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Estimates of life-history and growth parameters of exploited fish species in lakes Edward and George: Implications on exploitation status, population dynamics, management, and conservation of native species Peer-reviewed author version

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16

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### 20

# 24 Abstract

25 Adequate knowledge is an essential requirement for responsible inland fisheries. However, many 26 inland fisheries lack monitoring, and hence, decision-making for fisheries management is not 27 reliable. In this paper, we used data from surveys and literature to estimate the life-history and 28 growth parameters of 16 exploited fish stocks in the Ugandan part of Lake Edward and Lake 29 George (East Africa). The estimated parameters are pivotal indicators of fish stock status, 30 particularly in data-poor fisheries. The estimated parameters included maximum length ( $L_{max}$ ) and 31 mean length ( $L_{mean}$ ) as indicators of size structure in experimental and commercial catches, 32 coefficients of length-weight relationships, length at 50% maturity ( $L_{m50}$ ), fecundity, von Bertalanffy 33 parameters, total mortality (Z), and natural mortality (M). These parameters were estimated using 34 empirical formulae, statistical methods, and analyses of length frequencies. Only two stocks of 35 semutundu *Bagrus docmak* exhibited significant and increasing trends in  $L_{max}$  (Lake Edward) and 36  $L_{mean}$  (Lake George). The estimates for the remaining parameters were consistent with those in 37 FishBase and other literature resources, either for the same species or related species. This 38 consistency indicates their reliability for application in decision-making and further assessments. 39 Some paramters showed evidence of unsustainable fishing. For example, estimates of  $L_{m50}$  for four 40 of the assessed stocks belonging to two species (Nile tilapia Oreochromis niloticus and marbled 41 lungfish Protopterus aethiopicus) were lower than baseline estimates in the studied waterbodies. 42 Furthermore, the  $L_{mean}$  in catches for all the stocks were less than the optimum lengths  $(L_{opt})$ , which 43 maximize catches with minimal impact on biomass and size structure. No significant changes in 44  $L_{mean}$ , length-frequency distributions, and size at maturity could be attributed to the management 45 changes implemented in 2018 probably because it is too early to observe changes in these 46 parameters. However, there are positive signs attributable to the changes in management as shown 47 by a high proportion of mature individuals in commercial catches for most of the stocks for which 48 the proportion was calculated, and an increase in  $L_{mean}$  and  $L_{max}$  for some stocks such as B. docmak 49 in commercial or experimental catches. New estimates from this study will enhance 50 decision-making and further assessments of fisheries. Routine monitoring is recommended to 51 update and improve the estimates.

52

53 Keywords: Fisheries management, Inland fisheries, Overfishing, Small-scale fisheries

54

### **56 1** Introduction

57 Stakeholders in fisheries research, policy, management, advocacy, and industry agreed to the 10 58 steps for responsible inland fisheries (FAO & MSU, 2016). The steps aim at addressing the 59 challenges that inland fisheries face to sustain their contribution to biodiversity, food security and 60 livelihoods (FAO and MSU, 2016; Lynch et al., 2017). Challenges faced by inland fisheries include 61 high fishing pressure, pollution, and invasive species (Welcomme et al., 2010; FAO & MSU, 2016). 62 Improving the assessment of inland fisheries is the first of the 10 steps.

63

64 Challenges to inland fisheries particularly in developing countries are persistent because 65 policymakers overlook these fisheries (Cooke et al., 2016; Lynch et al., 2017). This emanates from 66 limited access to information because most inland fisheries are not subjected to adequate 67 monitoring and assessments (Cooke et al., 2016; Elliott et al., 2019). As a result, these fisheries are 68 notably absent in global governance processes such as the Sustainable Development Goals (Cooke 69 et al., 2016; Lynch et al., 2017; Elliot et al., 2022). Improving assessments, therefore, is envisaged 70 to alleviate the information limitations and mainstream inland fisheries into governance processes at 71 all levels. However, this (improved assessment) is not adequate in isolation because well-assessed 72 fish stocks may also not be effectively managed due to, for example, limited political will (Froese & 73 Quaas, 2012).

#### 74

75 Life-history parameters of fish such as size at which 50 % of individuals in a fish population attain 76 maturity ( $L_{m50}$ ), maximum length ( $L_{max}$ ), fecundity, mortality rates, coefficients of length-weight 77 relationships, and parameters of the von Bertalanffy growth function (VBGF) including length at 78 infinity ( $L_{\infty}$ ) are derived from stock assessments. The VBGF is the most popular model of fish 79 growth in terms of increase in length or weight (von Bertalanffy, 1938). In data-poor fisheries, these 80 parameters could be the only tools available to tell the status of the exploited species (King & 81 McFarlane, 2003). These parameters also serve as inputs to stock assessment and ecosystem 82 models, both of which generate more robust information and tools for decision-making (Froese et 83 al., 2018). Therefore, more reliable and updated fish life-history parameters and growth parameters 84 could tell the status of exploited stocks and support decisions to improve the management of 85 fisheries and conservation of species.

86

87 This study estimated life-history and growth parameters for the exploited fish stocks in the Ugandan
88 part of Lake Edward and Lake George. With a combined annual catch of approximately 48,000 t,
89 supporting about 23,000 fishers in Uganda and the Democratic Republic of Congo (DRC) ((NBI,

90 2019, 2021)), these lakes do not only support fisheries that are of economic importance to local 91 communities, but also habour fish species of conservation importance especially the haplochromine 92 cichlids (Vranken et al., 2019; Decru et al., 2020). However, the populations of the exploited fish 93 stocks in these lakes lack updated life-history and growth parameters. Indeed, a recent assessment 94 of the fish stocks using an approach that requires some of these parameters ( $L_{m50}$  and  $L_{\infty}$ ) as inputs 95 relied on old inputs from FishBase or estimates from empirical formulae (Musinguzi et al., 2021).

97 The two lakes have been subject to high levels of fishing effort and a high prevalence of illegal 98 fishing practices and fishing gear (Bassa et al., 2014; Lubala et al., 2018). However, since 2018, 99 major changes occurred in the management of both lakes in Uganda. To strengthen the enforcement 100 of fishing regulations, a Fish Protection Unit (FPU), drawn from the Uganda Peoples Defense 101 Forces (UPDF) was deployed on the waterbodies to improve adherence to fishing regulations by 102 banning illegal fishing gear, crafts, and practices such as fishing in near-shore areas designated as 103 fish breeding areas (NPA, 2019). Therefore, in addition to estimating the life-history and growth 104 parameters, the changes in the life-history parameters that could be attributed to the changes in 105 management were examined where applicable. We hypothesized that the values of the parameters 106 before and after 2018 differed, with those before 2018 signaling unsustainable fishing. The 107 estimated parameters enhance our understanding of the exploited fish stocks, ultimately 108 contributing to more effective management measures.

109

# **110 2** Materials and methods

## 111 2.1 Lakes Edward and George

112 Lake George is located entirely in Uganda, while Lake Edward is shared between Uganda (29 %) 113 and the DRC (71 %). The two lakes are connected by the 40 km long Kazinga Channel (Figure 1). 114 Fish species of economic importance in the waterbodies are marbled lungfish *Protopterus* 115 *aethiopicus* Heckel *1851*, North African catfish *Clarias gariepinus* (Burchell 1822), semutundu 116 *Bagrus docmak* (Fabricius 1775), Ripon barbel *Labeobarbus altianalis (Boulenger* 1900), Nile 117 tilapia *Oreochromis niloticus* (Linnaeus, 1758), blue-spotted tilapia *Oreochromis leucostictus* 118 (Trewavas 1933), and to a lesser extent, elephant-snout fish *Mormyrus kannume* Forsskål 1775, and 119 omuruma *Labeo forskalii* Rüppell 1835 (Lubala et al., 2018; NaFIRRI, 2019). Based on the 120 contribution to the annual catches of 2020 (NBI, 2021), *O. niloticus* is the most important in the 121 Ugandan section of Lake Edward with 38.2 % of the catches, followed by *P. aethiopicus* (21.2 %), 122 *B. docmak* (20.0 %), *C. gariepinus* (8.8 %), *M. kannume* (7.0 %), and *L. altianalis* (4.8 %). In Lake 123 George, *P. aethiopicus* is the most important with 35.8 % of the catches, followed by *B. docmak*  124 (26.4 %), *O. niloticus* (18.1 %), *C. gariepinus* (17.0 %), *M. kannume* (2.4 %), and *L. altianalis* 125 (0.2%). In both lakes, the contribution of the haplochromines to the catches of 2020 was negligible. 126 Lakes Edward and George are mostly surrounded by protected areas. The entire Congolese part of 127 Lake Edward is located within the Virunga National Park. In Uganda, the whole of the Kazinga 128 Channel lies within the Queen Elizabeth National Park (QENP), while most of Lake George and the 129 entirety of Lake Edward (Uganda) are surrounded by the QENP (Figure 1). The presence of these 130 protected areas limits farming (Uganda Wildlife Authority, 2012), making fishing a vital source of 131 sustenance for riparian communities. Therefore, efforts to sustain fishing activities are crucial.

132

# **133** 2.2 Data used and its sources

We analyzed secondary data from catch assessments (fishery-dependent) and experimental gillnet tist surveys (fishery-independent) conducted on the Ugandan part of Lake Edward and George by the tist National Fisheries Resources Research Institute (NaFIRRI), a public research institute with a tist mandate to conduct fisheries research in Uganda (Table S1). From the catch assessment surveys tist (CAS), we obtained length measurements of fish samples from commercial catches. Measurements tist with temporal gaps. We obtained data on length, weight, sex, and gonadal development stage from tist experimental gillnet surveys conducted in 1995, 2006, 2007, 2011, 2012, 2013, 2016, and 2019 for tist Lake Edward and 1994, 1995, 2011, 2012, and in 2016 for Lake George.

143

We conducted supplementary data collection in the two waterbodies. The data collection on Lake t45 Edward only covered the Ugandan section of the lake (Figure 1). Supplementary experimental f46 gillnet surveys were conducted in 2021 (Lakes Edward and George) and 2022 (Lake Edward only), following standard operating procedures for studies in fish biology and ecology in the region f48 (LVFO, 2007). In 2021, we conducted four surveys, two in each lake between January and August. for 2022, we conducted six consecutive monthly surveys from June to November 2022 on Lake for Edward. Alongside the experimental surveys in 2022, we collected length measurements of fish fish specimens in commercial catches on the lake. However, no surveys were conducted on Lake for George.

153

154 During the experimental gillnet surveys, three sets of gillnets were placed in predetermined 155 locations. The selected locations cover diverse habitats in the lakes, including near-shore, river 156 mouths, rocky, and offshore (Figure 1). The sets comprised multifilament gillnets (different from 157 monofilament gillnets of one twine by having multiple twines) of mesh sizes ranging from 25.4 mm 158 (1 inch) to 203.2 mm (8 inches), 90 m long and 26 meshes deep. Mesh sizes ranging from 25.4 mm 159 to 139.7 mm (5.5 inches) were in increments of 12.7 mm (0.5 inches) while mesh sizes 152.4 -160 203.2 mm (6 to 8 inches) were in increments of 25.4 mm. The gillnets were set in the evening and 161 retrieved in the morning. A wide range of mesh sizes and habitats were used to increase the chances 162 of obtaining representative samples by capturing fish specimens in all habitats and size classes. The 163 mesh sizes also included the legally approved size (4.5 inches or 114.3 mm). After capture, fish 164 were sorted by species based on the knowledge and experience of research technicians and 165 scientists at NaFIRRI. For small catches, all individuals were measured, while a random 166 manageable subsample was taken if catches were deemed (subjectively) to be too large to handle. 167 Data on catch composition by weight was not analyzed as it fell out of the scope of this study. Data 168 was collected on total length or fork length for species with a forked caudal fin, weight, sex, and 169 maturity stage of gonads. The gonads were given maturity stages ranging from 1 to 6, with stages 1 170 to 3 considered to be immature and stages 4 to 6 considered to be mature. The assignment of the 171 maturity stages was based on standard procedures (LVFO, 2007). Ovaries of stages 4, 5 and 6 were 172 retained to estimate fecundity.

### 173

# **174** 2.3 Data processing and analysis

### 175 2.3.1 Size structure

176 We determined the size structure of the fish stocks in commercial and experimental catches 177 separately for each waterbody. Size structure was determined in terms of  $L_{max}$  and mean length 178 ( $L_{mean}$ ) in the catches, indicators used for evaluating the exploitation status of stocks (Shin et al., 179 2005). The estimates for these indicators were determined for each year with data to allow 180 comparisons across years. The presence of trends in the indicators of size structure and their 181 significance was examined using Mann-Kendall tests where four or more samples (years) were 182 available (Hassig et al., 2010). These tests were performed in R using the *Kendall* package 183 (McLeod, 2011).

#### 184

The length measurements used to determine size structure were distributed into appropriate length intervals to create histograms for each year to visualize the size structure in both experimental and commercial catches by species. Only years with 30 or more length measurements for a stock were included in the histograms. Length-frequency distributions are multi-model, a principle that reflects the presence of individuals of multiple age or size classes in fish populations (Gulland & Nosenberg, 1992). Therefore, the status of stocks could be deduced from length-frequency distribution patterns, with a steady decline from larger to smaller individuals showing a good status with a vice versa (Neumann & Allen, 2007). Based on this principle, the patterns of the 193 length-frequency histograms for the stocks were visually examined for insights into the status of the194 stocks.

#### 195

The presence of significant differences in size structure was examined by: (i) comparing the  $L_{mean}$  in 197 catches over years using the Kruskal-Wallis test; and (ii) comparing length-frequency distributions 198 using the Kolmogorov–Smirnov two-sample test with a Holm–Bonferroni correction (Neumann & 199 Allen, 2007). Dunn's test for pairwise multiple comparisons using the Benjamini-Hochberg method 200 was applied when the Kruskal–Wallis test was significant. The Kruskal-Wallis and Dunn's tests 201 were implemented in R using the *stats* package (R Core Team and contributors worldwide, 2023). 202 The Kolmogorov–Smirnov two-sample test was implemented in python using the *scipy.stats* 203 module (Seabold et al., 2010).

#### 204

# 205 2.3.2 Length-weight relationships and Fulton's condition factor $(K_{c})$

206 Linear models were fitted to  $\log_{10}$ -transformed total length or fork length and weight data from 207 experimental catches to generate *a* and *b* coefficients of length-weight regressions for each stock. 208 The length-weight regressions were conducted for each lake separately. Data for all years were 209 aggregated for each stock to increase the sample size. The aggregated years were 2011, 2012 and 2021 for Lake George. In the Ugandan part of Lake Edward, the years aggregated were 2006-07, 211 2011-13, 2016, 2019, and 2021-22. However, most (86.6%) measurements were from 2019, 2021 212 and 2022. The length and weight data were cleaned to remove outliers and improve the models. 213 The validity of the values of *a* and *b* was evaluated by comparisons with the values for each species 214 available in literature or FishBase (Froese & Pauly, 2023).

#### 215

216 We determined the Fulton's condition ( $K_c$ ) of the fish stocks as  $W/L^{3*}100$  (Fulton, 1904). The W and 217 L are the observed weight and length, respectively. The  $K_c$  is useful for indicating the growth 218 condition of fish. A fish stock is in good condition when  $K_c$  is greater than 1 and vice versa 219 (Ravikumar et al., 2023).

220

### 221 2.3.3 Sex ratios and size at first maturity

222 Sex ratios were determined as the ratio of the number of females to the number of males captured in 223 experimental catches. We used the chi-square test ( $X^2$ ) to determine whether the observed sex ratio 224 for each stock was significantly different from 1:1, the general sex ratio in natural populations 225 (Fisher, 1930).

227 Size at first maturity was calculated as  $L_{m50}$ . This was determined by fitting numbers in 228 length-frequency bins to a logistic regression curve, using the least squares method (Sparre and 229 Venema, 1998). The estimates of  $L_{m50}$  were based on maturity data collected in this study in 2019, 230 2021, and 2022 in Lake Edward and 2021 in Lake George. Size at maturity is highly variable 231 (Hutchings, 2002) and for this reason, we avoided aggregating data over a long period (beyond five 232 years). The values of  $L_{m50}$  were estimated for both sexes combined and for males and females 233 separately whenever the sample size was adequate. The  $L_{m50}$  was determined using the R package, 234 *sizeMat* (Torrejon-Magallanes, 2020). Using the estimates of  $L_{m50}$  for both sexes combined, we 235 calculated the percentage of mature individuals in the catch, an indicator of overfishing (Froese, 236 2004). This was done for the stocks with adequate length measurements in commercial catches 237 sampled in 2020 and 2022.

#### 238

239 For some stocks in the Ugandan part of Lake Edward (*O. niloticus, O. leucostictus, B. docmak*, and 240 *L. altianalis*), adequate maturity data was available for periods before (from secondary data) and 241 after the change in management in 2018 (from this study). The maturity data before the change in 242 management was aggregated for the period from 2006 to 2016. For these stocks, we determined 243 whether the logistic regression models of maturity between the two time periods were significantly 244 different to examine the effect of the change in management. To implement this, we made a factor 245 variable representing each of the two time periods. The effect of change in management was 246 examined by fitting logistic regression models that cater for the effect of the derived factor variable 247 using the *glm* function in the MQMF (Modelling and Quantitative Methods in Fisheries) package in 248 R (Haddon, 2020).

#### 249

### 250 2.3.4 Fecundity

251 Standard procedures were used to process preserved ovaries and to count oocytes (LVFO, 2007). 252 Ovaries were collected in 2021 and 2022. All oocytes in small ovaries were counted to obtain the 253 total number of eggs (absolute fecundity). For big ovaries, where enumeration of all oocytes was 254 impractical, the total weight of the ovary was measured, followed by counting oocytes in two 255 sub-samples of known weight. The total number of oocytes was then determined by extrapolating 256 the average number from the sub-samples to the whole ovary using its total weight. Linear 257 regressions on  $\log_{10}$ -transformed data were performed on total or fork length (cm) and absolute 258 fecundity to generate regression equations for each stock, useful for predicting fecundity for a fish 259 of known length and vice versa. The sample size for fecundity was small for most stocks, ranging 260 from 2-162 (average 34). As a result, samples for both the Uganda part of Lake Edward and George 261 were combined for the linear regression analyses.

## 263 2.3.5 Growth parameters and mortality rates

The growth parameters were based on inputs from literature and new observations from secondary and primary data aggregated in this study. Focus was on the length at infinity  $(L_{\infty})$ , weight at infinity  $(W_{\infty})$ , the rate at which  $L_{\infty}$  and  $W_{\infty}$  are attained (*K*), optimum length of capture to maximize yield rate  $(L_{opt})$ , natural mortality (*M*), total mortality (*Z*), fishing mortality (*F*), and exploitation rate (*E*) using empirical equations (e.g., Froese & Binohlan, 2000) and the von Bertalanffy growth function (VBGF) (von Bertalanffy, 1938).

270

271 The values for  $L_{\infty}$  were estimated from its relationship with maximum length  $(L_{max})$  (Equation 1). In 272 each lake,  $L_{max}$  was the observed length of the largest individual in the experimental and commercial 273 catches. The  $W_{\infty}$  was estimated from  $L_{\infty}$  using the *a* and *b* values from the length-weight 274 relationships determined in this study. The  $L_{opt}$  was estimated from Equation 2.

$$275 \ log(L_{\infty}) = 0.044 + 0.9841 * log(L_{max})$$

$$276 \ log(L_{opt}) = 1.042 * log(L_{\infty}) - 0.2742$$
(1)
(2)

277

278 Estimates of *K* were derived from Equation 3, where  $t_{max}$  is the maximum life span of the species 279 listed in FishBase (Froese & Pauly, 2023).

$$K = \frac{3}{t_{max}}$$
(3)

Equations 1 to 3 were adopted from Froese & Binohlan (2000). Total mortality (Z) was estimated 282 from two approaches i.e., the Beverton & Holt (1957) estimator (Equation 4) and the 283 length-converted catch curve (see below, Z\*). The value of  $L_c$  in Equation 4 is the minimum fully 284 selected size (the size at which all fish are caught by a particular fishing gear) in the fishery 285 assumed to be minimum length in commercial catches while  $L_{mean}$  is the mean length of fish 286 specimens larger than  $L_c$ . This approach was implemented in R using the function *bheq* in the 287 package *fishmethods* (Nelson, 2014). This method was not implemented for fish stocks lacking 288 adequate samples from commercial catches: *L. forskalii*, *M. kannume*, and *O. leucostictus* (Lake 289 George).

$$Z = \frac{K(L_{\infty} - L_{mean})}{L_{mean} - L_c}$$
(4)

292 Natural mortality (M) was estimated from Equation 5 (Pauly, 1980). Temperature (T) in the 293 equation refers to the mean temperature for the habitat, i.e., each of the waterbodies, and was 294 obtained from Stoyneva-Gärtner et al. (2020).

295

$$log_{10}(M) = -0.0066 - 0.279 log_{10}(L_{\infty}) + 0.6543 log_{10}(K) + 0.463 log_{10}(T)$$
(5)

For the Ugandan part of Lake Edward, we also estimated total mortality ( $Z^*$ ) based on the VBGF using the length measurements from the six consecutive experimental gillnet surveys performed in 2022 combined with the corresponding measurements from commercial catches. We used the 301 Electronic Length-Frequency Analysis function (ELEFAN 1) implemented in the software FISAT 302 for this approach (Pauly, 1987). The  $L_{\infty}$  and K are priors to estimate  $Z^*$  in ELEFAN 1. The value of 303 K ( $K^*$ ) used was estimated using the K-Scan routine in ELEFAN I by fixing the  $L_{\infty}$  values to those 304 derived from the empirical formulae in this study. The routine returns a value of  $K^*$  with the best fit 305 for the fixed  $L_{\infty}$ . Using the values of  $L_{\infty}$  and derived  $K^*$  values, Z was estimated as the slope of the 306 length–converted catch curve (Ricker, 1975).

#### 307

308 In some instances, single-figure estimates of total mortality (mean) derived from length-based 309 assessments may not be true estimates with the true values lying anywhere in the range of the 310 confidence limits due to data variability (Gulland & Rosenberg, 1992). This was the case with both 311 values of total mortality in this study because relating them with M to estimate F, returned 312 unrealistic, negative estimates of F. As a result, F and E(F/Z) were not determined.

#### 313

314 Finally, we estimated growth performance indexes ( $\emptyset$ ) for the stocks to facilitate the evaluation of 315 the estimates of *K* and  $L_{\infty}$  from empirical formula by comparing the indexes derived to those of the 316 species or related species (Froese and Pauly, 2023; Munro and Pauly 1983). The indexes were based 317 on Equation 6 (Munro and Pauly, 1983).

318

$$319 \ \emptyset = \log_{10}(K) + 2 \times \log_{10}(L_{\infty}) \tag{6}$$

320

## 321 2.4 Ethical statement

322 In Uganda, this study was conducted by researchers of the National Fisheries Resources Research 323 Institute (NaFIRRI). The institute is one of the seven public National Agricultural Research 324 Institutes (NARIs) in Uganda under the policy guidance and coordination of the National 325 Agricultural Research Organisation (NARO). The Institute is authorized to collect fish for research <sup>326</sup> purposes to fulfil its national mandate to conduct basic and applied fisheries research. The care for
<sup>327</sup> the fish collected complied with national guidelines for the use of animals in research and training
<sup>328</sup> established by the Uganda National Council for Science and Technology (UNCST).

### **330 3** Results

## 331 3.1 Size structure

332 The size structure of the stocks in terms of length ( $L_{max}$  and  $L_{mean}$ ) is illustrated in Figures 2and 3. 333 The Mann-Kendall test revealed only two significant trends in the commercial and experimental 334 catches of the stocks (Table S4). The significant trends, both for commercial catches of *B. docmak*, 335 were an increasing trend in  $L_{max}$  (tau=0.65; p=0.021; Figure 2) in the Ugandan part of Lake Edward 336 and  $L_{mean}$  (tau=0.68; p=0.048; Figure 3) in Lake George. Based on estimates of tau that are positive 337 and  $\geq 0.5$  (Table S4), the Mann-Kendall test also indicated strong but non-significant increasing 338 trends in  $L_{max}$  and  $L_{mean}$  of commercial catches of L. altianalis in the Ugandan part of Lake Edward 339 (Figures 2 and 3). The same trend was observed for L. altianalis ( $L_{max}$ ) and O. leucostictus ( $L_{max}$  and 340  $L_{mean}$ ) in experimental catches of the Uganda part of Lake Edward (Figures 2 and 3; Table S4). 341 Finally, the test also indicated a strong but non-significant decreasing trend in  $L_{mean}$  of B. docmak in 342 experimental catches of the Uganda part of Lake Edward (Figure 3; Table S4). Unlike the Ugandan 343 part of Lake Edward, only limited experimental fishing was performed in Lake George. Therefore, 344 estimates of size structure for most stocks covered only 1994 and 2021 (Table S5; Table S6). The 345 length-frequency histograms for the stocks in both commercial (Figures S1-13) and experimental 346 (Figures S14-26) catches were mainly interrupted, with an unstable decline from larger to smaller 347 individuals.

348

The Kruskal-Wallis tests to examine differences in  $L_{mean}$  were all significant (p<0.001) apart from that of experimental data of *B. docmak* in Lake George (Kruskal-Wallis test,  $X^2 = 1.14$ , df=1, p=10.285). Subsequent pairwise multiple comparisons showed that the  $L_{mean}$  over years within a stock were largely different among years with 80 % of all the possible pairs significantly different. Comparisons of length frequency distributions of the stocks revealed that the distributions were state more likely to be significantly different than similar, with 78 % of the comparisons significantly different. In addition, pairs including a year before and a year after the change in management were likely to be as much significantly different or not, as any other pairs. These indicated that no state in length frequency distributions could be attributed to the changes in management.

#### 358

# 359 3.2 Length-weight relationships and Fulton's condition factor $(K_c)$

360 All length-weight relationships had high values of  $r^2$  ranging from 0.88 to 0.99 (Tables 1 & 2), 361 demonstrating that the regression models fitted well with the data from both the Ugandan part of 362 Lake Edward and from Lake George. For all stocks, values of  $K_c$  ranged from 0.34 to 1.96 (Tables 1 363 & 2). Most of the stocks had good growth condition with  $K_c>1$ .

# 365 3.3 Sex ratios and size at first maturity

366 For some stocks, the sex ratios were not uniform in the two lakes (Tables 1 & 2). For instance, more 367 females than males were present in the catches of *O. niloticus* in Lake Edward which was not the 368 case for the stock in Lake George. The sex ratios differed from the general sex ratio for natural 369 populations (1:1) in *L. forskalii* ( $X^2$ =4.24, df=1, p=0.04), *L. altianalis* ( $X^2$ =12.18, df=1, p=0.001), 370 *O. niloticus* ( $X^2$ =22.64, df=1, p<0.001), *O. leucostictus* ( $X^2$ =40.00, df=1, p<0.001) in Lake Edward 371 and *O. leucostictus* ( $X^2$ =12.11, df=1, p=0.001) and *P. aethiopicus* ( $X^2$ =3.95, df=1, p=0.05) in Lake 372 George.

373

The estimates of  $L_{m50}$  were calculated for the stocks for which sufficient samples were available (Tables 1 & 2, Table S7). For  $L_{m50}$  were segregated by sex, the *estimates* for males were higher than those of females in all the stocks except *P. aethiopicus* and *L. altianalis* (Table S7). The logistic regression models fit to maturity data of the two time periods (before and after the changes in management) were not significantly different, indicating that no effect of the changes in management on the size at first maturity could be detected. The logistic regression models fit seven maturity data for both sexes combined and the data segregated by sex were weak to strong, with  $r^2$ usual values ranging from 0.06 to 0.73 (Tables 1 & 2; Table S7).

#### 382

383 The percentage of mature individuals in the catches was lowest in stocks of *B. docmak* from both 384 waterbodies (Figure 4). These stocks exhibited the least percentage maturity of 27.9 % in Lake 385 Edward in 2022 (Figure 4). At the end of the spectrum, stocks of *O. niloticus* had the highest 386 percentages with 100 % maturity in Lake Edward and 99.6 % in Lake George.

387

### **388** *3.4 Fecundity*

The absolute fecundity of the stocks was highly variable (Tables 1 & 2). The absolute fecundity increased with total or fork length although the relationships, based on values of  $r^2$  were weak in *O*. *niloticus, O. leucostictus*, and *L. altianialis*, and strong in the rest of the stocks (Figure 5).

392

## **393** 3.5 Growth parameters and mortality rates

The estimates of growth parameters differed between conspecific populations from the two waterbodies due to different input parameters, especially  $L_{max}$  and mean temperature (Table 3). Parameters estimated using two approaches i.e., Z and Z<sup>\*</sup> from the Beverton and Holt estimator and length–converted catch curve respectively, and K and K<sup>\*</sup> from an empirical formula and length and length requency analysis respectively, the estimates obtained were different. An exception was O. 399 *niloticus* in Lake Edward whose estimates of *K* (*K* and  $K^*$ ) were similar and those of *Z* (*Z* and  $Z^*$ ), 400 close (Table 3).

401

### **402 4 Discussion**

## 403 4.1 Significance of the estimates and their reliability.

404 The estimates made in this study fill important knowledge gaps for the stocks of lakes Edward and 405 George. Our estimates of  $L_{m50}$  for *C. gariepinus*, *L. forskalii* and *O. leucostictus* (Lake Edward) are 406 new for these waterbodies. Apart from an estimate of *O. leucostictus* from Lake George 407 (Ogutu-Ohwayo et al., 1997), all other estimates of fecundity are new. Regarding growth 408 parameters (Table 3), only *O. niloticus* in Lake Edward had estimates of  $L_{\infty}$  and  $W_{\infty}$  in 1989 (Vakily, 409 1989; ). Because life-history and growth parameters support decision-making, especially in 410 data-poor stocks such as the ones assessed (King & McFarlane, 2003), the estimates made in this 411 study are vital for fisheries management.

412

413 The reliability of our estimates is based on their consistency with estimates for the same or related 414 species from literature and FishBase (Froese & Pauly, 2023). The consistency is demonstrated for *a* 415 and *b* values from length-weight relationships, fecundity, and  $\emptyset$  (Table S8). The length-weight 416 regressions (Tables 1 & 2) also have values of *b* that lie within the expected range of 2.5<*b*<3.5 for 417 fish species as well as high  $r^2$  values (Froese, 2006), and are thus reliable. This means that the 418 estimates in this study are good enough to be applied in further fisheries assessments such as 419 estimating catch from length using *a* and *b* values of length-weight regressions (Garaway and 420 Arthur, 2020).

421

# 422 4.2 Implications on the exploitation status and population dynamics of the stocks

423 Important inferences can be made on the status and population dynamics of the fish stocks based on 424 the estimates of the life-history and growth parameters. The significant trends observed in  $L_{max}$  and 425  $L_{mean}$  in commercial catches of *B. docmak* (Table S4; Figures 2 & 3) suggested an increase of large 426 individuals in its populations and catches. This desirable pattern was also apparent in the 427 commercial catches of most of the other stocks, though not significant (Table S4). These patterns, 428 especially the increase in  $L_{mean}$  indicate a decrease in fishing pressure and a recovery of stock size, 429 length, and age structure (Shin et al., 2005). Conversely, a negative trend in  $L_{max}$  and  $L_{mean}$  in 430 commercial catches may result from the removal of many large individuals or the selection of too 431 many small individuals from fish populations into catches, both of which are not desirable and 432 degrade size structure (Froese, 2004; Shin et al., 2005). Therefore, the negative trends observed for 433 some stocks such as *P. aethiopicus* in both waterbodies, though not significant, are of management434 concern (Table S4).

#### 435

436 The trend in  $L_{max}$  in experimental catches could be interpreted in the same way as in commercial 437 catches above. However, the interpretation of  $L_{mean}$  in experimental catches could be different if 438 diverse fishing gear to target all size classes are used, as is the case here. Low values of  $L_{mean}$  could 439 be because of a high abundance of small individuals. This could explain the strong negative trend in 440 the  $L_{mean}$  of experimental catches of *B. docmak* in the Ugandan part of Lake Edward (Figure 3; 441 Table S4), which acts in the opposite direction compared to the trend of the same stock in 442 commercial catches (Figure 3; Table S4).

#### 443

444 The  $L_{opt}$  is recommended as  $L_{mean}$  in catches to maximize catches and biomass, and minimize the 445 impact on size structure, thus promoting sustainable fishing (Froese et al., 2016). The estimates of 446  $L_{mean}$  observed in commercial catches in this study for the major commercial fish species (Figure 3) 447 suggested unsustainable fishing that decrease population and stock sizes because they were less 448 than  $L_{opt}$  (Table 3; Froese et al., 2016).

#### 449

The estimates of  $L_{m50}$  for *O. niloticus* in this study, 21.2 cm and 17.6 cm (Tables 1 & 2) were lower 451 than the highest ever recorded estimate of 25.2 cm in Lake George (Fry and Kimsey, 1960), and 452 21.0 cm in Lake Edward (Bassa et al., 2015). This was also the case for *P. aethiopicus* whose 453 current estimates of 47.9 cm and 51.2 cm were lower than the 55-59 cm range reported for samples 454 from lakes Edward, George, and the Kazinga Channel (Kamanyi, 1996). Fish respond to stressors 455 by lowering size at maturity so that their populations can be replenished at a higher rate (Rochet, 456 2000). Therefore, the lower  $L_{m50}$  for these stocks could be in response to high fishing pressure they 457 face in the two lakes (Musinguzi et al., 2021). In addition, values of  $L_{m50}$  lower than known 458 estimates in the pastsuggest more selective fishing and vice versa (Law, 2000).

459

460 Based on the proportion of mature fish in commercial catches as an indicator of exploitation status 461 with 100 % as the target (Froese, 2004), our observations indicated an improvement for *P*. 462 *aethiopicus* and *O. niloticus* (Figure 4). For *P. aethiopicus*, Bassa et al. (2014) reported a range of 463 76- 92 % as a proportion of mature fish in commercial catches of 2011-2013 from both the Ugandan 464 part of Lake Edward and Lake George, compared to a range of 94.3-99.0% observed in this study 465 for both waterbodies. For *O. niloticus*, the proportion ranged from 34-92% in the commercial 466 catches of 2011-2013 for both waterbodies compared to 99.6-100 % currently. For *B. docmak*, the 467 current estimates of 27.9-66.7 %, compared to 63-73 % in the catches of 2011-2013 showed a 468 degradation in the indicator, suggesting the presence of more young individuals in both catches and469 its population.

470

# 471 4.3 Effect of the new changes in management

472 The significant differences observed in size structure and length frequencies did not suggest that the 473 change in management was important. Instead, the significant differences could be attributed to 474 high variability common in length measurements of catches (Gulland & Rosenberg, 1992). 475 Likewise, the logistic models of size at maturity before and after the commencement of changes in 476 management were not significantly different. Therefore, it could be contentious to attribute any 477 changes in size structure and size at maturity to the changes in management. However, positive 478 signs of improvements in size structure were evident as some estimates of  $L_{max}$  or  $L_{mean}$  in 479 commercial and experimental catches increased substantially after 2018 compared to the estimates 480 of the preceding year with data (Figures 2 & 3). This was true for  $L_{max}$  and  $L_{mean}$  in the commercial 481 catches of *P. aethiopicus* in the Ugandan part of Lake Edward as well as for  $L_{max}$  in experimental 482 catches of *B. domak* in the Ugandan part of Lake Edward. In the former,  $L_{max}$  and  $L_{mean}$  increased 483 from 76.0 cm and 31.4 cm in 2017 to 110.0 cm and 74.2 cm in 2020 respectively (Figures 2 & 3) 484 while in the latter,  $L_{max}$  increased from 58.0 cm in 2016 to 84.1 cm in 2021 (Figure 2).

486 The steady decline from larger to smaller individuals in length-frequency distributions, a pattern 487 that suggests a healthy status of the stocks (Neumann & Allen, 2007), though not widespread, was 488 more prevalent in years after 2018. The pattern was observed for *B. docmak* in commercial catches 489 of 2020 in Lake George (Figure S7) and *C. gariepinus* in commercial catches of 2022 and 2020 in 490 the Ugandan part of Lake Edward (Figure S2) and George (Figure S8) respectively. For *B. docmak*, 491 the steady decline from large to smaller individuals was absent in catches between 2011 and 2017 492 and only evident again in 2000 and 2001. For *C. gariepinus*, the pattern was also absent in recent 493 years on both lakes, and only evident again in 2001 (Lake George). These observations suggested 494 signs of improvements in size structure after 2018 that could be attained in all the stocks if the 495 management regime is strengthened and maintained. For now, it may be too early for the impact of 496 the changes in management to be clear and widespread. The changes in management strengthened 497 enforcement of fishing regulations by promising to effectively prohibit destructive fishing gear and 498 fishing in nearshore shallow habitats known to be nursery areas for fish. These changes are known 499 to reduce fishing pressure, increase  $L_{mean}$ , and build the abundance of young and adult fishes (Shin 500 et al., 2005; Campos-Silva and Peres, 2016; de Moraes et al., 2023).

## 501 4.4 Implications on fisheries management and conservation of fish species

Desirable attributes in the fish stocks including an increase in  $L_{max}$  or  $L_{mean}$  in catches of some soos stocks, a higher proportion of mature fish in catches, and an improvement in size structure visible in the length-frequency histograms, occur in well-managed fisheries. These attributes are not widespread in the assessed stocks. Moreover, the influence of the present management regime is not sof significant. This implies that enforcement of fishing regulations must be strengthened to create positive and significant outcomes for all the stocks. Strengthened enforcement will reduce fishing some pressure, ensuring a sufficient supply of young fish for recruitment. Consequently life-history sop parameters such as  $L_{m50}$ , which were lower than historical records for *O. niloticus* and *P.* some *aethiopicus*, could improve.

#### 511

512 The presence of many immature individuals of *B. docmak* in catches is a concern. Establishing 513 mesh size restrictions for this stock is necessary. The challenge is that the immature individuals of 514 the species are caught in a legal fishing gear of *O. niloticus*.

515

The recent changes in management might be having positive effects, but more time for implementation is needed to assess their full impact. Management should therefore also prioritize monitoring not only to enable its evaluation but also to facilitate adaptive management measures as needed. In Lake Edward, illegal fishing from the DRC section of the lake, which is rampant (The Independent, 2021; Lutaaya, 2022) could undermine the potential benefits from the improved management in Uganda. Therefore, the cooperation between fishery management authorities in Uganda and DRC is necessary for assured benefit from the current management efforts.

523

With about 60 endemic haplochromine cichlids and other 15 native non-*Haplochromis* species (Greenwood, 1991; Snoeks, 2000; Vranken et al., 2019; Decru et al., 2020; Musinguzi et al., 2023), estimates and George are important for the conservation of fish species. Generally, our estimates of the life-history parameters suggest that the management of exploited fish species should be improved by strengthening the enforcement of fishing regulations. This is important for the exploited and unexploited species. Improved management could benefit exploited species, especially those present in the catches but perceived to be of low abundance, i.e., *1. forskalii* and *M. kannume* (Poll & Damas, 1935; Worthington, 1932). The haplochromine cichlids in the waterbodies are endemic and, therefore, of immense conservation importance (Vranken et al., 2022). Stronger enforcement of fishing regulations could benefit these species by protecting them fishing pressure through sustaining populations of exploited fish species. In addition, the haplochromines utilize diverse aquatic habitats. Therefore, stronger enforcement of fishing

<sup>536</sup> regulations contributes to conservation of the species by protecting their habitats from degradation<sup>537</sup> by unsustainable fishing practices such as beach seining (Akwetey et al., 2024).

**538** 

# 539 4.5 Limitations of the study and future perspectives

This study encountered limitations related to sample size and availability of data. For some species 541 in Lake George, fecundity and  $L_{m50}$  could not be determined (Table 2). Insufficient sample size may 542 have contributed to the high variability observed in estimates of fecundity, low  $r^2$  values for the 543 linear regressions of fecundity and length, and some estimates of  $L_{m50}$  (Tables 1 & 2). The low  $r^2$ 544 value for the logistic regression model of *L. altianalis* (Table 1; Table S7) contrasts with previous 545 observations in Lake Edward which reported a strong correlation (Aruho et al., 2018). The reasons 546 for the weak correlation in this study could be high variability in the data possibly due to a 547 misclassification of maturity stages, or taxonomic confusion with a related species, *Labeobarbus* 548 *somereni* (Boulenger, 1911). *Labeobarbus somereni* occurs in the rivers flowing into the lakes, and 549 hence could venture into Lake Edward.

550

551 Regarding growth parameters, F and E could not be estimated because relating M and Z to estimate 552 F resulted in unrealistic values. This could be due to limitations in the available data. In addition, 553 estimates from ELEFAN 1 were based on six sampling events. Whereas this is adequate if sample 554 sizes are large (Gulland & Rosenberg, 1992), which was the case in this study (Table 3), the ideal is 555 to have 12 samples taken consecutively for 12 months (Hoenig, 1987; Pauly, 1984).

These limitations can be addressed by more frequent data collection to increase sample size and 557 make more data available. The data collection efforts should consider both fishery-dependent and 558 independent surveys as complementary methods. Fishery-dependent surveys will enable more 559 frequent acquisition of data because they are cheap. On the other hand, fishery-independent surveys 560 offer opportunities for standardized data collection and collection of data on fish species that do not 561 appear in commercial catches. The availability of more data will improve the understanding of the 562 exploitation status and the population dynamics of the stocks using life-history and growth 563 parameters. This will, in turn, improve decisions for fisheries management and conservation of 564 native species. Only the Ugandan part of Lake Edward was assessed due to the lack of data from the 565 part of the Lake in DRC. Collecting data from this this part of the lake should therefore be 566 prioritized in future data collection efforts.

567

568 There are new aspects that could be covered in future research to generate more accurate 569 information useful for research. These include aspects of ageing fish, examination of recruitment 570 patterns of the fish stocks, and changes in all the aspects of fish life-history and growth parameters 571 in relation to productivity of the waterbodies and climate change. These aspects can also change 572 with season and therefore, seasonal patterns in all these aspects (not explored in this study) should 573 also be considered in future research.

### 574

575 No significant changes in life-history parameters could be attributed to the enhanced management 576 that commenced in 2018. However, there is optimism from the enhanced management exhibited by 577 signs of improvement in  $L_{max}$ ,  $L_{mean}$ , and high percentage of maturity in catches of some stocks 578 among others (section 3.5.2). The effect of the changes could become significant with time if the 579 management regime is maintained and strengthened. Therefore, future research should consider 580 re-examining the effects as a way of evaluating the performance of the management regime.

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