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DaQAPO: Supporting flexible and fine-grained event log quality assessment

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Abstract

Process mining can provide valuable insights in business processes using an event log containing process execution data. Despite the significant potential of process mining to support the analysis and improvement of processes, the reliability of process mining outcomes depends on the quality of the event log. Real-life logs typically suffer from various data quality issues. Consequently, thorough event log quality assessment is required before applying process mining algorithms. This paper introduces **DaQAPQ**, the first R-package which supports flexible and fine-grained event log quality assessment. It provides a rich set of tests to identify a wide range of event log quality issues, while having sufficient flexibility to allow the detection of context-specific quality issues.

Keywords: process mining, event log quality assessment, event log quality, data quality, event log, R

1. Introduction

Process mining algorithms use an event log to extract hidden knowledge about a wide variety of business processes such as administrative processes, production processes, or patient treatment processes. This event log contains process execution data that is recorded by information systems supporting the business process such as enterprise resource planning systems or health information systems (dos Santos Garcia et al., 2019; Dumas et al., 2013; van der Aalst, 2016). Over the last decade, process mining scholars developed a wide range of algorithms to (semi-)automatically retrieve data-driven insights in, amongst others, the order of activities in a business process (Augusto et al., 2018; Marin-Castro and Tello-Leal, 2021; van der Aalst, 2016), the adherence of a process to a normative model (Burattin et al., 2016; Carmona et al., 2018), and the behaviour of resources within a process (Huang et al., 2011, 2012; Song and van der Aalst, 2008). Moreover, process mining has been connected to other techniques including simulation (Martin et al., 2016), or used within contexts such as predictive process monitoring (Di Francescomarino et al., 2018; Márquez-Chamorro et al., 2017) and robotic process automation (Syed et al., 2020).

Despite the significant potential of process mining to support organizations in understanding and improving their processes (Reinkemeyer, 2016; van der Aalst, 2016), the reliability of process mining outcomes ultimately depends on the quality of the event log (Mans et al., 2015; van der Aalst et al., 2012). Real-life event logs tend to suffer from a multitude of data quality issues (Bose et al., 2013; Mans et al., 2015; Suriadi et al., 2017; Vanbrabant et al., 2019), including missing events (i.e. events which took place, but were not logged), incorrect timestamps (i.e. timestamps not corresponding to the actual activity execution time), and inaccurate resource information (i.e. staff members recorded at the level of resource roles) (Bose et al., 2013). Many of these issues originate from human involvement in business processes, entailing risks such as postponed, inaccurate and incomplete data registration. Using an event log with data quality issues without careful consideration can lead to counter-intuitive or even misleading process mining outcomes, which could lead to suboptimal or even harmful management decisions (Andrews et al., 2018).

From the previous, it follows that it is critical to thoroughly assess the event log quality before applying process mining algorithms. Current process mining tools provide limited dedicated support for event log quality assess-

38 ment, mainly providing functionalities to filter event logs in an effort to, e.g.,
39 remove erroneous data entries. However, filtering, or data cleaning in general,
40 requires knowledge on the actual event log quality issues which are present.
41 While researchers recently proposed a few instruments to quantify high-level
42 event log quality metrics (Fischer et al., 2020; Kherbouche et al., 2016) or to
43 detect a limited number of event log imperfections (Andrews et al., 2018),
44 there remains a need for an instrument that supports the detection of a wide
45 range of event log quality issues, while providing sufficient flexibility to al-
46 low for the detection of context-specific quality issues. This context-specific
47 character is particularly relevant given the great variety of business processes
48 and data registration practices, which can give rise to highly context-specific
49 event log quality issues.

50 Against this background, this paper introduces **DaQAPO**, the first R-package
51 which supports flexible and fine-grained Data Quality Assessment for Process-
52 Oriented data. The package contains a rich set of event log quality tests
53 which identify potential event log quality issues. Each test has a number of
54 parameters that users need to set, enabling them to customize the tests to
55 adequately fit their specific application context. Moreover, **DaQAPO** enables
56 users to iteratively discover more fine-grained event log quality problems, e.g.
57 by using alternative test parameters or by considering a subset of the event
58 log. Based on the users' appraisal, it can be decided whether data cleaning is
59 required and possible, or whether particular care is needed when interpreting
60 process mining outcomes. As the package is developed in R, users can easily
61 generate reusable event log quality assessment scripts and can add their own
62 functions to support additional context-specific quality tests.

63 **2. Problems and Background**

64 Process mining uses an event log as input to extract process-related in-
65 sights. Each entry in an event log represents a single event captured by the
66 system, such as starting the registration of a new order, or completing a
67 delivery. These examples show that each event relates to a particular activ-
68 ity (e.g. order registration, order delivery) and is associated to a particular
69 case, which is a process instance such as an order or a patient visit. Events
70 in an event log need to be ordered, which is operationalized by adding a
71 timestamp. Additional attributes, such as the associated resource, can also
72 be recorded for an event (van der Aalst, 2016). Table 1 illustrates the event

73 log structure in a hospital context, containing events related to patient visits
 74 510 and 512.

Table 1: Illustration of the event log structure

| case id | activity | timestamp | transaction type | resource | ... |
|---------|---------------|---------------------|------------------|----------|-----|
| ... | ... | ... | ... | ... | ... |
| 510 | Registration | 20/11/2017 10:18:17 | start | Clerk 9 | ... |
| 510 | Registration | 20/11/2017 10:20:06 | complete | Clerk 9 | ... |
| 512 | Registration | 20/11/2017 10:33:14 | start | Clerk 12 | ... |
| 510 | Triage | 20/11/2017 10:34:08 | start | Nurse 27 | ... |
| 512 | Registration | 20/11/2017 10:37:00 | complete | Clerk 12 | ... |
| 510 | Triage | 20/11/2017 10:41:48 | complete | Nurse 27 | ... |
| 512 | Triage | 20/11/2017 10:44:12 | start | Nurse 27 | ... |
| 512 | Triage | 20/11/2017 10:50:17 | complete | Nurse 27 | ... |
| 512 | Clinical exam | 20/11/2017 11:27:12 | start | Doctor 7 | ... |
| 512 | Clinical exam | 20/11/2017 11:33:57 | complete | Doctor 7 | ... |
| ... | ... | ... | ... | ... | ... |

75 Data quality has been widely studied in several domains such as statistics
 76 and data mining (Batini and Scannapieco, 2006). However, efforts in these
 77 domains are not directly applicable to process mining due to the specific
 78 characteristics of an event log. In particular, as events need to be linked to a
 79 case and an ordering between events is required, different data entries in an
 80 event log are connected, giving rise to specific event log quality issues. For
 81 instance, when a physician records events for several patients in a very short
 82 time span (i.e. batch registrations), this might indicate that the registered
 83 timestamps do not correspond to the time at which an activity was actually
 84 executed (Vanbrabant et al., 2019). Moreover, batch registration can also
 85 lead to a deviation between the order of registration and the actual execution
 86 order of activities.

87 Given these particularities of process mining, dedicated research on event
 88 log quality has been conducted. These research efforts can be subdivided in
 89 three streams: (i) event log quality taxonomies, focused on conceptualizing
 90 the notion of event log quality and defining potential issues (e.g. Bose et al.,
 91 2013; Suriadi et al., 2017; van der Aalst et al., 2012; Vanbrabant et al., 2019),
 92 (ii) event log quality assessment, focused on identifying event log quality
 93 issues in a log (e.g. Andrews et al., 2018; Bose et al., 2013; Fischer et al.,
 94 2020; Kherbouche et al., 2016; Mans et al., 2015) and (iii) event log cleaning,
 95 focused on developing heuristics to handle specific event log quality issues
 96 (e.g. Bayomie et al., 2016; Dixit et al., 2018; Nguyen et al., 2019; Rogge-
 97 Solti et al., 2013). While the next paragraph highlights some key related

98 works regarding event log quality assessment, the focus of DaQAPO, readers are
99 referred to Martin (2021) for a recent overview on event log quality research.

100 Regarding event log quality assessment, current literature presents case
101 studies which highlight prevailing issues in real-life data (Kurniati et al., 2019;
102 Mans et al., 2015), and high-level process mining frameworks with explicit at-
103 tention for event log quality (Andrews et al., 2019; Martin et al., 2019). While
104 valuable, these efforts do not provide users with a directly usable instrument
105 to operationalize event log quality assessment. In this respect, three imple-
106 mented instruments have been proposed which can actually provide support,
107 originating from the works by Andrews et al. (2018), Fischer et al. (2020),
108 and Kherbouche et al. (2016). Kherbouche et al. (2016) developed a plugin
109 for the open-source process mining tool ProM¹ that implements a hierarchical
110 event log quality model. Based on the quality dimensions complexity, accu-
111 racy, consistency and completeness, the plugin calculates a large number of
112 metrics for a specific event log. In a similar vein, but with an exclusive focus
113 on timestamps, Fischer et al. (2020) introduced a ProM-plugin that calculates
114 a range of timestamp quality metrics for an event log, grouped in the dimen-
115 sions accuracy, completeness, consistency and uniqueness. The plugin allows
116 users to remove metrics or to adjust their relative weight in the calculation
117 of aggregated scores at the dimension level. While Kherbouche et al. (2016)
118 and Fischer et al. (2020) focus on the calculation of standardized event log
119 quality metrics, Andrews et al. (2018) propose the foundations of QUELI, an
120 event log query language to detect event log imperfections. In the long run,
121 QUELI should support the detection of the 11 event log imperfection patterns
122 proposed by Suriadi et al. (2017). At the moment, detection methods have
123 been proposed for five of these patterns (Andrews et al., 2018).

124 DaQAPO, the event log quality assessment package introduced in this pa-
125 per, complements and extends the state of the art regarding the practical
126 detection of event log quality issues. To highlight the areas in which DaQAPO
127 extends the state of the art, we will consider the aforementioned three imple-
128 mented instruments again, i.e. the works by Andrews et al. (2018), Fischer
129 et al. (2020), and Kherbouche et al. (2016). The ProM-plugins developed
130 by Kherbouche et al. (2016) and Fischer et al. (2020) provide a high-level
131 overview of the event log quality using a set of standardized metrics. While
132 these signals are valuable, they do not enable organisations to check whether

¹<http://www.promtools.org>

133 context-specific event log quality issues are prevailing (e.g. when a patient
134 is admitted from the emergency department to a hospital ward, the activity
135 ‘*Bed requested*’ should have been recorded). DaQAPO distinguishes itself from
136 Kherbouche et al. (2016) and Fischer et al. (2020) by providing a set of event
137 log quality tests that users can parameterize depending on their specific in-
138 formation needs. In this way, tests can be configured to fit the exact event log
139 quality information that the users’ needs, which complements the standard-
140 ized metrics provided by Kherbouche et al. (2016) and Fischer et al. (2020).
141 By means of the option to parameterize the available tests, DaQAPO provides
142 significant flexibility to its users, which recognizes the context-dependent na-
143 ture of event log quality assessment. Moreover, as DaQAPO is developed in
144 R, all standard functionalities of R are also available to users. This, for in-
145 stance, enables users to swiftly subset the event log to, e.g., study the event
146 log quality for a particular type of patients or clients in more detail. When
147 users would like to calculate the standardized measures from Kherbouche
148 et al. (2016) and Fischer et al. (2020) for a particular part of the event log,
149 this would require the creation of a new event log, which is more laborious.
150 Consequently, DaQAPO also complements existing work by enabling users to
151 easily drill-down in the data depending on the event log quality insights that
152 they have already gathered, generating even more fine-grained knowledge.

153 Compared to QUELI (Andrews et al., 2018), DaQAPO provides a wider
154 range of event log quality tests with flexible parameterization. For illustrative
155 purposes, Table 2 maps the functionalities of QUELI and DaQAPO to the event
156 log imperfection patterns (Suriadi et al., 2017). QUELI currently provides
157 dedicated support for five event log imperfection patterns. While DaQAPO
158 has not been designed with the imperfection patterns in mind, Table 2 shows
159 that indications for a wide range of them can be detected using tests in
160 DaQAPO. In addition, DaQAPO enables the identification of additional event log
161 quality issues, which are not covered by the imperfection patterns. Another
162 distinction between both instruments is that QUELI currently is a stand-alone
163 instrument, while DaQAPO is fully integrated with bupaR², the open-source
164 reference framework for process mining in R (Janssenswillen et al., 2019).
165 This has the distinct advantage that users can seamlessly proceed to process
166 mining analyses after assessing the event log quality. Within bupaR, DaQAPO
167 extends the existing toolset by supporting a crucial step in any process mining

²<http://www.bupar.net>

168 project, i.e. event log quality assessment.

Table 2: Supported detection of event log imperfection patterns (Suriadi et al., 2017)

| Event log imperfection pattern | QUELI | DaQAPO |
|------------------------------------|-------|--------|
| Form-based event capture | ✓ | ✓ |
| Inadvertent time travel | ✓ | ✓ |
| Unanchored event | | ✓ |
| Scattered event | | |
| Elusive case | | ✓ |
| Scattered case | | ✓ |
| Collateral events | ✓ | ✓ |
| Polluted label | | ✓ |
| Distorted label | | ✓ |
| Synonymous labels | ✓ | ✓ |
| Homonymous label | ✓ | ✓ |
| Additional event log quality tests | | ✓ |

169 DaQAPO is developed in R, which is a programming language providing
170 extensive functionalities for data manipulation and statistical analysis. Cur-
171 rently, there does not exist an R-package which focuses on the assessment of
172 event log quality. Existing R-packages focusing on data quality assessment in-
173 clude `dataQualityR` (Kumar and Upadhyay, 2013) and `dlookr` (Ryu, 2020).
174 `dataQualityR` focuses on determining the number of missing and unique
175 values for each variable in a dataset, and providing summary statistics on
176 the variable’s values (Kumar and Upadhyay, 2013). Similar functionalities
177 are provided by `dlookr`, but the latter also detects outliers of numeric vari-
178 ables (Ryu, 2020). Despite their merits, existing R-packages focused on data
179 quality fail to take into account the specific characteristics of an event log
180 as they were not designed to handle the specific format of process execution
181 data. Hence, they are not able to detect event log quality issues such as
182 activity order violations or incorrect timestamps due to batch registrations.
183 The detection of such quality problems, specific to event logs, is supported
184 by DaQAPO, stressing its contribution to the state of the art on data quality
185 assessment in R.

186 3. Software Architecture and Functionalities

187 DaQAPO is a novel R-package that provides an innovative instrument to
188 perform event log quality assessment. It offers three key benefits, making
189 it a valuable instrument for both researchers and business users. First and
190 foremost, DaQAPO offers great flexibility to its users. Instead of showing a

191 number of fixed event log quality metrics, users can parameterize DaQAPO's
192 event log quality assessment tests to make them fit their specific application
193 context. This enables users to investigate, e.g., whether a certain set of key
194 activities have been recorded for a particular client. Due to this flexibility,
195 users have full control over the event log quality assessment process and
196 can obtain fine-grained insights, targeted at their specific information needs.
197 Second, as the package is implemented in R, all R functionalities for data
198 manipulation are available to DaQAPO users. This can, for instance, be useful
199 to easily subset an event log and study the quality for a particular part of
200 the log. Moreover, users can write their own R-functions or adapt existing
201 functions to easily extend the default functionality and immediately apply
202 it to an event log. In addition, users can create reusable event log quality
203 assessment scripts, making it easy to run them again at a later point in
204 time. Finally, DaQAPO is an open-source package, making it accessible for
205 all users without the need to acquire any commercial license. Moreover, it
206 is integrated in the open-source `bupaR` framework for process mining in R,
207 enabling users to seamlessly proceed to the analysis phase once the event log
208 quality has been assessed.

209 From a technical perspective, DaQAPO consists of a series of event log
210 quality assessment tests which users can call. The package uses an activity
211 log as an input, which is a transformed event log created using dedicated
212 transformation functions available in `bupaR`. Each entry in an activity log
213 represents an activity instance, i.e. the execution of an activity by a particu-
214 lar resource for a particular case (e.g. the registration of a specific order by a
215 clerk). Hence, an activity log entry contains multiple timestamps, typically
216 its start and completion time. This is illustrated in Table 3. The activity
217 log structure is used as it enables the detection of data quality issues such
218 as negative activity durations (because the time of completion is recorded
219 before the start time). When a system only records completion times, other
220 types of timestamps will be considered missing. It should be stressed that
221 the majority of DaQAPO's tests can still be used under such circumstances.

222 While an outline of all of DaQAPO's event log quality assessment tests is
223 beyond the scope of this paper³, a key distinction can be made between (i)
224 tests considering each log entry independently, and (ii) tests focusing on the

³An overview of all DaQAPO's tests is available at <https://nielsmartin.github.io/daqapo/>

Table 3: Illustration of the activity log structure

| case id | activity | start | complete | resource | ... |
|---------|---------------|---------------------|---------------------|----------|-----|
| ... | ... | ... | ... | ... | ... |
| 510 | Registration | 20/11/2017 10:18:17 | 20/11/2017 10:20:06 | Clerk 9 | ... |
| 512 | Registration | 20/11/2017 10:33:14 | 20/11/2017 10:37:00 | Clerk 12 | ... |
| 510 | Triage | 20/11/2017 10:34:08 | 20/11/2017 10:41:48 | Nurse 27 | ... |
| 512 | Triage | 20/11/2017 10:44:12 | 20/11/2017 10:50:17 | Nurse 27 | ... |
| 512 | Clinical exam | 20/11/2017 11:27:12 | 20/11/2017 11:33:57 | Doctor 7 | ... |
| ... | ... | ... | ... | ... | ... |

225 relations amongst several log entries. The *first category* contains tests which
 226 relate to, for instance, the detection of missing values, duration outliers, ac-
 227 tivity label inconsistencies (e.g. introduced by typos), and inconsistencies
 228 between values within a single data entry (e.g. paying an invoice should
 229 only be done by a person having the required authorization). The *second*
 230 *category* detects data quality issues by studying the relation between several
 231 log entries, which is essential as process mining algorithms also focus on the
 232 relationship between activity instances related to the same case (e.g. an pa-
 233 tient visit). This category encompasses the detection of batch registrations,
 234 violations of the expected activity order (e.g. an invoice is payed before it
 235 has been sent), absent related activities, etc. Besides the extensive default
 236 functionality, more experienced R-users can easily add their own tests by
 237 using the standardized activity log object as a starting point.

238 Even though DaQAPO focuses on the detection of event log quality issues,
 239 basic data cleaning functionalities are provided as well. An example is the
 240 `filter_anomalies` function, which enables users to filter out anomalous log
 241 entries. Other functions, such as `detect_incorrect_activity_names` have
 242 dedicated `fix` functions. For any form of data cleaning, the user has full
 243 control, i.e. no automatic cleaning is performed. This is consistent with
 244 the principle that whether a potential event log quality issue constitutes an
 245 actual data registration problem is highly context-dependent.

246 4. Illustrative Examples

247 DaQAPO has been applied in several real-life settings, especially within
 248 the context of healthcare processes. To illustrate how the event log qual-
 249 ity assessment tests can be applied, the `hospital_actlog` dataset is used.
 250 This dataset is included in DaQAPO and contains process execution data of
 251 a simplified patient flow process at the emergency department of a hospital.

252 Besides the columns included in Table 3, with the originator referring to
 253 the resource, the `hospital_actlog` dataset also includes two additional case
 254 attributes: the triage code of a patient, expressing the severity of his/her
 255 condition, and the medical specialization to which the patient is linked.

256 When a user wants to use the functionalities of DaQAPO, (s)he needs to de-
 257 termine which quality assessment test is of interest and pass the function call
 258 with the appropriate parameter values to customize the test to the specific
 259 process/organizational context. The function will return the result of the se-
 260 lected log quality test. The following five examples will be briefly discussed
 261 below:

```

262 # Load DaQAPO
263 library(daqapo)
264
265 # Load activity log (included in the package)
266 hospital <- daqapo::hospital_actlog
267
268 # example 1
269 detect_similar_labels(activitylog = hospital,
270                      column_labels = "activity",
271                      max_edit_distance = 3)
272
273 # example 2
274 detect_missing_values(activitylog = hospital,
275                       level_of_aggregation = "activity")
276
277 # example 3
278 detect_activity_order_violations(activitylog = hospital,
279                                  activity_order = c("Registration",
280                                                     "Triage",
281                                                     "Clinical_exam",
282                                                     "Treatment",
283                                                     "Treatment_evaluation"))
284
285 # example 4
286 detect_related_activities(activitylog = hospital,
287                           antecedent = "Treatment_evaluation",
288                           consequent = "Treatment")
289
290 # example 5
291 detect_multiregistration(activitylog = hospital,
292                           level_of_aggregation = "resource",
293                           threshold_in_seconds = 10)
294 detect_multiregistration(activitylog = hospital,
295                           level_of_aggregation = "case",
296                           threshold_in_seconds = 10)
  
```

293 *Example 1* detects similar activity labels, which might, for instance, arise
 294 because of typos that occurred when data was recorded. Here, labels which
 295 differ in at most three characters will be shown in the output as they are
 296 considered similar. Figure 1 shows that such ‘Registration’ is sometimes
 297 (incorrectly) written without a capital. Similarly, for ‘Triage’, two similar
 298 (incorrect) labels are detected: ‘trage’ and ‘Triaga’. Based on this informa-
 299 tion, the user might decide to correct these faulty activity labels. For the

300 remaining examples, we assume that these labels are fixed.

```

> detect_similar_labels(activitylog = hospital,
+                       column_labels = "activity",
+                       max_edit_distance = 3)
# A tibble: 5 x 3
  column_labels labels      similar_to
  <chr>          <chr>      <chr>
1 activity      registration Registration
2 activity      Registration registration
3 activity      Triage      Trage - Triaga
4 activity      Trage      Triage - Triaga
5 activity      Triaga     Triage - Triaga

```

Figure 1: Output example 1 - Detect similar labels

301 *Example 2* requests an overview of the missing values in the activity log,
 302 aggregated at the activity level. The output, shown in Figure 2, shows both
 303 the absolute and relative number of missing values for each column in the
 304 activity log. For example: the output shows that the start time for one
 305 occurrence of the activity ‘Clinical exam’ is missing. Besides this summary,
 306 an overview of the log entries with missing values is depicted at the end.

```

> detect_missing_values(activitylog = hospital,
+                       level_of_aggregation = "activity")
Selected level of aggregation:activity
*** OUTPUT ***
Absolute number of missing values per column (per activity):
# A tibble: 6 x 7
  activity      patient_visit_nr originator start complete triagecode specialization
  <chr>          <int>      <int> <int> <int> <int> <int>
1 0              0          1      0      0      0      0
2 Clinical exam  0          0      1      0      1      0
3 Registration  0          1      0      0      0      0
4 Treatment     0          0      0      0      0      0
5 Treatment evaluation 0          0      0      0      0      0
6 Triage        0          0      0      0      0      0
Relative number of missing values per column (per activity, expressed as percentage):
# A tibble: 6 x 7
  activity      patient_visit_nr originator start complete triagecode specialization
  <chr>          <dbl>      <dbl> <dbl> <dbl> <dbl> <dbl>
1 0              0          1      0      0      0      0
2 Clinical exam  0          0      0.111 0      0.111 0
3 Registration  0          0.0667 0      0      0      0
4 Treatment     0          0      0      0      0      0
5 Treatment evaluation 0          0      0      0      0      0
6 Triage        0          0      0      0      0      0
Overview of activity log rows which are incomplete:
# A tibble: 4 x 7
  patient_visit_nr activity      originator start      complete      triagecode specialization
  <dbl> <chr>      <chr>      <dtm>      <dtm>      <dbl> <chr>
1 510 Clinical exam Doctor 7 2017-11-20 11:35:01 2017-11-20 11:36:09 NA URG
2 533 0 NA 2017-11-22 18:35:00 2017-11-22 18:37:00 7 URG
3 534 Registration NA 2017-11-22 18:35:00 2017-11-22 18:37:00 0 URG
4 512 Clinical exam Doctor 7 NA 2017-11-20 11:33:57 3 URG

```

Figure 2: Output example 2 - Detect missing values

307 *Example 3* verifies whether the activity order is violated. The user, which
 308 is the domain expert, knows that the activities should normally be in the
 309 order ‘Registration > Triage > Clinical exam > Treatment > Treatment
 310 evaluation’. This is passed as a parameter value. Figure 3 shows that this

311 activity order is respected for 18 patient visits, but not for 4 patient visits.
 312 For the cases which do not follow the expected activity order, the order in
 313 which the activities occurred is shown. This indicates, for instance, that
 314 ‘Triage’ is recorded before ‘Registration’ for patient visit 521. Using this
 315 information, the user can try to verify whether this constitutes an event log
 316 quality issue, or whether it represents anomalous behaviour that actually
 317 occurred.

```
> detect_activity_order_violations(activitylog = hospital,
+                               activity_order = c("Registration",
+                                                "Triage",
+                                                "Clinical exam",
+                                                "Treatment",
+                                                "Treatment evaluation"))
Selected timestamp parameter value: both

*** OUTPUT ***
It was checked whether the activity order Registration - Triage - Clinical exam - Treatment - Treatment evaluation
is respected.
This activity order is respected for 18 (81.82%) of the cases and not for 4 (18.18%) of the cases.
For cases for which the aforementioned activity order is not respected, the following order is detected (ordered by
decreasing frequency of occurrence):

# A tibble: 4 x 3
  activity_list                                n case_ids
  <chr>                                <int> <chr>
1 Registration - Registration - Registration         1 518
2 Registration - Registration - Triage - Clinical exam - Treatment - Treatment evaluation     1 535
3 Registration - Triage - Clinical exam - Clinical exam         1 512
4 Triage - Registration                                   1 521
```

Figure 3: Output example 3 - Detect activity order violations

318 *Example 4* checks whether related activities are present, i.e. activities
 319 that should be recorded whenever another activity is recorded for a particular
 320 case. In particular, the user knows that a treatment evaluation (activity
 321 ‘Treatment evaluation’) should only be recorded when a treatment (activity
 322 ‘Treatment’) has been recorded. As shown in Figure 4, this holds for all
 323 cases besides patient visit 529. For the latter case, only activity ‘Treatment
 324 evaluation’ has been recorded.

```
> detect_related_activities(activitylog = hospital,
+                          antecedent = "Treatment evaluation",
+                          consequent = "Treatment")
*** OUTPUT ***
The following statement was checked: if Treatment evaluation is recorded for a case, then Treatment should also be
recorded.
This statement holds for 5 (83.33%) of the cases in which Treatment evaluation was recorded and does not hold for
1 (16.67%) of the cases in which Treatment evaluation was recorded.
For the following cases, only Treatment evaluation is recorded:
[1] 529
```

Figure 4: Output example 4 - Detect related activities

325 *Example 5* detects multi-registration, also referred to as batch registra-
 326 tion, which involves several log entries being recorded in a close time interval.

327 Multi-registration could, for instance, occur when several activities are exe-
328 cuted, but their administrative registration is left for a calmer period. This
329 implies that the timestamp of the activities, and potentially even their ex-
330 ecution order, differs from their actual execution, which is problematic for
331 process mining purposes. Example 5 identifies multi-registration at two lev-
332 els of aggregation: resource and case, with the time interval to qualify for
333 multi-registration being set to 10 seconds. Figure 5a shows multi-registration
334 behavior is detected for 4 out of 12 resources. For instance: ‘Nurse 5’ registers
335 the activity ‘Triage’ for patient visits 524, 525 and 526 in a very narrow time
336 frame, making it questionable whether this activity actually took place at
337 that time. Figure 5b takes another perspective and detects multi-registration
338 at the case level. The output shows that several instances are recorded in
339 a short time span for 4 out of 22 cases. For example: for patient visit 527,
340 the activities ‘Registration’, ‘Triage’ and ‘Clinical exam’ are recorded very
341 quickly after each other, which could require further investigation.

342 The illustrative examples shown above demonstrate the contribution of
343 DaQAPO compared to the state of the art instruments for event log quality
344 assessment. The examples show how the quality tests generate fine-grained
345 insights in potential quality issues and how they can be customized to the
346 specific process or organizational context. This presents a valuable contribu-
347 tion compared to existing event log quality assessment instruments, which
348 primarily focuses on the automated calculation of a set of standardized, but
349 high-level, metrics.

350 5. Conclusions

351 This paper introduced DaQAPO, the first R-package which supports flexi-
352 ble and fine-grained event log quality assessment. It provides a wide range
353 of generic event log quality tests, enabling users to gain a profound insight
354 in event log quality. The contribution of DaQAPO originates from the partic-
355 ularities of process mining, and the absence of an implemented open-source
356 instrument to flexibly support event log quality assessment, allowing the de-
357 tection of context-specific quality issues.

358 The functionality provided by DaQAPO can be extended in future devel-
359 opments. The package’s log quality tests could be embedded in a structured
360 event log quality assessment trajectory, which would provide additional sup-
361 port to users of the package. Another promising avenue for future work is the
362 addition of output visualizations. Currently, the output shows the affected

```

> detect_multiregistration(activitylog = hospital,
+                          level_of_aggregation = "resource",
+                          threshold_in_seconds = 10)
Selected level of aggregation: resource
Selected timestamp parameter value: complete

*** OUTPUT ***
Multi-registration is detected for 4 of the 12 resources (33.33%). These resources are:
Doctor 7 - Nurse 5 - Nurse 27 - NA

For the following rows in the activity log, multi-registration is detected:
# A tibble: 9 x 7
  patient_visit_nr activity      originator start          complete      triagecode specialization
  <dbl> <chr> <chr> <dtm> <dtm> <dbl> <chr>
1 512 Clinical exam Doctor 7 2017-11-20 11:27:12 2017-11-20 11:33:57 3 URG
2 512 Clinical exam Doctor 7 NA 2017-11-20 11:33:57 3 URG
3 524 Triage Nurse 5 2017-11-21 17:04:03 2017-11-21 17:06:05 3 URG
4 525 Triage Nurse 5 2017-11-21 17:04:13 2017-11-21 17:06:08 3 URG
5 526 Triage Nurse 5 2017-11-21 17:04:15 2017-11-21 17:06:10 4 URG
6 536 Triage Nurse 27 2017-11-22 15:15:39 2017-11-22 15:25:01 5 URG
7 536 Treatment Nurse 27 2017-11-22 15:15:41 2017-11-22 15:25:03 5 URG
8 533 0 NA 2017-11-22 18:35:00 2017-11-22 18:37:00 7 URG
9 534 Registration NA 2017-11-22 18:35:00 2017-11-22 18:37:00 0 URG

```

(a)

```

> detect_multiregistration(activitylog = hospital,
+                          level_of_aggregation = "case",
+                          threshold_in_seconds = 10)
Selected level of aggregation: case
Selected timestamp parameter value: complete

*** OUTPUT ***
Multi-registration is detected for 4 of the 22 cases (18.18%) of the cases. These cases are:
512 - 518 - 527 - 536

For the following rows in the activity log, multi-registration is detected:
# A tibble: 11 x 7
  patient_visit_nr activity      originator start          complete      triagecode specialization
  <dbl> <chr> <chr> <dtm> <dtm> <dbl> <chr>
1 512 Clinical ex~ Doctor 7 2017-11-20 11:27:12 2017-11-20 11:33:57 3 URG
2 512 Clinical ex~ Doctor 7 NA 2017-11-20 11:33:57 3 URG
3 518 Registration Clerk 12 2017-11-21 11:45:16 2017-11-21 11:22:16 4 PED
4 518 Registration Clerk 6 2017-11-21 11:45:16 2017-11-21 11:22:16 4 PED
5 518 Registration Clerk 9 2017-11-21 11:45:16 2017-11-21 11:22:16 4 PED
6 527 Registration Clerk 6 2017-11-21 18:02:10 2017-11-21 18:04:07 2 URG
7 527 Triage Nurse 5 2017-11-21 18:02:11 2017-11-21 18:04:08 2 URG
8 527 Clinical ex~ Doctor 4 2017-11-21 18:02:13 2017-11-21 18:04:10 2 URG
9 536 Triage Nurse 27 2017-11-22 15:15:39 2017-11-22 15:25:01 5 URG
10 536 Clinical ex~ Doctor 1 2017-11-22 15:15:40 2017-11-22 15:25:02 5 URG
11 536 Treatment Nurse 27 2017-11-22 15:15:41 2017-11-22 15:25:03 5 URG

```

(b)

Figure 5: Output example 5 - Detect multi-registration: (a) at the resource level, (b) at the case level

363 rows and relevant summary statistics about the issue's occurrence. However,
 364 visualizations can further enrich the output. For instance: inactive periods
 365 can be depicted using dotted charts where each dot represents a recording
 366 in the system. The generated visualizations can either be part of the regular
 367 output or could, for instance, be embedded in an interactive event log quality
 368 dashboard. Besides the aforementioned extensions in terms of functional-
 369 ities, it would also be valuable to thoroughly investigate usage patterns. In
 370 this context, future research could set up a large-scale user study to highlight

371 areas for further improvement regarding DaQAPO's design and functions.

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481 **Current code version**

| Nr. | Code metadata description | DaQAPO metadata |
|------------|---|---|
| C1 | Current code version | 0.3.0 |
| C2 | Permanent link to code/repository used of this code version | https://github.com/nielsmartin/daqapo |
| C3 | Legal Code License | MIT-license (OSI approved license) |
| C4 | Code versioning system used | git |
| C5 | Software code languages, tools, and services used | R |
| C6 | Compilation requirements, operating environments & dependencies | - |
| C7 | If available Link to developer documentation/manual | https://nielsmartin.github.io/daqapo |
| C8 | Support email for questions | <i>niels.martin@uhasselt.be</i> |

Table 4: Code metadata