



# Detection and Correction of Multiple Errors in General Block Codes

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**Abstract**—The paper deals with general block codes where the symbol sets at each coordinate do not have to be the same. First of all, the classical Hamming bound inequality for error correcting systems is extended to this case.

Next, a new necessary condition for systems able to detect all  $1, \dots, 2k - 1$ -errors ( $k \in \mathbb{N}$ ) is proved. From this a new bound for such systems is proved. All results are proved to be optimal.

The results have applications, e.g., in ISBN (International Standard Book Number) or ISSN (International Standard Serial Number)—like systems. © 1999 Elsevier Science Ltd. All rights reserved.

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## 1. INTRODUCTION

Block codes can, simply, be defined as follows (see, e.g., [1,2]). Let

$$\Omega = \prod_{i=1}^n M_i, \quad (1)$$

where  $M_i$  denote  $n$  (possibly different) finite sets. Any element

$$x_1 x_2 \dots x_n \in \Omega$$

is called a possible block code. Note that

$$|\Omega| = \prod_{i=1}^n |M_i| \quad (2)$$

where  $|\cdot|$  denotes the cardinality of the set. We will denote  $m_i = |M_i|$  ( $i = 1, \dots, n$ ).

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## EXAMPLES OF BLOCK CODES.

1. Classical ISSN or ISBN framework (ISSN = International Standard Serials Number, ISBN = International Standard Book Number), using 11-multiples (see further)

$$\begin{aligned} M_1 = \dots = M_7 &= \{0, \dots, 9\}, \\ M_8 &= \{0, \dots, 9, X\} \text{ (ISSN)}, \\ M_1 = \dots = M_9 &= \{0, \dots, 9\}, \\ M_{10} &= \{0, \dots, 9, X\} \text{ (ISBN)}, \end{aligned}$$

see [3].

2. System where one uses 13 multiples instead of 11 as above. Now

$$\begin{aligned} M_8 &= \{0, \dots, 9, X, Y, Z\} \text{ (ISSN)}, \\ M_{10} &= \{0, \dots, 9, X, Y, Z\} \text{ (ISBN)}, \end{aligned}$$

respectively.

3. System with two check digits based on 11 respectively, 13 multiples (e.g., for ISBN)

$$\begin{aligned} M_1 = \dots = M_9 &= \{0, \dots, 9\}, \\ M_{10} &= \{0, \dots, 9, X\}, \\ M_{11} &= \{0, \dots, 9, X, Y, Z\}. \end{aligned}$$

4. Note that we do not suppose that our system works with check digits. Very generally we can put (1) and determine later what we will consider as correct codes.

Let  $A \subset \Omega$  be the set of correct codes. They are defined by the system. We do not suppose any system here.

## EXAMPLES OF CORRECT CODES IN BLOCK CODE SYSTEMS.

1. In the Examples 1, 2, and 3 above the device to be in  $A$  is by making multilinear combinations of the  $x_i$ s and where one checks if we have an 11-multiple (respectively, a 13 multiple)—see, e.g., [3–6] or the recent [7].

For a general description of ISBNs and ISSNs, we refer the reader to [8]. Note that the future length ( $n$ ) of ISBNs or ISSNs will increase due to the electronic publishing phenomenon—see [9].

2. In case of Example 4 (for  $M_i = \{0, \dots, 9\}$ ,  $i = 1, \dots, n$ ), a correct code could be one for which  $x_1 x_2 \dots x_n \in \Omega$  could be written as (points denote consecutive numbers and are not multiplications)

$$x_1 \dots x_{i_1} x_{i_1+1} \dots x_{i_2} \dots x_{i_{j-1}+1} \dots x_{i_j}$$

(where  $i_j = n$ ) such that

$$\sum_{k=1}^j (x_{i_{k-1}+1}, \dots, x_{i_k})^2 \tag{3}$$

is a complete square (the so-called generalized Pythagorean numbers) (take  $i_0 = 1$ ).

EXAMPLE If  $n = 2$ , then  $34 \in A$ , since  $3^2 + 4^2 = 5^2$  and  $24 \in \Omega \setminus A$ , since  $2^2 + 4^2 = 20$  and since  $\sqrt{20} \notin \mathbb{N}$ . Note that such examples of two natural numbers (whose sum of squares is again a square) can only be given for squares. Indeed, recently, the more than three hundred year old problem of Fermat (called the last “theorem” of Fermat) is solved by Wiles (see [10] for a brief description of the elaborate proof or [11] for a historical review) resulting in the fact that no numbers  $x, y$  and  $z \in \mathbb{N}$  exist for which  $x^k + y^k = z^k$ ,  $k \in \mathbb{N}$ ,

$k \geq 3$ . But equations as  $x^k + y^k + z^k = u^k$  ( $x, y, z, u, k \in \mathbb{N}$ ,  $k \geq 3$ ) are possible (see [11, p. 178]).

3. In [12] one studies RNS (Residue Number Systems). Here one takes  $n$  pairwise relatively prime numbers  $p_1, \dots, p_n$  and a correct code  $X = x_1 \dots x_n$  is obtained iff  $x_i = X \pmod{p_i}$  for a (unique—by the Chinese Remainder Theorem) number  $X \in [0, p[$ , where  $p = \prod_{i=1}^n p_i$ . Algorithms to find  $X$  are available, see e.g., [13]. Many variants of the above described RNS exist (again, see the above-mentioned references).

Note in the above examples that the sets  $M_i$  can be different for  $i \in \{1, \dots, n\}$ . In [2] and [1] only block codes with fixed symbol sets are studied. Therefore an extension of the results (e.g., on the Hamming bound—see [1]) is necessary. Hereby, we are not only interested in error correcting systems but also in (the weaker) error detecting systems. In the latter case, our results will also be new in case the symbol set remains fixed.

Let us first repeat, in our general framework, what we mean by a  $k$ -error correcting or  $k$ -error detecting system ( $k \in \mathbb{N}$ ).

Let  $A_k \subset \Omega$  ( $k \in \mathbb{N}$ ) be the set of all codes such that  $\forall Y \in A_k, \exists X \in A$  such that  $X$  and  $Y$  differ at  $k$  places. Note that  $k$  equals the Hamming distance  $d(X, Y)$  between  $X$  and  $Y$ . Let  $X \in A$ . Denote by  $B_k(X)$  the set of all codes  $Y$  such that  $X$  and  $Y$  differ at  $k$  places. Obviously,

$$A_k = \bigcup_{X \in A} B_k(X). \quad (4)$$

DEFINITION 1.1. We say that the system detects all  $k$ -errors iff

$$A_k \cap A = \emptyset \quad (5)$$

DEFINITION 1.2. We say that the system corrects all  $k$ -errors iff

$$\{B_k(X) \mid X \in A\} \quad (6)$$

forms a partition of  $A_k \setminus A$ .

One can readily see that these definitions agree with the classical notions of detection and correction, although the above definitions seem new to us. Indeed, (5) says that no  $k$ -error can be correct and hence is detected. Statement (6) says that any  $k$ -error leads uniquely to the one  $X \in A$  that differs in  $k$  places with the given code. Note that Definition 1.2 implies  $A_k \cap A = \emptyset$ , hence Definition 1.1. The following result is very easy in this framework.

THEOREM 1.1. If the system detects all  $1, 2, \dots, 2k$ -errors ( $k \in \mathbb{N}$  fixed), then it corrects all  $1, 2, \dots, k$ -errors.

PROOF. Let  $i \in \{1, \dots, k\}$ . Suppose  $\exists X, X' \in A$  such that  $X \neq X'$  and such that

$$B_i(X) \cap B_i(X') \neq \emptyset.$$

Then there is a code  $Y$  differing from  $X$  in  $i$  places and differing from  $X'$  in  $i$  places. Hence,  $X$  and  $X'$  differ in  $1, 2, \dots$ , or  $2i$  places, hence  $X' \in A_j$  ( $\exists j \in \{1, \dots, 2i\}$ ). By Definition 1.1,  $A_j \cap A = \emptyset$ . Hence,  $X' \notin A$  is a contradiction.

Since this is true  $\forall i \in \{1, \dots, k\}$ , the theorem is proved.  $\blacksquare$

Note that this result is also a consequence from the proposition on p. 17 and the one on p. 18–19 in [2], but the proof obtained in this way is more complicated.

The result above is false if one only supposes that the system detects all  $1, 2, \dots, 2k - 1$ -errors. Indeed, take  $k = 1$ , then the statement becomes one-error detection implies one-error correction which is false (take, e.g., the ISBN, ISSN systems).

**COROLLARY 1.1.** *If the system detects all one- and two-errors then it corrects all one-errors.*

**APPLICATION.** If we calculate two check digits for ISSNs or ISBNs (e.g., by using 11 and 13 as divisors, cf. Example 3), then it is easy to see that it detects all one- and two-errors (exercise) (cf. also [7]), hence, by the above corollary, this system corrects all single errors. It takes the solution of a system of two linear equations (mod 11 and mod 13) to prove this directly. For  $k = 2, 3, \dots$  and general  $n$  it even takes intricate systems of linear equations to prove this! So the above theorem has far reaching applications, although its proof is very simple (because of the abstract formalism).

The above elementary remarks are the starting point for our investigations. In the next section, we will calculate the values of the sets  $|B_i(X)|$ , yielding a generalization of the well-known Hamming bound in case of  $1, \dots, k$ -correcting systems. By Theorem 1.1 this then also takes care of all  $1, \dots, 2k$ -detecting systems.

Section 3 then deals with the (weaker) situation where the system only detects  $1, \dots, 2k - 1$ -errors ( $k \in \mathbb{N}$ ). The weaker results obtained in this case are proved to be optimal so that there cannot be an improvement. The paper closes with a short Section 4 with examples.

## 2. THE CASE OF SYSTEMS THAT ARE CORRECTING $1, \dots, k$ -ERRORS

Note that, by Theorem 1.1, the results obtained in this section also apply to systems that detect  $1, \dots, 2k$ -errors.

**PROPOSITION 2.1.** *If the system corrects all  $1, \dots, k$ -errors ( $k \in \mathbb{N}$  fixed) then*

$$\{B_i(X) \mid i \in \{1, \dots, k\}, X \in A\}, A \quad (7)$$

*forms a partition of  $\cup_{i=1}^k A_i \cup A$ .*

**PROOF.** By definition, the  $B_i(X)$  ( $i \in \{1, \dots, k\}$  fixed),  $X \in A$  are disjoint, but also if we let  $i \in \{1, \dots, k\}$  vary (otherwise there would exist  $i, j \in \{1, \dots, k\}$ ,  $X, X' \in A$  such that

$$B_i(X) \cap B_j(X') \neq \emptyset$$

contradicting the fact that all  $i$ - and  $j$ -errors are corrected). ■

Note that (7) implies the weaker property that all the  $A_1, \dots, A_k$  are disjoint and disjoint from  $A$ . The weakest relaxation of the condition in Proposition 2.1 is to suppose that the system detects all  $1, \dots, 2k - 1$ -errors (implying the correction of all  $1, \dots, k - 1$ -errors).

In this case, however, Proposition 2.1 is not true. Indeed, take  $k = 1$ . If Proposition 2.1 would be true for  $1, \dots, 2k - 1$ -error detecting systems, then this implies (by (7)) that one-error detection implies one-error correction; we remarked already above that this is not true.

The above result shows that the space  $\Omega$  must be pretty large since it must be able to contain all the disjoint sets in (7). If we manage to calculate the cardinality of all the sets in (7) we then obtain necessary requirements on the size of the set  $\Omega$  in order to have the mentioned powers of detection and correction. This will be done now.

It is no loss of generality to assume that we can subdivide the index set  $\{1, \dots, n\}$  as follows

$$\{1, \dots, n\} = \{1, \dots, n_1\} \cup \{n_1 + 1, \dots, n_1 + n_2\} \cup \dots \cup \left\{ \sum_{i=1}^{\ell-1} n_i + 1, \dots, \sum_{i=1}^{\ell} n_i = n \right\}, \quad (8)$$

such that  $x_i$  uses  $p_1$  symbols if  $i \in \{1, \dots, n_1\}$ ,  $x_i$  uses  $p_2$  symbols if  $i \in \{n_1 + 1, \dots, n_1 + n_2\}$  and so on, until:  $x_i$  uses  $p_\ell$  symbols if  $i$  belongs to the last set. Note that we do not require the symbol sets  $M_1, \dots, M_{n_1}$  to be equal (and the same for the other symbol sets).

This is no loss of generality since we can always rearrange  $x_1, \dots, x_n$  such that the above is true (warning: by doing so we leave the number of possible codes unchanged but of course the correctness of a code is changed!—but this is not a problem here since we deal with cardinality).

Note that the above permutation of indices is not even necessary for the ISBN, ISSN codes. Take, e.g., the ISBN with two check digits (Example 3). We have here  $n_1 = 9$ ,  $n_2 = 10$ ,  $n_3 = 11$ ,  $p_1 = 10$ ,  $p_2 = 11$ ,  $p_3 = 13$ .

PROPOSITION 2.2. Let  $X \in A$ ,  $k \in \{1, \dots, n\}$  be fixed but arbitrary. Then

$$|B_k(X)| = \sum_{\substack{k_1, \dots, k_\ell \\ \sum_{j=1}^{\ell} k_j = k}} \prod_{i=1}^{\ell} \binom{n_i}{k_i} (p_i - 1)^{k_i}. \quad (9)$$

PROOF. Since we look at codes  $Y \in B_k(X)$ , they differ at  $k$  places from  $X \in A$ . A  $k$ -subset of  $\{1, \dots, n\}$  is always obtained as the disjoint union of a

$$\begin{aligned} & k_1\text{-subset from } \{1, \dots, n_1\}, \\ & k_2\text{-subset from } \{n_1 + 1, \dots, n_2\}, \\ & \vdots \\ & k_\ell\text{-subset from } \left\{ \sum_{i=1}^{\ell-1} n_i + 1, \sum_{i=1}^{\ell} n_i \right\}, \end{aligned}$$

such that  $k = k_1 + k_2 + \dots + k_\ell$ . There are respectively  $\binom{n_1}{k_1}, \binom{n_2}{k_2}, \dots, \binom{n_\ell}{k_\ell}$  possible sets and in each case we have the choice of changing the correct symbols into (respectively)  $(p_1 - 1)^{k_1}, (p_2 - 1)^{k_2}, \dots, (p_\ell - 1)^{k_\ell}$  other ones. Hence, formula (9) is proved. ■

COROLLARY 2.1. If the system corrects all  $k$ -errors then

$$|A| + |A_k| = |A| + |A| \cdot |B_k(X)| \leq \prod_{i=1}^{\ell} p_i^{n_i} = \prod_{j=1}^n m_j = |\Omega|, \quad (10)$$

with  $|B_k(X)|$  as in (9).

PROOF. If the system corrects  $k$  symbols then ( $\dot{\cup}$  denotes the disjoint union)

$$A_k = \dot{\bigcup}_{X \in A} B_k(X),$$

by definition and the fact that  $A_k \cap A = \emptyset$ . Hence,  $|A_k \cup A| = |A_k| + |A| = \sum_{X \in A} |B_k(X)| + |A| = |A| \cdot |B_k(X)| + |A|$ .

This must be less than  $|\Omega| = \prod_{j=1}^n m_j$  (by definition) and  $|\Omega| = \prod_{i=1}^{\ell} p_i^{n_i}$  (by construction). ■

COROLLARY 2.2. If the system corrects all  $1, \dots, k$ -errors (e.g., if the system detects all  $1, 2, \dots, 2k$ -errors) ( $k \in \mathbb{N}$  fixed), then

$$|A| + \sum_{i=1}^k |A_i| = |A| + |A| \sum_{i=1}^k |B_i(X)| \leq \prod_{i=1}^{\ell} p_i^{n_i} = \prod_{j=1}^n m_j = |\Omega|, \quad (11)$$

with  $|B_i(X)|$  as in (9).

PROOF. Under the above conditions, the sets in (7) are all disjoint and again

$$A_i = \dot{\bigcup}_{X \in A} B_i(X)$$

for every  $i = 1, \dots, k$ . The rest follows as in the proof of Corollary 2.1. ■

Note that (9), (10), and (11) form a significant extension of the case in which all symbol sets are the same (or have the same number of symbols). In this case, (9) becomes ( $\ell = 1$ ,  $m_1 = \dots = m_n = p_1 =: m$ ,  $n_1 = n$ )

$$|B_k(X)| = \binom{n}{k} (m-1)^k. \quad (12)$$

In this case, (10) reads

$$|A| + \sum_{X \in A} \binom{n}{k} (m-1)^k \leq m^n.$$

Hence,

$$|A| \left( 1 + \binom{n}{k} (m-1)^k \right) \leq m^n, \quad (13)$$

and (11) reads

$$|A| + \sum_{i=1}^k \sum_{X \in A} \binom{n}{i} (m-1)^i \leq m^n$$

or

$$|A| \cdot \sum_{i=0}^k \binom{n}{i} (m-1)^i \leq m^n. \quad (14)$$

Inequality (14) can be read in [1, p. 13, formula (2.5)] and is called the Hamming bound. Hence, (10) and (11) constitute extensions of the Hamming bounds to the case in which the symbol sets can differ. Note that this includes the ISBN and ISSN codes, which are not included in (14)!

These generalized Hamming bounds can be used to prove that certain correction properties in certain systems cannot be obtained.

Corollary 2.2 applies in the case that the system detects all  $1, 2, \dots, 2k$ -errors. It is not true in the case the system detects all  $1, 2, \dots, 2k-1$ -errors. Indeed, take the case of the classical ISBN ( $k = 1$  here). It is easy to see that

$$A_1 = A_2 = \dots = \Omega = \prod_{i=1}^{10} M_i,$$

where  $M_1 = \dots = M_9 = \{0, \dots, 9\}$  and  $M_{10} = \{0, \dots, 9, X\}$ . The reason is that the check digit detects all mistakes.

Obviously  $A$  is bijective with the set  $\prod_{i=1}^9 M_i$  (since only one value of the check digit  $x_{10}$  makes the code correct).

Hence, here

$$|A_1| = |A| (m_{10} - 1) \quad (15)$$

( $m_{10} = 11$  in this case). Hence,

$$|A| + |A_1| = |A| m_{10} = |\Omega|.$$

But (11) gives

$$|A_1| = |A| \sum_{i=1}^n (m_i - 1) > |A| (m_{10} - 1) \quad (16)$$

( $n = 10$  here), contradicting (15). This leads us to the next section.

### 3. THE CASE OF SYSTEMS THAT ARE DETECTING $1, \dots, 2k - 1$ -ERRORS

In this weaker case we have the following results.

LEMMA 3.1. *Let  $i, j \in \{1, \dots, n\}$  such that  $i \neq j$ . If the system detects all*

$$|i - j|, \dots, i + j\text{-errors,}$$

then

$$A_i \cap A_j = \emptyset. \quad (17)$$

PROOF. Suppose  $Y \in A_i \cap A_j$ . Then there exists  $X \in A$  and  $X' \in A$  such that  $Y$  differs at  $i$  places from  $X$  and  $Y$  differs at  $j$  places from  $X'$ .

Hence,  $X$  and  $X'$  differ at  $k = |i - j|$ , or  $\dots$ ,  $k = i + j$  places. But  $X \in A$ ,  $X' \in A_k$ , and  $A \cap A_k = \emptyset$  by definition. Hence,  $X' \notin A$ , a contradiction. ■

PROPOSITION 3.1. *If the system detects all  $1, 2, \dots, 2k - 1$ -errors ( $k \in \mathbb{N}$  fixed), then the  $A_1, \dots, A_k$  are mutually disjoint (and disjoint with  $A$ ).*

PROOF.  $\forall i, j \in \{1, \dots, k\}$ ,  $i \neq j$  one has  $|i - j|, \dots, i + j \in \{1, \dots, 2k - 1\}$ . Hence,  $A_i \cap A_j = \emptyset$  by the above lemma. That they are disjoint from  $A$  follows from the definition of  $i$ -error detectability. ■

COROLLARY 3.1. *If the system detects all  $1, 2, \dots, 2k - 1$ -errors ( $k \in \mathbb{N}$  fixed) then the system is also capable of detecting the number of errors in a code.*

PROOF. This readily follows from the fact that the  $A_1, \dots, A_k$  are mutually disjoint. ■

Again the condition in the above proposition and corollary cannot be relaxed into “the system detects all  $1, \dots, 2k - 2$ -errors”. Indeed, take the case of an ISBN with two check digits, calculated with 11 and 13 as divisors (Example 3). Take  $k = 2$ . Hence, we have detection of  $1, 2 = 2k - 2$ -errors (but not of all  $3 = 2k - 1$ -errors). Also we have that  $A_1 \cap A_2 \neq \emptyset$ . Indeed, take the code

$$05660351542 \in A$$

(4 is the check digit, using 11 as divisor and 2 is the check digit using 13 as divisor). Hence,

$$15660351542 \in A_1.$$

But if we calculate the new check digits for the partial code 156603515 we obtain 53 as check digits. Hence,

$$15660351553 \in A,$$

and hence,

$$15660351542 \in A_2.$$

Hence,  $A_1 \cap A_2 \neq \emptyset$ .

Based on the above results we can now prove the following theorem.

THEOREM 3.1. *If the system detects all  $1, 2, \dots, 2k - 1$ -errors ( $k \in \mathbb{N}$  fixed), then*

$$|A| + \sum_{i=1}^k |A_i| \leq \prod_{j=1}^n m_j = |\Omega|, \quad (18)$$

where

$$|A_i| \geq \prod_{\ell=1}^i (m_{j_\ell} - 1) |A|, \quad (19)$$

and where  $m_{j_1}, \dots, m_{j_i}$  are the  $i$  largest values from the set  $\{m_1, \dots, m_n\}$ . In addition, (19) is optimal in the sense that there exist systems for which equality in (19) holds.

PROOF. Equation (18) follows readily from the Proposition 3.1. Now the  $A_i$ s cannot be written anymore as a partition of  $B_i(X)$ s as was the case in Corollary 2.2 but we can show the following. Let  $m_{j_1}, \dots, m_{j_i}$  be the  $i$  largest numbers in  $\{m_1, \dots, m_n\}$ . For every  $X = x_1 \dots x_n \in A$  we have that  $Y = y_1 \dots y_n$  where

$$\begin{cases} y_i = x, & i \in \{1, \dots, n\} \setminus \{j_1, \dots, j_i\}, \\ y_i \neq x_i, & i \in \{j_1, \dots, j_i\}, \end{cases}$$

belongs to  $A_i$  and does not belong to  $A$  (since  $A \cap A_i = \emptyset$ ). At each place  $i \in \{j_1, \dots, j_i\}$  there are  $m_i - 1$  different possibilities. Hence,

$$|A_i| \geq \prod_{\ell=1}^i (m_{j_\ell} - 1) |A|.$$

This inequality is optimal in the sense that, generally, we cannot prove a higher bound. Indeed, take the classical ISBN. Formula (16) shows

$$|A_1| = |A| (m_{10} - 1)$$

which is, in this case, (19) but with equality sign. ■

Note that this result is also new in case all the symbol sets are equal (or have an equal cardinality).

GENERAL NOTE. In systems where  $\Omega \subset \prod_{i=1}^n M_i$  none of the results obtained so far are true. Let us illustrate this in relation with the previous theorem. In any case, even when  $\Omega \subset \prod_{i=1}^n M_i$  we have, under the conditions of the above theorem (for  $k = 1$ ) that  $|A_1| \geq |A|$ . Indeed, take  $X, X' \in A$ ,  $X \neq X'$ . So  $\exists i \in \{1, \dots, n\}$  such that  $x_i \neq x'_i$ , the values on the  $i^{\text{th}}$  place in  $X$ , respectively,  $X'$ . Make the following construction. Let  $Y, Y'$  be codes where the coordinates satisfy

$$\begin{aligned} y_i &= \begin{cases} x_j, & (j \neq i), \\ x'_i, & (j = i), \end{cases} \\ y_j &= \begin{cases} x'_j, & (j \neq i), \\ x_i, & (j = i), \end{cases} \end{aligned}$$

Then since  $x_i \neq x'_i$ ,  $Y \neq Y'$ . Furthermore  $Y \in B_1(X)$  and  $Y' \in B_1(X')$ . Hence,  $Y, Y' \in A_1$ . This shows that  $|A_1| \geq |A|$ . Hence, we have a much weaker result than (16) or (19). Also this is optimal. Take  $\Omega = \{(0, 0), (1, 0), (1, 1)\}$ , and  $A = \{(0, 0)\}$ . Then  $A_1 = \{(1, 0)\}$ , hence,  $|A| = |A_1|$ . We even have  $A_2 = \{(1, 1)\}$  so  $|A_2| = |A|$ .

But systems in which  $\Omega \neq \prod_{i=1}^n M_i$  are not important because of the fact that, as a consequence of an error, in every coordinate ( $i$ ) every symbol of the symbol set  $M_i$  can occur, hence,  $\Omega = \prod_{i=1}^n M_i$  as supposed in the beginning. Therefore, we will not go into systems where  $\Omega \neq \prod_{i=1}^n M_i$  any further.

#### 4. APPLICATIONS

The results obtained in the previous sections also offer necessary conditions in order to have systems that can correct  $k$ -errors,  $1, \dots, k$ -errors, or that can detect  $1, \dots, k$ -errors ( $k$  odd or even). These can be used to show that systems do not perform this way. We give some examples.

1. Take  $k = 1$  in Corollary 2.2,  $|A| = 10^9$ ,  $n_1 = 9$ ,  $m_1 = 10$ ,  $n_2 = 1$ ,  $m_2 = 11$ . Condition (11), together with (9) reads the requirement ( $\cdot$  denotes multiplication)

$$10^9(1 + 9 \cdot 10) \leq 10^9 \cdot 11,$$



which is false. Hence, *any* such system does not detect all  $1, 2 = 2k$ -errors. Note that this fact is independent of the system. We do not even have to use a system of check digits. Of course, classical ISBN falls in this category.

2. Take  $k = 2$  in Theorem 3.1,  $\#A = 10^9$ ,  $n_1 = 9$ ,  $m_1 = 10$ ,  $n_2 = 1$ ,  $m_2 = 11$ ,  $n_3 = 1$ ,  $m_3 = 13$ . Conditions (18) and (19) yield the requirement

$$10^9(1 + 12.10.8) \leq 10^9.11.13,$$

which is false again. Hence, *any* such system does not detect all  $1, 2, 3 = 2k - 1$ -errors. Again this fact is independent of the system (we again do not specify whether or not we use check digits). Of course ISBNs formed as in Example 3 of Section 1 fall in this category.

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