## Made available by Hasselt University Library in https://documentserver.uhasselt.be

DaQAPO: Supporting flexible and fine-grained event log quality assessment Peer-reviewed author version

MARTIN, Niels; VAN HOUDT, Greg & JANSSENSWILLEN, Gert (2022) DaQAPO: Supporting flexible and fine-grained event log quality assessment. In: Expert systems with applications, 191 (Art N° 116274).

DOI: 10.1016/j.eswa.2021.116274 Handle: http://hdl.handle.net/1942/36500

# DaQAPO: Supporting flexible and fine-grained event log quality assessment

Niels Martin<sup>a</sup>, Greg Van Houdt<sup>b</sup>, Gert Janssenswillen<sup>c</sup>

<sup>a</sup> UHasselt, Martelarenlaan 42, 3500 Hasselt, Belgium - Research Foundation Flanders

(FWO), Egmontstraat 5, 1000 Brussels, Belgium - E-mail: niels.martin@uhasselt.be <sup>b</sup>UHasselt, Martelarenlaan 42, 3500 Hasselt, Belgium - E-mail: greg.vanhoudt@uhasselt.be

<sup>c</sup> UHasselt, Martelarenlaan 42, 3500 Hasselt, Belgium - E-mail: gert.janssenswillen@uhasselt.be

Preprint submitted to Expert Systems with Applications

<sup>©2022.</sup> This manuscript version is made available under the CC-BY-NC-ND 4.0 license https://creativecommons.org/licenses/by-nc-nd/4.0/. The final authenticated version is available online at: https://doi.org/10.1016/j.eswa.2021.116274.

## Abstract

Process mining can provide valuable insights in business processes using an event log containing process execution data. Despite the significant potential of process mining to support the analysis and improvement of processes, the reliability of process mining outcomes depends on the quality of the event log. Real-life logs typically suffer from various data quality issues. Consequently, thorough event log quality assessment is required before applying process mining algorithms. This paper introduces DaQAPO, the first R-package which supports flexible and fine-grained event log quality assessment. It provides a rich set of tests to identify a wide range of event log quality issues, while having sufficient flexibility to allow the detection of context-specific quality issues.

*Keywords:* process mining, event log quality assessment, event log quality, data quality, event log, R

## 1 1. Introduction

Process mining algorithms use an event log to extract hidden knowledge 2 about a wide variety of business processes such as administrative processes, 3 production processes, or patient treatment processes. This event log contains 4 process execution data that is recorded by information systems supporting 5 the business process such as enterprise resource planning systems or health 6 information systems (dos Santos Garcia et al., 2019; Dumas et al., 2013; 7 van der Aalst, 2016). Over the last decade, process mining scholars developed a wide range of algorithms to (semi-)automatically retrieve data-driven 9 insights in, amongst others, the order of activities in a business process (Au-10 gusto et al., 2018; Marin-Castro and Tello-Leal, 2021; van der Aalst, 2016), 11 the adherence of a process to a normative model (Burattin et al., 2016; Car-12 mona et al., 2018), and the behaviour of resources within a process (Huang 13 et al., 2011, 2012; Song and van der Aalst, 2008). Moreover, process min-14 ing has been connected to other techniques including simulation (Martin 15 et al., 2016), or used within contexts such as predictive process monitor-16 ing (Di Francescomarino et al., 2018; Márquez-Chamorro et al., 2017) and 17 robotic process automation (Syed et al., 2020). 18

Despite the significant potential of process mining to support organiza-19 tions in understanding and improving their processes (Reinkemeyer, 2016; 20 van der Aalst, 2016), the reliability of process mining outcomes ultimately 21 depends on the quality of the event log (Mans et al., 2015; van der Aalst 22 et al., 2012). Real-life event logs tend to suffer from a multitude of data 23 quality issues (Bose et al., 2013; Mans et al., 2015; Suriadi et al., 2017; 24 Vanbrabant et al., 2019), including missing events (i.e. events which took 25 place, but were not logged), incorrect timestamps (i.e. timestamps not cor-26 responding to the actual activity execution time), and inaccurate resource 27 information (i.e. staff members recorded at the level of resource roles) (Bose 28 et al., 2013). Many of these issues originate from human involvement in busi-29 ness processes, entailing risks such as postponed, inaccurate and incomplete 30 data registration. Using an event log with data quality issues without careful 31 consideration can lead to counter-intuitive or even misleading process min-32 ing outcomes, which could lead to suboptimal or even harmful management 33 decisions (Andrews et al., 2018). 34

From the previous, it follows that it is critical to thoroughly assess the event log quality before applying process mining algorithms. Current process mining tools provide limited dedicated support for event log quality assess-

ment, mainly providing functionalities to filter event logs in an effort to, e.g., 38 remove erroneous data entries. However, filtering, or data cleaning in general, 39 requires knowledge on the actual event log quality issues which are present. 40 While researchers recently proposed a few instruments to quantify high-level 41 event log quality metrics (Fischer et al., 2020; Kherbouche et al., 2016) or to 42 detect a limited number of event log imperfections (Andrews et al., 2018), 43 there remains a need for an instrument that supports the detection of a wide 44 range of event log quality issues, while providing sufficient flexibility to al-45 low for the detection of context-specific quality issues. This context-specific 46 character is particularly relevant given the great variety of business processes 47 and data registration practices, which can give rise to highly context-specific 48 event log quality issues. 40

Against this background, this paper introduces DaQAPO, the first R-package 50 which supports flexible and fine-grained Data Quality Assessment for Process-51 Oriented data. The package contains a rich set of event log quality tests 52 which identify potential event log quality issues. Each test has a number of 53 parameters that users need to set, enabling them to customize the tests to 54 adequately fit their specific application context. Moreover, DaQAPO enables 55 users to iteratively discover more fine-grained event log quality problems, e.g. 56 by using alternative test parameters or by considering a subset of the event 57 log. Based on the users' appraisal, it can be decided whether data cleaning is 58 required and possible, or whether particular care is needed when interpreting 50 process mining outcomes. As the package is developed in R, users can easily 60 generate reusable event log quality assessment scripts and can add their own 61 functions to support additional context-specific quality tests. 62

## 63 2. Problems and Background

Process mining uses an event log as input to extract process-related in-64 sights. Each entry in an event log represents a single event captured by the 65 system, such as starting the registration of a new order, or completing a 66 delivery. These examples show that each event relates to a particular activ-67 ity (e.g. order registration, order delivery) and is associated to a particular 68 case, which is a process instance such as an order or a patient visit. Events 60 in an event log need to be ordered, which is operationalized by adding a 70 timestamp. Additional attributes, such as the associated resource, can also 71 be recorded for an event (van der Aalst, 2016). Table 1 illustrates the event 72

## <sup>73</sup> log structure in a hospital context, containing events related to patient visits

74 510 and 512.

case id	activity	timestamp	transaction type	resource	
510	Registration	20/11/2017 10:18:17	start	Clerk 9	
510	Registration	20/11/2017 10:20:06	complete	Clerk 9	
512	Registration	20/11/2017 10:33:14	start	Clerk 12	
510	Triage	20/11/2017 10:34:08	start	Nurse 27	
512	Registration	20/11/2017 10:37:00	complete	Clerk 12	
510	Triage	20/11/2017 10:41:48	complete	Nurse 27	
512	Triage	20/11/2017 10:44:12	start	Nurse 27	
512	Triage	20/11/2017 10:50:17	complete	Nurse 27	
512	Clinical exam	20/11/2017 11:27:12	start	Doctor 7	
512	Clinical exam	20/11/2017 11:33:57	complete	Doctor 7	

Table 1: Illustration of the event log structure

Data quality has been widely studied in several domains such as statistics 75 and data mining (Batini and Scannapieco, 2006). However, efforts in these 76 domains are not directly applicable to process mining due to the specific 77 characteristics of an event log. In particular, as events need to be linked to a 78 case and an ordering between events is required, different data entries in an 79 event log are connected, giving rise to specific event log quality issues. For 80 instance, when a physician records events for several patients in a very short 81 time span (i.e. batch registrations), this might indicate that the registered 82 timestamps do not correspond to the time at which an activity was actually 83 executed (Vanbrabant et al., 2019). Moreover, batch registration can also 84 lead to a deviation between the order of registration and the actual execution 85 order of activities. 86

Given these particularities of process mining, dedicated research on event 87 log quality has been conducted. These research efforts can be subdivided in 88 three streams: (i) event log quality taxonomies, focused on conceptualizing 89 the notion of event log quality and defining potential issues (e.g. Bose et al., 90 2013; Suriadi et al., 2017; van der Aalst et al., 2012; Vanbrabant et al., 2019), 91 (ii) event log quality assessment, focused on identifying event log quality 92 issues in a log (e.g. Andrews et al., 2018; Bose et al., 2013; Fischer et al., 93 2020; Kherbouche et al., 2016; Mans et al., 2015) and (iii) event log cleaning, 94 focused on developing heuristics to handle specific event log quality issues 95 (e.g. Bayomie et al., 2016; Dixit et al., 2018; Nguyen et al., 2019; Rogge-96 Solti et al., 2013). While the next paragraph highlights some key related

works regarding event log quality assessment, the focus of DaQAPO, readers are 98 referred to Martin (2021) for a recent overview on event log quality research. 99 Regarding event log quality assessment, current literature presents case 100 studies which highlight prevailing issues in real-life data (Kurniati et al., 2019; 101 Mans et al., 2015), and high-level process mining frameworks with explicit at-102 tention for event log quality (Andrews et al., 2019; Martin et al., 2019). While 103 valuable, these efforts do not provide users with a directly usable instrument 104 to operationalize event log quality assessment. In this respect, three imple-105 mented instruments have been proposed which can actually provide support, 106 originating from the works by Andrews et al. (2018), Fischer et al. (2020), 107 and Kherbouche et al. (2016). Kherbouche et al. (2016) developed a plugin 108 for the open-source process mining tool  $ProM^1$  that implements a hierarchical 109 event log quality model. Based on the quality dimensions complexity, accu-110 racy, consistency and completeness, the plugin calculates a large number of 111 metrics for a specific event log. In a similar vein, but with an exclusive focus 112 on timestamps, Fischer et al. (2020) introduced a ProM-plugin that calculates 113 a range of timestamp quality metrics for an event log, grouped in the dimen-114 sions accuracy, completeness, consistency and uniqueness. The plugin allows 115 users to remove metrics or to adjust their relative weight in the calculation 116 of aggregated scores at the dimension level. While Kherbouche et al. (2016) 117 and Fischer et al. (2020) focus on the calculation of standardized event log 118 quality metrics, Andrews et al. (2018) propose the foundations of QUELI, an 119 event log query language to detect event log imperfections. In the long run, 120 QUELI should support the detection of the 11 event log imperfection patterns 121 proposed by Suriadi et al. (2017). At the moment, detection methods have 122 been proposed for five of these patterns (Andrews et al., 2018). 123

DaQAPO, the event log quality assessment package introduced in this pa-124 per, complements and extends the state of the art regarding the practical 125 detection of event log quality issues. To highlight the areas in which DaQAPO 126 extends the state of the art, we will consider the aforementioned three imple-127 mented instruments again, i.e. the works by Andrews et al. (2018), Fischer 128 et al. (2020), and Kherbouche et al. (2016). The ProM-plugins developed 129 by Kherbouche et al. (2016) and Fischer et al. (2020) provide a high-level 130 overview of the event log quality using a set of standardized metrics. While 131 these signals are valuable, they do not enable organisations to check whether 132

<sup>&</sup>lt;sup>1</sup>http://www.promtools.org

context-specific event log quality issues are prevailing (e.g. when a patient 133 is admitted from the emergency department to a hospital ward, the activity 134 'Bed requested' should have been recorded). DaQAPO distinguishes itself from 135 Kherbouche et al. (2016) and Fischer et al. (2020) by providing a set of event 136 log quality tests that users can parameterize depending on their specific in-137 formation needs. In this way, tests can be configured to fit the exact event log 138 quality information that the users' needs, which complements the standard-139 ized metrics provided by Kherbouche et al. (2016) and Fischer et al. (2020). 140 By means of the option to parameterize the available tests, DaQAPO provides 141 significant flexibility to its users, which recognizes the context-dependent na-142 ture of event log quality assessment. Moreover, as DaQAPO is developed in 143 R, all standard functionalities of R are also available to users. This, for in-144 stance, enables users to swiftly subset the event log to, e.g., study the event 145 log quality for a particular type of patients or clients in more detail. When 146 users would like to calculate the standardized measures from Kherbouche 147 et al. (2016) and Fischer et al. (2020) for a particular part of the event log, 148 this would require the creation of a new event log, which is more laborious. 149 Consequently, DaQAPO also complements existing work by enabling users to 150 easily drill-down in the data depending on the event log quality insights that 151 they have already gathered, generating even more fine-grained knowledge. 152

Compared to QUELI (Andrews et al., 2018), DaQAPO provides a wider 153 range of event log quality tests with flexible parameterization. For illustrative 154 purposes. Table 2 maps the functionalities of QUELI and DaQAPO to the event 155 log imperfection patterns (Suriadi et al., 2017). QUELI currently provides 156 dedicated support for five event log imperfection patterns. While DaQAPO 157 has not been designed with the imperfection patterns in mind, Table 2 shows 158 that indications for a wide range of them can be detected using tests in 159 DaQAPO. In addition, DaQAPO enables the identification of additional event log 160 quality issues, which are not covered by the imperfection patterns. Another 161 distinction between both instruments is that QUELI currently is a stand-alone 162 instrument, while DaQAPO is fully integrated with  $bupaR^2$ , the open-source 163 reference framework for process mining in R (Janssenswillen et al., 2019). 164 This has the distinct advantage that users can seamlessly proceed to process 165 mining analyses after assessing the event log quality. Within bupaR, DaQAPO 166 extends the existing toolset by supporting a crucial step in any process mining 167

<sup>&</sup>lt;sup>2</sup>http://www.bupar.net

#### project, i.e. event log quality assessment. 168

Table 2: Supported detection of event log imperfection patterns (Suriadi et al., 2017)

Event log imperfection pattern	QUELI	DaQAPO
Form-based event capture	$\checkmark$	$\checkmark$
Inadvertent time travel	$\checkmark$	$\checkmark$
Unanchored event		$\checkmark$
Scattered event		
Elusive case		$\checkmark$
Scattered case		$\checkmark$
Collateral events	$\checkmark$	$\checkmark$
Polluted label		$\checkmark$
Distorted label		$\checkmark$
Synonymous labels	$\checkmark$	$\checkmark$
Homonymous label	$\checkmark$	$\checkmark$
Additional event log quality tests		$\checkmark$

Event log imperfection pattern | QUELI DaQAPO

DaQAPO is developed in R, which is a programming language providing 169 extensive functionalities for data manipulation and statistical analysis. Cur-170 rently, there does not exist an R-package which focuses on the assessment of 171 event log quality. Existing R-packages focusing on data quality assessment in-172 clude dataQualityR (Kumar and Upadhyay, 2013) and dlookr (Ryu, 2020). 173 dataQualityR focuses on determining the number of missing and unique 174 values for each variable in a dataset, and providing summary statistics on 175 the variable's values (Kumar and Upadhyay, 2013). Similar functionalities 176 are provided by dlookr, but the latter also detects outliers of numeric vari-177 ables (Ryu, 2020). Despite their merits, existing R-packages focused on data 178 quality fail to take into account the specific characteristics of an event log 179 as they were not designed to handle the specific format of process execution 180 data. Hence, they are not able to detect event log quality issues such as 181 activity order violations or incorrect timestamps due to batch registrations. 182 The detection of such quality problems, specific to event logs, is supported 183 by DaQAPO, stressing its contribution to the state of the art on data quality 184 assessment in R. 185

#### 3. Software Architecture and Functionalities 186

DaQAPO is a novel R-package that provides an innovative instrument to 187 perform event log quality assessment. It offers three key benefits, making 188 it a valuable instrument for both researchers and business users. First and 180 foremost, DaQAPO offers great flexibility to its users. Instead of showing a 190

number of fixed event log quality metrics, users can parameterize DaQAPO's 191 event log quality assessment tests to make them fit their specific application 192 context. This enables users to investigate, e.g., whether a certain set of key 193 activities have been recorded for a particular client. Due to this flexibility, 194 users have full control over the event log quality assessment process and 195 can obtain fine-grained insights, targeted at their specific information needs. 196 Second, as the package is implemented in R, all R functionalities for data 197 manipulation are available to DaQAPO users. This can, for instance, be useful 198 to easily subset an event log and study the quality for a particular part of 190 the log. Moreover, users can write their own R-functions or adapt existing 200 functions to easily extend the default functionality and immediately apply 201 it to an event log. In addition, users can create reusable event log quality 202 assessment scripts, making it easy to run them again at a later point in 203 time. Finally, DaQAPO is an open-source package, making it accessible for 204 all users without the need to acquire any commercial license. Moreover, it 205 is integrated in the open-source **bupaR** framework for process mining in R, 206 enabling users to seamlessly proceed to the analysis phase once the event log 207 quality has been assessed. 208

From a technical perspective, DaQAPO consists of a series of event log 209 quality assessment tests which users can call. The package uses an activity 210 log as an input, which is a transformed event log created using dedicated 211 transformation functions available in bupaR. Each entry in an activity log 212 represents an activity instance, i.e. the execution of an activity by a particu-213 lar resource for a particular case (e.g. the registration of a specific order by a 214 clerk). Hence, an activity log entry contains multiple timestamps, typically 215 its start and completion time. This is illustrated in Table 3. The activity 216 log structure is used as it enables the detection of data quality issues such 217 as negative activity durations (because the time of completion is recorded 218 before the start time). When a system only records completion times, other 219 types of timestamps will be considered missing. It should be stressed that 220 the majority of DaQAPO's tests can still be used under such circumstances. 221

While an outline of all of DaQAPO's event log quality assessment tests is beyond the scope of this paper<sup>3</sup>, a key distinction can be made between (i) tests considering each log entry independently, and (ii) tests focusing on the

<sup>&</sup>lt;sup>3</sup>An overview of all DaQAPO's tests is available at https://nielsmartin.github.io/daqapo/

Table 3: Illustration of the activity log structure

case id	activity	start	complete	resource	•••
510	Registration	20/11/2017 10:18:17	20/11/2017 10:20:06	Clerk 9	
512	Registration	20/11/2017 10:33:14	20/11/2017 10:37:00	Clerk 12	
510	Triage	20/11/2017 10:34:08	20/11/2017 10:41:48	Nurse 27	
512	Triage	20/11/2017 10:44:12	20/11/2017 10:50:17	Nurse 27	
512	Clinical exam	$20/11/2017 \ 11:27:12$	$20/11/2017 \ 11:33:57$	Doctor 7	

relations amongst several log entries. The *first category* contains tests which 225 relate to, for instance, the detection of missing values, duration outliers, ac-226 tivity label inconsistencies (e.g. introduced by typos), and inconsistencies 227 between values within a single data entry (e.g. paying an invoice should 228 only be done by a person having the required authorization). The second 229 *category* detects data quality issues by studying the relation between several 230 log entries, which is essential as process mining algorithms also focus on the 231 relationship between activity instances related to the same case (e.g. an pa-232 tient visit). This category encompasses the detection of batch registrations, 233 violations of the expected activity order (e.g. an invoice is payed before it 234 has been sent), absent related activities, etc. Besides the extensive default 235 functionality, more experienced R-users can easily add their own tests by 236 using the standardized activity log object as a starting point. 237

Even though DaQAPO focuses on the detection of event log quality issues, 238 basic data cleaning functionalities are provided as well. An example is the 230 filter\_anomalies function, which enables users to filter out anomalous log 240 entries. Other functions, such as detect\_incorrect\_activity\_names have 241 dedicated fix functions. For any form of data cleaning, the user has full 242 control, i.e. no automatic cleaning is performed. This is consistent with 243 the principle that whether a potential event log quality issue constitutes an 244 actual data registration problem is highly context-dependent. 245

## 246 4. Illustrative Examples

DaQAPO has been applied in several real-life settings, especially within the context of healthcare processes. To illustrate how the event log quality assessment tests can be applied, the hospital\_actlog dataset is used. This dataset is included in DaQAPO and contains process execution data of a simplified patient flow process at the emergency department of a hospital. Besides the columns included in Table 3, with the originator referring to the resource, the hospital\_actlog dataset also includes two additional case attributes: the triage code of a patient, expressing the severity of his/her condition, and the medical specialization to which the patient is linked.

When a user wants to use the functionalities of DaQAPO, (s)he needs to determine which quality assessment test is of interest and pass the function call with the appropriate parameter values to customize the test to the specific process/organizational context. The function will return the result of the selected log quality test. The following five examples will be briefly discussed below:

```
# Load DaQAPO
26⊉
263
    library (daqapo)
263
    # Load activity log (included in the package)
26$
    hospital <- daqapo::hospital_actlog
265
260
    \# example 1
268
269
    detect_similar_labels(activitylog = hospital,
279
                             column_labels = "activity",
2170
                             \max_{\text{distance}} = 3
21712
    \# example 2
    detect_missing_values(activitylog = hospital,
21/2
                             level_of_aggregation = '"activity")
21/3
    \# example 3
274
21756
    detect_activity_order_violations(activitylog = hospital,
21/6
                                          activity_order = c("Registration",
21/8
                                                                "Triage",
                                                               " Clinical Lexam",
21/8
                                                               "Treatment",
7k9
                                                               "Treatment_evaluation"))
220
281
    # example 4
    detect_related_activities (activitylog = hospital,
222
M
                                  antecedent = "Treatment_evaluation",
                                  consequent = "Treatment")
224
225
    \# example 5
226
    detect_multiregistration(activitylog = hospital,
                                 level_of_aggregation = "resource",
228
223
                                 threshold_in_seconds = 10
    detect_multiregistration(activitylog = hospital,
level_of_aggregation = "case",
290
230
                                 threshold_in_seconds = 10)
291
```

*Example 1* detects similar activity labels, which might, for instance, arise because of typos that occurred when data was recorded. Here, labels which differ in at most three characters will be shown in the output as they are considered similar. Figure 1 shows that such 'Registration' is sometimes (incorrectly) written without a capital. Similarly, for 'Triage', two similar (incorrect) labels are detected: 'trage' and 'Triaga'. Based on this information, the user might decide to correct these faulty activity labels. For the <sup>300</sup> remaining examples, we assume that these labels are fixed.

> detect_similar + +	<pre>detect_similar_labels(activitylog = hospital,</pre>		
# A tibble: 5 x	3		
column_labels	labels	similar_to	
<chr></chr>	<chr></chr>	<chr></chr>	
1 activity	registration	Registration	
2 activity	Registration	registration	
3 activity	Triage	Trage - Triaga	
4 activity	Trage	Triage - Triaga	
5 activity	Triaga	Triage - Trage	

Figure 1: Output example 1 - Detect similar labels

Example 2 requests an overview of the missing values in the activity log, aggregated at the activity level. The output, shown in Figure 2, shows both the absolute and relative number of missing values for each column in the activity log. For example: the output shows that the start time for one occurrence of the activity 'Clinical exam' is missing. Besides this summary, an overview of the log entries with missing values is depicted at the end.

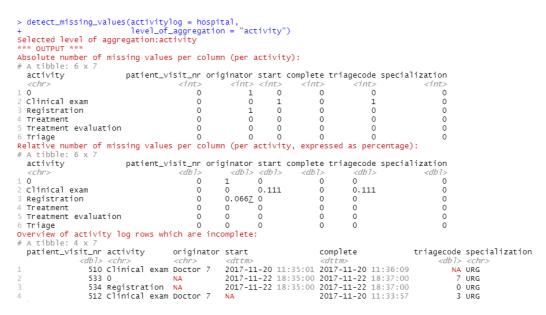


Figure 2: Output example 2 - Detect missing values

*Example 3* verifies whether the activity order is violated. The user, which is the domain expert, knows that the activities should normally be in the order 'Registration > Triage > Clinical exam > Treatment > Treatment evaluation'. This is passed as a parameter value. Figure 3 shows that this activity order is respected for 18 patient visits, but not for 4 patient visits. For the cases which do not follow the expected activity order, the order in which the activities occurred is shown. This indicates, for instance, that 'Triage' is recorded before 'Registration' for patient visit 521. Using this information, the user can try to verify whether this constitutes an event log quality issue, or whether it represents anomalous behaviour that actually occurred.

```
> detect_activity_order_violations(activitylog = hospital,
                                                  activity_order = c("Registration",
                                                                                  i age
                                                                            "Clinical exam",
                                                                              Treatment
                                                                              Treatment evaluation"))
Selected timestamp parameter value: both
*** OUTPUT ***
It was checked whether the activity order Registration - Triage - Clinical exam - Treatment - Treatment evaluation
  is respected.
This activity order is respected for 18 (81.82%) of the cases and not for4 (18.18%) of the cases.
For cases for which the aformentioned activity order is not respected, the following order is detected (ordered by decreasing frequeny of occurrence):
# A tibble: 4 x 3
   activity_list
                                                                                                                                     n case_ids
                                                                                                                                <int>
                                                                                                                                     t> <chr>
1 518
  Registration - Registration - Registration
Registration - Registration - Triage - Clinical exam - Treatment - Treatment evaluation
Registration - Triage - Clinical exam - Clinical exam
                                                                                                                                     1 535
                                                                                                                                     1 512
1 521
   Triage - Registration
```

Figure 3: Output example 3 - Detect activity order violations

*Example 4* checks whether related activities are present, i.e. activities that should be recorded whenever another activity is recorded for a particular case. In particular, the user knows that a treatment evaluation (activity 'Treatment evaluation') should only be recorded when a treatment (activity 'Treatment') has been recorded. As shown in Figure 4, this holds for all cases besides patient visit 529. For the latter case, only activity 'Treatment evaluation' has been recorded.

> detect\_related\_activities(activitylog = hospital, + antecedent = "Treatment evaluation", + consequent = "Treatment") \*\*\* OUTPUT \*\*\* The following statement was checked: if Treatment evaluation is recorded for a case, then Treatment should also be recorded. This statement holds for 5 (83.33%) of the cases in which Treatment evaluation was recorded and does not hold for 1 (16.67%) of the cases in which Treatment evaluation was recorded. For the following cases, only Treatment evaluation is recorded: [1] 529

Figure 4: Output example 4 - Detect related activities

Example 5 detects multi-registration, also referred to as batch registration, which involves several log entries being recorded in a close time interval.

Multi-registration could, for instance, occur when several activities are exe-327 cuted, but their administrative registration is left for a calmer period. This 328 implies that the timestamp of the activities, and potentially even their ex-329 ecution order, differs from their actual execution, which is problematic for 330 process mining purposes. Example 5 identifies multi-registration at two lev-331 els of aggregation: resource and case, with the time interval to qualify for 332 multi-registration being set to 10 seconds. Figure 5a shows multi-registration 333 behavior is detected for 4 out of 12 resources. For instance: 'Nurse 5' registers 334 the activity 'Triage' for patient visits 524, 525 and 526 in a very narrow time 335 frame, making it questionable whether this activity actually took place at 336 that time. Figure 5b takes another perspective and detects multi-registration 337 at the case level. The output shows that several instances are recorded in 338 a short time span for 4 out of 22 cases. For example: for patient visit 527, 339 the activities 'Registration', 'Triage' and 'Clinical exam' are recorded very 340 quickly after each other, which could require further investigation. 341

The illustrative examples shown above demonstrate the contribution of 342 DaQAPO compared to the state of the art instruments for event log quality 343 assessment. The examples show how the quality tests generate fine-grained 344 insights in potential quality issues and how they can be customized to the 345 specific process or organizational context. This presents a valuable contribu-346 tion compared to existing event log quality assessment instruments, which 347 primarily focuses on the automated calculation of a set of standardized, but 348 high-level, metrics. 349

### 350 5. Conclusions

This paper introduced DaQAPO, the first R-package which supports flexible and fine-grained event log quality assessment. It provides a wide range of generic event log quality tests, enabling users to gain a profound insight in event log quality. The contribution of DaQAPO originates from the particularities of process mining, and the absence of an implemented open-source instrument to flexibly support event log quality assessment, allowing the detection of context-specific quality issues.

The functionality provided by DaQAPO can be extended in future developments. The package's log quality tests could be embedded in a structured event log quality assessment trajectory, which would provide additional support to users of the package. Another promising avenue for future work is the addition of output visualizations. Currently, the output shows the affected

```
> detect_multiregistration(activitylog = hospital,
+ level_of_aggregation = "resource",
+ threshold_in_seconds = 10)
Selected level of aggregation: resource
Selected timestamp parameter value: complete
*** OUTPUT ***
Doctor 7 - Nurse 5 - Nurse 27 - NA
For the following rows in the activity log, multi-registration is detected:
  A tibble:
  patient_visit_nr activity
                                        originator start
                                                                              complete
                                                                                                      triagecode specialization
                < dh
                       <chr:
                                                      <dttm>
                                                                                                            <db1> <chr
                  512 clinical exam Doctor 7
                                                     2017-11-20 11:27:12 2017-11-20 11:33:57
                                                                                                                 3 URG
                  512 Clinical exam Doctor 7
                                                     NA
                                                                              2017-11-20 11:33:57
                                                                                                                 3 URG
                                                      NA 2017-11-20 11:33.37
2017-11-21 17:04:03 2017-11-21 17:06:05
                                        Nurse 5
                   524 Triage
                                                                                                                 3 URG
                  525 Triage
                                                     2017-11-21 17:04:13 2017-11-21 17:06:08
                                                                                                                 3 URG
                                        Nurse 5
                   526 Triage
                                        Nurse 5
                                                      2017-11-21 17:04:15 2017-11-21 17:06:10
                                                                                                                 4 URG
                                                     2017-11-22 15:15:39 2017-11-22 15:25:01
                                        Nurse 27
                  536 Triage
                                                                                                                 5 URG
                                                     2017-11-22 15:15:41 2017-11-22 15:25:03
2017-11-22 18:35:00 2017-11-22 18:37:00
                                                                                                                 5 URG
7 URG
                  536 Treatment
                                        Nurse 27
                   533 0
                                        NA
                   534 Registration NA
                                                     2017-11-22 18:35:00 2017-11-22 18:37:00
                                                                                                                 0 URG
                                                                (a)
> detect_multiregistration(activitylog = hospital,
+ level_of_aggregation = "case",
+ threshold_in_seconds = 10)
Selected level of aggregation: case
Selected timestamp parameter value: complete
*** OUTPUT ***
Multi-registration is detected for 4 of the 22 cases (18.18%) of the cases. These cases are:
512 - 518 - 527 - 536
For the following rows in the activity log, multi-registration is detected:
   ∆ tibble
   patient_visit_nr activity
                                        originator start
                                                                                                      triagecode specialization
                                                                             complete
                                                                                                            <db1> <ch
3 URG
                                         ~ch
                                                      -dttm>
                   512 Clinical ex~ Doctor 7
512 Clinical ex~ Doctor 7
                                                     2017-11-20 11:27:12 2017-11-20 11:33:57
                                                     NA 2017-11-20 11:33:57
2017-11-21 11:45:16 2017-11-21 11:22:16
                                                                                                                 3 URG
                   518 Registration Clerk 12
                                                                                                                 4 PED
                                                                                                                 4 PED
                   518 Registration Clerk 6
518 Registration Clerk 9
                                                     2017-11-21 11:45:16 2017-11-21 11:22:16
2017-11-21 11:45:16 2017-11-21 11:22:16
                                                                                                                 4 PED
                   527 Registration Clerk 6
527 Triage Nurse 5
527 Clinical ex~ Doctor 4
                                                     2017-11-21 18:02:10 2017-11-21 18:04:07
2017-11-21 18:02:11 2017-11-21 18:04:08
                                                                                                                 2 URG
2 URG
                                                     2017-11-21 18:02:13 2017-11-21 18:04:10
2017-11-22 15:15:39 2017-11-22 15:25:01
                                                                                                                   URG
                                                                                                                 2
                                        Nurse 27
                   536 Triage
                                                                                                                 5 URG
                    536 Clinical ex~ Doctor 1
                                                     2017-11-22 15:15:40 2017-11-22 15:25:02
                                                                                                                   URG
                   536 Treatment
                                       Nurse 27
                                                     2017-11-22 15:15:41 2017-11-22 15:25:03
                                                                                                                 5 URG
```

(b)

Figure 5: Output example 5 - Detect multi-registration: (a) at the resource level, (b) at the case level

rows and relevant summary statistics about the issue's occurrence. However, 363 visualizations can further enrich the output. For instance: inactive periods 364 can be depicted using dotted charts where each dot represents a recording 365 in the system. The generated visualizations can either be part of the regular 366 output or could, for instance, be embedded in an interactive event log quality 367 dashboard. Besides the aforementioned extensions in terms of functionali-368 ties, it would also be valuable to thoroughly investigate usage patterns. In 369 this context, future research could set up a large-scale user study to highlight 370

<sup>371</sup> areas for further improvement regarding DaQAPO's design and functions.

### 372 **References**

Andrews, R., Suriadi, S., Ouyang, C., and Poppe, E. (2018). Towards event log querying for data quality. *Lecture Notes in Computer Science*, 11229:116–134.

Andrews, R., Wynn, M. T., Vallmuur, K., ter Hofstede, A. H., Bosley, E.,
Elcock, M., and Rashford, S. (2019). Leveraging data quality to better prepare for process mining: an approach illustrated through analysing road
trauma pre-hospital retrieval and transport processes in Queensland. International Journal of Environmental Research and Public Health, 16(7):1138.

- Augusto, A., Conforti, R., Dumas, M., La Rosa, M., Maggi, F. M., Marrella,
  A., Mecella, M., and Soo, A. (2018). Automated discovery of process
  models from event logs: Review and benchmark. *IEEE Transactions on Knowledge and Data Engineering*, 31(4):686–705.
- Batini, C. and Scannapieco, M. (2006). Data quality: concepts, methodologies
   and techniques. Springer, Heidelberg.
- Bayomie, D., Awad, A., and Ezat, E. (2016). Correlating unlabeled events
  from cyclic business processes execution. Lecture Notes in Computer Science, 9694:274–289.
- Bose, R. P. J. C., Mans, R. S., and van der Aalst, W. M. P. (2013). Wanna
  improve process mining results? In *Proceedings of the 2013 IEEE Symposium on Computational Intelligence and Data Mining*, pages 127–134.
- Burattin, A., Maggi, F. M., and Sperduti, A. (2016). Conformance checking
  based on multi-perspective declarative process models. *Expert Systems with Applications*, 65:194–211.
- Carmona, J., van Dongen, B., Solti, A., and Weidlich, M. (2018). Confor mance checking. Springer, Heidelberg.
- <sup>398</sup> Di Francescomarino, C., Ghidini, C., Maggi, F. M., and Milani, F. (2018).
  <sup>399</sup> Predictive process monitoring methods: which one suits me best? *Lecture*<sup>400</sup> Notes in Computer Science, 11080:462–479.

- <sup>401</sup> Dixit, P. M., Suriadi, S., Andrews, R., Wynn, M. T., ter Hofstede, A. H.,
  <sup>402</sup> Buijs, J. C., and van der Aalst, W. M. P. (2018). Detection and interactive
  <sup>403</sup> repair of event ordering imperfection in process logs. *Lecture Notes in*<sup>404</sup> *Computer Science*, 10816:274–290.
- dos Santos Garcia, C., Meincheim, A., Junior, E. R. F., Dallagassa, M. R.,
  Sato, D. M. V., Carvalho, D. R., Santos, E. A. P., and Scalabrin, E. E.
  (2019). Process mining techniques and applications a systematic mapping
  study. *Expert Systems with Applications*, 133:260–295.
- Dumas, M., La Rosa, M., Mendling, J., and Reijers, H. A. (2013). Funda mentals of business process management. Springer, Heidelberg.
- Fischer, D. A., Goel, K., Andrews, R., van Dun, C. G. J., Wynn, M. T.,
  and Röglinger, M. (2020). Enhancing event log quality: Detecting and
  quantifying timestamp imperfections. *Lecture Notes in Computer Science*,
  12168:309–326.
- Huang, Z., Lu, X., and Duan, H. (2011). Mining association rules to support
  resource allocation in business process management. *Expert Systems with Applications*, 38(8):9483–9490.
- Huang, Z., Lu, X., and Duan, H. (2012). Resource behavior measure and
  application in business process management. *Expert Systems with Appli- cations*, 39(7):6458–6468.
- Janssenswillen, G., Depaire, B., Swennen, M., Jans, M., and Vanhoof,
  K. (2019). bupaR: enabling reproducible business process analysis. *Knowledge-Based Systems*, 163:927–930.
- Kherbouche, M. O., Laga, N., and Masse, P.-A. (2016). Towards a better assessment of event logs quality. In *Proceedings of the 2016 IEEE Symposium Series on Computational Intelligence*, pages 1–8. IEEE.
- Kumar, M. and Upadhyay, S. (2013). dataQualityR: performs variable level
  data quality checks and generates summary statistics. R package version
  1.0.
- Kurniati, A. P., Rojas, E., Hogg, D., Hall, G., and Johnson, O. A. (2019).
  The assessment of data quality issues for process mining in healthcare

- using Medical Information Mart for Intensive Care III, a freely available
  e-health record database. *Health Informatics Journal*, 25(4):1878–1893.
- Mans, R. S., van der Aalst, W. M. P., and Vanwersch, R. J. B. (2015). Process mining in healthcare: evaluating and exploiting operational healthcare processes. Springer, Heidelberg.
- Marin-Castro, H. M. and Tello-Leal, E. (2021). An end-to-end approach
  and tool for BPMN process discovery. *Expert Systems with Applications*,
  174:114662.
- Márquez-Chamorro, A. E., Resinas, M., Ruiz-Cortés, A., and Toro, M.
  (2017). Run-time prediction of business process indicators using evolutionary decision rules. *Expert Systems with Applications*, 87:1–14.
- Martin, N. (2021). Data quality in process mining. In Fernandez-Llatas, C.,
  editor, *Interactive process mining in healthcare*, pages 53–79, Heidelberg.
  Springer.
- Martin, N., Depaire, B., and Caris, A. (2016). The use of process mining in
  business process simulation model construction. Business & Information
  Systems Engineering, 58(1):73–87.
- Martin, N., Martinez-Millana, A., Valdivieso, B., and Fernández-Llatas, C.
  (2019). Interactive data cleaning for process mining: a case study of an
  outpatient clinics appointment system. Lecture Notes in Business Information Processing, 362:532–544.
- Nguyen, H. T. C., Lee, S., Kim, J., Ko, J., and Comuzzi, M. (2019). Autoencoders for improving quality of process event logs. *Expert Systems with Applications*, 131:132–147.
- Reinkemeyer, L. (2016). Process mining in action: principles, use cases and
  outlook. Springer, Heidelberg.
- <sup>458</sup> Rogge-Solti, A., Mans, R. S., van der Aalst, W. M. P., and Weske, M. (2013).
  <sup>459</sup> Repairing event logs using timed process models. *Lecture Notes in Computer Science*, 8186:705–708.
- <sup>461</sup> Ryu, C. (2020). dlookr: tools for data diagnosis, exploration, transformation.
  <sup>462</sup> R package version 0.3.13.

- Song, M. and van der Aalst, W. M. P. (2008). Towards comprehensive support for organizational mining. *Decision Support Systems*, 46(1):300–317.
- Suriadi, S., Andrews, R., ter Hofstede, A. H., and Wynn, M. T. (2017).
  Event log imperfection patterns for process mining: towards a systematic
  approach to cleaning event logs. *Information Systems*, 64:132–150.
- Syed, R., Suriadi, S., Adams, M., Bandara, W., Leemans, S. J., Ouyang, C.,
  ter Hofstede, A. H., van de Weerd, I., Wynn, M. T., and Reijers, H. A.
  (2020). Robotic process automation: contemporary themes and challenges. *Computers in Industry*, 115:103162.
- van der Aalst, W. M. P. (2016). Process mining: data science in action.
  Springer, Heidelberg.
- van der Aalst, W. M. P., Adriansyah, A., ..., and Wynn, M. (2012). Process mining manifesto. Lecture Notes in Business Information Processing,
  99:169–194.
- Vanbrabant, L., Martin, N., Ramaekers, K., and Braekers, K. (2019). Quality of input data in emergency department simulations: Framework and
  assessment techniques. *Simulation Modelling Practice and Theory*, 91:83–
  101.

## 481 Current code version

Nr.	Code metadata description	DaQAPO metadata
C1	Current code version	0.3.0
C2	Permanent link to code/repository	https://github.com/
	used of this code version	nielsmartin/daqapo
C3	Legal Code License	MIT-license (OSI approved license)
C4	Code versioning system used	git
C5	Software code languages, tools, and	R
	services used	
C6	Compilation requirements, operat-	-
	ing environments & dependencies	
C7	If available Link to developer docu-	https://nielsmartin.github.
	mentation/manual	io/daqapo
C8	Support email for questions	niels.martin@uhasselt.be

Table 4: Code metadata