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# Precise Particle Size Control during Spherical Agglomeration of Benzoic Acid by Modification of the Bridging Liquid phase using TWEEN 20.

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The interest in spherical agglomeration processes in the pharmaceutical industry is rising due to the possibility of direct tableting of Active Pharmaceutical Ingredients and enhancements in bulk powder properties translating into improved powder processability. While agglomerating needle-shaped particles into spheres offers numerous advantages, such as improved flowability, filterability, and particle density, achieving precise control over the particle size and shape of the agglomerates remains a significant challenge. More specifically, the obtained agglomerate size rarely reaches values below 500  $\mu\text{m}$ , which is the desired size range for pharmaceutical applications. To address this challenge, the current research investigates the effectiveness of incorporating surfactants at varying concentrations as a means to control the particle size and shape of the resulting agglomerates. Reversed addition antisolvent crystallization experiments were performed in ethanol/water using benzoic acid as a model compound, followed by the addition of a bridging liquid (toluene), which wets and bridges the single crystals into agglomerates. To modify the interfacial tension between the two immiscible liquid phases, different concentrations of the tween 20 surfactant were used, leading to a range of interfacial tensions spanning from 0.50 to  $16.38 \times 10^{-3}$  N/m. Both crystallization and agglomeration processes were monitored in situ using in-process microscopy tools. The experimental results demonstrated that by adjusting the surfactant concentration and thus the corresponding interfacial tension of the bridging liquid, highly tunable agglomerate sizes were achieved, ranging from 160  $\mu\text{m}$  to 4412  $\mu\text{m}$ . A higher surfactant concentration is correlated with smaller agglomerates and is key to control the final agglomerate size. However, it should be noted that the introduction of surfactants in the spherical agglomeration process negatively impacted the agglomerate's strength. Nonetheless, this method remains a promising and innovative technique for controlling the final particle size during spherical agglomeration.

**Keywords:** Spherical agglomeration – Interfacial tension – Benzoic acid – Crystallization

## 1 Introduction

In the pharmaceutical industry, oral solid dosage forms (e.g. tablets) are the most common drug delivery systems used for small molecule active pharmaceutical compounds (APIs) [1]–[3]. Prior to the manufacture of such oral solid dosage forms, solid API forms are needed, which are commonly obtained through crystallization processes. The aforementioned crystallization processes may often result in needle- or plate-shaped morphologies, which are unfavorable due to their poor flowability, compressibility, and processability [4]–[7]. These micrometric properties have a direct influence on the efficiency of API transportation between the downstream processes, but also on downstream processes such as filtration, tableting, and drying, among others [4], [5], [8]. To address such problematic crystal morphologies, the pharmaceutical industry has been traditionally relying on particle engineering approaches as thermal cycling or wet milling or by precise control of the crystallization process parameters, such as the supersaturation. Costly post processing techniques such as dry milling or wet

46 granulation are often applied when other techniques fail to deliver the desired morphology and particle  
47 size. Despite their effectiveness, these methods often struggle to achieve the desired product attributes.

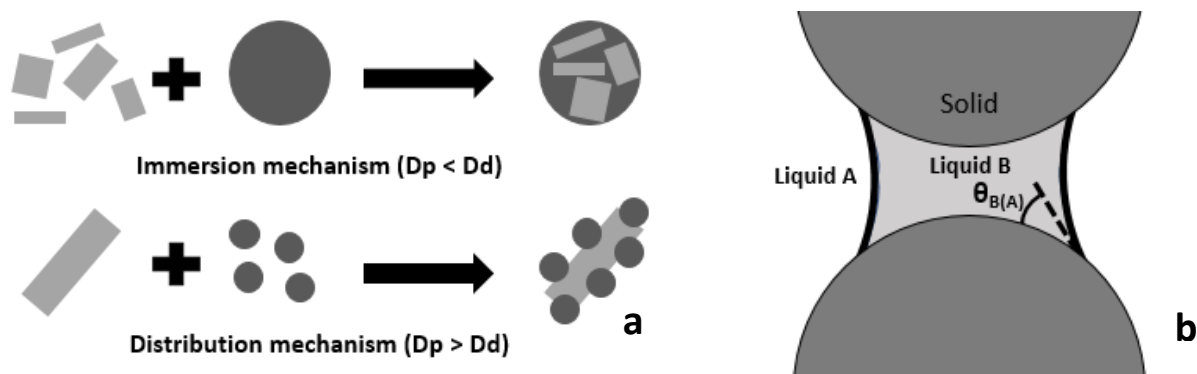
48 An alternative and promising particle engineering technique is spherical agglomeration (SA) [9]–[12], in  
49 which an immiscible bridging liquid (BL) is added to the crystallization system to trigger the formation of  
50 spherical agglomerates with favorable bulk powder properties. The spherical agglomeration process can  
51 be implemented right after the crystallization step of the primary (e.g. needle or plate like) particles using  
52 the same process equipment, and consists of two distinct steps: the wetting and the growth step. During  
53 the wetting step, individual crystals are connected via liquid bridges in order to form loose aggregates  
54 [12]–[14]. Subsequently, these initial aggregates grow into more compact spherically agglomerated  
55 structures due to the applied shear forces enabled by mixing [5], [15], [16]. An important parameter in  
56 the spherical agglomeration process is the interfacial tension of the bridging liquid compared to the  
57 crystallization solvent system, as it determines the wetting behavior and the initial BL droplet size [4].  
58 However, the exact mechanism and importance of both parameters and their correlation with final  
59 agglomerate size and shape are not yet fully understood.

60 Table 1 provides an overview of studies investigating the process parameters and bridging liquids for  
61 spherical agglomeration of benzoic acid, along with the corresponding agglomerate size ranges achieved.  
62 The bridging liquid to solid ratio (BSR) [2], [11], [17], agitation speed [5], [17], solid concentration [17] and  
63 temperature [18], [19] are the parameters which have a predominant effect on the size of the spherical  
64 agglomerates. Additionally, the choice of the bridging liquid is important, which in general should be  
65 immiscible with the crystallization solvent system and should have good wettability for the API [6], [13],  
66 [17], [20]. Two wetting mechanisms were distinguished: a) the immersion and b) distribution mechanisms  
67 (Figure 1a), based on the single crystal size to BL droplet size ratio [14]. The immersion mechanism occurs  
68 when the droplets are larger than the crystals, and vice versa for the distribution mechanism [12]–[14].

69 **Table 1:** Overview of studies investigating the spherical agglomeration of benzoic acid: the used method  
70 and BL, the varied parameters resulting in agglomerates and the obtained particle sizes.

Reference	Method	Bridging liquid	Parameters	Agglomerate size range (µm)
Thati and Rasmuson [9]	AS → BL → BA solution	Heptane	Bridging liquid	800-1500 (D50)
		Toluene	BSR	500-1100 (D50)
		Chloroform	Temperature	500-1150 (D50)
		Cyclohexane	Residence time	1200-1750 (D50)
		Pentane		
Katta and Rasmuson [11]	AS → BA solution → BL	Chloroform	BSR	400-850 (D50)
			BA concentration	450-1150 (D50)
			Stirring rate	450-850 (D50)
	AS → BA solution + BL	Chloroform	/	Around 1000 (D50)
Pena et al. [10]	AS → BA solution + BL	Toluene	BA concentration	1450-2200 (mean)
			Solution to AS ratio	1450-1800 (mean)
	AS → BA solution → BL	Toluene	BA concentration	550-2200 (mean)
			Solution to AS ratio	600-2200 (mean)
Yu et al. [14]	Varying	Cyclo-hexane	Method	2000-5000 (mean)

Orlewski et al. [5]	AS → BA solution → BL	Toluene	BL feeding pipe dimensions	750-2250 (Mean)
Pena and Nagy [21]	Two stage MSMPR: crystallization	Toluene	BA concentration Solution to AS ratio	Around 500 (peak chord length)
Thati and Rasmuson [16]	AS → BA solution + BL	Toluene	BSR BL feeding rate Amount of solvent	300-1500 (D50) 1250-1800 (D50) 1500-1800 (D50)
Pena et al. [22]	Oscillatory flow baffled crystallizer	Toluene	Residence time BSR Solution to AS ratio BA concentration	1400-3000 (mean) 2300-3000 (mean) 1400-1600 (mean) 1500-1700 (mean)



71

72 **Figure 1:** Different wetting mechanisms determined by the ratio of particle size compared to the droplet  
73 size of the BL (a) and the interface of liquid A (solvent) and liquid B (bridging liquid) between two solid  
74 particles (b).

75 The principle of wetting two particles with the bridging liquid is shown in Figure 1b. The contact angle on  
76 the solid surface ( $\theta_{B(A)}$ ) determines the ease of pulling the particles together and is influenced by the  
77 wettability and interfacial tension. The interfacial tension seems to play an important role in the wetting  
78 behavior of the particles. Previous studies have reported that low interfacial tension results in  
79 agglomerates with a low mean size and fracture stress. Furthermore, in some cases, no spherical  
80 agglomerates were formed when a BL with a very low interfacial tension was used [4]. On the other hand,  
81 a high interfacial tension results in larger droplets and droplets also tend to coalesce more, resulting  
82 in even larger droplets. As a result, weaker liquid bridges are formed between the single crystals in the  
83 droplet. As shown in Table 1, most of the agglomerates were larger than 1000  $\mu\text{m}$ , which is very large for  
84 an active pharmaceutical compound. Therefore, these agglomerates need to be grinded or milled again  
85 in further steps. The study of Thati and Rasmuson [16] resulted in the smallest agglomerates of 300  $\mu\text{m}$ .  
86 Only for a few experiments, the obtained agglomerates were smaller than 500  $\mu\text{m}$ , which is a more useful  
87 size range for the pharmaceutical industry.

88 The goal of this research is to understand the effect of surfactant addition on the interfacial tension and  
89 on the wetting behavior of the bridging liquid during spherical agglomeration and thus on the obtained  
90 particle size. The final aim is to reproducibly generate spherical agglomerates with a specific agglomerate  
91 size by changing the BL properties. The interfacial tension between the bridging liquid and the  
92 crystallization system was adapted by addition of different surfactant concentrations to the bridging liquid  
93 phase. Additionally, the effect of surfactant on agglomerate purity and final agglomerate strength was  
94 investigated.

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95

96 **2 Materials and methods**

97 **2.1 Materials**

98 Benzoic acid (BA) with a purity of 99% was purchased by Alfa Aesar. For the antisolvent crystallization  
99 experiments, analytical reagent grade ethanol absolute ( $\geq 99.8\%$ ) was used as the solvent and  
100 demineralized water was used as the antisolvent (AS). HPLC grade toluene purchased from Merck was  
101 used as the bridging liquid, and different amounts of surfactant were added and dispersed in it. The  
102 surfactant used was Tween 20 (also known as Polysorbate 20) purchased by Thermo Scientific.

103 **2.2 Interfacial tension measurements**

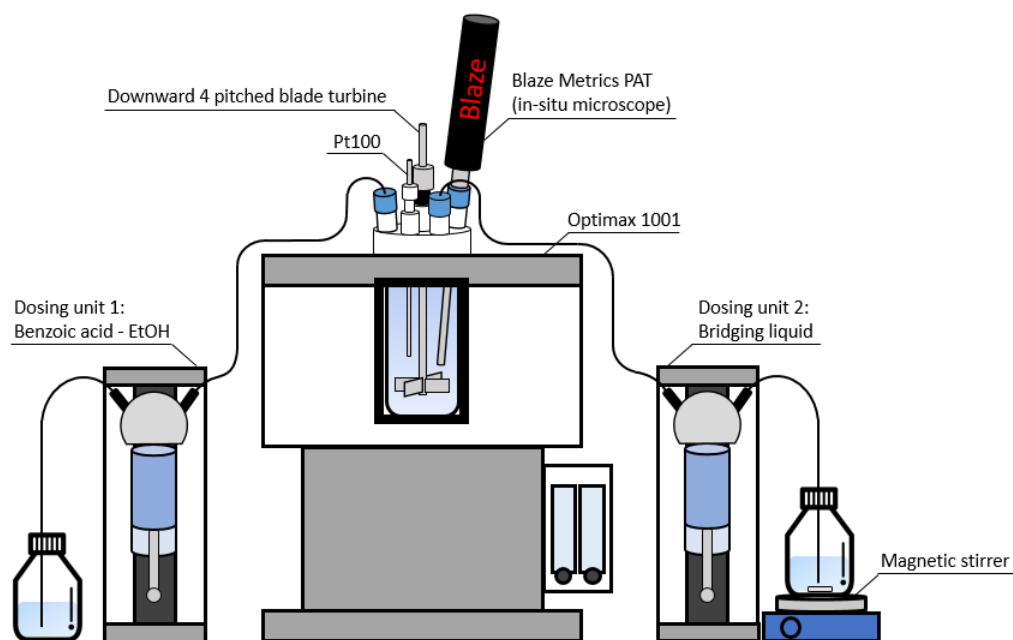
104 The interfacial tension between the mixtures of toluene and Tween 20 in water-ethanol was measured  
105 by the optical tensiometer Attension theta lite at 22 °C. The cuvette was filled with a water-ethanol  
106 mixture in the same volume ratio as during the experiments (425 mL/25 mL). The mixtures of toluene and  
107 Tween 20 were loaded into the syringe, which was equipped with a needle. Because the densities of the  
108 toluene mixtures were lower than those of the water-ethanol mixture, the needle was bent in such a way  
109 that the droplets would come out of the needle pointing upwards. A camera captured images of the  
110 outgoing droplets, the contact angle was measured from the image taken just before the droplet emerged  
111 from the needle. The interfacial tension was calculated from the measured contact angle by fitting the  
112 drop shape with the Young-Laplace equation.

113 **2.3 Bridging liquid droplet size distribution determination**

114 The droplet size of the water-toluene-tween 20 emulsions were characterized in the absence of benzoic  
115 acid. The droplet sizes of the different toluene – Tween 20 mixtures were determined inside the 1 L  
116 Optimax 1001 Mettler Toledo automated lab reactor without performing the crystallization step. The  
117 spherical agglomeration procedure was followed, but instead of adding the solvent mixture of ethanol  
118 and benzoic acid, 25 mL of pure ethanol was added at a flow rate of 10 mL/min.

119 **2.4 Spherical agglomeration experiments**

120 All experiments were performed in a 1 L Optimax 1001 (Figure 2) Mettler Toledo automated lab reactor  
121 (ALR) equipped with a 45° downward pitched 4-blade turbine impeller for stirring. For the reversed  
122 addition antisolvent crystallization, 425 mL demineralized water (used as the antisolvent) at 20 °C was  
123 first added into the reactor and stirred at 300 rpm. Subsequently, a Mettler Toledo syringe pump (SP-50  
124 dosing unit) was used to add 25 mL of the benzoic acid solution in ethanol (375 g/L-solvent, constant for  
125 all experiments) at room temperature into the reactor at a flow rate of 10 mL/min above the liquid  
126 surface. After the benzoic acid solution addition, the reactor was stirred at 300 rpm for 5 min, after which  
127 the stirring speed was increased to 1000 rpm in 10 seconds. Next, 7 mL of the bridging liquid, a mixture  
128 of toluene and varying amounts of Tween 20 (0.095 - 23.29 g/L), was added at a flow rate of 2 mL/min  
129 using a second syringe pump (dosing unit SP-50). The solution of toluene – Tween 20 was constantly  
130 stirred with a magnetic stirrer to ensure a homogeneous mixture. After the bridging liquid addition, the  
131 reactor was stirred for 5 min to complete the agglomeration process. Subsequently, the formed particles  
132 were filtered under vacuum with a Büchner funnel using Whatman blue ribbon 589/3 filter paper. The  
133 particles were washed three times by pouring 10 mL demineralized water over the wet cake. Finally, the  
134 particles were dried on a petri dish in a vacuum oven at 25 °C for a minimum of one week.



135  
 136 **Figure 2:** Experimental set-up: Optimax automated lab reactor with two dosing units for the BL and the  
 137 solvent.

138 **2.5 Antisolvent crystallization**

139 The antisolvent crystallization was also performed without the agglomeration step to investigate the  
 140 influence of the agglomeration step on the formation of the single crystals. 425 mL of water (20 °C) was  
 141 poured into the reactor and stirred at 300 rpm. Then, 25 mL of the solvent (375 g benzoic acid per L  
 142 ethanol) was added at 10 mL/min to start the crystallization, followed by a growth step lasting 5 min. The  
 143 stirring speed was increased to 1000 rpm in 10 seconds, followed by the addition of a single droplet of  
 144 Tween 20 weighing 46 mg to simulate and obtain the effect of Tween on the crystallization system. The  
 145 same procedures for filtration, washing and drying were followed.

146 **2.6 Inline particle and droplet analysis**

147 For the inline visualization and analysis of the droplet and particle sizes, the Blaze 900 probe from Blaze  
 148 Metrics was used (Blaze Metrics process analytical technology). The principle of this tool is Focused Beam  
 149 Reflectance Measurements (FBRM) to obtain image derived chord length distributions. The probe was  
 150 immersed inside the reactor, as shown in Figure 2. A 14-bit HDR in-process microscope makes images at  
 151 a frame rate of 1 frame/second, visualizing the process. The analyzed images provided measurements of  
 152 chord lengths and particle counts as a function of time.

153 **2.7 Offline particle analysis**

154 Offline particle size analysis was done for the larger agglomerates that were not accurately measured by  
 155 the Blaze 900 probe. After the drying process, the agglomerates were dispersed over a black background  
 156 while minimizing overlap. An image of these agglomerates was captured using a standard camera and  
 157 microscopy and analyzed by the open-source software ImageJ in comparison with an open access  
 158 algorithm created by Vancleef et al. [23]. Images were obtained using a camera (uEye XS 2, iDS, Obersulm,  
 159 Germany) and for smaller particles a microscope (Zeiss Primo Star, 40× magnification, Oberkochen,  
 160 Germany).

161 **2.8 Scanning electron microscope (SEM)**

162 SEM images were captured by the Phenom Pro desktop SEM. The particles were attached and dispersed  
 163 on a SEM sample stub with a double-sided carbon adhesive pad. These samples were coated with gold  
 164 using a Quorum Q150R Plus automatic sputter coater.

## 165 2.9 GC-FID

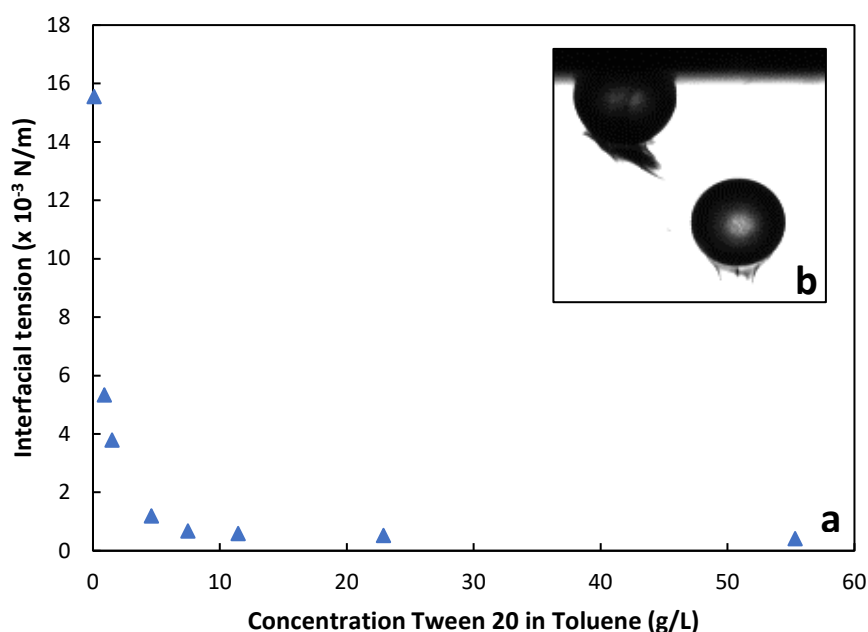
166 The residual toluene content of the formed agglomerates was measured by a GC-FID analysis equipped  
167 with a DB-5MS column from Agilent Technologies. A calibration line was constructed between 1.976 and  
168 19.76 mg of toluene per liter of dichloromethane. For every sample, 0.2 g was dissolved in 2 mL of  
169 dichloromethane and analyzed by GC-FID. The inlet temperature was 270 °C with a split vent flow rate of  
170 20 mL/min. The sample was injected by a splitless-injector at a flow rate of 1.2 mL/min. The temperature  
171 profile in the oven started with a hold at 35 °C for 1 min, afterward the temperature increased at 25  
172 °C/min to reach 270 °C and hold for 0.5 min. The toluene was detected at 270 °C with a 30/400 H<sub>2</sub>/air flow  
173 ratio.

## 174 3 Results and discussion

### 175 3.1 Influence of tween 20 on interfacial tension of toluene

176 The measured interfacial tensions as a function of the tween 20 concentration in toluene are shown in  
177 Figure 3a. In general, the interfacial tension decreases with increasing surfactant concentration. In the  
178 lowest concentration range between 0.09 g/L and 1.49 g/L, the interfacial tension exhibits a steep drop  
179 from  $15.56 \times 10^{-3}$  N/m to  $3.79 \times 10^{-3}$  N/m. The interfacial tension further decreases and reaches a value  
180 between  $0.7$  and  $0.4 \times 10^{-3}$  N/m for the largest concentrations between 7.47 and 55.32 g/L.

181 During the measurements at the highest concentration (55.32 g/L), some tween 20 was visually extracted  
182 from the water phase, as shown in Figure 3b. Therefore, this concentration was not assessed further in  
183 the crystallization experiments. Compared to the results of Bak and Podgórska [24] a similar trend was  
184 obtained. The absolute values of interfacial tension are different in this study, since tween 20 was  
185 dissolved in the toluene phase and a water-ethanol mixture was used, whereas Tween 20 was dissolved  
186 in pure water and the organic phase was pure toluene in the research of Bak and Podgórska [24].

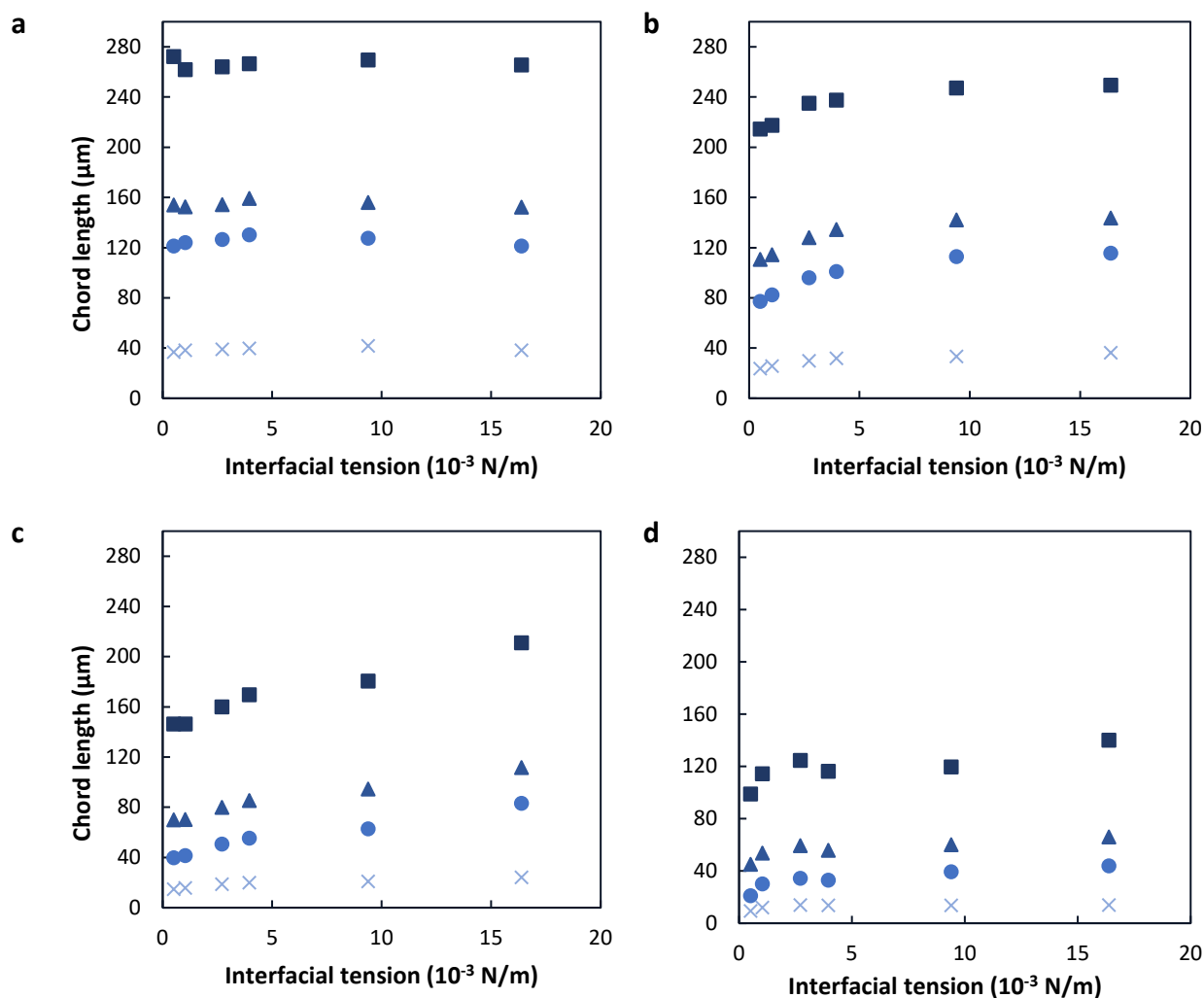


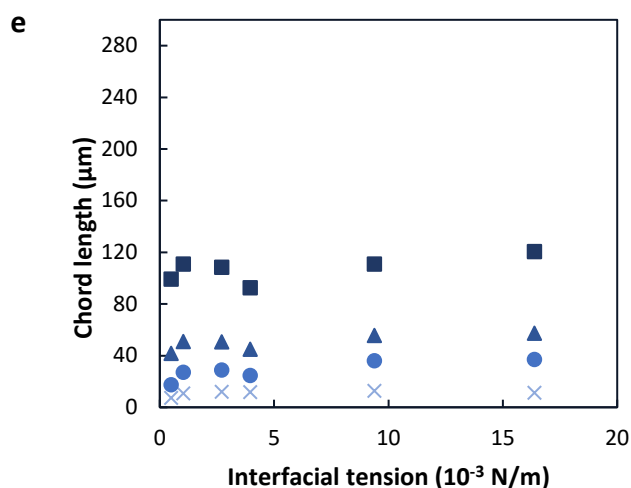
187  
188 **Figure 3:** Interfacial tension measurements of Toluene in a water/ethanol mixture at varying Tween 20  
189 concentrations (a) and an image of the measured droplets at 55.32 g/L (b) at 22 °C.

### 190 3.2 Bridging liquid droplet size

191 The bridging liquid droplets were analyzed inline to determine the effective droplet sizes and their  
192 evolution in time inside the batch reactor. Six toluene – Tween 20 mixtures were added to the  
193 crystallization system in the absence of benzoic acid. In Figures 4a-e the mean droplet size ( $\Delta$ ), D10 (X),  
194 D50 (o) and D90 ( $\square$ ) of these droplets were plotted against the interfacial tensions at five different times,

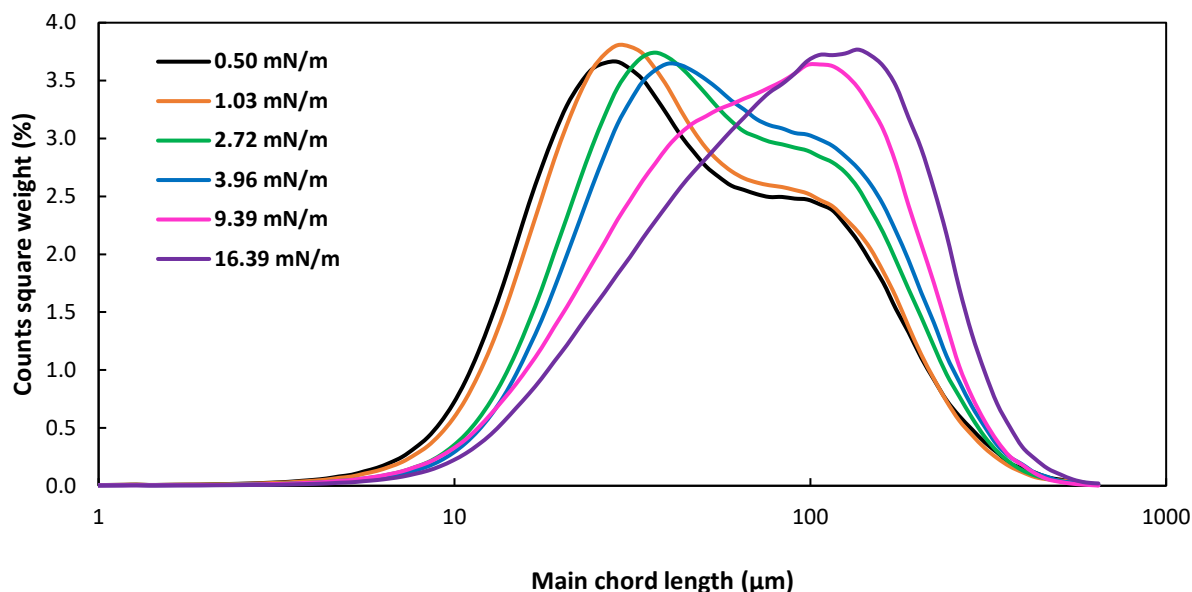
195 i.e., 50, 100, 200, 500 and 1000 seconds after the start of the BL addition. After 50 seconds of addition  
 196 (Figure 4a), the microscopic Blaze images show only a few droplets, and most of them are even out of  
 197 focus, due to the low amount of toluene – tween 20 mixture inside the reactor (1.67 mL). These  
 198 measurements need to be interpreted with caution. Over time, the mean droplet size decreases from  
 199 approximately 160  $\mu\text{m}$  to 40  $\mu\text{m}$ . The droplet size distribution becomes narrower over time, as shown by  
 200 the values of D10 and D90 approaching each other. Droplet break-up also occurs faster at lower interfacial  
 201 tensions, as seen by a steeper decrease at the beginning of the curve between Figures 4a-c. After the  
 202 completion of the bridging liquid addition (Figure 4 d-e), no significant changes in droplet size were  
 203 observed, indicating that droplet breakup occurs relatively quickly after addition. In general, the decrease  
 204 in droplet size occurs faster at lower interfacial tensions, at which the lowest droplet sizes are measured  
 205 but the final variations in measured droplet sizes are rather limited. For example, after 200 seconds, the  
 206 mean droplet size ranges from 70  $\mu\text{m}$  to 112  $\mu\text{m}$  for interfacial tensions between  $0.50 \times 10^{-3} \text{ N/m}$  and  
 207  $16.39 \times 10^{-3} \text{ N/m}$ .





208 **Figure 4:** The mean chord length ▲, D10 x, D50 ● and D90 ■ of the tolune droplets against the  
 209 interfacial tension five different times after the start of BL addition: a) 50s, b) 100s, c) 200s, d) 500s and  
 210 e) 1000s.

211 Figure 5 shows the chord length distributions (CLD) for the droplets after 200 seconds or 6.66 mL of  
 212 toluene – tween 20 addition for all different mixtures and thus interfacial tensions. The droplet size  
 213 distribution shows a bimodal graph that appears as a function of time with a first peak between 30 and  
 214 40 μm and a second peak around 100 μm. The lower the interfacial tension, the faster the small droplets  
 215 appear. From figure 5, it can be concluded that the differences in BL droplet size between the different  
 216 interfacial tensions are rather limited.

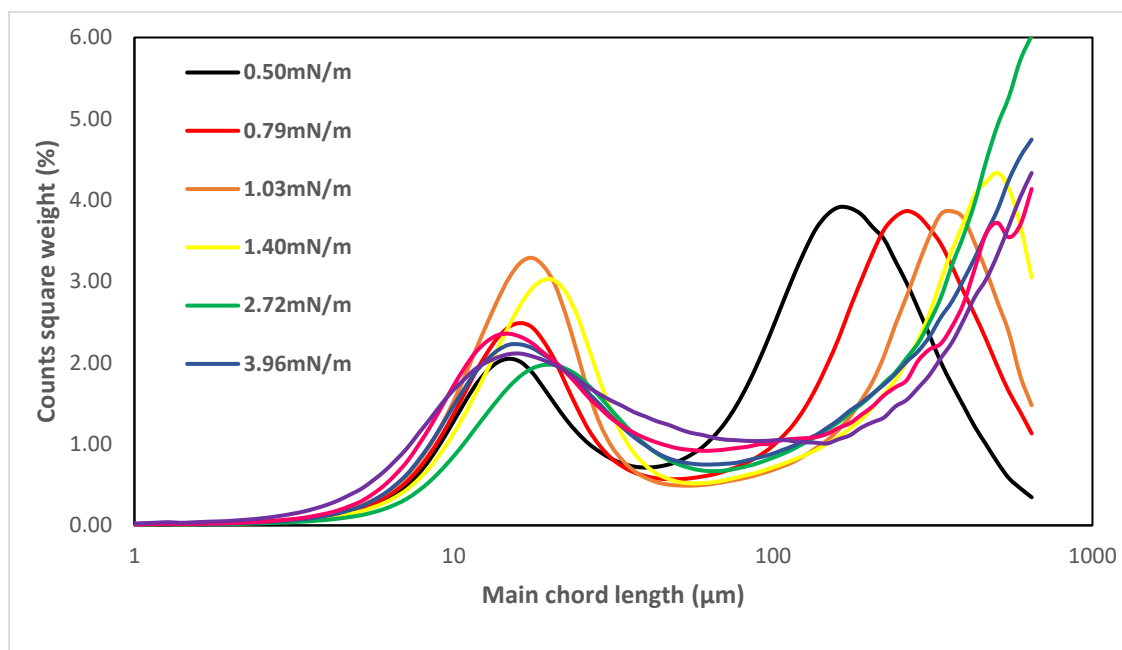


217  
 218 **Figure 5:** Chord length distributions of the BL droplets inside the batch reactor after 200s of BL addition  
 219 for all tested interfacial tensions.

### 220 3.3 Spherical agglomeration

221 The influence of the interfacial tension between the bridging liquid and the crystallization liquor on  
 222 spherical agglomerate size and strength was investigated in a spherical agglomeration process of benzoic  
 223 acid. The same toluene – tween 20 mixtures as in the droplet size investigation were used, but two more  
 224 intermediate concentrations (11.50 and 4.63 g/L) were added, resulting in interfacial tensions of 0.79 and  
 225  $1.40 \times 10^{-3}$  N/m, respectively. Figure 6 shows the chord length distributions of the spherical agglomerates  
 226 obtained by the Blaze Process Analytical technology for interfacial tensions up to  $2.72 \times 10^{-3}$  N/m. In the

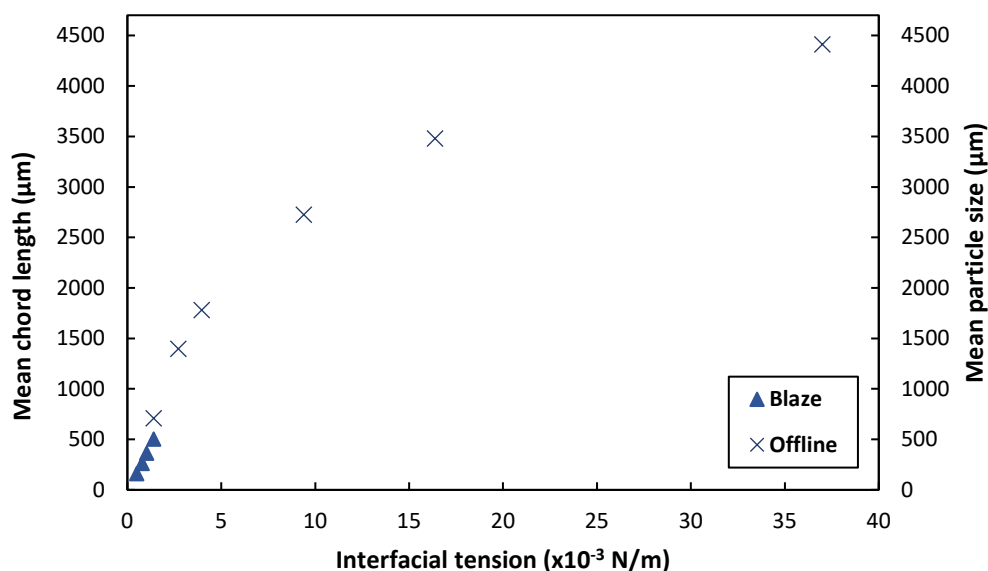
227 case of higher interfacial tensions, the resulting agglomerates exceeded 700  $\mu\text{m}$  in size, which exceeded  
 228 the detection limit of the probe utilized in the experiment. This is visible in Figure 6 by the curve  
 229 representing 2.72 N/m in which the maximum of the second peak is no longer visible and only the increase  
 230 in counts to the maximum is shown. Figure 6 also clearly shows a bimodal distribution for all surfactant  
 231 concentrations, with first a smaller peak around 15-25  $\mu\text{m}$  and then a larger peak that shifts to higher  
 232 particle sizes with decreasing surfactant concentration. It was assumed that this first peak was caused by  
 233 the small bridging liquid droplets. Supporting evidence was obtained by comparing the peak position with  
 234 the bimodal CLD of the droplets (Figure 5), where a similar peak was found at comparable droplet sizes.  
 235 Figure 6 also shows that this peak of the droplets shifts to slightly higher values, when the interfacial  
 236 tension increases, which is again in accordance with previous results (Figure 4). The second peak in Figure  
 237 6 is the agglomerate chord length distribution, this peak shifts to higher chord lengths when less Tween  
 238 20 is added. For the other curves, no mean particle size is calculated because it would be strongly  
 239 influenced by the first peak of the droplets, resulting in too low values corresponding to the effective  
 240 agglomerate size. The chord length corresponding to the maximum counts is used as the agglomerate size  
 241 resulting in 160, 262, 364 and 504  $\mu\text{m}$  for  $0.50 \times 10^{-3}$ ,  $0.79 \times 10^{-3}$ ,  $1.03 \times 10^{-3}$  and  $1.40 \times 10^{-3}$  N/m,  
 242 respectively.



243  
 244 **Figure 6:** Chord length distribution of the formed spherical agglomerates at varying interfacial tensions  
 245 between BL and crystallization system.

246 To obtain the particle sizes of the agglomerates larger than 700 $\mu\text{m}$ , offline measurements were  
 247 performed after the filtration and drying steps. These results, together with the agglomerate sizes  
 248 obtained from the Blaze probe, were plotted in function of the interfacial tension in Figure 7. To compare  
 249 the offline measurement method with the inline sizing method, the agglomerates formed at an interfacial  
 250 tension of  $1.40 \times 10^{-3}$  N/m were measured with both methods, as also shown in Figure 7. The offline  
 251 measurement resulted in a larger agglomerate size of 709  $\mu\text{m}$  in comparison with 504  $\mu\text{m}$  for the Blaze  
 252 measurements, which indicates that the agglomerate size should be interpreted with care. The difference  
 253 is a result of the different methods and their outcomes, the Blaze probe gives the square weighted chord  
 254 length, and the offline measurements result in volume based diameter. In case of the Blaze  
 255 measurements, it is unclear which chord length was taken because only the data and raw images were  
 256 given. For round particles, a difference between the chord length and the offline measurements is  
 257 obtained, as the offline measurements always measure the effective diameter of the agglomerate,  
 258 whereas the chord length measures the difference between two points on the edge of the circle and is  
 259 only equal to the diameter of the circle if the points are in the exact opposite position on the circle. Thus,

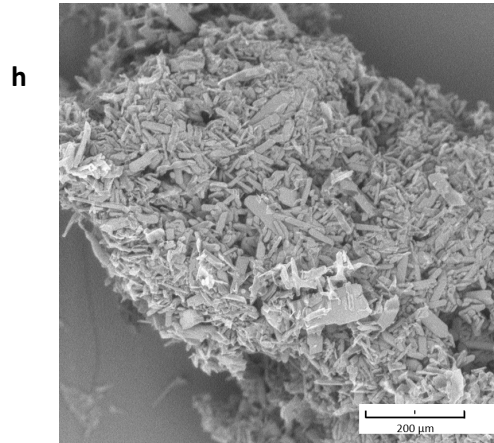
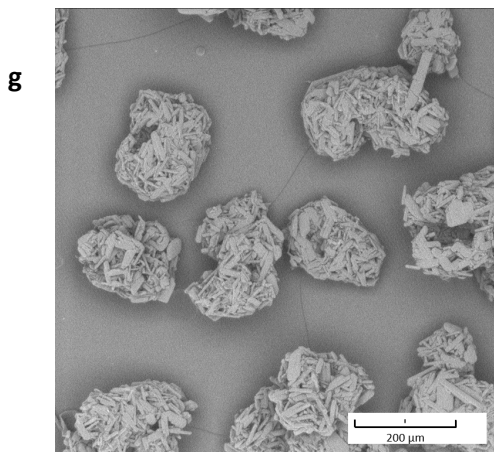
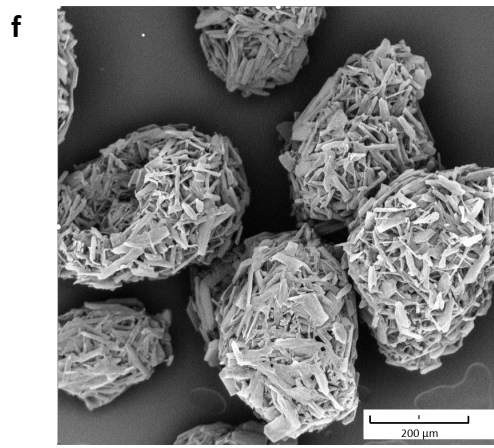
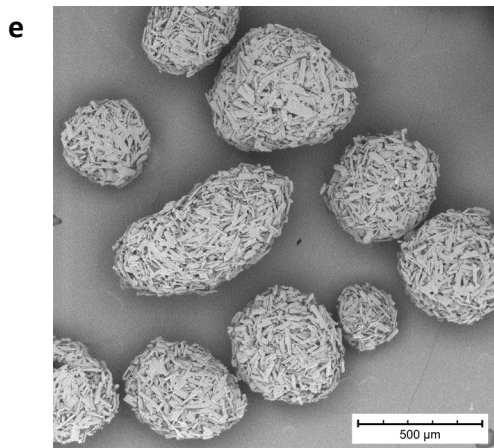
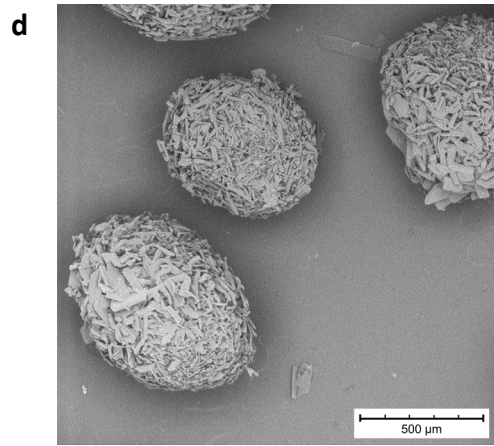
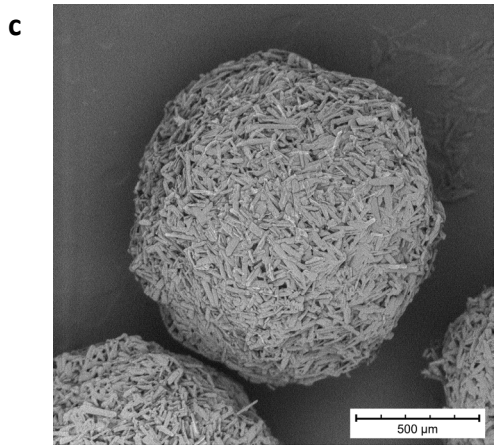
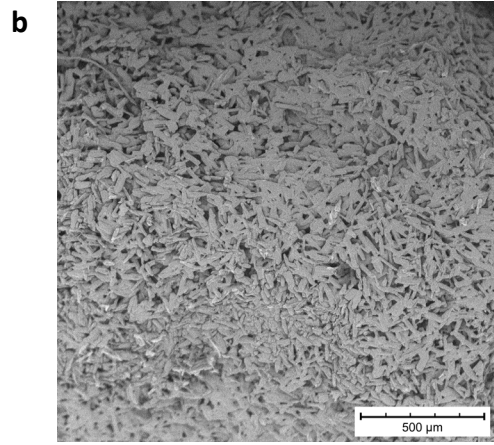
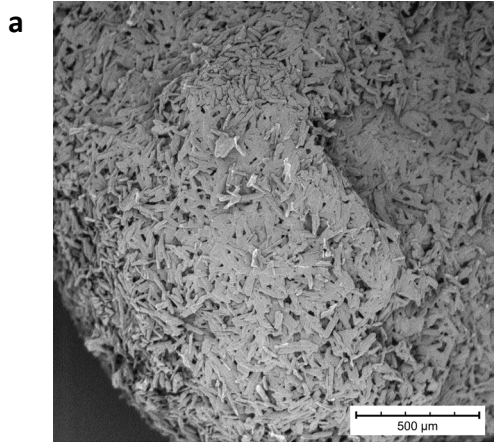
260 it was expected that the chord lengths of spherical agglomerates would always be lower than the mean  
 261 diameter obtained from the offline measurements. At the lowest interfacial tensions between 0.50 and  
 262  $1.40 \times 10^{-3}$  N/m, the agglomerate size increases linearly from 160 to 504  $\mu\text{m}$ . In terms of Tween 20  
 263 concentration, the differences are rather large, ranging from 23.29 g/L to 4.63 g/L. The agglomerates with  
 264 sizes between 1000 and 3500  $\mu\text{m}$  were obtained by varying the Tween 20 concentration in toluene only  
 265 from 0.095 to 1.62 g/L, which indicates very large differences in agglomerate sizes in comparison with the  
 266 very small change in Tween 20 concentration. A blank spherical agglomeration experiment was performed  
 267 without the addition of Tween 20 and thus with pure toluene as the bridging liquid, resulting in a mean  
 268 particle size of 4412  $\mu\text{m}$  at an interfacial tension of  $37 \times 10^{-3}$  N/m (Figure 7).



269  
 270 **Figure 7:** The sizes of the obtained agglomerates plotted as a function of the interfacial tension. Blaze  
 271 measurements result in mean square weighted chord lengths and the offline measurements in volume  
 272 based mean particle size.

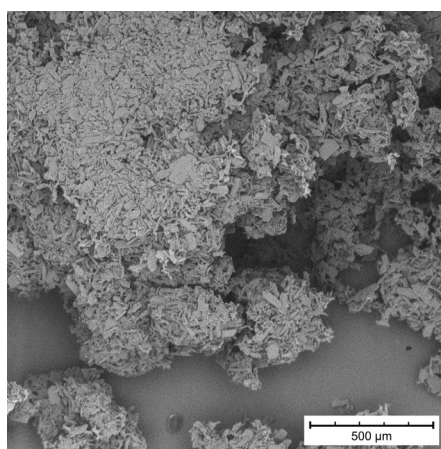
273 Figures 8a-h shows the SEM images after the drying process of the formed agglomerates with increasing  
 274 Tween 20 concentrations from a to h. Again, it is clearly shown how the agglomerate size decreases  
 275 proportionally with the interfacial tension. The agglomerates shown in 8a and 8.b were even too large to  
 276 fit on the image. Figure 8c-f clearly shows the decrease in agglomerate size and the spherical form of the  
 277 agglomerates. The agglomerates of all concentrations are built up of needle- and plate-like benzoic acid  
 278 crystals, which are comparable with the crystals formed when only the anti-solvent crystallization is  
 279 performed, as shown in Figure 9. This indicates that the single crystals are insignificantly influenced by the  
 280 agglomeration process, they were just packed together into the spherical agglomerates without changing.  
 281 Figure 8a-h shows that the larger the agglomerates are, the smoother the edges of the agglomerates. A  
 282 possible explanation is the larger ratio between agglomerate and single crystal size, which increases the  
 283 probability that single crystals align completely with the surface of the agglomerates.

284 The agglomerates formed at interfacial tensions of 0.50 and  $0.79 \times 10^{-3}$  N/m started sticking together  
 285 during the filtration process, resulting in the formation of a cake on the filter. This cake was also formed  
 286 when only the anti-solvent crystallization was performed, and thus needle shaped crystals (Figure 9) were  
 287 filtered, which results in bad filterability due to the shape. Figure 8h is a SEM image showing a part of the  
 288 formed cake at  $0.50 \times 10^{-3}$  N/m in which no spherical agglomerates can be distinguished anymore.



289 **Figure 8:** SEM images of the obtained agglomerates after filtration and drying: a)  $16.38 \times 10^{-3}$  N/m, b)  
290  $9.39 \times 10^{-3}$  N/m, c)  $3.96 \times 10^{-3}$  N/m, d)  $2.72 \times 10^{-3}$  N/m, e)  $1.40 \times 10^{-3}$  N/m, f)  $1.03 \times 10^{-3}$  N/m, g)  $0.79 \times 10^{-3}$   
291  $^3$  N/m, h)  $0,50 \times 10^{-3}$  N/m.)

292 A possible explanation is an agglomerate breakup during the filtration, which corresponds to earlier  
293 findings in literature indicating that the agglomerate strength is proportional to the interfacial tension  
294 [6], [9]. The liquid bridges formed at  $0.50 \times 10^{-3}$  N/m are the weakest and probably not strong enough to  
295 overcome the forces applied by the vacuum filtration as agglomerates fall apart into needles and form a  
296 cake. However, it is remarkable that the agglomerates survived inside the reactor, in which a lot of shear  
297 is created due to the stirring speed of 1000 rpm. This might indicate that the crystals are bound by the  
298 liquid as long as the wet phase exists, but these bonds disappear during drying. Also, agglomerates  
299 formed at an interfacial tension of  $0.79 \times 10^{-3}$  N/m formed a cake during the filtration, but after carefully  
300 breaking it, some spherical shapes remained distinguishable, as shown in Figure 8g. The bad filterability  
301 in this case was probably a result of the bad alignment of the agglomerate edges, creating needle  
302 shapes at the agglomerate surface.



303  
304 **Figure 7:** SEM image of crystals obtained by anti-solvent crystallization.

305 These results proved the ability to tune the size of spherical agglomerates by adding surfactants in varying  
306 concentrations. Particle sizes ranging from 160  $\mu\text{m}$  up to 3481  $\mu\text{m}$  were obtained by changing the  
307 surfactant concentration in the BL from 23.29 g/L to 0.095 g/L, respectively. When no surfactant was  
308 added, spherical agglomerates of 4412  $\mu\text{m}$  were obtained, which indicates that even a very low amount  
309 of surfactant (0.095 g/L) decreases the agglomerate size by 1000  $\mu\text{m}$ . At the highest concentrations (4.63  
310 – 23.29 g/L) the agglomerate sizes are below 500  $\mu\text{m}$ , resulting in a more precise tuneability of these small  
311 agglomerates due to the small differences in size in a large concentration range. At surfactant  
312 concentrations above 11.50 g/L, agglomerates formed inside the reactor fall apart during the vacuum  
313 filtration, indicating that the surfactant concentration in a real application should be determined carefully.

314 Finally, when comparing the change in agglomerate size to the change in BL droplet size at different times,  
315 as shown in Figure 4b a remarkable difference was noticed. The change in agglomerate size is more than  
316 65 times higher than the change in BL droplet size. Based on this observation, it was hypothesized that  
317 the distribution mechanism occurs during the wetting step. Also, it seems that the agglomerate size is  
318 determined by coalescence during the agglomeration growth step, which is also confirmed by the fact  
319 that a lower surfactant concentration corresponds with a higher interfacial tension which causes more  
320 coalescence and thus larger agglomerates [4].

### 321 **3.4 Residual toluene concentration**

322 According to the European Medicine Agency, toluene belongs to the class 2 residual solvents in  
323 pharmaceuticals, which means that the residual concentration inside the medicine should be limited, but  
324 not avoided. Toluene has a low severe toxicity potential resulting in a limited permissible daily exposure

325 (PDE) of 8.9 mg/day, and an ICH residual solvent content limit of 890 ppm in drug substances. To evaluate  
 326 the efficiency of the filtration and drying in terms of solvent removal, the residual toluene concentration  
 327 inside the agglomerates is measured by GC-FID. The results are shown in Table 2 in which the interfacial  
 328 tensions with their corresponding mean agglomerate sizes are listed with the residual toluene  
 329 concentration. The overall trend shows an increase in residual toluene concentration with agglomerate  
 330 size. This could be expected as an increased particle size corresponds with a decreased surface to volume  
 331 area and thus slower solvent removal by diffusion and evaporation. During the filtration and drying,  
 332 approximately the same mass of agglomerates was used, thus, the smaller agglomerates have a larger  
 333 contact area, resulting in more efficient solvent removal. Also, the larger the particles, the more difficult  
 334 it is to remove the solvent from the core of the particle due to the longer path of diffusion. For the smallest  
 335 agglomerates, measured inline by the Blaze probe, the residual toluene concentration increases from  
 336 0.067 to 0.104 mg/g with a chord length increase from 160 to 505  $\mu\text{m}$ . The larger agglomerates measured  
 337 by the offline method show approximately the same trend, but the differences in toluene concentrations  
 338 are smaller, ranging between 0.125 and 0.137 mg/g. The agglomerates with a mean particle size of 1396  
 339  $\mu\text{m}$  resulted in a residual toluene concentration of 0.084 mg/g which is lower in comparison with the other  
 340 agglomerates. In all cases, the residual toluene content remains well below the ICH limit of 0.89 mg/g.

341 **Table 2:** Measured residual toluene concentrations in mg toluene / g sample for the agglomerates at  
 342 varying interfacial tensions, listed with the mean agglomerate sizes. The blaze measurements show the  
 343 mean chord length and the offline measurements show the mean diameter (D4,3). NA = not available

Interfacial tension ( $\times 10^{-3}$ N/m)	Agglomerate size ( $\mu\text{m}$ )		Residual toluene content (mg/g)
	Blaze	Offline	
37.00	NA	4412	0.137
16.38	NA	3481	0.130
9.39	NA	2725	0.125
3.96	NA	1781	0.127
2.72	NA	1396	0.084
1.40	505	709	0.104
1.03	364	314	0.089
0.79	262	NA	0.109
0.50	160	NA	0.067

344

345 **4 Conclusion**

346 This study successfully demonstrated the capability of controlling the size of spherical agglomerates by  
 347 adjusting the concentration of surfactant in the bridging liquid. It is hypothesized that this is attributed to  
 348 the corresponding change in interfacial tension between BL and crystallization system. Initially, the study  
 349 focused on investigating the impact of surfactant addition on the droplet size of the emulsion formed by  
 350 the combination of the bridging liquid and crystallization solvent systems. The increase in droplet size was  
 351 rather limited, ranging from 111 to 144  $\mu\text{m}$  whereas the obtained spherical agglomerates sizes covered a  
 352 broad range of agglomerate sizes from 160 to 3481  $\mu\text{m}$ . This suggests that droplet size distribution may  
 353 not be the decisive factor in spherical agglomeration processes. Instead, it is proposed that the  
 354 coalescence of agglomerate nuclei is favored at higher surfactant concentration, leading to the formation  
 355 of larger agglomerates. At the highest concentrations of Tween 20, the shape of the agglomerates became  
 356 less aligned due to the smaller size ratio between single crystal and agglomerate. This irregular shape,

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357 together with the decrease in agglomerate strength, is assumed to cause agglomerate collapse during  
358 vacuum filtration, resulting in cake formation. However, further research is necessary to extend these  
359 findings to other surfactants and confirm the obtained relationship between interfacial tension and  
360 agglomerate size.

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