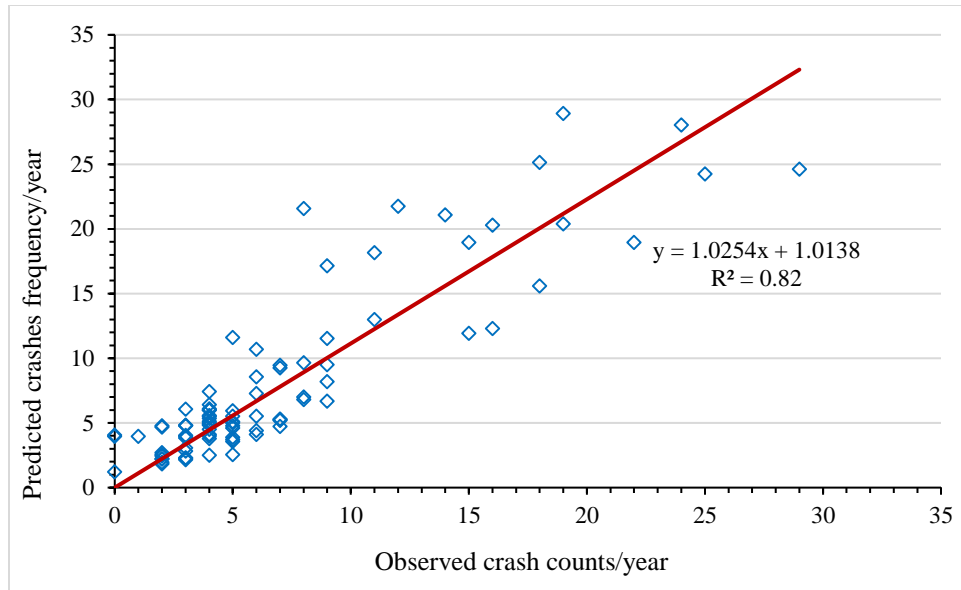


Figure 4. Regression plot for observed versus predicted crashes: a) total crashes, b) FI crashes, c) PDO crashes

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Globally, several studies have examined the international transferability of HSM predictive models to their local roadway and traffic condition. However, only a few studies have been carried out in the GCC regions in this regard. For example, A recent study compared the transferability of HSM SPFs, their calibration, and newly developed local SPFs for urban four-lane divided road segments in Riyadh, Saudi Arabia [54]. In their study, Asal and Said examined the transferability of HSM predictive models for multilane rural highway segments in the neighboring Gulf state of Egypt [63]. Study results showed that calibrated HSM SPFs overestimated the FI crashes by 4% and total crashes by 4% compared with 3% and 0%, respectively, for locally developed SPFs. In another study, Elagamy et al. investigated the effect of various segmentation procedures transferability of international SPFs for rural highways in Egypt [20]. The study reported that segmentation would influence the performance of the SPFs transferability process. Calibration factors for total crashes were less than 1, indicating that HSM predictive models were overestimating the crash frequencies on multilane rural highway segments in Egypt. Results indicated that jurisdiction-specific SPFs yielded a better fit for data utilized in this research. Feng

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574 et al. investigated the international transferability of freeways SPFs and their applicability for the
575 identification of hotspots. The study utilized the data from two Chinese cities (Suzhou and
576 Shanghai) and three US states (New York, Florida, Texas) [26]. Regardless of whether calibrated
577 or uncalibrated, the transferability of SPFs between the two regions turned out to be unsatisfactory,
578 mainly due to considerable variations in the traffic flow. A thorough comparative review of
579 previous studies suggest that the application of HSM base SPFs are unsuitable for accurate crash
580 predictions in other geographic location for a variety of reasons such as variations in climate,
581 driving population, animal populations, crash reporting thresholds and procedures, etc.
582 Uncalibrated models may lead to significantly erroneous crash estimation. These observations are
583 further reinforced by the current study.

584 It may be concluded from the results reported herein that the application of HSM
585 predictive models are unable to accurately estimate the crashes for rural highways in KSA. In
586 general, calculated calibration factor values are much lower than 1.0, implying that HSM base
587 SPFs are overestimating the mean crash frequencies on rural-multilane divided highways in the
588 country. Therefore, HSM modified SPFs and the values of calibration factors reported in this
589 study may be used for obtaining reasonably reliable crash estimates on other rural highways in the
590 country having similar traffic and roadway conditions. Though this study used a sample size for
591 each facility as recommended by HSM (30-50 sites), a larger sample size could result in better
592 calibrations. By applying these calibration factors as per the recommendation of HSM, the
593 overestimation issue can be addressed at least partially. Nevertheless, the development of
594 jurisdiction-specific SPFs considering extended datasets and other facilities types (intersections,
595 ramp segments, urban highways, rural two-lane highways, etc) is essential for improving the crash
596 predictions.

597

598 5. Conclusions

599 Safety performance functions (SPFs) are essentially the key to the Highway Safety Manual
600 prediction methodology used to estimate crash frequencies and crash hotspots identification. SPFs
601 regression models are developed based on crash data from some selected states in the US. When
602 applied to different jurisdictions, HSM recommends agencies to either develop local SPFs or
603 calibrate HSM base SPFs to local conditions to enhance the accuracy of crash prediction, allowing
604 them to make decisions pertaining to highway safety. This study aimed to calibrate HSM base-
605 SPFs using crash data (2017-2019) for two multi-lanes rural highway segments (NHWY-80 and
606 NHWY-85) KSA. Traffic and geometric data were primarily obtained from the ministry of
607 transport (MOT) and partly from satellite images and field surveys. HSM calibration procedure
608 was followed to estimate the local calibration factors. IHSDM calibrator tool was used for
609 estimating the calibration factors. SPFs calibration results showed that HSM consistently
610 overpredicts all types (total, FI, and PDO) of crashes. For NHWY-80, the estimated calibration
611 factors were 0.71, 0.63, and 0.78 for Total, FI, and PDO crashes, respectively. Similarly, the
612 computed calibration factors for NHWY-85 were 0.65, 0.53, and 0.78 for Total, FI, and PDO
613 crashes, respectively. Quality assessment of the calibration efforts examined using different
614 performance metrics such as MAD, MSPE and MPB showed the adequacy of the calibration
615 process.

616 The outcome of this study may be used by local authorities for effective safety evaluation and
617 guidance regarding the deployment of appropriate countermeasures to enhance road safety. Future
618 studies could focus on other facilities such as urban highways, two-lane, two-way highways, ramps
619 segments, speed lane changes, and intersections may be considered. It is suggested that
620 forthcoming studies may utilize the disaggregate calibration factors for improving the precision of

621 crash predictions. Other quality assessment techniques such as Cure plots, chi-square, and
622 coefficient of variation (CV) may be used to assess the adequacy of the calibration process. Future
623 studies could also focus on developing jurisdiction-specific SPFs for local conditions and compare
624 them with calibrated SPFs. Finally, the transferability of HSM SPFs for other regions in KSA may
625 be examined.

626 **Acknowledgements**

627 Authors appreciate and acknowledge the support of King Fahd University of Petroleum and
628 Minerals (KFUPM), KSA in carrying out this research. The authors would also like to thank the
629 Traffic Safety Department at the Ministry of Transport (MOT), and National Road Safety Center
630 (NRSC), Riyadh, KSA, for providing the data used in this research.

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